

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/08/1999

To: Chicago

From: Chicago

OC 1

Contact: SA [redacted] ext. 2829

Approved By: [redacted] *JMS/PA*

Drafted By: [redacted]: tdb *JMS*

Case ID #: 159A-CG-NEW (Pending)

b6
b7C

Title: [redacted]
JOHN F. DUFF, JR.;

[redacted]

dbA

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

Synopsis: Request authorization to open case in the above matter.

Details: United States Attorney Scott R. Lassar, requested that the Chicago FBI initiate an investigation into the Duff's activities. The request followed several articles published by the Chicago Tribune revealing allegations that the Duff family and their businesses, WINDY CITY MAINTENANCE, WINDY CITY LABOR, and REMEDIAL ENVIRONMENTAL MANPOWER (R.E.M.) received approximately \$100 million from the city of Chicago since Mayor Richard Daley was elected. The allegations involve mail fraud/wire fraud in relation to the city contracts obtained by WINDY CITY MAINTENANCE and possible violations of the Taft/Hartley Act and kickbacks in relation to the use of non-union members at Local 3 businesses.

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A meeting was held on September 13, 1999, with Assistant United States Attorney's [redacted] and [redacted] at which time the allegations against the Duff family were discussed. The U.S. Attorney's office has advised that an investigation into the Duff family's activities warrants further review and that the U.S. Attorney's Office would prosecute the Duff's if the allegations were substantiated. The above captioned case will be worked jointly with the Department of

251+db01.ec

O: A [redacted] 9-8-99
to SA [redacted] OC-1
JMS/PA

No applicable CFI code

108
1,2,3,4,5
105

159A-CG-110953-1

To: Chicago From: Chicago
Re: 159A-CG-NEW, 09/08/1999

Labor, which has an active investigation involving the Duff family businesses and associated allegations of ghostpayrolling and filing fraudulent reports to the Department of Labor, and the Internal Revenue Service.

The Duff's have strong union ties with the Distillery Workers Union Local 3. John Duff, Jr. was a former executive for Local 3. [REDACTED]

[REDACTED] John Duff, Jr. also has strong ties to Organized Crime in Chicago and had close personal friendships with Outfit members Anthony Spilotro, Frank Buccieri and even testified on behalf of mob boss Anthony "Big Tuna" Accardo.

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b7C

Descriptive Data:

Main Subject

Name -

Last:

First:

Middle:

DDN:

Race:

Sex:

Height:

Weight:

Hair:

Eyes:

DOB:

DOB:

DOB:

DLN:

FBI:

LPN:

SOC:

Alias (es) :

Last:

First:

Middle:

DDN:

Last:

First:

Middle:

DDN:

b6
b7C

To: Chicago From: Chicago
Re: 159A-CG-NEW, 09/08/1999

Address -
House #:
Street Name:
City:
State:
Postal Code:
Address -
House #:
Street Name:
City:
State:
Postal Code:
Phone:
Miscellaneous -



b6
b7C

Main Subject

Name -
Last: Duff
First: John
Middle: F
DDN: JR
Race: W
Sex: M
Height: 5'11"
Weight: 195
Hair: Brown
Eyes: Blue
DOB: 06/27/1925
DLN: D100-4662-5182
SOC: 345-14-7471
Address -
House #: 3240
Street Name: Lake Shore Drive
Unit: Apt 8A
City: Chicago
State: Illinois
Postal Code: 60657

Main Subject

Name -
Last:
First:
Middle:
DDN:
Race:
Sex:
Height:
Weight:



b6
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159A-CG-110953-3

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

Pg. 1 & 8 Sec. 1
Tribune, Chicago, IL

Date: 7/25/99

Edition:

Title: Family Mops Up On City Deals

Character:

or

Classification:

Submitting Office: Chicago

Indexing:

⊗ JCB

Family mops up on city deals

Insiders with mob ties profit from mayor's push to privatize

By Andrew Martin, Laurie Cohen and Ray Gibson

TRIBUNE STAFF WRITERS

A month after Richard Daley became Chicago's mayor, Patricia Green launched a janitorial company called Windy City Maintenance even though she had no experience in the field.

Windy City's stunning success since then—it now takes in about \$13 million a year in city-related

business—might seem like an uplifting tale of the city helping a woman-owned firm get established.

But a Tribune investigation reveals that Windy City's story actually features political insiders tied to City Hall and to organized-crime members in Chicago and South Florida.

Windy City Maintenance isn't run by Patricia Green, and Patricia Green isn't her full name either. Instead, Patricia Green is the maiden name of 71-year-old Patricia Green Duff, and her company is run by the men in the Duff family.

The Tribune found that three companies connected to the family

have collected nearly \$100 million from city-related contracts during Daley's mayoral tenure, thanks to clout and the largess created by privatization of municipal services.

Privatization has been crucial to Daley's effort to forge a national reputation as a progressive, no-nonsense administrator. But many of the mayor's "good government" programs, from towing abandoned cars to resurfacing streets, have also provided lucrative deals for Daley's friends and political allies while reducing public scrutiny of city services.

The Duff family fortunes have been cultivated by patriarch John Duff Jr., a savvy former union

boss and charming barroom companion. Duff, 74, was known for singing through the night in a South Florida tavern and presiding over a legendary Christmas party at the Como Inn, where bands and guests overflowed into the corridors of the popular Near West Side restaurant.

Duff also is known for his connections to organized crime.

In 1960, Duff was a city investigator, tavern keeper and union official when he testified on behalf of mob boss Anthony "Big Tuna" Accardo, who faced income-tax fraud charges. Duff's testimony that he purchased beer from Accardo helped the mobster's

SEE DUFF, PAGE 8



Photo by Vince Jarczy
Patriarch John Duff Jr.

FBI/DOJ

159A-CG-110953-3



Tribune photo by Carl Wagner

In 1993, the city questioned R.E.M.'s viability. But since 1995, it has collected \$36 million from city contracts.

Windy City Maintenance cashes in at Navy Pier and McCormick Place

In 1996, the Metropolitan Pier and Exposition Authority sought cost proposals from companies for a five-year contract to clean Navy Pier and McCormick Place.

Initial bids submitted

On April 18, 1996, Windy City Maintenance submitted a proposal to clean the facilities and it was the fourth lowest among the finalists. The agency, however, asked the firms to clarify their proposals, which were resubmitted.

COMPANY	Bid in millions
Ogden	\$6.24 million
United Maintenance	\$7.23
ISS Building Maintenance	\$7.81
Windy City Maintenance	\$8.12
ServiceMaster	\$11.17

Windy City comes out on top

When the new proposals were submitted on April 29, 1996, Windy City Maintenance's was less than its original, while the other bids had increased. It won the contract.

COMPANY	Bid in millions
Windy City Maintenance	\$6.85 million
Ogden	\$6.92
ISS Building Maintenance	\$9.49
United Maintenance	\$11.69
ServiceMaster	\$11.74

Actual cost

During the first 13 months of its contract, Windy City was actually paid \$3.8 million more than its winning proposal.



Source: Metropolitan Pier and Exposition Authority

Chicago Tribune

City-related contracts

Since Richard M. Daley took office in 1989, Duff-related companies have earned almost \$100 million in business from the city and city-related agencies. Contracts by company:

Windy City Maintenance

(Listed owner: Patricia Green)

City payments

From special events office, Police Department and others:

\$6.8 million

City subcontracts*

Harold Washington Library, O'Hare's International Terminal:

Metropolitan Pier and Exposition Authority

About one-fourth of these payments go to a minority subcontractor:

Remedial Environmental Manpower

(Co-founded by Patricia Duff; shares space with a Duff-owned company)

Subcontract for Chicago Blue Bag recycling program*

Curtis Storage and Trucking

(Listed president: James Duff)

City payments

Mostly from Departments of Streets and Sanitation and Fleet Management:

\$1.1 million

*Subcontract totals are estimates

Sources: City of Chicago, Metropolitan Pier and Exposition Authority

The Duff family connections

The men of the politically connected Duff family are linked by their roles in organized labor and in family companies.

John Duff Jr., 74

One-time director of Windy City Maintenance

Former executive in liquor union known as Local 3

He has been tied to organized crime and in 1982 he pleaded guilty to federal charges of embezzling union funds

Patricia (Green) Duff, 71

Listed as president and sole owner of Windy City Maintenance

Although Patricia had no experience in the labor field, her company sprang from nowhere to earn approximately \$60 million in city-related work since Daley took office. Despite her title, interviews and court records revealed that her sons actually run the company.

John III, 47

Works for Windy City Maintenance, according to former employees

Secretary/treasurer of Local 3 union

He was paid by another Duff company, Remedial Environmental Manpower. Arrested nine times, once for threatening to kill a police officer, he has never been convicted.

Patrick, 45

Works for Windy City Maintenance, according to former employees

President of Local 3 union

A union official identified Patrick as his contact at Windy City Maintenance. Payroll records show that Patrick made \$254,000 from the firm in 1996.

James, 41

Operates Windy City Maintenance on a day-to-day basis, according to public officials and former employees

Served as unpaid vice president of Local 3 union

He incorporated Windy City Maintenance in May 1989, one month after Daley was sworn into office. He is not listed on the company's organizational chart.

Tribune Investigative Report

Duff: Firms tied to one family have pulled in \$100 million from the city since Daley became mayor.

CONTINUED FROM PAGE 1

defense, but it ended up costing Duff his city post when his supervisors learned he was holding three jobs at once.

Two decades later, Duff was secretly recorded by federal authorities bragging about his friendship with mob bosses Anthony Spilotro and Frank Bucieri. And in 1982, Duff pleaded guilty to federal charges of embezzling union funds in Chicago and Detroit and began serving 17 months in prison.

Duff's sons grew up in Oak Park and have followed their father into business and organized labor. Patrick Duff, 45, is now president of Local 3 of the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union. James Duff, 41, runs the family companies with help from his brothers.

Only John Duff III, 47, a Local 3 executive, has been associated with organized crime like his father. He testified in 1992 that mob boss Ernest Rocco Infelice gave him a break on a gambling debt, and he boasted about his organized-crime ties when he was arrested in Miami Beach in 1993 for threatening to kill a police officer, charges that were later dismissed.

Along with his father, John Duff III was investigated by the FBI for allegedly running an illegal gambling operation in South Florida in 1994 with mobsters, including at least one member of New York's Gambino crime family, according to court records. The investigation fell apart because the lead FBI agent undermined the probe to cover his own gambling problem. The agent was later convicted and sentenced to 5 years in prison; no one else was charged.

Over the years, Duff family members have held at least two fundraisers for Daley, including a February breakfast buffet where the mayor and Patrick Duff went from table to table to greet guests, according to several people at the event.

The Duffs have provided workers on Election Day for Daley-backed candidates, and among the family members, the union and the businesses, the Duffs have contributed about \$32,000 to Daley's campaigns.

For their part, Daley and his aides have quietly turned the Duffs into significant players in the competition for lucrative contracts at City Hall and city-related agencies.

The Duffs, through an attorney, declined to comment.

Daley also declined an interview, but his spokeswoman, Jacquelyn Heard, dismissed claims that Daley steered business to the Duffs or aided their city business in any way.

"There is absolutely nothing to substantiate that claim that this business was gotten at the behest of the mayor," she said. "There are rules that govern the awarding of contracts, and the City of Chicago abides by those."

A new company thrives

Soon after he was elected to office in 1989, Daley allegedly instructed a top staff member, the late Kathy Osterman, to make sure that the Duffs got a share of city work.

"When Kathy became special events director, there were certain people who were recommended to her by Daley that she should do business with," said her widower, Bruce DuMont, president of the Museum of Broadcast Communications. "The Duffs were among the coterie of people she was supposed to do business with."

"My impression from talking to Kathy was that the mayor and Mr. Duff were longtime friends," DuMont said, referring to the eldest Duff.

The Mayor's Office of Special Events handed Windy City Maintenance a no-bid contract in 1990 to clean up after Taste of Chicago, setting the stage for the Duff family to begin profiting from municipal business under the new mayor.

The contract, which the company has held ever since, now covers all the major festivals at Grant Park and the Air and Water Show.

The special events office pays Windy City Maintenance more than \$500,000 a year.

The deal was made possible by a change to an ordinance that had previously allowed the special events director to save time by negotiating contracts directly with entertainers and food vendors without bidding. In 1990, the ordinance was changed to include janitorial contracts too.

Asked why Windy City Maintenance's work should be covered by the ordinance, James Law, who is now executive director of

special events, said: "They've got a proven track record based on performance and reliability. We can't take the risk every year to start with a new maintenance contractor."

In documents filed with the city, Patricia Green is listed as the 100 percent owner and president at Windy City, and her name sits atop the company's organizational chart that is noticeably free of the Duff name, according to documents.

State records, however, show that the company was incorporated by James Duff, a month after Daley was elected mayor. Based on interviews with people familiar with Windy City's operations, the company really is run by James Duff and to a lesser extent his two brothers.

"I have seen Patricia Green twice, and I worked at Windy City from 1990 to 1996," said Kenny Bledsoe, a former supervisor for the company. "I have never spoken to her."

Bledsoe, a plaintiff in a federal class-action lawsuit against Windy City Maintenance, said his bosses were James and Patrick Duff, he also had dealings with John Duff III. The lawsuit, which alleged racial discrimination, was settled last month.

Bledsoe's observation was echoed by James Reilly, former chief executive officer at the Metropolitan Pier and Exposition Authority, the government agency that oversees McCormick Place and Navy Pier. Reilly said he dealt with James Duff at Windy City.

And Rod Bashir, a vice president of Local 1 of the Service Employees International Union, also said he talks to Patrick Duff when there are union matters involving Windy City.

The Duff men have shared in Windy City's profits too. In 1995, according to sources who reviewed payroll records, the company paid Patricia Green Duff \$322,000, her husband \$346,000 and James Duff \$118,000. A year later, Patrick Duff was paid \$254,000 by Windy City Maintenance, the sources said.

Still, Windy City's status as a woman-owned business has allowed the company to cash in on city policies that require city contractors to share a portion of their payday with women and minorities. Under the city's affirmative-action policies, 25 percent of city work is supposed to be awarded to firms owned by women or racial minorities; as a result, city contractors typically hire these firms as subcontractors.

To be certified, a company must be more than half-owned by women or minorities, and they also must run the day-to-day operations of the company. The certifications are reviewed annually.

The city stamp of approval

Windy City Maintenance's application for woman-owned status sailed through the city's approval process in less than two months, even though city officials said the process usually takes three times that long.

Everything seemed to be in order when a city compliance officer stopped by Windy City Maintenance's offices and found Patricia Green "busy on the phone doing sales," according to city records.

But the records also suggest that the visit may not have been a surprise, as is supposed to be the case. The day before, James Duff called city officials to ask what documents he would need to supply when the compliance officer

visited the office, records show.

City officials could not recall whether Windy City Maintenance got advance warning about the visit.

When Tribune reporters visited Windy City Maintenance one morning last week, looking for Patricia Green, a receptionist said she had just stepped out. James Duff was busy in a meeting. And John Duff Jr., who was walking into the office as the reporters left, declined to answer questions.

Windy City Maintenance was certified as a woman-owned business on Oct. 1, 1991, on the same day it began work as a subcontractor at the Harold Washington Library Center.

Because Windy City Maintenance's contract isn't directly with the city and is in the form of

a subcontract, the details of how the firm was selected are not open for public scrutiny. The subcontract, from a private firm hired by the city to manage the library building, pays Windy City Maintenance about \$1.6 million a year, city records show.

Once the company was certified as woman-owned, a competitor wrote a letter to Daley raising questions about Green's ownership.

"I do believe it is my moral obligation to question this particular award on behalf of all minorities since many of us in this case strongly question the woman-owned status of Windy City Maintenance," wrote Angelo Velasquez, then president of A&R Janitorial Service, who is now deceased. "Is the said owner truly involved in the day-to-day operation of this business?"

After Velasquez's letter, city officials called Windy City Maintenance seeking a more detailed résumé from Green, but James Duff, who took the call, explained that she was out of town, records show.

City officials could not explain why they waited until after Windy City's certification was approved to do such routine checkups as requesting Green's résumé and calling a reference listed by the company.

More dubious claims

The minority certification request by Remedial Environmental Manpower, another Duff company, did not go so smoothly.

R.E.M., as the company is known, was formed in 1988 by Patricia Green and William Stratton, now 58, who is African-American. Stratton worked for the Duffs' union as far back as 1978 and has been a director of Windy City Maintenance.

By the time the company applied for its minority certification in 1993, Stratton owned 55 percent of the company, and the other 45 percent was owned by Ellen Niemeier, according to records the company submitted to the city. Niemeier is the maiden name of Ellen Duff, James Duff's wife.

City officials turned down R.E.M.'s initial application, saying in a September 1993 letter that Stratton "appears to be substantially reliant on James Duff," in part because the company shared its employees and office space with Duff-controlled companies, including Windy City Maintenance and Windy City Labor, a day-labor service.

Also, R.E.M.'s references said they had never heard of Stratton and had dealt instead with James Duff or others.

R.E.M. finally won certification in 1994 after Stratton assured the city that he owned the entire company. City officials said they lost documents explaining why the company's minority certification was approved.

But the next year, when R.E.M. sought to renew its minority-owned status, some city officials questioned whether it was really run by Stratton and recommended that its certification be denied. The recommendation, however, was overruled by top Purchasing Department officials.

Troy Ratliff, a deputy purchas-

ing agent for the city, said he recommended that R.E.M.'s certification be approved because the Duffs had moved their businesses to another office, leaving R.E.M. to operate on its own.

But the Tribune found that R.E.M. is hardly independent of the Duffs.

Although Windy City Maintenance moved into a rehabbed building several blocks away from R.E.M.'s office at Lake and Halsted Streets, another Duff company, Windy City Labor, continues to operate from the Halsted Street location.

On a recent Thursday morning, men began trickling into the R.E.M. office about 5 a.m. seeking day jobs from Windy City Labor. One of them said he had sought work there on several occasions and been paid with checks from Windy City Labor.

And R.E.M.'s office is located in a building that is owned or leased by the Duff Family Trust, according to county property records. Payroll records from 1996 show that John Duff III was paid \$73,000 by the company, sources said.

During a recent visit to R.E.M., a man answered the door wearing a shirt with a Windy City Maintenance logo. He declined to comment.

Winning minority certification has been critical to R.E.M.'s success.

In 1993, the company had so little business that a city official declared that R.E.M. "does not appear to be a viable business," according to city records. But in 1995, it was plucked by Waste Management as the main minority subcontractor on the city's huge Blue Bag recycling program.

At the time, Waste Management's chief executive officer was Phillip Rooney, a close friend of Daley's. Waste Management also has hired Windy City Maintenance to clean a waste-transfer facility, and a third Duff-related firm, Curtis Storage and Trucking, to haul garbage from its Goose Island facility.

R.E.M., through its Blue Bag subcontract, has collected more than \$36 million, despite complaints about the working conditions for its employees. R.E.M. employees staged a near riot at one garbage sorting center in 1995 because of a payroll dispute, and the Occupational Safety and Health Administration has fined R.E.M. \$72,458 for inadequately protecting its workers from risks related to syringes in the garbage.

Dan McDonald, who oversees garbage sorting centers in Chicago for Waste Management, said R.E.M. does good work and "looks like and sounds like and acts like a minority-owned company." McDonald said his contact at R.E.M. has been Stratton and another African-American man.

Bidding goes Duffs' way

The success of the companies tied to the Duffs hasn't been confined to City Hall. In 1996, Windy City Maintenance won its biggest public contract, at the Metropolitan Pier and Exposition Authority. Half of the authority's board members are selected by the mayor of Chicago, the other half by the governor.

The contract came after the authority decided to replace its own cleaning staff with a private company, following a trend embraced by Daley to privatize government services as a cost-cutting measure. But authority officials struggle to this day to explain how Windy City Maintenance won the business.

Among the finalists for the contract in April 1996, three submitted bids lower than Windy City's. But the proposals were revised a few days later, after authority officials met separately with each of the finalists and asked for new estimates.

All the new proposals contained higher numbers except for one: Windy City Maintenance. The firm's new bid of \$6.8 million was actually \$1.3 million lower than its original offer, and Windy City was awarded a five-year contract to clean McCormick Place and Navy Pier.

Nevertheless, Windy City Maintenance's first-year bill was a whopping \$10.6 million—nearly \$4 million more than its winning proposal. About a quarter of what Windy City Maintenance gets under the contract goes to minority subcontractors, authority documents show.

Authority spokesman John Torre said some of the extra cost resulted from an unexpected delay in a plan to require exhibitors to clean the floors during trade shows. Windy City Maintenance also was paid for additional landscaping work, he said.

"Ultimately, the bottom line here was cost savings," he said.

As for how Windy City Maintenance won the business in the first place, Torre couldn't explain why the company was able to lower its second proposal while the other finalists had to raise their bids.

Tribune staff writer William Gaines contributed to this report.

159A-CG-110953

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

Pg. 3 Sec. 1

Tribune, Chicago, IL

Date: 7/26/99

Edition:

Title: Family's janitorial ties to Daley ought to be cleaned up

Character:

or

Classification:

Submitting Office: Chicago

Indexing:

① JLB

Family's janitorial ties to Daley ought to be cleaned up

If you want to know how Mayor Richard M. Daley runs Chicago, get a copy of Sunday's Tribune and read the front page.

Everyone who cares about Chicago should read the story by reporters Andrew Martin, Laurie Cohen and Ray Gibson.

Because their story tells you how Chicago works. It comes down to this:

Law-and-order Mayor Daley has given \$100 million of your tax dollars in city contracts to a family loaded with Mafia connections, from Chicago to New York to Florida.

The \$100 million went to the Duff family. The Duffs run Windy City Maintenance and other janitorial businesses that clean government buildings. The businesses are supposed to be run by an elderly grandmother.

But the story shows the bosses of the cleaning business are actually the Duff men, led by their patriarch John Duff Jr. He's Daley's pal.

The Duffs have also bragged about their connections to the Outfit, and bosses like Rocco Infelice and the late Anthony Spilotro and Frank 'Fili' Buccieri, not to mention the late Tony Accardo.

And the Duffs were also recently under federal investigation in Florida, suspected of running a gambling operation with New York's Gambino crime family.

One of the Duff sons allegedly threatened to use Outfit muscle to kill a police officer who arrested him for picking up prostitutes in Florida. He promised to keep the officer alive long enough to see him kill that officer's wife and children one by one.

According to the police officer in his official police report, John Duff III, 47, was angry that the cop put handcuffs on him.

"[Duff] stated that if I did not remove his handcuffs immediately and let him go, he was going to find this officer and my family and kill them one by one while this officer watched,"

according to the police report.

The mayor gave personal orders . . . to make sure the Duffs got to wet their beaks.

"[Duff] stated to officer that I better listen to what he was saying because he has connections with organized crime in Chicago," the report said.

These choice snippets from the officer's report were not included in Sunday's story, but I thought you would want the full flavor of the people who kiss Daley's hand.

159A-CG-110953-4

John Duff III has never been convicted, even though he has been arrested nine times. He wasn't convicted of threatening to kill the police officer either. Instead, he took an anger management class. The case was dismissed, like magic.

And that federal gambling investigation magically collapsed too. It seems the lead FBI agent on the case helped kill the investigation when it turned out he had a gambling problem. The agent went to prison and no one else was charged.

The Duffs must be wizards. In fairness, of the four Duff men, only two have police records. The other two are probably altar boys.

Shortly after Daley got elected a decade ago, the Duffs went into business. The mayor gave personal orders to his people to make sure the Duffs got to wet their beaks.

One official was the late Kathy Osterman, who ran the Department of Special Events under Daley. Her widower, Bruce DuMont, says the mayor personally told her to take care of the Duffs.

"... There were certain people who were recommended to her by Daley that she should do business with," DuMont was quoted as saying. "The Duffs were among the coterie of people she was supposed to do business with. . . . My impression . . . was that the mayor and Mr. Duff were longtime friends."

DuMont is president of the Museum of Broadcast Communications located in the Chicago Cultural Center. He's got a national political talk radio show and is one of the most respected reporters in the country.

Does Daley have the guts to stand up personally and call DuMont a liar? I don't think so.

Because of Daley's help, the Duffs got contracts to clean O'Hare Airport, McCormick Place and to clean up after the Taste of Chicago.

Ooops. I almost forgot other city contracts. Like the one to clean the 911 Center, where all sorts of sensitive law enforcement information is gathered; and other Police Department buildings too. Not bad for a family with mob ties.

As political pals, the Duffs throw fundraisers for Daley, and he attends their parties—including one campaign brunch where Daley put his arm around one of the Duffs with a clean police record, and walked from table to table, saying hello to his supporters.

The table walk was Daley's message. And everybody in Chicago politics got it. I asked some political players how long it took to send that message across the state.

"How long does it take to pick up a cell phone?" said one guy who understands the connections between the Outfit and Chicago politics. "Daley was waving his flag, saying, 'These are my guys.' Everybody got it."

This week, we'll see if Daley plays dumb. We'll also see how other news organizations in town react to the story. We'll see who wants to be the mayor's puppy.

Daley is the former state's attorney who brags about his intimate knowledge of organized crime figures and Outfit ways. His friends sure do have intimate knowledge, too.

And it only cost you \$100 million.

(Mount Clipping in Space Below)

Duffs' liquor concerns a mismatch

By Laurie Cohen, Ray Gibson
and Andrew Martin
TRIBUNE STAFF WRITERS

The politically influential Duff family, at the center of a controversy involving \$100 million in city-related contracts, runs a day-labor company that allegedly undermines members of the

union the family also controls.

Through Windy City Labor Service, the Duffs have allegedly been supplying nonunion workers to liquor distributors even though the family also runs the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union Local 3.

In recent interviews, homeless men and other laborers have told the Tribune that they were hired by Windy City to work in the liquor industry. For instance, James McCrae said two years ago he was unemployed and

homeless when Windy City Labor sent him to one of the city's main liquor distributors, where he helped load alcohol onto trucks for delivery to stores, among other tasks. "We're basically muscle," McCrae said.

And union officials representing truck drivers who deliver the liquor to stores said in interviews that Windy City laborers have been replacing virtually all of the Local 3 union workers who loaded trucks at Pacific Wine & Spirits in Chicago, one of the city's major distributors of

alcoholic beverages.

Former employees and industry insiders said the jobs provided by the temporary workers represent a conflict of interest for the Duff family, since day laborers are doing some of the same jobs normally handled by members of the union the Duffs control.

Legal experts said the liquor distributors' use of temporary workers could be a violation of national labor laws if Local 3's contracts require that only full-time union-represented workers

be employed. Past contracts, dating back to the early 1980s have included such provisions, but the current contracts are not available for public review.

The Duff family, through its attorney, declined to comment, and an attorney for Local 3 didn't return phone calls. The union had 1,387 members at the end of 1997, the most recent figures available.

The Tribune revealed Sunday that companies tied to the Duffs,

including Windy City Maintenance, have made about \$100 million from city-related business in the decade since Mayor Richard M. Daley has been in office. The family, which includes members who have been tied to organized crime, has raised money for the mayor's campaigns and has provided workers to campaign for Daley and Daley-backed candidates.

The Duffs' dealings with City Hall illustrate how the family has used political clout to further its business interests over the last decade, but the family can trace

(Indicate page, name of
Newspaper, city and state)
Pg. 1 Sec. 2
Tribune
Chicago, IL

Date: 7/30/99
Edition: Chicagoland North

Title: Duffs' liquor concerns
a mismatch

Character:
or
Classification:
Submitting Office: Chicago

Indexing:

Ⓢ JLB

its power in organized labor back at least 40 years.

In 1960, John Duff Jr. was an official for the Retail Liquor Salesmen Local 162 when he testified on behalf of then mob-boss Anthony "Big Tuna" Accardo when Accardo was on trial.

Duff later became a vice president of the Allied Distillery and Wine Workers International Union and an official of the union's Chicago affiliate, Local 3.

In 1982, Duff, 74, began serving 17 months in prison for embezzling union funds. His sons have run the union ever since.

Patrick Duff is Local 3's \$99,500-a-year president, and John Duff III is the \$97,000-a-year secretary/treasurer, according to federal Labor Department records from 1998, the most recent year available. Duff's third son, James, a former unpaid union vice president, was paid a total of \$107,500 as an employee of one of the union's pension funds in 1995 and 1996, federal records show.

John Duff III is facing internal union charges that he was a ghost payroller at the Hotel Employees and Restaurant Employees International Union. Following the charge, he resigned as an employee of the union, where he was paid \$35,000 a year from 1990 to 1996.

A federal monitor hired to fer-

FBI/DOJ

159A CG-110953-5

ret out union corruption said in a report last year that Duff "performed no meaningful service for the (union) for a substantial period of his employment" and that Duff "knowingly associated with" associates of organized crime.

Federal authorities are investigating whether John Duff III's alleged ghost payrolling represented an embezzlement of hotel union funds, according to federal sources.

The alleged conflict between the Duffs' business and Local 3 was detailed as far back as 1990, in a federal lawsuit filed by James Williams, a former employee at Romano Brothers Beverage and onetime member of Local 3.

"(The union leaders) were in bed together with the company, saving the company a tremendous amount of money in insurance and overtime wages," Williams said in a recent interview.

The lawsuit also questioned the role of Windy City Labor's president, Patricia Green, who is married to John Duff Jr. The lawsuit alleged that Green used her maiden name to hide the interests of her sons, Patrick and John III, who allegedly ran the company.

Williams alleged in his lawsuit that the union failed to adequately represent him in a grievance against Romano Brothers, citing the Duffs' business dealings with Romano as an example of an ongoing conflict of interest. A federal judge dismissed the case, ruling that the alleged conflict had no bearing on his dispute with the company.

Told about the Duffs' business and union dealings, Mark Spognardi, an attorney at McBride Baker & Coles specializing in labor law, said, "If Windy City is controlled by union officials, it's doing the exact opposite of what good unionism is. It would be like a doctor selling cigarettes."

Officials at Judge & Dolph, Romano Brothers and Pacific Wine and Spirits didn't return calls. An official at Union Beverage declined to comment.

(Mount Clipping in Space Below)

John Kass



Mayor in sand trap over long-planned Duff-Daley golf outing

Hey Chicago, grab your golf bags. There's a big party coming up. And you won't want to miss it.

The Men of the Year labor union golf outing is scheduled for Sept. 10 at the Bloomingdale Golf Club. It will honor two of Chicago's prominent families—who now pretend they don't know each other.

The Daleys and the Duffs.

Daley spent all last week screeching that he doesn't know the Duffs. Now, it turns out, this party has been planned for weeks.

I've never golfed in my life, but I'm going. I've got an invitation, and the event has been confirmed by the golf club and sponsoring unions.

Guess who the Men of the Year are this year?

Mayor Richard Daley's little brother, John Daley, the Cook County Commissioner. And Pat Duff, president of Distillery Workers Local No. 3.

Just imagine John Daley, the son-in-law of the late Loop gambling boss Louie Briatta, standing next to Pat Duff, whose family members boast of ties to mobsters like Rocco Infelice, the late Fifi Buccieri and the extremely late Big Tuna.

I sure hope they're joking about those "shot-gun tee-offs."

"Oh God, you know about the Duff-Daley golf outing?" a top labor guy asked me while the mayor was denying he knows the Duffs. "Great timing, isn't it?"

I asked whether union bosses would dare plan the event honoring John Daley and Pat Duff without first getting approval from Little Big Man himself.

"Of course not. But this was before that stuff about the Duffs and the mayor hit in your paper," the labor official said. "If they go ahead with it, it'll look stupid. If they cancel it, they'll look like they're hiding something. You're enjoying this, right?"

Me? Oh, no.

Mayor Daley should show up to support his brother. And U.S. Commerce Secretary William Daley, who also knows the Duffs, should play too.

Daley made sure his pals the Duffs wet their beaks with \$100 million of your money in kinky city contracts that were given to phony minority front companies. Now the mayor says he doesn't know them.

"I don't know them personally. I don't," Daley said as he laughed off the Tribune investigation during a radio interview. "I know a lot of people as mayor, just walking into this room, I met five, six people."

Hey, Rich, you might want to change your story.

The Daley-Duff golf outing is being put on by the Greater Chicago and Vicinity Port Council Maritime Trades. The organization is run by John Phelan, also the boss of the building engineers. I called Phelan's office to ask if I could sponsor a few holes.

"That would be nice," said a woman on the phone. "We'll have somebody call you on Monday morning. What's your name again?"

While we're waiting for the call, why don't we dream up sponsorships just for fantasy's sake—to make the Daley-Duff golf outing a memorable event.

We can name the first hole after mob boss Joey "The Clown" Lombardo and hire a few real clowns to make with the jokes. Christy "The Nose" Spina—the city's Department of Tony foreman and the Clown's chauffeur—can hand out fake Cuban cigars, like he did at his wedding.

And what about another hole, "The Grzyb Grabber" for the guy who handles all the city contracts, City Purchasing Agent Al Grzyb. He can stand there with his good friend, former Streets and San boss Eileen Carey.

(Indicate page, name of
Newspaper, city and state)
Pg. 3 Sec. 1
Tribune
Chicago, IL

Date: 8/2/99
Edition: Chicagoland North

Title: Mayor in sand trap over
long-planned Duff Daley
golf outing

Character:
or

Classification:
Submitting Office: Chicago

Indexing:

⊗ JAB

FBI/DOJ

159A-UG-110953-6

If you get a hole in one, Grzyb will deliver a smashed-up black city Caprice to your door by 7 a.m. the next day. But the contract for the city car will disappear, just like the Duffs' contracts.

Lawyer Sam Banks and his brother, Ald. William Banks (36th), could host another hole, serving prosciutto and provolone sandwiches. But don't worry about leaving a mess on the putting green. Sam will have a dozen judges on their hands and knees, picking up the crumbs.

Another hole could be "The Bill Hanhardt Hole," for the former chief of detectives now under investigation by the feds. If you keep expensive jewelry in your golf bags, your stuff will disappear. Later, you can ask the clowns about your missing diamonds.

No big golf outing would be complete without a few hours of big gambling after the big dinner.

Imagine Department of Tony deputy commissioner Nello Sabatini, mayoral counselor Tim Degnan, James "Skinny" Sheahan—brother of Cook County Sheriff Mike Sheahan—and Tony Pucillo himself running the card tables and dice games for us.

You might even hear Al Grzyb or trucking boss Mike Tadin shout, "Baby needs a new pair of shoes." If you're lucky, you can haul your winnings home in a Waste Management Blue Bag.

For further information on the golf outing, call the Maritime Trades at 312-372-1466. But hurry; you've only got until Aug. 15 to make reservations. Don't forget your cameras.

The worst that could happen is they get shy and cancel. But mayoral strategist Jeremiah Joyce could reschedule the event in discreet O'Brien, Mich.—a quiet town known to most people as New Buffalo.

Fore!

(Mount Clipping in Space Below)

John Kass



Mayor huffs and bluffs over guff about Duffs

To all you duffers out there, I've got some sad news to report about some high-profile political "links."

Mayor Richard Daley said Wednesday that he will not, repeat, will not attend the big upcoming labor union golf outing to honor the Daleys and the Duffs.

We were hoping he'd show up and put his arm around the Duffs' shoulders—just as he does at the Duffs' wild Christmas parties at the Como Inn and at fundraisers.

Now he hardly knows them. The Duffs are mere acquaintances who just happened to get \$100 million in city contracts that were supposed to go to minorities and women—not clout-heavy white guys.

"I only go to one golf outing, the Valentine Boys and Girls Club. That's all I go to, one a year," Daley told reporters, saying he wasn't attending the Duff-Daley outing. "I get invited to 300 golf outings a year. . . . I don't go. I bike ride on Mondays. I don't go to golf outings. I stopped it a number of years ago—about 6 or 7 years ago. I bike on Mondays. I go to one (golf outing). I go every year. This year I am playing with my daughter. I think she will beat me, too."

He said he can't attend because he's biking on Mondays. But the Daley-Duff golf outing is on Friday Sept. 10. Mayor, please get a new calendar. The mayor's brother, Cook County Commissioner John Daley, and liquor workers union boss Pat Duff are the honorees at the union bash at the Bloomingdale Golf Club.

As for that Valentine Boys and Girls Club golf outing? It's sponsored by Mike Tadin, the Marina Cartage trucking boss who gets millions and millions from the city and gave former Ald. Patrick Huels (11th) that \$1.2 million "loan."

Tadin is another close friend of the mayor's who's gorged himself at the public trough.

Daley was also aggravated Wednesday by questions on the

wiseguy issue. Some of the Duffs have clean police records. But others boast of their friendship to organized crime bosses.

Reporters were asking the usual question:

How could he give \$100 million in city business to people who have ties to big-time Mafia chiefs?

"Don't ask me," he said. "I am the mayor of the city of Chicago."

He was truly edgy about the golf outing. So he mentioned Misericordia Heart of Mercy, which is supposed to get a donation after expenses from the Daley-Duff golf outing.

Misericordia is a great organization that helps developmentally disabled children and adults. The group is always in need of money to help people. But the mayor used those children as a political shield to cover his alliance with the Duffs.

"My brother is very honored and pleased that the proceeds go to the Misericordia. Misericordia is a home for children and adults who are retarded. . . . If you don't like that, so what. . . . What's wrong with that?" he asked.

In other words, you question the golf outing, you question the children at Misericordia. What a disgustingly cheap political trick.

The Daley-Duff golf tickets, including lunch, cost \$55. The invitation says any "profits" will go to Misericordia, after expenses. And the unions and golf club declined to return repeated phone calls about expenses.

"Golf costs about \$40 per person, not including 8 bucks for lunch and prizes," said a friend who understands fundraisers and golf outings. "So what will Misericordia get? 19 cents?"

(Indicate page, name of
Newspaper, city and state)
Pg. 3 Sec. 1
Tribune
Chicago, IL

Date: 8/5/99
Edition: Chicagoland North

Title: Mayor huffs and bluffs
over guff about Duffs

Character:
or
Classification:
Submitting Office: Chicago

Indexing:

⊗ SOB

Now that the mayor decided to use Misericordia to excuse the Daley-Duff golf fest, you should know what his City Hall did to Misericordia a few weeks ago.

Daley's Law Department made a ruling that cost the children at Misericordia some real charity money—in the \$100,000 range.

City Hall lawyers stopped a decades-long tradition at city firehouses. It was a great tradition to help disabled kids. And you didn't need golf clubs or political connections or wiseguy friends.

All you needed was a heart.

Once a year, firefighters would fan out in the neighborhoods and hold a "fill the hat" day for Misericordia. They'd also hold a "fill the boot" day for the Muscular Dystrophy Association.

Last August, Chicago firefighters raised \$76,000 for the MDA in an afternoon. They raised a similar amount for Misericordia too. No more.

"This is the closest thing to a job action our guys will come to," said a furious Bill Kugelman, president of the Chicago Fire Fighters Union. "The city doesn't care about disabled kids, but your average Chicago firefighter does."

So City Hall doesn't want firefighters in a charity drive. But it's OK to run a \$100 million charity for the Duffs.

And Daley thinks it's OK to use Misericordia as a public relations cover for his political associations with the Duffs.

If you'd like to donate to Misericordia—without honoring City Hall's kinky politics—you can send a check to Misericordia Heart of Mercy, 6300 N. Ridge Ave., Chicago, IL, 60660.

The kids at Misericordia don't care if you have clout.

M/
NL01AIGQK
ILFBICG00

REQ/SA OC1

NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX
(III) FOR NAM/DUFF, JOHN. SEX/M. RAC/U. DOB/19250627. PUR/C.
NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE
NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS
DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.
END

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b7C

M/
NL01AIGQL
ILFBICG00

REQ/SA OC1

NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX
(III) FOR NAM/DUFF, JOHN. SEX/M. RAC/U. DOB/19250627. SOC/345147471. PUR/C.
NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE
NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS
DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.
END

Record Request
FD-125 (Rev. 7-6-90)

Date 9/7/99

Birth Credit Criminal Death INS Marriage* Motor Vehicle Other _____

To	Buded
NOTE	
Return to	File number
<u>DCI x2829</u>	<u>159ACG-110953</u>

b6
b7c

Name and aliases of subject, applicant, or employee, and spouse

JOHN "Jack" Duff Jr.

Addresses

Residence 3240 N. Lakeshore Dr. Apt 8A

Business _____

Former _____

*Date and place of marriage
(if applicable) _____

Race	Sex	Age	Height	Weight	Hair	Eyes
<u>W</u>	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	<u>74</u>				
Birth date		Birthplace				
<u>6/27/25</u>						
Arrest Number		Fingerprint classification		Criminal specialty		
Social Security Number				Drivers License Number		
<u>345-14-7471</u>						

Specific information desired

CCH, 10-28 & 10-29

Results of check

NO VEHICLES FOUND

CCH. NEGATIVE

9/7/99

GO

159A-CG-110953-9

SOS 090799 1050

DL/IP STA/VALID
TDL/TIP STA/SEE ILOLNHELP
CDL/CIP STA/SEE ILOLNHELP
SCHLBUS STA/NOT A SCHOOL BUS DRIVER (SEE ILOLNHELP)

DUFF JOHN F
3240 N LAKE SHORE DRV CHICAGO 60657
SEX/M DOB/062725 HGT/5'11" WGT/195 HAI/BRO EYE/BLU
DLN/D100-4662-5182 DLC/D* OLT/ORIG EXP/06272000 ISS/05061996
RES-PID CLASS/NONE
NO STOPS IN EFFECT
NO CONV LAST 12 MO
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CHF 090799 1050

AIG NO REC LEADS NAM/DUFF, JOHN F SEX/M
DOB/062725 DLN/D10046625182

1L01 NCIC RESPONSE
ILFBIC00

NO NCIC WANT DLN/D10046625182
NO NCIC WANT NAM/DUFF, JOHN F DOB/19250627 SEX/M

LU. Z2..... DUFF, JOHN. M. U. 062725.....

LZW2. AIG 99.250 10.38.56 NAM/DUFF, JOHN. SEX/M. RAC/U. DOB/062725.

CHF 090799 1038

AIG NO REC LEADS NAM/DUFF, JOHN SEX/M
DOB/062725

CHF 090799 1038

SOS5 10-27 SLOW

1L01 NCIC RESPONSE
ILFBICG00

NO NCIC WANT NAM/DUFF, JOHN DOB/19250627 SEX/M

SECRETARY OF STATE (SOS) SOUNDINDEX INQUIRY (FSNDX)

ILZX2. ~NAM/~[DUFF, JOHN _____]~/] COD/~[___]~/, ,]SNDX/[____]

~/,] ADR/~[_____] NOTE: USE ONE OF THE FOLLOWING TO
MAKE AN INQUIRY.

~PNO/~[_____]I.]

NAM/		OR
NAM/	& COD/	OR
NAM/	& SNDX/	OR
NAM/	& ADR/	OR
PNO/		

~[

OS 090799 1039

NAME SEARCH RESPONSE
BEGIN PNO/00330191

NAME SEARCH KEY/DUFF
END PNO/00330192

JOHN

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b7c



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b7C

20 DUFF, JOHN F CHICAGO 3240 N LAKE SHORE D10046625182
21 DUFF, JOHN F CHICAGO 3240 N LAKE SHORE D10046652018

LU.Z2.....D10046625182.....

LZW2.AIG 99.250 10.41.04 DLN/D10046625182.

CHF 090799 1041

SOS5 10-27 SLOW

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NAM/DUFF, JOHN . SEX/M. RAC/U. DOB/062725.

199909071042
ILFBICG00 OPR/GO PUR/C REQ/SA [] OC1
NAM/DUFF, JOHN SEX/M RAC/U DOB/19250627

3ND RECORD ON CCH FILE

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CHF 090799 1042

AIG NO REC LEADS NAM/DUFF, JOHN SEX/M
DOB/062725

1L01 NCIC RESPONSE
ILFBICG00

NO NCIC WANT NAM/DUFF, JOHN DOB/19250627 SEX/M

CQH. ILFBICG00. OPR/GO. PUR/C. REQ/SA [] OC1. !
NAM/DUFF, JOHN . SEX/M. RAC/U. DOB/062725. SOC/345147471.

199909071042

ILFBICG00 'DAR/GO PUR/C REQ/SA DC1

NAM/DUFF, JOHN

SEX/M RAC/U DOB/19250627

SOC/345147471

b6
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3ND RECORD ON CCH FILE

CHF 090799 1042

AIG NO REC LEADS NAM/DUFF, JOHN SEX/M

DOB/062725 SOC/345147471

1L01 NCIC RESPONSE

ILFBICG00

NO NCIC WANT SOC/345147471

NO NCIC WANT NAM/DUFF, JOHN DOB/19250627 SEX/M

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/20/1999

To: Chicago

Attn: [Redacted]

Evidence Control Center

From: Chicago

OC 1

Contact: SA [Redacted] ext 2829

Approved By: [Redacted]

Drafted By: [Redacted] tdb

Case ID #: 159A-CG-110953 (Pending)
159A-CG-107046 (Closed)

Title: [Redacted] AKA;
ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN
OO: CHICAGO

[Redacted]
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OO:CHICAGO

Synopsis: To request 159A-CG-107046, 1B1 be transferred to 159A-CG-110953.

Details: It is requested that the evidence maintained as 159A-CG-107046, 1B1, barcode number E1384090, be transferred to 159A-CG-110953 and maintained in bulky evidence.

◆◆

*Transfer
10/27/99
RCT
159-110953-1 B1
Shelf 27A*

b6
b7c

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/25/1999

To: Chicago

Attn: OC-1 SA [redacted]

From: Chicago

Squad OC-1

Contact: SSA [redacted] X 2813

Approved By: [redacted]

Drafted By: [redacted] bej

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]

ET AL;
dba WINDY CITY MAINTENANCE;
WINDY CITY LABOR;
REMEDIAL ENVIRONMENTAL
MANPOWER (R.E.M.);
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;

Synopsis: Provide a summary of investigative leads collected from two newspaper articles appearing in the Chicago Tribune respectively on 7/25/99 and 7/30/99, regarding subjects in this matter.

Reference: 159A-CG-110953 Serial 3
159A-CG-110953 Serial 5

Details: Referenced serials are copies of the newspaper articles summarized in this electronic communication.

Discussion: These articles allege an inappropriate relationship between the administration of the Mayor of the City of Chicago, Richard Daley and John Duff, Jr. [redacted]

[redacted] Matters detailed in these investigative reports point to seven (7) separate incidents of possible violation of law and are identified as follows:

1. 1994 Illegal Gambling Business (IGB) in Southern Florida

[redacted]

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298 Tim B 01. EC

159A-CG-110953-15

To: Chicago From: Chicago
Re: 159A-CG-110953, 10/25/1999

[REDACTED]

Violations of Law

Illegal Gambling Business, Title 18, United States Code, Section 1955.

2. Contract Fraud Accomplished Through The Fraudulent Certification of Windy City Maintenance Company As A "Minority Owned Business."

The article alleges that John Duff, Jr., age 74 is

[REDACTED]

[REDACTED] This fraud took advantage of Chicago affirmative action policies which require contractors to share a portion of their city work with firms owned exclusively by women or racial minorities. The article stated that Windy City Maintenance takes in approximately \$13,000,000.00 in city contracts per anum and has had a relationship with the city since 1990. Also, the article alleges that sources have advised the Chicago Tribune that in 1995 [REDACTED]

[REDACTED] received \$346,000.00 [REDACTED] The article also quotes various sources as stating [REDACTED] has very little to do with the business, but that the business is actually run by [REDACTED]

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Violations of Law

Mail Fraud, Title 18, United States Code, Section 1341; Wire Fraud, Title 18, United States Code, Section 1343 and possible Program Fraud.

3. Bribery

The authors allege that members of the Duff family have contributed about \$32,000.00 to Daley campaigns, while also providing workers for election day activities for Daley backed candidates. The purpose of these payments and services were to curry the favor of the Mayor and receive city contracts. To that end, [REDACTED] a deceased member of the Daley staff, Kathy Osterman. [REDACTED] had been told by the Mayor that the city was to do business with the Duffs as the Duffs and the Mayor were longtime friends.

To: Chicago From: Chicago
Re: 159A-CG-110953, 10/25/1999

Also used as an example in the article was the receipt by Windy City Maintenance of a no bid contract in 1990 to clean up after the Taste of Chicago. This contract has been continually reestablished over the years and represents more than a half of million dollars a year in income to Windy City Maintenance.

Violations of Law

Illinois State Bribery.

4. 1996 Bid Rigging On Behalf of Remedial Environmental Manpower (R.E.M.)

R.E.M. is alleged to be a company run by the Duff family though [REDACTED]

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[REDACTED] Waste Management Incorporated subcontracted R.E.M. to collect blue bags in the City of Chicago. The article alleged this subcontract was received in part because of a close relationship that the Duffs have with the Mayor as well as officials of Waste Management who also have a close relationship with the Mayor. Furthermore, the subcontract was provided to R.E.M. because of their minority owned status that is alleged to be suspect due to the close relationship of the Duff family to the alleged owners.

Violations of Law

Mail Fraud, Title 18, United States Code, Section 1341; Wire Fraud, Title 18, United States Code, Section 1343 and possible Program Fraud.

5. 1996 Bid Rigging Involving Windy City Maintenance

In 1996, Windy City Maintenance bid with three other janitorial companies in order to receive a five year contract to clean McCormick Place and Navy Pier. The circumstances of the awarding of this contract were extremely suspicious and indicated possible fraud.

Violations of Law

Mail Fraud, Title 18, United States Code, Section 1341; Wire Fraud, Title 18, United States Code, Section 1343 and Possible Program Fraud.

To: Chicago From: Chicago
Re: 159A-CG-110953, 10/25/1999

6. Union Violations

[REDACTED]
[REDACTED]
[REDACTED] The articles allege a conflict of interest where a company operated by the Duffs called Windy City Labor Service, regularly provides daily, non-union day laborers to companies that have contractual agreements with Local 3 to supply union workers. The company's named are Romano Brothers Beverage, Pacific Wine and Spirits and Union Beverage.

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Violations of Law

Possible Kickbacks, Title 18, United States Code, Section 1954; Interference of Rights of a Union Member, Title 29, United States Code, Section 1141.

7. Ghost Payrolling

In the article it is alleged that [REDACTED]
[REDACTED]
[REDACTED]

[REDACTED] A Federal Monitor has conducted investigations in this matter.

Violations of law

Embezzlement, Title 18, United States Code, Section 664.

◆◆

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/19/1999

The Source who is not in a position to testify provided the following information:

The Source advised that [redacted]

[redacted] got [redacted] a contract with [redacted] was indicted on kickback charges for providing [redacted]

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b7D

[redacted] know as [redacted] is a close friend of [redacted] used to be close to [redacted] owns [redacted] and co-owns [redacted] with FNU [redacted] also owns [redacted] which has a collective bargaining agreement with [redacted]

The Source advised that a company named [redacted] which is owned by FNU [redacted] does all the planning and provides the services for [redacted]

When [redacted] went into trusteeship, [redacted] home telephone number is [redacted] After [redacted] came out of trusteeship, [redacted]

During elections for the DISTILLERY WORKER'S UNION, LOCAL 3, [redacted] would have members of [redacted] go to LOCAL 3's Union Hall to vote for [redacted] DUFF family members. The Source advised that [redacted] members could do this because the votes were by voice, ayes and naves, not by written ballot.

A [redacted] either called [redacted] provided [redacted] Executive Board members and Stewards of [redacted] as well as

159A-CG-110953-10

de-1

Investigation on 09/24, 10/14/99 at Chicago, Illinois

File # [redacted] 159B-CG-102308

Date dictated 10/19/1999

by SA [redacted] tdb

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b6
b7C
b7D

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/06/1999

To: Chicago

From: Chicago

OC 1

Contact: SA [redacted] Ext 2829

Approved By: [redacted] *[Signature]*

Drafted By: [redacted] tdb *[Signature]*

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]
ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OO: CHICAGO

b6
b7C

Synopsis: Case overview and investigative plan for above captioned case.

Reference: 159A-CG-110953 Serial 3
159A-CG-110953 Serial 5
159A-CG-110953 Serial 15

Details: The above captioned case was predicated from newspaper articles which appeared in the Chicago Tribune. These articles alleged a conflict of interest existed where Windy City Labor Service, a company owned/operated by the Duff's, regularly provided daily, non-union day laborers to companies that had collective bargaining agreements with Local 3 to supply union workers. The company's named were Romano Brothers Beverage, Pacific Wine and Spirits, and Union Beverage.

On paper, Windy City Labor Service (WCLS) is owned by [redacted] The Tribune articles, however, allege that [redacted] really run the daily operations of WCLS. [redacted]

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b7C

Violation of Law

Possible Kickbacks, Title 18 United States Code, Section 1954; Interference of Rights of a Union Member, Title 29, United States Code, Section 1141.

343tdbo1.ec

159A-CG-110953

-17

To: Chicago From: Chicago
Re: 159A-CG-110953, 12/06/1999

Summary of Evidence

To date no subpoena's have been served regarding the investigation.

Proving Case

In order to prove the allegations of the above captioned case, the following companies/organizations need to be subpoenaed:

1. Local 3's collective bargaining agreement with Romano Brothers Beverage, Pacific Wine and Spirits, and Union Beverage for the period 1996 to the present.
2. List of day laborers provided by Windy City Labor Service to Romano Brothers Beverage, Pacific Wine and Spirits, and Union Beverage for the period 1996 to the present.
3. Contracts between Romano Brothers Beverage, Pacific Wine and Spirits, Union Beverage and Windy City Labor Service.

Also, interviews of [redacted] and others not yet identified who were employed by Local 3 as well as day laborers who worked for Windy City Labor Service should be conducted. Identify [redacted] and interview those individuals.

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There were several other allegations mentioned in the above captioned articles that warrant further investigation.

The article alleged [redacted] has been represented to the city of Chicago as [redacted] of Windy City Maintenance (WCM), when in actuality, the company was [redacted]. This fraud took advantage of Chicago's affirmative action policies which require contractors to share a portion of their city work with firms owned exclusively by women or racial minorities. The article stated that WCM takes in approximately \$13,000,000.00 in city contracts per annum and has had a relationship with the city since 1990. Also, the article alleges that sources have advised the Chicago Tribune that in 1995, [redacted] was paid a salary [redacted] received \$346,000.00 and [redacted]. A year later, [redacted]. The article also quotes various sources as stating [redacted] has very little to do with the business, and that the business is actually run by [redacted].

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To: Chicago From: Chicago
Re: 159A-CG-110953, 12/06/1999

Violations of Law

Mail Fraud, Title 18 United States Code, Section 1341;
Wire Fraud, Title 18, United States Code, Section 1343; and
possible Program Fraud.

Summary of Evidence

To date, no subpoena's have been served regarding the
investigation.

Proving Case

In order to prove the allegations of the above
captioned case, the following companies/organizations need to be
supoenaed:

1. Corporate records of WCM listing corporate
officers.
2. City of Chicago for contracts awarded to
WCM for the period 1994-present.
3. WCM for list of employees from 1994 to present.
4. [REDACTED] WCM employee records.
5. [REDACTED] WCM employee records and
Local 3 records.
6. [REDACTED] WCM employee records and Local 3
records.
7. Local 3 constitution, by-laws, and amendments.

Identify current and former employees of WCM to
interview. Also, interview [REDACTED]
[REDACTED]

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The article alleges that in 1996, Remedial
Environmental Manpower (R.E.M.) participated in a bid rigging
scheme to be awarded a city contract. R.E.M. is alleged to be a
company run by the Duff family though [REDACTED]
[REDACTED]

Waste Management Incorporated subcontracted R.E.M. to collect
blue bags in the City of Chicago. The article alleged this
subcontract was received in part because of a close relationship
that the Duffs have with the Mayor as well as officials of Waste
Management who also have a close relationship with the Mayor.
Furthermore, the subcontract was provided to R.E.M. because of

To: Chicago From: Chicago
Re: 159A-CG-110953, 12/06/1999

their minority owned status that is alleged to be suspect due to the close relationship of the Duff family to the alleged owners.

Violations of Law

Mail Fraud, Title 18, United States Code, Section 1341;
Wire Fraud, Title 18, United States Code, Section 1343 and
possible Program Fraud.

Summary of Evidence

To date, no subpoena's have been served regarding the investigation.

Proving Case

1. R.E.M. contracts with Waste Management Inc.
2. Waste Management Inc. for bids submitted by R.E.M. for the Blue Bag subcontract in 1995.
3. R.E.M. for corporate officers and list of employees for 1993-1995.
4. Waste Management Inc. for list of corporate officers for 1993-1995.

Interview R.E.M. employees, [REDACTED]

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In 1996, Windy City Maintenance bid with three other janitorial companies in order to receive a five year contract to clean McCormick Place and Navy Pier. The circumstances of the awarding of this contract were extremely suspicious and indicated possible fraud.

Violations of Law

Mail Fraud, Title 18, United States Code, Section 1341;
Wire Fraud, Title 18, United States Code, Section 1343 and
Possible Program Fraud.

Summary of Evidence

To date, no subpoena's have been served regarding the investigation.

To: Chicago From Chicago
Re: 159A-CG-110953, 12/06/1999

Proving Case

In order to prove the allegations of the above captioned case, the following companies/organizations need to be subpoenaed:

1. Metropolitan Pier and Exposition Authority for bids provided by WCM for the Navy Pier and McCormick Place contract.
2. WCM bids provided to Metropolitan Pier and Exposition Authority for the Navy Pier and McCormick Place contract.
3. Metropolitan Pier and Exposition Authority contracts awarded to WCM.

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Interview employees at Metropolitan Pier and Exposition Authority, identify and interview owners of [redacted]

In the article it is alleged that [redacted]

[redacted] A Federal Monitor has conducted investigations in this matter.

Violations of law

Embezzlement, Title 18, United States Code, Section 664.

Summary of Evidence

To date, no subpoena's have been served regarding the investigation.

Proving Case

In order to prove the above captioned case, [redacted]

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(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/20/1999

To: Chicago

Attn: [REDACTED]

From: Butte ITC

Investigative Information Services Center (IISC)

Contact: [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 159A-CG-110953

Title: ROMANO BROTHERS BEVERAGE CORPORATION - IISC #001808
REMEDIAL ENVIRONMENTAL MANPOWER - IISC #001809
METROPOLITAN PIER & EXPOSITION AUTHORITY - IISC #001810
WINDY CITY MAINTENANCE - IISC #001811
WINDY CITY LABOR SERVICES - IISC #001812
WASTE MANAGEMENT - IISC #001813
PACIFIC WINE & SPIRITS COMPANY - IISC #001814
UNION BEVERAGE COMPANY - IISC #001815

Synopsis: Results of database searches conducted by IISC.

Enclosures: Attached are copies of printouts setting forth results of inquiries conducted by IISC and a Reply Form.

Details: Romano Brothers Beverage Corporation - A phone number of 773-376-9500 is listed. An address of 7575 South Kostner Avenue, Chicago, Illinois, is listed. [REDACTED]

Remedial Environmental Manpower - [REDACTED]

[REDACTED] The address and phone number shown is 178 North Halsted Street, Chicago, Illinois, (312)829-2919. Financial information is listed.

Metropolitan Pier & Exposition Authority - Phone number 312-595-7437 is associated with Navy Pier, Grand Avenue and Lakeshore, Brookfield, Illinois. A listing for Metropolitan Pier and Exposition was found. The address shown is 2301 South Lake Shore Drive, Chicago, Illinois. A phone number of 312-791-7500 is shown. Officer information is not listed.

Windy City Maintenance - Many various address were found for the company in Chicago, Illinois. Officer information is shown as well as phone number information. The listing for 178 North Halsted Street, Chicago, Illinois, shows [REDACTED] [REDACTED] Phone number 312-421-5537 is shown.

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159A-CG-110953-18

To: Chicago From: Butte ITC
Re: 159A-CG-110953, 12/20/1999

Windy City Labor Maintenance - A listing was found showing the address of 174-78 North Halsted Street, Chicago, Illinois, (312)421-5537. [REDACTED]

[REDACTED] Financial information is listed.

Waste Management - A listing was found for Waste Management of Indiana L.L.C., +Superior Waste Systems, +Waste Management of Indianapolis, +Waste Management of Fort Wayne, +Waste Management of Muncie, 3003 Butterfield Road, Oak Brook, Illinois, (630)572-8800. [REDACTED]

[REDACTED] Additional officer information is listed. Financial information is shown. Various associated companies were also shown.

Pacific Wine and Spirits Company - [REDACTED]

[REDACTED] Additional officer information is shown. The listing is shown as Pacific Wine Company (Inc) (Subsidiary of International Products Corporation, Chicago, Illinois, 2701 South Western Avenue, Chicago, Illinois, (773-247-8000. Financial information is shown.

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Union Beverage Company - [REDACTED]

[REDACTED] The listing is shown as NWS, Incorporated, Union Beverage Company, +Chicago Wine Merchants, Consolidated Distilled Products, 2600 West 35TH Street, Chicago, Illinois, (773)254-9000.

To: Chicago From: Butte ITC
Re: 159A-CG-110953, 12/20/1999

LEAD (s):

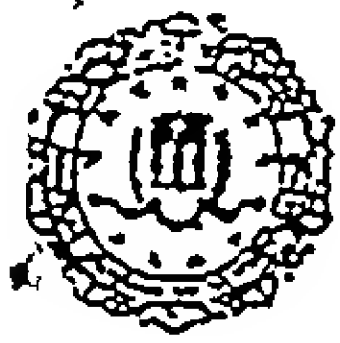
Set Lead 1:

CHICAGO

AT CHICAGO

Complete and return Reply Form to Butte ITC.

◆◆



INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Butte Information Technology Center
400 North Main Street, Room #115
Butte, Montana 59701

- > Commercial Telephone (406) 782-2304
- > FTS: (406) 782-2304 FAX: (406) 782-9504 782-9507 & 782-7418
- > Secure FAX & STU III:

ITC Use Only:	BITC Record #: <u>1808-1815</u>	
Date/Time In:	<u>12/17/1999</u>	<input type="checkbox"/> am <input type="checkbox"/> pm
Date/Time Out:	<u>12/20/11:38</u>	<input checked="" type="checkbox"/> am <input type="checkbox"/> pm
Database(s) Used:		
1. <u>DIB</u>	5. _____	9. _____
2. <u>CPS</u>	6. _____	10. _____
3. _____	7. _____	11. _____
4. _____	Handled By: 	

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER
Date: 12/16/99

Forfeiture/Seizure Related: Type of Request: FAX Telcal Mail Response: Telcal Mail

Requestor: Phone #: FAX #: _____ UCFN: 159A-CG-110953

Office/RA: Chicago Precedence: ROUTINE IMMEDIATE (Emergency/Crisis Situation)

SEARCH CRITERIA (Attach additional sheets if necessary)

Name - Last: _____ First: _____ Middle: _____

Alias: _____ Sex: _____ DOB1: / / DOB2: / /

SSAN1: _____ SSAN2: _____ Spouse: _____

Fugitive: Yes No Driver's License #: _____ State: _____

RESIDENCE
Street Address: _____ City/State: _____ Zip: _____ Phone: _____

BUSINESS
Business Name: Sco Attached Companies Street Address: _____
City/State: _____ Zip: _____ Phone: _____ Business ID#: _____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)

- 1. Specific Information Desired _____
- 2. Determine All Individuals Associated with Social Security Number(s)
- 3. Report Validity of Social Security Number
- 4. Determine Who is Associated with Telephone Number(s)
- 5. Determine Address of Business/Person (____ U.S. _____, _____, _____ State(s))
- 6. Determine Property Owned by Individual (____ U.S. _____, _____, _____ State(s))
- 7. Determine Who Owns Property Listed Above
- 8. Determine Who Resides at Address Listed Above
- 9. Determine Financial Background Info, i.e., Bankruptcy, Judgments, Liens, UCC filings, or Lawsuits
- 10. Determine Corporate Business Info, i.e., Officer, Director, Registered Agent _____ (Person/Business)
- 11. Customs Border Crossings / Subject query / I-94 info - (circle one)
- 12. Federal Prison Inmate Information
- 13. Telemarketing Complaints

Reply From: FBI, Butte Information Technology Center (BITC)	
Return Reply To:	SAC, _____
Attention:	_____
Based on search criteria, marked records are attached:	
<input checked="" type="checkbox"/> Possible Identifiable Records	<input type="checkbox"/> Brief Synopsis of Information Found
<input type="checkbox"/> Other Peripheral Information	<input type="checkbox"/> No Information Found

FBI-BITC
DEC 17 1999

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Case: 159A-CG-110953

Company

- # 1808
1. Romano Brothers Beverage Corporation
7575 S. Kostner Avenue
Chicago, Il 60652
(773) 767-9500
old address:
2555 S. Leavit
Chicago, Il

 - # 1809
 2. Remedial Environmental Manpower (R.E.M.)
178N. Halsted Avenue
Chicago, Il.
182 N. Halsted Avenue
Chicago, Il
(312) 829-2919

 - # 1810
 3. Metropolitan Pier & Exposition Authority
600 E. Grand
Chicago, Il
(312) 595-7437
** Please check for other addresses

 - # 1811
 4. Windy City Maintenance
178 N. Halsted Avenue
Chicago, Il
(312) 421-5537

 - # 1812
 5. Windy City Labor Service, Inc.
178 N. Halsted Avenue
Chicago, Il
(312) 421-5540

 - # 1813
 6. Waste Management Inc.
3003 Butterfield Road
Oak Brook, Il 60523
(630) 572-8800

 - # 1814
 7. Pacific Wine & Spirits Company
2701 S. Western Avenue
Chicago, Il 60608
(773) 247-8000

 - # 1815
 8. Union Beverage Company
2600 W. 35th Street
Chicago, Il 60632
(773) 254-9000

*** I am looking for the corporate business information for the period 1995-present.

Business Directory - by Business Name
Data Available From 00/00/0000 Through 00/00/0000

Date: 12/20/1999
Reference: 119443

Time: 9:14 AM
Requestor: FBI

Search Criteria: WINDY CITY MAINTENANCE; IL

1

Business Name: WINDY CITY MAINTENANCE
Address: 178 N HALSTED ST
CHICAGO, IL 60661
Phone Number: (312) 421-5537
[REDACTED]
Number of Employees: 10 - 19
Sales and Assets: 1 - 499
Selected SIC Code: 736304 - EMPLOYMENT CONTRACTORS-TEMPORARY HELP
Primary SIC Code: 736304 - EMPLOYMENT CONTRACTORS-TEMPORARY HELP

2

Business Name: WINDY CITY MAINTENANCE
Address: 541 N FAIRBANKS CT
CHICAGO, IL 60611
Phone Number: (312) 222-0647
Selected SIC Code: 999977 - NONCLASSIFIED ESTABLISHMENTS
Primary SIC Code: 999977 - NONCLASSIFIED ESTABLISHMENTS

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3

Business Name: WINDY CITY MAINTENANCE
Address: 1024 W KINZIE ST
CHICAGO, IL 60622
Phone Number: (312) 829-3204
[REDACTED]
Number of Employees: 1 - 4
Selected SIC Code: 863101 - LABOR ORGANIZATIONS
Primary SIC Code: 863101 - LABOR ORGANIZATIONS
Secondary SIC Code: 734902 - JANITOR SERVICE
Secondary SIC Code: 874899 - CONSULTANTS-BUSINESS NEC

Certain business and consumer information contained herein provided by
InfoUSA, Omaha, Nebraska, Copyright 1998, All Rights Reserved.

End of search.

Windy City
Maintenance

COPYRIGHT 1999 DUN & BRADSTREET INC. - PROVIDED UNDER CONTRACT FOR THE EXCLUSIVE USE OF SUBSCRIBER 095-009052L.

IN DATE

DUNS: 10-682-4402
WINDY CITY LABOR SERVICE INC
WINDY CITY MAINTENANCE

174-78 N HALSTED ST
CHICAGO IL 60661
TEL: 312 421-5537

DATE PRINTED
DEC 20 1999

TEMPORARY HELP
SERVICE
SIC NO.
73 63

RATING 2R4

STARTED 1989
EMPLOYS 4-125
HISTORY CLEAR



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=====
* * * CUSTOMER SERVICE * * *

If you have questions about this report, please call our Customer Service Center at 1-800-234-3867 from anywhere within the U.S. If you are outside th U.S., contact your local D&B office.

*** Additional Decision Support Available ***

Additional D&B products, credit recommendations and specialized investigatio are available to help you evaluate this company or its industry. Call Dun & Bradstreet's Solution Center at 1-800-362-3425 from anywhere within the U.S.

=====
* * * SUMMARY ANALYSIS * * *

The Summary Analysis section reflects information in D&B's file as of December 20, 1999.

RATING SUMMARY

The "2R" portion of the Rating (the Rating Classification) indicates business size of fewer than 10 employees for this company. The "4" on the right (Composite Credit Appraisal) indicates an overall "limited" credit appraisal. This credit appraisal was assigned because the payment information in D&B's file on this company indicates slowness in meeting trade obligations and the open suits, liens or judgments in D&B's file.

Below is an overview of the company's D&B Rating(s) since 08/22/91:

Table with 2 columns: RATING, DATE APPLIED. Rows: 2R4 (07/22/97), -- (06/12/93), ER8 (08/22/91)

Handwritten note: Windy City Labor Service

=====
* * * PAYMENT SUMMARY * * *

The Payment Summary section reflects payment information in D&B's file as of

the date of this report.

The PAYDEX for this company is 71.

This PAYDEX score indicates that payments to suppliers average 14 days beyond terms, weighted by dollar amounts. When dollar amounts are not considered, approximately 80% of the company's payments are within terms.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	TOTAL	TOTAL	LARGEST	%	DAYS SLOW		
	RCV'D	DOLLAR	HIGH	W/IN	<31	31-60	61-90
	#	\$	\$	%	%	%	%
Total in D&B's file	12	123,450	25,000				

Payment By Industry:

1 Short-trm busn credit	6	85,750	20,000	100	-	-	-
2 Whol electrical equip	2	2,550	2,500	2	98	-	-
3 Whol service paper	1	25,000	25,000	-	50	50	-
4 Whol industrial equip	1	10,000	10,000	50	50	-	-
5 Newspaper-print/publ	1	100	100	50	-	50	-
6 Mfg cleaning products	1	50	50	100	-	-	-

Other Payment Categories:

Cash experiences	0	0	0				
Payment record unknown	0	0	0				
Unfavorable comments	0	0	0				
Placed for collection							
with D&B	0	0					
other	0	N/A					

The highest "Now Owes" on file is \$10,000

The highest "Past Due" on file is \$5,000

D&B receives over 315 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

Antic - Anticipated (Payments received prior to date of invoice)
 Disc - Discounted (Payments received within trade discount period)
 Ppt - Prompt (Payments received within terms granted)

REPORTED	PAYING	HIGH	NOW	PAST	SELLING	LAST S
	RECORD	CREDIT	OWES	DUE	TERMS	WITHI
11/99	Ppt	20000	-0-	-0-		1 Mo
	Ppt	20000	-0-	-0-		1 Mo
	Ppt	15000	-0-	-0-		1 Mo
	Ppt	15000	-0-	-0-		1 Mo
	Ppt	15000	-0-	-0-		6-12 M
	Ppt	50	-0-	-0-	N30	6-12 M
	Slow 10	2500	2500	1000	N30	1 Mo

08/99	Slow 30-60	25000	10000	5000	1 Mo
	Ppt	750	750	-0-	
	Lease agreement				
	Ppt	50	-0-	-0-	1 Mo
01/99	Ppt-Slow 30	10000	-0-	-0-	1 Mo
	Ppt-Slow 60	100	-0-	-0-	6-12 M

* Payment experiences reflect how bills are met in relation to terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

* Each experience shown represents a separate account reported by supplier. Updated trade experiences replace those previously reported.

FINANCE

01/25/99 Attempts to contact management were unsuccessful.

PUBLIC FILINGS

The following data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

 If it is indicated that there are defendants other than the report subject, the lawsuit may be an action to clear title to property and does not necessarily imply a claim for money against the subject.

* * * SUIT(S) * * *

 CASE NO.: 98M1 133453
 SUIT AMOUNT: \$3,443 STATUS: Pending
 PLAINTIFF: KLEENCO PRODUCTS INC DATE STATUS ATTAINED: 06/23/1
 DEFENDANT: WINDY CITY MAINTENANCE INC DATE FILED: 06/23/1
 WHERE FILED: COOK COUNTY CIRCUIT COURT/1ST LATEST INFO RECEIVED: 06/30/1
 MUNICIPAL DIVISION, CHICAGO, IL

 CASE NO.: 96M1 108044
 SUIT AMOUNT: \$1,396 STATUS: Pending
 PLAINTIFF: VOSS EQUIPMENT INC DATE STATUS ATTAINED: 02/09/1
 DEFENDANT: WINDY CITY LABOR SERVICE INC DATE FILED: 02/09/1
 WHERE FILED: COOK COUNTY CIRCUIT COURT/1ST LATEST INFO RECEIVED: 02/20/1
 MUNICIPAL DIVISION, CHICAGO, IL

 CASE NO.: 92M1 110644
 SUIT AMOUNT: \$26,840 STATUS: Pending
 PLAINTIFF: ASHLAND ENVIRONMENTAL CORP DATE STATUS ATTAINED: 02/21/1
 DEFENDANT: WINDY CITY LABOR SERVICES INC DATE FILED: 02/21/1
 and OTHERS LATEST INFO RECEIVED: 03/03/1
 WHERE FILED: COOK COUNTY CIRCUIT COURT/1ST
 MUNICIPAL DIVISION, CHICAGO, IL

* * * LIEN(S) * * *

 A lienholder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lienholder against a debtor may be indicative of such

an occurrence.

CASE NO.: 95547574	
AMOUNT: \$23,712	STATUS: Open
TYPE: Federal Tax	DATE STATUS ATTAINED: 08/18/1
FILED BY: I R S	DATE FILED: 08/18/1
AGAINST: WINDY CITY LABOR SERVICE INC	LATEST INFO RECEIVED: 10/24/1
WHERE FILED: COOK COUNTY RECORDER OF DEEDS, CHICAGO, IL	

FILING NO.: 23991	
AMOUNT: \$23,712 FICA	STATUS: Released
Corporate income tax	DATE STATUS ATTAINED: 09/19/1
TYPE: Federal Tax	DATE FILED: 08/14/1
FILED BY: IRS	LATEST INFO RECEIVED: 11/10/1
AGAINST: WINDY CITY LABOR SERVICE INC., A CORPORATION	
WHERE FILED: SECRETARY OF STATE/UCC DIVISION SPRINGFIELD, IL	

CASE NO.: 94976131	
AMOUNT: \$6,871	STATUS: Open
TYPE: State Tax	DATE STATUS ATTAINED: 11/17/1
FILED BY: STATE OF ILLINOIS	DATE FILED: 11/17/1
AGAINST: WINDY CITY MAINTENACE	LATEST INFO RECEIVED: 01/09/1
WHERE FILED: COOK COUNTY RECORDER OF DEEDS, CHICAGO, IL	

* * * UCC FILING(S) * * *

COLLATERAL: Equipment - Vehicles	
FILING NO: 004059402	DATE FILED: 07/01/1
TYPE: Original	LATEST INFO RECEIVED: 08/16/1
SEC. PARTY: ASSOCIATES COMMERCIAL CORP, OAKBROOK TERRACE, IL	FILED WITH: SECRETARY OF STATE/UCC DIVISION
DEBTOR: WINDY CITY MAINTENANCE INC, CHICAGO, IL	IL

COLLATERAL: Communications equipment - Business machinery/equipment	
FILING NO: 003844000	DATE FILED: 05/01/1
TYPE: Original	LATEST INFO RECEIVED: 05/04/1
SEC. PARTY: MINOLTA BUSINESS SYSTEMS INC, PARK RIDGE, NJ	FILED WITH: SECRETARY OF STATE/UCC DIVISION
DEBTOR: WINDY CITY MAINTENANCE INC	IL

FILING NO: 003570002	DATE FILED: 07/24/1
TYPE: Continuation	LATEST INFO RECEIVED: 07/29/1
SEC. PARTY: LASALLE NATIONAL BANK, CHICAGO, IL	ORIG. UCC FILED: 10/21/1991
DEBTOR: WINDY CITY MAINTENANCE INC.	ORIG. FILING NO: 002907241
	FILED WITH: SECRETARY OF STATE/UCC DIVISION
	IL

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

=====

HISTORY

01/25/99

PATRICIA GREEN, PRES-SEC
DIRECTOR(S): THE OFFICER(S)

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY
OF STATE OR OTHER OFFICIAL SOURCE AS OF 12/16/1999:

BUSINESS TYPE: Corporation - DATE INCORPORATED: 06/15/1982
Profit STATE OF INCORP: Illinois

AUTH SHARES-COMMON: 1,000
COMMON STOCK ISSUED: 1,000

Business started 1982 by Jeffrey A Brady. Present control
succeeded Jun 30 1984. 100% of capital stock is owned by Patricia
Green.

PATRICIA GREEN. 1984-present active here.

=====

OPERATION

01/25/99

Temporary help service (100%).

Terms are net 30 days. Sells to commercial concerns. Territory :
Chicago, IL.

Nonseasonal.

EMPLOYEES: 4-125 which includes officer(s). Employees vary pe
the number of jobs scheduled per day.

FACILITIES: Rents 3,000 sq. ft. in one story brick building.

LOCATION: Central business section on main street.

12-20(354 /354)

99999

002023023

FULL DISPLAY COMPLETE

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, IllinoisDate: December 30, 1999
Edition: Chicagoland Final Edition
Page OneTitle: "Daley out to cool heat
from Duff critics"Character:
or
Classification:
Submitting Office:

Daley out to cool

heat from Duff critics

Minority deals face new review

By Gary Washburn
TRIBUNE STAFF WRITER

In what appeared to be a preemptive move to blunt criticism of lucrative city contracts awarded to controversial companies with ties to Mayor Richard Daley, the mayor Wednesday

unveiled plans to beef up scrutiny of firms that get favored treatment by claiming to be owned by women or minorities.

The announcement came as mayoral aides prepared to release results of an internal probe into two city contractors—one purportedly operated by a woman, the other by an African-American—that the Tribune reported earlier this year were controlled by the white male members of the politically connected and mob-linked Duff family.

Daley and other officials who spoke at a City Hall news conference where the new initiative was announced declined to comment on the Duff investigation, which has been under way since the Tribune report in July.

The mayor would say only that a report will be issued "very shortly."

But when asked about "front" companies that wrongfully reap the rewards of the city's affirmative action programs, Daley acknowledged problems.

"There always have been difficulties," he said, adding that the state and federal governments and the private sector have had similar troubles. "I always amend and change things. Any problems ... we are going to correct."

In a 1997 attempt to amend things on another issue, Daley rolled out sweeping ethics and public disclosure measures after the discovery that his City Council floor leader, Ald. Patrick Huels (11th), had accepted a

business loan from a contractor whom he had helped win a city grant.

Daley said Wednesday he is moving a Purchasing Department official who had been responsible for certifying companies as minority- or woman-owned to a new post to recruit such firms to do business with the city.

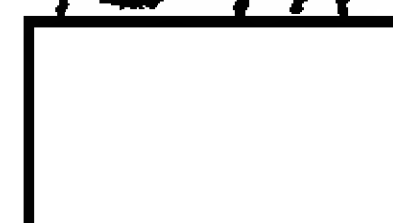
Daley and other officials in the past have denied steering business to Windy City Maintenance, a janitorial firm purportedly

SEE DALEY, PAGE 22

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159A-CG-110953-19



FBI
TMS

Daley: The mayor announces plans to more closely monitor firms that win contracts by claiming woman- or minority-owned status.

CONTINUED FROM PAGE 1

operated by a female member of the Duff family, or Remedial Environmental Manpower, a firm supposedly controlled by an African-American man that has gotten a lucrative subcontract on the city's Blue Bag recycling program.

The patriarch of the Duff family is John Duff Jr., a former union boss with ties to organized crime. Two of his sons, one of them with his own alleged ties to the mob, also have been active in family businesses, the Tribune investigation found.

Members of the Duff family and enterprises they control have contributed more than \$30,000 to Daley's political coffers, and the Duffs hosted at least two Daley fundraisers, including a breakfast last February attended by the mayor.

The Duffs also have provided Election Day workers for Daley-backed candidates.

Providing city contracts for minority- and woman-owned companies is a cornerstone of Daley's affirmative action program, and any hint of irregularities brings with it the threat of political damage, especially in the African-American community.

Daley's personal ties to the Duffs make the Windy City and Remedial Environmental Manpower contracts particularly sensitive.

The new monitoring effort is designed to "ensure consistency," the mayor said.

It will be headed by Nancy Clawson, currently chief financial officer of the city's Aviation Department.

Eric McMiller, now a Budget Department official, will have responsibility to certify new com-

panies as minority- or woman-owned.

"I have asked Eric to review the documentation we now require of companies with an eye toward clarifying any ambiguous disclosure requirements," Daley said.

Currently, after a firm wins certification, its only requirement is to file annual affidavits to ensure that ownership and other aspects of the business have not changed.

"That's the process used by most other cities, but we feel it should be strengthened," Daley said. "So we are modifying the process to require a rigorous review every two years."

The review "will include more extensive documentation, site visits and interviews with officers of the firm, their clients and other contacts."

Troy Ratliff, a senior Purchasing Department official—who until Wednesday's news conference had been in charge of certifying minority- and woman-owned companies—said the monitoring process "has to be more than a document review. There have to be on-site visits, conversations with people in the industry. A lot of information comes from the competition out there."

Officials said plans also call for more extensive monitoring of city contracts that require at least 50 percent of the workers on the job be Chicago residents.

"The kind of enforcement they are talking about would prevent [Duff-type contracts] from reoccurring in this city's history," said Ald. Freddrenna Lyle (6th). One front company "is too many," she said.

"That means some qualified person didn't get the business."

Daley's announcement came with the unveiling of a separate program designed to nurture and recruit firms owned by women and minorities—particularly African-Americans—to do business with the city.

Daley appointed city Treasurer Barbara Lumpkin to head a task force that will determine the best ways to bring in new firms. Ratliff will implement the task force's recommendations.

Administration officials say the city routinely surpasses its annual goal of providing 5 percent of all contracts to woman-owned firms and 25 percent to companies owned by minorities.

But several black aldermen, including Lyle, complained during fall City Council budget hearings that African-American contractors are receiving far too small a portion of the minority total.

Only 9 percent of \$1.3 billion in contracts awarded by the city through September had gone to black-owned firms.

"That is a concern we have," Daley said. "I think the pool [of contractors] has to be expanded in some way."

There is a perception among many African-American small-business people "that it is so much trouble to get certified, it is not worth the effort," Lyle said.

"Also, historically, the city has paid very slowly on vouchers, and many people don't think they have the wherewithal to maintain overhead expenses waiting for the city to pay."

Difficulty in obtaining required performance bonds has been a problem, particularly for African-American construction firms, Lyle said.

"Some businesses may not know how to get certified," Daley said. "Others may need help in building a company that is able to do the specialized work we sometimes require."

Lumpkin's task force will make its recommendations in 90 days, Daley said.

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: January 1, 2000
Edition: Millennial Edition
Page One

Title: "Duff-headed firm
loses big city pact"

Character:
or
Classification:
Submitting Office:

Indexing:

① JAS

Duff-headed firm loses big city pact

Woman not owner, study confirms

**By Andrew Martin
and Laurie Cohen**
TRIBUNE STAFF WRITERS

After a five-month investigation, city of Chicago officials on Friday announced that a politically connected janitorial company that has reaped tens of millions of dollars as a woman-owned business is actually run by men.

Because of those findings, Corporation Counsel Mara Georges said Windy City Maintenance has been decertified as a woman-owned business enterprise, a designation that allows companies to cash in on policies mandating that women and minorities receive a chunk of city business.

The decision promises to cost Windy City at least \$1 million a year in city contracts and could jeopardize up to \$4.8 million a

year that the firm is eligible for as a subcontractor on city projects.

Mayor Richard M. Daley ordered the probe in the wake of a Tribune investigation that disclosed that Windy City Maintenance was actually controlled by John F. Duff Jr. and his sons. They have held fundraisers for the mayor and provided campaign workers for Daley-backed candidates. The elder Duff and one of his sons also have been linked to organized crime figures.

The Tribune investigation also raised questions about a second company tied to the Duffs, Remedial Environmental Manpower, that is listed in city documents as being owned and operated by William Stratton, an African-American man. On

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Duffs

CONTINUED FROM PAGE 1

more than one occasion over several years, city staffers had balked at Remedial Environmental Manpower's minority-owned certification, saying that the company not only shared office space with other Duff-owned companies but also appeared to be controlled by James Duff, a son of John Duff Jr.

On Friday, however, Georges said the city concluded that Remedial Environmental Manpower, which provides workers to sort garbage for the city's blue bag recycling program, is in fact owned and operated by Stratton.

After the news conference, neither the Duffs nor their attorney could be reached for comment.

Daley did not attend Friday's Duff briefing but was asked to comment later at a news conference at McCormick Place, which he called to tout the city's gala millennium celebration.

"Not today, I can't," Daley responded. "... I think appropriately I'll be answering all those questions in the next week. There's enough time to digest the summary and the report."

The city's probe into the Duffs was particularly sensitive for Daley because of the family's mob ties and its alleged abuse of female and minority set-aside rules.

Daley waited to release the findings until the day before a major holiday when most people were consumed by news of potential Y2K computer troubles and millennium celebrations.

Despite the city's findings that Windy City is now run by men, Georges said the firm was indeed owned and controlled by a woman

named Patricia Green when it initially applied for certification as a woman-owned business in 1991. And Georges said no evidence was found that the firm had lied on paperwork filed with the city since then to obtain annual recertification.

But records show that as recently as May, the company stated in its annual recertification application that Green—who is the wife of John Duff Jr. and whose married name is Patricia Green Duff—owned Windy City Maintenance and controlled it on a day-to-day basis. Patricia Green is her maiden name.

Asked to explain the apparent contradiction, Georges said she found no evidence of fraud because company officials "believe" that the firm fits the definition of a woman-owned business even if others disagree.

"There is no evidence of an affirmative action by them to engage in deception," Georges said. "... They would say Patricia Green is still consulted on all matters."

Nevertheless, the automated telephone directory that connects callers to Windy City with employees of the firm includes no listing for either a Patricia Green or a Patricia Green Duff.

Under city law, a firm that fraudulently claims to be owned by a woman or minority could be barred for three years from receiving more city business.

Georges said the investigation is over and that Windy City would not be fined or punished in any other way. However, she said the city's inspector general is looking into Windy City, though she did not elaborate. Inspector General Alexander Vroustouris could not be reached for comment.

Georges said Green ran the company when it initially applied for woman-owned certification in 1991 but eventually she ceded control in part because of health problems. Georges said the transfer of control was an "evolving process" and that the investigation did not pinpoint when Green stopped running Windy City Maintenance.

During the investigation, Windy City Maintenance notified the city that it would voluntarily withdraw its application as a woman-owned business, Georges said. That's because the company obtained financial records that showed its annual revenue from both city and other contracts exceeded \$17 million—putting it over the financial threshold to be eligible for woman-owned business perks, Georges explained.

Sometime after that, Georges said, the city determined on its own that Green no longer controlled the company and decided to decertify the company as a woman-owned business.

Georges said the city did not determine problems with Windy City Maintenance's status sooner because of flaws in the annual process under which companies are recertified as woman- or minority-owned. On Wednesday, the city said it would beef up those procedures, which essentially had allowed such companies to operate on the honor system.

Being designated a woman- or minority-owned business gives companies an important advantage. Under the city's affirmative action policies, 25 percent of city work is supposed to be awarded to firms owned by minorities and 5 percent is supposed to go to woman-owned firms; as a result, city contractors scramble to hire these firms as

subcontractors.

The immediate impact of Windy City decertification is the loss of \$1 million in janitorial contracts at the 911 Center on the Near West Side and another at the Calumet Area police headquarters.

Windy City also could lose two lucrative jobs where the company is listed as a woman-owned subcontractor—a \$1.8 million-a-year janitorial subcontract at the Harold Washington Library and a \$3 million-a-year cleaning job at the International Terminal at O'Hare International Airport, Georges said.

Neither the city contractor who maintains the library nor the contractor who manages the International Terminal could be located for comment Friday.

Windy City also holds an even more lucrative contract worth about \$9 million a year with the Metropolitan Pier and Exposition Authority, a city-state agency that runs Navy Pier and McCormick Place. On Friday, a spokesman for the authority said that it would review the city's findings and take steps to ensure that Windy City fulfills requirements for agency business that is set aside for women-owned firms.

The city's Duff inquiry was conducted by four city lawyers who worked part-time on the inquiry and who conducted "more than 29 interviews, at least four site visits and a complete review of files, financial documents, contractors and other pertinent records," according to a press release issued by the city. The attorneys interviewed Windy City workers, city employees and vendors who have worked with the company, Georges said.

Tribune staff writer Ray Gibson contributed to this report.

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(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: January 2, 2000
Edition: Chicagoland Final Edition
Page One

Minority pacts

"Minority pacts not verified"

not verified

Character:
or
Classification:
Submitting Office:

Indexing:

City admits it did not check on female or black

⊗ 103

ownership before recertifying contracts

**By Ray Gibson
and Laurie Cohen**
TRIBUNE STAFF WRITERS

City officials granted billions of dollars in special contracts reserved for minorities to firms that said they were run by women and minorities without checking whether the businesses had changed management after being approved for the program, Daley administration officials acknowledge.

When firms receiving lucrative city contracts under the controversial minority set-aside program applied for their required annual recertification, Chicago officials routinely did not visit them to determine who controlled the companies. Nor, by and large, did officials check references provided by the firms.

Instead, city officials overseeing the recertification process have relied mainly on the firms' word as to who owned and con-

trolled them. Such firms received billions of dollars in city business during the past 15 years, and at least \$388 million in city contracts in the first nine months of 1999 alone, according to city figures.

City officials say their hands-off arrangement ended abruptly last week when they announced an overhaul of the recertification process. The reform plan was made public after aides to Mayor Richard Daley learned

that a five-month city Law Department investigation into Windy City Maintenance would be embarrassing.

For eight years, Windy City, a politically connected company with ties to Daley, reaped tens of millions of dollars in city janitorial business by asserting that it was run by an experienced businesswoman, Patricia Green.

On Friday, the city's top lawyer said the Law Department's lengthy investigation showed it

wasn't. Windy City and two other firms run by the mob-linked Duff family have won \$100 million of government contracts during Daley's time in office.

Daley ordered the Law Department's investigation after the Tribune reported that Windy City in fact was run by Green's husband, John Duff Jr., and their sons.

The Duff family and organizations they control have contributed thousands of dollars to the

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Contracts

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mayor's campaign fund and have provided campaign workers for Daley-supported candidates. The elder Duff and one of his sons have ties to organized-crime figures.

Last Wednesday, Daley aides announced that officials would be more vigilant in confirming information supplied by companies seeking their required annual recertification.

Controversy over the so-called minority set-aside program that assists companies in landing city contracts goes back at least three mayoral administrations. Mayor Harold Washington formalized and expanded what had been an informal set-aside program in 1985 after African-Americans said they were not getting their fair share of city business.

Under current city law, contractors who vie for city work must redirect 25 percent of the dollars they are paid by the city to firms owned by minorities and 5 percent to enterprises owned by women. Once a firm owned by minorities or women has \$17 million in annual revenues from all its business, it is deemed too large for the program and considered capable of competing for business on its own with no additional city assistance.

Much of the debate over the program centers on whether many women and minorities listed as owners of such firms are merely fronts installed by others to take advantage of the city's largess.

In the past, even the mildest attempt by the city to crack down on sham companies has led to howls from vendors complaining that they were being buried in a blizzard of expensive and time-consuming paperwork.

The Chicago area's biggest construction firms also dearly want to see the program abolished.

In a 1996 lawsuit filed in U.S. District Court, a construction industry trade group asked that the programs be ruled discriminatory and tossed out. That suit is still pending.

Twice in the past two decades, federal prosecutors have used the city set-aside program in their stings on City Hall.

When officials in the Washington administration came under scrutiny in the mid-1980s, an undercover mole working for prosecutors helped set up a firm owned by two African-Americans to gain a lucrative parking-ticket collection contract for a white-owned company.

In the more recent Operation

Silver Shovel sting on City Hall, Ald. Ambrosio Medrano pleaded guilty in January 1996 to accepting bribes from John Christopher, a corrupt trash hauler who was cooperating with the FBI. The bribes bought Medrano's assistance in helping Christopher win City Hall approval of a sham minority-owned business.

For more than a decade, there have been numerous published accounts of other shady deals. They included a company owner selling 51 percent of his company's stock to a female secretary, a low-level minority employee promoted to president of a firm and a \$10 million plumbing company long owned by a man suddenly listed on city records as being owned by a woman.

On Wednesday, city officials announced they would begin more rigorously scrutinizing firms that had won City Hall approval as minority- or women-owned businesses.

Every two years, the firms will be required to provide more extensive documentation to prove their status. City officials also will conduct site visits and interviews with clients and others.

Despite the acknowledged problems with checking up on firms already doing business with the city, officials long have insisted they vigorously screen applicants when they first apply for the special minority set-aside status.

"We are by reputation fairly onerous in this process," rejecting about 20 percent of the applicants, Purchasing Department official Troy Ratliff said in an interview last summer. Until he was shifted to another job last week, Ratliff was in charge of the certification process.

For a firm to be certified by the city, Ratliff said, a minority or female applicant must be not just technically the owner of a company, but also must control its day-to-day operation.

In the case of Windy City, Corporation Counsel Mara Georges announced Friday that the city investigation had concluded that Patricia Green, the woman who claimed to be running the company, was not in control of the corporation even though she owned 100 percent of the firm's stock. Instead, Georges said, the city investigation found it was run by men.

Because Green no longer controlled the firm, the city decided to decertify it, Georges said.

City officials said Friday that decertification was extremely rare, but they could not cite the numbers of firms that had suffered that fate.

As a result of its decertification, Windy City will lose at least \$1 million a year in city business and could face the loss of an additional \$4.8 million annually in city business that it receives from other companies as a subcontractor.

Since 1991, when Windy City first obtained its status as a certified woman-owned business, the city's process for obtaining required annual recertification has been scaled back because of limited staff, city officials said Friday.

The city now relies only on an affidavit from the company owner noting whether there was a change in the control of the firm. The firms are also required to file financial statements, tax returns and other documentation.

But none of those documents necessarily show whether the owner is still actively running the business. And the city does not routinely follow up to verify the information, officials acknowledged.

Nonetheless, Georges said Friday that the certification process involving Windy City wasn't flawed and that Green was running the company in 1991 when the firm first became certified by the city as being owned by a woman.

But the Tribune found that the city's initial investigation into Windy City's qualifications as a woman-owned business was far from rigorous.

For example, city documents suggest that a city staffer gave advance notice of her site visit to the firm. City officials didn't request a detailed résumé for Patricia Green or contact references for the firm until after a competitor said that Windy City wasn't truly operated by a woman.

While Georges defended the original decision to grant Windy City woman-owned status, she acknowledged problems with the way the city carried out the firm's annual recertification process more recently.

Because of the new reforms, Georges said, "a problem like this will be detected in the future."

Georges said Friday the investigation didn't pinpoint when Patricia Green gave up control of the company, but it concluded that at some point she had turned over the duties of running the company to her son, James, and another man.

City officials suggested that the set-aside program cannot be judged by the results of their investigation in the Windy City case.

Tribune staff writer Andrew Martin contributed to this report.

(Mount Clipping in Space Below)

John Kass



Reno needs to send in the feds—that's F-E-D-S, mayor

United States Atty. Gen. Janet Reno
G-Building
Washington, D.C.

Happy New Year, Jan!
It's been a few months since I dropped you a line.
Back then I asked you to investigate the juicy political cleaning contracts coming out of Mayor Richard Daley's City Hall. Jan, you didn't respond.

It's about Daley's pals, the Duffs. They're white guys, but, miraculously, they got \$100 million in contracts set aside for women and minorities. Amazing, right?

What's more amazing is that Daley defends them, even though the Duffs brag about their friendships with imprisoned-but-still-active Outfit boss Rocco Infelice and the late boss supremo Anthony Accardo. The Duffs were investigated in Florida—your home state, Jan—for allegedly running a gambling operation under the protection of the Gambino crime family.

Since Democrats make such a big deal about protecting affirmative action programs, I thought your boss would be interested in how minority set-aside programs were perverted in Chicago.

Imagine, Jan, what would happen if a prominent Republican—say, New York Mayor Rudy Giuliani—ever played games with minority contracts for white-guy pals with wiseguy connections?

Jan? You think your fed lawyers and the FBI would be interested then? Jan?

There's something else. The mayor is angry at me. Not only that, but he thinks I can't spell, which really hurts my feelings.

But first—the investigation.

(Indicate page, name of Newspaper, city and state)
Pg.3 Sec. 1
Tribune
Chicago, IL

Date: 01/03/2000
Edition: Chicagoland North

Title: Reno needs to send in the feds- that's F-E-D-S, mayor

Character:
or
Classification:
Submitting Office: Chicago

⊗ JAS

Indexing:

According to a front-page story in Sunday's Tribune, City Hall has spent billions of dollars on so-called "minority" contracts during the past 15 years—without ever checking to see if the businesses were sold to politically connected white guys once the contracts were approved.

Guess who pays for all that spending? If you said the average homeowner and shopkeeper, you're right. See, they don't have tax lawyers with connections to the assessor's office.

So, Jan, here goes. Can you send us some of your Washington feds? Our local fed, U.S. Atty. Scotty Lassar, is too busy entrapping chump minority aldermen. It's not fair that minorities go to prison while well-connected white guys get fat from all the city gravy.

Daley is extremely touchy. He didn't need the Duffs and their pals. But he got caught and now he's embarrassed.

That's why he held that lame dog-and-pony-show news conference Wednesday, when he promised to investigate fraud in minority contracts.

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JAS

He waited until New Year's Eve to release the city's investigation on the Duffs. It showed that one company supposedly run by a woman was actually run by men. But Daley's government said that wasn't fraud. Go figure.

Daley didn't even show up. He refused to comment about it later, on New Year's Eve. He tried to bury the smell.

But he was full of angry talk earlier in the day, when somebody asked him about my Thursday column. It was about his lame Wednesday news conference, where he promised to give African-Americans more city contracts. After the Wednesday conference, I walked out of his office. There was Ernie Kumerow, the former boss of Laborers Union Local 1001. Ernie is also the son-in-law of the late Tony Accardo.

Ernie said he was going inside to meet with Daley, and since he was walking into Daley's office, I put his quotes in my column and walked the other way. Daley's people didn't bother complaining to me Thursday, when I talked to them.

But on Friday, the mayor said it was a lie. He even spelled it, probably thinking that everybody has trouble with big words.

"That's a complete lie. . . . L-I-E. Lie," said Daley, making with the spelling insult. "Lie! Put it down and tell the person. That is a complete fabrication and lie."

"I didn't work yesterday!" Daley said, explaining that he couldn't have met Kumerow on Thursday—even though Kumerow was at City Hall on Wednesday. "I didn't have breakfast, lunch or dinner and I didn't have a social."

You know something? Kumerow could have been pulling my leg about his meeting. And Daley sure was adamant about not having breakfast, lunch or dinner Thursday.

But he didn't say anything about Wednesday tea. That's T-E-A. Tea! Imagine them sipping a Ceylonese blend, nibbling on "elevenuses," as the mayor's British friends like to say.

"Hey, Rich, these cucumber sammiches are great! Old Joe Batters loved cucumbers, you know."

"You like 'em, Ern? I cut the crusts, slap on the butter, then roll 'em in chives. Sammy Banks gimme this recipe. Good, eh?"

"Yeah," says Ernie, "Mare, you proved you don't need sauce to eat good."

"Wait till you try my scones. Maron! They're my mayoral specialty. Bada-bing-bada-boom!"

That's all for now, Jan. Please send those G-men before the next property-tax bill is sent out, OK?

Your friend,
John

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/28/99

[redacted]
 [redacted]
 [redacted] was interviewed in the Chicago office of the Federal Bureau of Investigation on October 21, 1999. Assistant United States Attorneys [redacted] and [redacted] were also present for the interview. [redacted] was also interviewed by SA [redacted] by telephone on October 19 and October 28, 1999. [redacted] provided the following information:

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[redacted]
 [redacted] of Kemper Insurance. Kemper Insurance is a major property and casualty insurance carrier with approximately 60% of its business devoted to workers' compensation insurance. Windy City Labor Service, Inc. of Chicago, Illinois was unable to get workers' compensation in the voluntary market (meaning that no insurance company was willing to take them on as clients), so [redacted] (Windy City Labor's [redacted] since Windy City Labor's inception in 1982) applied to the Illinois assigned risk market. In the assigned risk market, the National Council on Compensation Insurance (NCCI) assigns an insurance carrier to the applying company. The insurance carrier does not have a right to refuse to provide workers' compensation insurance for this company. The assigned risk market is also known as the market of last resort.

[redacted] applied to the NCCI for coverage in the assigned risk market by submitting an application in which Windy City labor represented itself as a labor service providing day to day manpower for various clients. Windy City Labor also claimed to have over 200 employees and that over 92% of the payroll was either clerical office employees or professional employees of labor unions. Only five employees were identified as performing warehouse duties. These documents were supplied to the NCCI by [redacted], but contained the stamped signature of [redacted] Windy City Labor. The estimated annual premium is based upon an estimate of the total annual payroll broken down by different classifications assigned to the type of work done by the company's employees. [redacted] submitted a check dated June 24, 1998, for \$12,142 to the NCCI on behalf of Windy City Labor. This amount represented an initial deposit on what Windy City Labor estimated would be its total annual premium.

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159A-CG-110953 -23

Investigation on 10/21/99 at Chicago, IL

File # 194B-CG-105773 -302-40 Date dictated 10/28/99

by [redacted]

MD

194B-CG-105773

Continuation of FD-302 of [REDACTED], On 10/21/99, Page 2

After this initial application, the NCCI requested that a "Temporary Employment Contractor Information" questionnaire be completed. In this document and in supplements, [REDACTED] [REDACTED] of Windy City Labor. In addition, identified clients of Windy City Labor included Romano Brothers, Curtis Storage and Trucking, National Material LLP, Hutchinson Trading, Custodial Plus, and Remedial Environmental Manpower. Windy City Labor also claimed in the documents that there was no common ownership between Windy City Labor and any client. These documents were supplied to the NCCI by [REDACTED] but contained the stamped signature of [REDACTED].

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Coverage of Windy City Labor was assigned to Kemper Insurance through the assigned risk plan and a policy was issued to provide coverage to Windy City Labor. The effective dates of the policy were July 5, 1998, to July 5, 1999, and Windy City Labor paid a total yearly premium of \$56,695. Insurance carriers sometimes perform preliminary audits to verify the payroll totals and employee classifications provided by the insured company (and thus verify that the proper premium is being paid). In September of 1998, [REDACTED] attempted to conduct a preliminary audit of Windy City Labor on behalf of Kemper Insurance. [REDACTED] was told to meet [REDACTED] of Windy City Labor at 1024 West Kinzie; this address was different than the address reflected on the policy which was 174-178 North Halsted. (1024 West Kinzie was also the address provided by Windy City Labor on their supplemental application to the NCCI as the address of Hutchinson Trading, one of their clients with whom they supposedly had no common ownership.) [REDACTED] was shown a computer generated payroll that placed almost all of the payroll under the clerical classification. When he asked for the names and phone numbers of clients, he was told that they were not available at that time. [REDACTED] later repeatedly attempted to contact [REDACTED] at Windy City Labor, but his messages were never returned. [REDACTED] also called [REDACTED] for assistance in obtaining the required information from Windy City Labor, but no further information was provided.

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Kemper Insurance continued to provide Windy City Labor with insurance coverage through the required date of July 5, 1999, but informed the NCCI of Windy City Labor's failure to cooperate with Kemper's preliminary audit request in 1998. The

194B-CG-105773

Continuation of FD-302 of [REDACTED], On 10/21/99, Page 3

NCCI would not allow the renewal of Windy City Labor's coverage due to this failure to cooperate. On July 1, 1999, [REDACTED] called [REDACTED] and asked him to tell the NCCI that Windy City Labor was now willing to cooperate. [REDACTED] also received a call that same day from [REDACTED] with the same request. [REDACTED] told both [REDACTED] that Kemper would consider providing a renewal of coverage to Windy City Labor if they immediately provided documents which included a client list and proof of payroll. Neither [REDACTED] ever provided such documentation to [REDACTED] and coverage by Kemper ended on July 5, 1999.

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It is the insurance carrier's normal practice to conduct a final audit at the end of the annual policy period to verify the total payroll and that the proper classifications of employees were used. The total policy premium is then determined and any adjustments from the initial estimated premium that was paid at the beginning of the policy can be made. An auditor representing Kemper attempted to conduct a final audit of Windy City Labor after the policy was not renewed. [REDACTED] canceled an appointment with the auditor that had been made for July 21, 1999, because the records were supposedly not ready. A later appointment was also canceled and no final audit was ever allowed by Windy City Labor.

After attempts to conduct a final audit were not successful, [REDACTED] attempted to call several of the clients from the list provided by Windy City Labor in July, 1998. Client interviews are frequently used by the insurance carrier to independently verify the total payroll and verify the type of work handled by employees. [REDACTED] called several of the companies, including Curtis Storage and Romano Brothers, but no representative of the companies would speak to him and none of his messages were ever returned.

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[REDACTED] also called [REDACTED] [REDACTED] had previously called Kemper to report that he thought Windy City Labor was hiding their warehouse payroll under a clerical classification. [REDACTED] told [REDACTED] that he had been approached by [REDACTED] in February, 1999, and asked to provide coverage for Windy City Labor; at this time, most of the payroll was classified as clerical. In July, 1999, [REDACTED] was again contacted by

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Continuation of FD-302 of [REDACTED]

, On 10/21/99

, Page 4

[REDACTED] but at this time most of the payroll was classified as warehouse. [REDACTED] thought this was suspicious and thought that the reclassification was done in order to make the account more desirable as the premiums would be more expensive under a mostly warehouse classification.

[REDACTED] suspicions regarding Windy City Labor led him to search the Internet for articles in the Chicago Tribune concerning Windy City Labor. Through these articles, [REDACTED] learned that [REDACTED] is actually [REDACTED]

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[REDACTED] John Duff, Jr. [REDACTED] Curtis Storage and that Remedial Environmental Manpower allegedly operates out of the same office space as Windy City Labor. [REDACTED] further doubted the clerical classification of most of Windy City Labor's employees when he read in the Tribune that Windy City Labor is a day labor company that often hires the homeless to handle their work.

[REDACTED] examined some of the worker compensation claims filed on behalf of employees of Windy City Labor and noted the following: On [REDACTED] was injured while working as a [REDACTED] when he

[REDACTED] during a delivery. On [REDACTED] [REDACTED] was injured while working at [REDACTED] when he slipped and fell on [REDACTED] On [REDACTED] [REDACTED] was injured while working with the [REDACTED]

[REDACTED] further noted that Judge and Dolph, Romano Brothers, and Pacific Wines had all been mentioned in the Tribune article as liquor distributors who had allegedly been supplied with nonunion workers through Windy City Labor even though the Duff family also runs the Liquor and Wine Sale Representatives, Tire, Plastic, and Allied Workers Union, Local 3.

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[REDACTED] belief that Windy City Labor had intentionally misclassified employees as clerical was further reinforced by the fact that Windy City Labor has a workers' compensation experience modifier of 2.78. The experience modifier is used to penalize those companies who have unsafe working environments and reward those that have safe environments. Those companies that have an unsafe workplace in which numerous workers' compensation claims are filed will have a debit experience modifier based upon the number of claims versus the total amount of premium paid. Windy City Labor's experience modifier of 2.78 means that Windy City

194B-CG-105773

Continuation of FD-302 of , On 10/21/99, Page 5

Labor is paying workers' compensation insurance at 2.78 times the standard rate. In opinion, this is a very high modifier and is an indicator of a payroll that has been seriously misclassified for many years.

can not accurately determine the exact premium which should have been paid by Windy City Labor to Kemper because of Windy City Labor's failure to allow a final audit; however, opinion is that Windy City Labor should have paid Kemper over \$300,000. This estimate is based upon the total payroll and a reclassification of employees using the least expensive warehouse classification.

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Windy City Labor's current workers' compensation insurance coverage is provided by AmComp/Pinnacle Benefits of North Palm Beach, Florida.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/11/00

On January 10, 2000, [redacted] Illinois was served a subpoena by Special Agents [redacted] of the Federal Bureau of Investigation and [redacted] of the Internal Revenue Service. The subpoena was issued by the United States District Court for the Northern District of Illinois on January 10, 2000. The service is detailed as follows:

At approximately 5:35 P.M. the reporting agents arrived at [redacted] and spoke briefly with [redacted] through a closed door. [redacted] advised that [redacted] would be home in approximately an hour. At 6:45 P.M., [redacted] arrived at the above listed address in his vehicle. The reporting agent and Special Agent [redacted] identified themselves and requested to speak with [redacted] advised that he did not wish to speak without his attorney present and requested business cards from the investigating agents. At this time [redacted] was provided with the subpoena and the appropriate business cards and was advised that if he had any questions he could contact Assistant United States Attorney [redacted] at a number listed on the subpoena.

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A copy of the original subpoena has been attached to and made a part of this document.

159A-CG-110953-24

Investigation on 01/10/00 at [redacted]

File # 194B-CG-105773 Date dictated 01/10/00

by SA [redacted] dmt

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0110MT 01.302

(Mount Clipping in Space Below)

U.S. starts its own probe of Duff deal

153 Year - No. 13 © Chicago Tribune 7 Sections IV



Tribune file photo by Bill Hogan

Corporation Counsel Mara Georges said she assured the U.S. attorney of city cooperation.

Investigators hunt for fraud

By Gary Washburn
and Andrew Martin
TRIBUNE STAFF WRITERS

The federal government has launched an investigation into possible fraud in the awarding of millions of dollars of city contracts to Windy City Maintenance, a controversial company that portrayed itself as female-owned to qualify

for affirmative action business, the city's top lawyer disclosed Wednesday.

Corporation Counsel Mara Georges said representatives of the U.S. attorney's office have begun examining city records related to the Windy City contracts and the company's certification as being owned by a woman in order to receive business reserved for firms run by minorities and women.

A Tribune investigation last year found that Windy City is controlled by male members of the Duff family, a clan with ties to Mayor Richard Daley as well as to organized

crime figures.

In an exchange with reporters at City Hall, Georges said she agreed to provide access to the city's Windy City Maintenance documents after being contacted about a week ago by U.S. Atty. Scott Lassar.

"He just called and said they had opened an investigation and asked if we would cooperate," Georges said in response to questions about a possible federal inquiry. "I said, 'Absolutely, we will cooperate, and we will make our files available to you.'"

Although the federal probe into

Windy City Maintenance contracts apparently began only recently, sources reported Wednesday that the government has been looking into the Duffs since September when prosecutors subpoenaed records related to a Duff-controlled union that represents liquor industry workers.

Those subpoenas followed a Tribune report in August that a separate day-labor company run by the Duffs was undermining the Duffs' own union by providing cheap, non-union labor to the city's major liquor distributors.

As the issue of set-aside, affirma-

tive action contracts for minority-run firms heated up in the City Council, Ald. Ed Smith (28th) introduced an ordinance at Wednesday's council meeting that would create an independent contract watchdog panel to prevent abuses by alleged minority and female "front" companies.

Ald. Dorothy Tillman (3rd) said African-American aldermen will caucus on Thursday to discuss contracts in general and ways to get more city business for black companies in particular. The coun-

SEE PROBE, PAGE 12

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: Chicagoland Final Edition
Edition: January 13, 2000
Page One

Title: "U.S. starts its own
probe of Duff deal"

Character:

or

Classification:

Submitting Office:

Indexing:

159A-CG-110953-210

FBI/

Probe: The U.S. attorney's office is trying to learn if any fraud was involved in contracts between Windy City Maintenance and the city.

CONTINUED FROM PAGE 1

cil members are angry that African-American firms received only 9 percent of \$1.3 billion in city contracts during the fiscal year that ended Sept. 30.

The action came as Daley sought to defuse a growing controversy in the council over the issue of big-money city contracts.

On Monday, Daley acknowledged deficiencies in the city's Purchasing Department and announced reforms, after disclosures by the Tribune of alleged overbilling by G.F. Structures, another controversial contractor with political connections.

Earlier, Daley had promised new procedures to ensure that companies gaining a competitive advantage by being admitted to city affirmative action programs actually are owned by women and minorities.

Georges' disclosure of the federal investigation came in an off-handed manner. She was talking to reporters after Wednesday's council meeting about a court decision involving a city tax when questions turned to Windy City Maintenance.

When asked whether she thought an investigation by law enforcement authorities would help clear the air, she volunteered that "we are sitting down" with federal investigators "and sharing the documents we have."

"I don't know the extent of the U.S. attorney's investigation," Georges told reporters. "All I know

is, they are looking at it."

An internal city investigation into the Windy City contract, prompted by the Tribune's disclosures, concluded that the company was controlled by a woman when it first got city business but eventually was taken over by men. There was no evidence of willful wrongdoing, according to administration officials.

As for the federal investigation, Georges said, "I don't think there is any reason for them to find fraud. . . . I think what they will find is a flaw in the system, a problem with our process that we are correcting."

Randall Samborn, a spokesman for the U.S. attorney's office, declined to comment.

James Streicker, an attorney for the Duff family, said he believes any federal investigation of the Duffs' City Hall business will reach the same conclusion as the city's probe.

"I think they will conclude, as the city did, there was no fraud in Windy City's recertification" as a female-owned business, Streicker said.

Streicker said that Windy City continues to be a female-run business, but he said he does not take issue with the city's decertification of the firm because it surpassed \$17 million in sales a year, a threshold at which contractors no longer qualify for special treatment under city rules.

Stepping squarely into the controversy, Rev. Jesse Jackson called last week for a federal probe of the Windy City contract, contending that front companies are taking business that should go to legitimate minority-run firms. The plea came after a joint appearance with Daley at Rainbow/PUSH Coalition headquarters.

Sources said Wednesday that the initial phase of the investigation of the Duffs centered on the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union, Local 3, which members of the Duff family control.

The union represents workers at the same liquor distributors that were also hiring cheaper non-union labor supplied by the Duff day labor company.

Representatives of the city's largest liquor distributors could not be located for comment on the subpoenas.

Besides its city contract, Windy City Maintenance has a janitorial pact with the Metropolitan Pier and Exposition Authority worth about \$9 million a year. The authority, operator of McCormick Place and Navy Pier, has not been contacted by federal authorities, a spokeswoman said Wednesday.

Talking to reporters at Wednesday's council meeting, Ald. Smith said that the Tribune's disclosures about Windy City contained "pretty strong information."

"At some point, somebody has got to bell the cat," he said. "I think it is about that time."

Under Smith's proposal, a seven-member "independent review board" would meet at least three times a year to review newly awarded city contracts, particularly those awarded under affirmative action programs.

The mayor would nominate members of the committee, but the council would have approval authority.

Ald. William Banks (36th), though a Daley administration stalwart, endorsed the idea, albeit tentatively.

"The theory is good," he said. "I am not sure I want to be involved in who gets contracts and who doesn't, but I like the idea of an independent body."

Tribune staff writers Ray Gibson and Laurie Cohen contributed to this report.

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/17/1999

To: Chicago

Attn: SSA [redacted]

From: Chicago

Squad WC-2

Contact: SA [redacted] ext. 6547

Approved By: [redacted]

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Drafted By: [redacted] ddb *ADB*

Case ID #: 60A-CG-110275 (Pending)

Title: JANRIG;
ANTITRUST MATTER

Synopsis: Telecall with SSA [redacted] Squad OC-1, regarding Windy City Maintenance and the Duff family.

Details: On 08/18/1999, SA [redacted] contacted SSA [redacted] Squad OC-1, regarding a 07/25/1999 investigative report published by the Chicago Tribune concerning Windy City Maintenance (WCM). The Tribune reports that WCM is a janitorial company that performs significant city-related business and is allegedly run by John Duff, Jr. and family who has ties to organized crime. SA [redacted] advised SSA [redacted] of the above captioned antitrust investigation concerning janitorial companies and the Chicago Public Schools (CPS). After being apprised of the relevant case facts, SSA [redacted] did not foresee any association between the captioned investigation and WCM/Duff. Also, SSA [redacted] advised Duff was not the subject of any OC-1 investigation but was a reference in the Serpico case.

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159A-CG-110953-27

[redacted]

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60A-CG-110275

ADB

U.S. Department of Justice



Federal Bureau of Investigation

In Reply, Please Refer to
File # 99A-CG-110953

Chicago, Illinois 60604
January 25, 2000

[REDACTED]
JOHN F. DUFF, JR.
[REDACTED]
[REDACTED]
[REDACTED]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT (LMRDA) 1959-LCN;
OC/DI-CHICAGO LCN;

The above captioned case was opened on September 8, 1999. Captioned matter was predicated upon the request of United States Attorney Scott R. Lassar. The request followed several articles published by the Chicago Tribune revealing allegations that the Duff family and their businesses, WINDY CITY MAINTENANCE, WINDY CITY LABOR SERVICE, and REMEDIAL ENVIRONMENTAL MANPOWER (R.E.M.) received approximately \$100 million from the city of Chicago through fraudulent means.

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SUMMARY OF INVESTIGATION:

Chicago's investigation centers on violation of Title 18, United States Code (USC), Section 1341, In addition, Title 18, USC, Section 1954, In addition, Title 18, USC, Section 1343, In addition, Title 29, USC, Section 1141, In addition, Title 18, USC, Section 664.

The case was initiated to investigate allegations that the Duff's had fraudulently classified their businesses as Woman Business Enterprises when the businesses were allegedly run by [REDACTED]. Further allegations were raised stating a conflict of interest existed where Duff owned companies provided non-union labor to conduct union work at local liquor distributors. These liquor distributors had a collective bargaining agreement with the liquor union which is run by the Duff's.

1-File
4-FBIHQ
1-DOL Regional Inspector General

033tdb03-1/m

Chicago Division is working the above captioned matter jointly with the Department of Labor (DOL) Office of the Inspector General's Office, Special Agent [redacted] DOL Office of Labor-Management and Standards (OLMS) Special Agent's [redacted] [redacted] and Internal Revenue Service (IRS) Special Agent [redacted]

DOL is conducting an examination of [redacted]

[redacted] and the IRS is conducting a financial examination of [redacted]

ANTICIPATED INVESTIGATION:

Chicago Division will conduct the necessary interviews and investigation based on the information that comes to light in the examinations being done by the IRS and DOL. Chicago Division is also finalizing the subpoena's that need to be served.

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(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/01/2000

To: Criminal Investigative

Attn: LCN/IOC/LR Unit
SSA [REDACTED]

From: Chicago

OC-1

Contact: SA [REDACTED]

Approved By: [REDACTED] *AK*

Drafted By: [REDACTED]

tdb

Case ID #: ✓159A-CG-110953 (Pending)

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Title: [REDACTED]

JOHN F. DUFF, JR;

[REDACTED]
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959 - LCN;
OC/DI - CHICAGO LCN;
OO: CHICAGO

Synopsis: To inform the LCN/IOC/LR Unit of the above captioned labor case.

Enclosures: Four (4) copies of letterhead memorandum dated 01/25/2000.

Details: This communication is to advise FBIHQ of the above captioned case. This case was predicated upon the request of the United States Attorney and is being worked jointly with the Department of Labor (DOL), Office of the Inspector General's office, DOL Office of Labor-Management and Standards, and the Internal Revenue Service .

033tdb01.EC

159A-CG-110953 - 31

To: Criminal Investigative From: Chicago
Re: 159A-CG-110953, 02/01/2000

LEAD (s):

Set Lead 1:

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

For informational purposes only.

◆◆

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/14/00

[redacted]
[redacted] cell phone [redacted] was interviewed by the undersigned agent and provided the following information:

[redacted]

[redacted] was assigned to do an audit at either Windy City Maintenance (WCM) or Windy City Labor (WCL). [redacted] cannot recall which company the audit was to be conducted on, but he remembers going to an office location on North Halsted Street. After arriving at the location, [redacted] began examining the worksheets provided by the company. The worksheets show the total payroll and how the workers are classified. [redacted] advised that his usual auditing practice is to request additional information if the "split" does not seem reasonable to him. The "split" is the ratio of workers classified as doing clerical work to those workers classified as doing warehouse work. During the audit [redacted] requested to see additional documents, including invoices and client lists. The company representative whom [redacted] was dealing with became very angry and refused to provide further documentation. [redacted] cannot recall the company representative's name, but described him as a white male between [redacted] years old. The company representative then told [redacted]

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[redacted]

159A-CG-110953-32

Investigation on 1/12/00 at Chicago, IL

File # 194B-CG-105773 Date dictated 1/14/00

by [redacted]

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- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/11/2000

[redacted]
[redacted] was interviewed at her place of employment. Also present during portions of the interview was [redacted]. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] advised that CASUALTY INSURANCE CO. was purchased by FREMONT in 1995. [redacted] stated that both companies were carriers of workmen's compensation insurance. [redacted] stated that [redacted] for assigned risk worker's compensation insurance at CASUALTY INSURANCE until [redacted] stated that CASUALTY had ceased participating in the assigned risk pool in 1995. [redacted] in the standard market (voluntary market) at FREMONT.

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[redacted] advised that the NATIONAL COUNCIL ON COMPENSATION INSURANCE (NCCI) changed the way that insurance companies participated in the assigned risk pool for worker's compensation insurance in 1995. [redacted] advised that insurance carriers used to have a duty to participate in the assigned risk pool and accept the clients assigned to them by the NCCI. [redacted] advised that all claims and attorney's fees were ultimately billed back to the pool, so the insurance companies all made money. [redacted] advised that the NCCI changed to a bidding process for the assigned risk pool and FREMONT was not selected as one of the carriers to participate based on their bid. [redacted] advised that there are only a small number of carriers that provide assigned risk insurance now.

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After being shown a copy of an Accord dated 6/14/95, [redacted] stated that she recalled the name WINDY CITY LABOR SERVICE (WCLS) as a former client of CASUALTY INSURANCE, but could not recall any of the individuals associated with the company.

[redacted] stated that she did not recognize the names [redacted] or [redacted] from the accord. [redacted] stated that she does not recall the name [redacted] or [redacted]

[redacted] she did not have much direct contact with the insured parties and she dealt with a lot of

159A-CG-110953-33

Investigation on 01/11/2000 at Chicago, IllinoisFile # 194B-CG-105773-302Date dictated 01/11/2000

by SA [redacted] rpw

194B-CG-105773-302

Continuation of FD-302 of [REDACTED], On 01/11/2000, Page 2

insurance agents, so it was not unusual that she did recognize the names of individuals on the accord.

[REDACTED] stated that the accord correctly stated that CASUALTY INSURANCE "ceased being an assigned risk carrier in Illinois," but incorrectly stated that CASUALTY INSURANCE had refused to provide WCLS with insurance in the last 60 days.

[REDACTED] stated that when CASUALTY INSURANCE ceased participating in the assigned risk pool, she would have sent a letter out to WCLS and her other clients informing them that they were no longer participating in the assigned risk pool. [REDACTED] also stated that WCLS would not have submitted an application for voluntary insurance at that time because carriers in the assigned risk pool do not accept applications outside of the applications that are assigned by the NCCI. [REDACTED] further advised that she was only authorized to underwrite assigned risk policies in 1995, so that she would not have refused anyone voluntary insurance in 1995.

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[REDACTED] advised that she was not aware of any misrepresentations of class codes by WCLS, but stated that [REDACTED]

[REDACTED] advised that a recent change in the law limited the circumstances in which insurance carriers could change the classification of employees under worker's compensation insurance. [REDACTED] stated that the law only allowed carriers to change classification of employees based on a "misrepresentation" by the insured or if a new class of employees was hired. [REDACTED] advised that carriers could not change the class code during the policy if the carrier had a mistake in classification or the amount of the premium.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/13/2000

[redacted]
[redacted] was interviewed at his place of employment on 01/11/2000 and telephonically on 01/13/2000. Also present during the 01/11/2000 interview was [redacted]. After being advised of the identity of the interviewing agent and the purpose of the interview, [redacted] provided the following information:

[redacted] stated that there are generally two types of audits in the insurance industry: mail audits and physical audits. [redacted] stated that a mail audit consists of sending out a questionnaire in the mail for the insured to answer regarding the nature of their business, the number of employees, class codes for employees, and the amount of payroll related to these employees. [redacted] stated a physical audit consists of an auditor from the insurance carrier going out to the insured party's place of business and checking their overall payroll, the breakdown of types of employees under what type of payroll, quarterly payroll filings with the State.

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[redacted] related that he was familiar with WINDY CITY LABOR SERVICE (WCLS) and had [redacted] [redacted] worker's compensation insurance to WCLS through the worker's compensation assigned risk pool. [redacted] stated that he was aware that WCLS was a company that provided temporary labor.

[redacted] indicated that he was familiar with the name [redacted] and knew her to be a [redacted] with WCLS on worker's compensation matters. [redacted] also indicated that from his dealings with [redacted] he knew that she sometimes had to get information from others within WCLS to provide to him. [redacted] knew that [redacted] got information from [redacted] but he was not sure if anyone else provided [redacted] information. [redacted] stated that the information that [redacted] provided to [redacted] was payroll and class code information.

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[redacted] stated that he recognized the names [redacted] and [redacted] as [redacted] for WCLS. [redacted] stated that he had not met them, but had talked to them on the telephone concerning the WCLS account and other clients of theirs.

159A-CG-110953-34

Investigation on 01/11/2000 at Chicago, IllinoisFile # 194B-CG-105773-302Date dictated 01/13/2000by SA [redacted]
SA [redacted] :rpw

194B-CG-105773-302

Continuation of FD-302 of [redacted], On 01/13/2000, Page 2

[redacted] that had insurance through [redacted] stated that some of these accounts are owned by, or affiliated with, the DUFF family. [redacted] explained that [redacted] currently provides worker's compensation insurance in the voluntary market for WINDY CITY MAINTENANCE (WCM), CURTIS STORAGE & TRUCKING, and REMEDIAL ENVIRONMENTAL MANPOWER (REM). [redacted] explained that [redacted] no longer participated in the assigned risk insurance pool. [redacted] stated that he was aware that WCM was owned by the DUFF family. [redacted] stated that he was aware that [redacted] [redacted] stated that in all of his dealings with WCLS and WCM, he had never met or spoken to [redacted] stated that he knew that REM was owned by [redacted] the DUFF family, and that he was unsure what ties the DUFF family had to REM.

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[redacted] recalled an incident that led him to do the audits for WCLS. [redacted] stated that some time in the late 80's or early 90's, current [redacted] attempted to do an audit on WCLS. [redacted]

[redacted] stated that he went out to WCLS and initially dealt with [redacted] stated that [redacted] client because [redacted] no longer participated in the assigned risk pool. [redacted] stated that he believes that [redacted] of WCLS. [redacted] stated that he saw [redacted] stated that he would see [redacted] when he [redacted] for WCLS and [redacted] the WCLS payroll, quarterly reports, and a breakdown of the payroll by the class codes of the employees. [redacted] stated that this all took place in 1995 or earlier because [redacted] only provided assigned risk insurance not voluntary insurance for WCLS.

[redacted] WCLS before the incident involving [redacted] because it was not his territory. [redacted] stated that he was not the [redacted] [redacted] stated that in his audits with

194B-CG-105773-302

Continuation of FD-302 of [REDACTED], On 01/13/2000, Page 3

WCM he dealt with a [REDACTED] who was in a "controller-type" position. [REDACTED] stated that he believes that the younger woman was named [REDACTED] Last Name Unknown (LNU). [REDACTED] stated that WCLS and WCM were located in the same office on North Halstad.

[REDACTED] recalled that when [REDACTED] [REDACTED] WCLS worker's compensation insurance was canceled twice for failing to cooperate with the audits by not providing payroll and other requested information. [REDACTED] stated that WCLS was given cancellation notices after these audits and would then become cooperative with the audits and get their insurance reinstated. [REDACTED]

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[REDACTED] stated that when [REDACTED] was involved in providing assigned risk worker's compensation insurance, [REDACTED] told him not to ruffle any feathers because they were good-size accounts with large premiums. [REDACTED] their payroll information during the audits and make sure that the numbers were correct. [REDACTED] explained that this meant confirming that the payroll numbers match the quarterly filings with the state and the amount of payroll per class code. [REDACTED] stated that in providing worker's compensation insurance, carriers really had to take their client's word as to what type of work their employees were doing.

[REDACTED] explained that insurance carriers do not have the resources and manpower to verify what individual employees do within a company they insure. [REDACTED] also stated that insurance rates (premiums) are based more on losses than the class codes assigned to a group of employees or portion of the payroll. [REDACTED] explained that this meant that while a company might benefit initially by characterizing or misrepresenting all of its employees in a class code with a lower rate, thereby creating a lower base premium, they would ultimately pay higher premiums because their losses relative to that premium would be higher. [REDACTED] explained that this in turn would cause the companies experience modifier, which is based on its losses and premiums, to go up. [REDACTED] stated that since the base premium is multiplied by the experience modifier in calculating the final premium price, the insured party would ultimately end up paying

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Continuation of FD-302 of [REDACTED]

, On 01/13/2000, Page 4

higher premiums once their modifier started getting higher. [REDACTED] explained that customers do not always understand or appreciate this and simply want the lower premium when they initially seek worker's compensation insurance.

[REDACTED] stated that customers may also be hoping that they do not have a lot of worker's compensation claims. [REDACTED] stated that the problem with this type of thinking from a financial standpoint is that statistically they will have a certain number of claims and with lower premiums it takes fewer claims to raise your modifier in subsequent years. [REDACTED] explained that this meant that if a company is manipulating their class codes, they are betting on the fact that they won't have injuries. [REDACTED] also stated that this meant that a company manipulating its class codes would benefit from having its employees not file worker's compensation claims when they were injured. [REDACTED] added that the law requires employers to file a claim any time that an employee has a work-related injury, regardless of how serious the injury.

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[REDACTED] related that [REDACTED] auditors do not talk to employees to verify their class codes during audits. [REDACTED] stated that the only time [REDACTED] personnel speak to the employees of an insured party regarding their duties is when they become claimants of work-related injuries. [REDACTED] stated that he also does "claims runs" to look at the history a company has with respect to its worker's compensation claims. [REDACTED] explained that a claims run is a list of the worker's compensation claims made by the employees an insured party. [REDACTED] stated that if an individual employee was categorized as clerical by an insured, but had a warehouse-related worker's compensation claim, then an insurance carrier could change the class code of that individual to warehouse for the purpose of calculating premiums.

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[REDACTED] stated that if he had information that a client was providing fraudulent class codes on its employees, he would advise his boss who would have either changed the class codes and raised the premiums or dropped the client altogether. [REDACTED] stated that he was not aware that WCLS might have been fraudulently manipulating their class codes in order to lower their worker's compensation premium with CASUALTY.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/11/2000

[redacted] date of birth [redacted]
[redacted] telephone [redacted] was interviewed at his residence by Special Agent (SA) [redacted] [redacted] FEDERAL BUREAU OF INVESTIGATION (FBI). Also present during the interview was SA [redacted] U.S. DEPARTMENT OF LABOR (USDOL). After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] related that he is [redacted] insurance company. [redacted] telephone [redacted] stated that he has worked as an [redacted] for eleven years. [redacted] recalled that he has worked with commercial insurance, specifically workmen's compensation insurance, for [redacted] [redacted] also works on the WCLS account as well. [redacted] recalled that WCLS was a [redacted] client at the time he started working there.

[redacted] stated that WCLS had been in the assigned risk pool for workmen's compensation insurance [redacted] [redacted] stated that WCLS got "out of the pool" in July of 1999 and obtained voluntary workmen's compensation insurance through [redacted] indicated that another insurance agency, [redacted] assisted [redacted] in obtaining voluntary insurance for WCLS. [redacted] stated that [redacted] does business with [redacted] all the time in obtaining insurance for their clients.

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[redacted] indicated that WCLS had obtained assigned risk workmen's compensation insurance in the past because they could not obtain workmen's compensation insurance in the voluntary market. [redacted] stated that WCLS could not obtain voluntary insurance because they were a temporary labor service and because their experience modifier was too high. [redacted] related that a company's experience modifier is based on the

159A CG-110953-35

Investigation on 01/10/2000 at [redacted]
File # 194B-CG-105773-302 Date dictated 01/11/2000
by SA [redacted] :rpw *R?w*

194B-CG-105773-302

Continuation of FD-302 of [REDACTED], On 01/10/2000, Page 2

premium that a company pays and the losses (worker's compensation claims) paid on that premium. [REDACTED] stated that the NATIONAL COUNCIL ON COMPENSATION INSURANCE (NCCI) is responsible for assigning an experience modifier for each company.

[REDACTED] stated that an experience modifier of 1.0 means that a company's losses relative to their premiums are average or normal for that industry. [REDACTED] stated that an experience modifier lower than 1.0 means that the company's history of losses to premiums is better than average for that industry and an experience modifier higher than 1.0 means that their experience is worse than average in that industry. [REDACTED] stated that the NCCI could be contacted if a company felt that their experience modifier was not calculated correctly by the NCCI.

[REDACTED] stated that he did not recall WCLS ever asking him to challenge or appeal their experience modifier with the NCCI.

[REDACTED] was later shown a letter to the MIDWEST COUNCIL ON COMPENSATION INSURANCE (MCCI), dated May 22, 1992, in which [REDACTED] on behalf of WCLS, asked the MCCI to review WCLS "experience modification" for any errors. [REDACTED] stated that he vaguely remembered [REDACTED] doing this, but does not believe that the experience modifier was ever lowered as requested by WCLS.

[REDACTED] stated that the governing class for insurance purposes is the class of employees that develops the most premium. [REDACTED] stated that WCLS would calculate their governing class based on their payroll and that the governing class is not something that would be placed in the Accord he would provide to the NCCI for worker's compensation insurance.

[REDACTED] stated that [REDACTED] is the [REDACTED] between WCLS and [REDACTED] stated that he does not have that much contact with the company except to get payroll information from office personnel. [REDACTED] stated that his contact at WCLS is a [REDACTED] in the office. [REDACTED] recognized her name as [REDACTED]

[REDACTED] for the NCCI based on the information that [REDACTED] provides him. [REDACTED] stated that he had been to the office [REDACTED]

[REDACTED] stated that he does not really know WCLS' clients or what WCLS does except to say that he knows that WCLS provides a pool of temporary labor from clerical to warehouse. [REDACTED] stated that he did not know the exact size of WCLS. [REDACTED] recalled that WCLS was a sizable

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Continuation of FD-302 of [REDACTED], On 01/10/2000, Page 3

account, but not his biggest account. [REDACTED] stated that he knows who [REDACTED] is and has talked to him a few times at WCLS, but that [REDACTED] normally talks to directly to one another.

[REDACTED] stated that most of WCLS' worker's compensation claims or "losses" are faxed [REDACTED] [REDACTED] stated that he then passes the information on to the carrier. [REDACTED] explained that the carrier would then audit WCLS at a later date to check their claims and their payroll.

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[REDACTED] was provided a copy of a [REDACTED] dated [REDACTED] given to the NCCI on behalf of WCLS. [REDACTED] confirmed that [REDACTED] [REDACTED] stated that he recognized [REDACTED] the WCLS file and reference the figures provided the year before. [REDACTED] would then call [REDACTED] requesting information on the anticipated payroll and employee class codes for the upcoming year. [REDACTED]

[REDACTED] indicated that [REDACTED] would see these figures before they were sent out. [REDACTED] stated that it would normally take a long time to get information from WCLS and [REDACTED]

[REDACTED] stated that he would then call the NCCI, or occasionally BRABAND INSURANCE, to get the rates applicable per \$100.00 of payroll for each class of employee in order to calculate the estimated annual premium. [REDACTED] stated that the NCCI calculates and assigns these rates.

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[REDACTED] stated that he thinks that LUMBERMANS was the WCLS carrier in 1998 and that they only provided assigned risk coverage for one year. [REDACTED] stated that LUMBERMANS may have stopped carrying assigned risk insurance and WCLS would have reapplied to the pool. [REDACTED] indicated that normally carriers renew the policies and conduct a year-end audit to make adjustments to the premium after looking at the amount of actual payroll assigned to each class of employees as compared to the estimates given at the beginning of the policy. [REDACTED] recalled that LUMBERMANS had some trouble getting the necessary

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Continuation of FD-302 of _____, On 01/10/2000, Page 4

information to conduct this audit from WCLS, but did not recall a big adjustment at the end of the policy. _____ recalled that WCLS and LUMBERMANS had a dispute over keeping the appointments for this "physical audit." _____ stated that he was not ever present when these audits were supposed to take place, but he had conversations with _____ and told them that WCLS had to comply with the audit. _____ also stated that WCLS had been in business for many years and knew that the audit had to be done. _____ stated that he explained to _____ that if the current carrier was not satisfied with the audit, then WCLS could have problems getting further insurance through the pool. _____ WCLS resubmitted to the pool during this audit because they had failed to comply with an earlier audit with USF&G on their worker's compensation insurance.

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_____ related that he was contacted by a man, First Name Unknown (FNU) Last Name Unknown (LNU), from LUMBERMANS approximately four times about the audit. _____ also recalled being informed by the NCCI that WCLS had a problem because they had a previous audit that was "still open" with USF&G from the year before. _____ stated that as long as there were two open audits, the NCCI would not allow WCLS to get assigned risk insurance through the pool. _____ related that he had 3-6 conversations with a female, FNU LNU, from USF&G about their audit. _____ stated that USF&G finally got what they wanted, but LUMBERMANS still had problems. _____ stated that he would contact _____ about the problems and give her the name and number of the man at LUMBERMANS to contact to clear up the audit. _____ indicated that having one audit open was understandable, but two was a problem.

_____ explained that assigned risk carriers generally conduct an audit at the end of the policy year in order to calculate any necessary "adjustments" to the overall premium paid. _____ explained that if the insured had more payroll in a class than estimated, they would have to pay more at the end of the year as an adjustment to the premium. _____ indicated that sometimes interim audits are done with large companies or if the company has had problems in the past with audits. _____ stated that these interim audits are a way to prevent large adjustments and related problems at the end of a policy.

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Continuation of FD-302 of [REDACTED], On 01/10/2000, Page 5

[REDACTED] stated that he does not know a great deal about WCLS' operations because he does not really know the individuals involved or meet with them. [REDACTED] stated that he does not know what [REDACTED] exact title is, but he believes that [REDACTED] stated that he has never met or talked to [REDACTED] in the time that he has [REDACTED] and did not recall seeing her signature. [REDACTED] stated that most people sign the forms. [REDACTED] acknowledged that it was not unusual to see [REDACTED] signature stamped on Accord forms and did not know if he had ever seen her handwritten signature.

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[REDACTED] indicated that he did not know what [REDACTED] title was, but knew that she occasionally had to get information from someone else [REDACTED] and she has to talk to him about some things, but he does not know for sure if she gets information from him. [REDACTED] stated that he believes that [REDACTED] got information from [REDACTED] directly and if there was a problem he would tell [REDACTED] would talk to [REDACTED] stated that he has probably called [REDACTED] to get some questions answered, but he cannot remember the last time he did and indicated that it would be very rare.

[REDACTED] stated that he does not believe that [REDACTED] file would contain any correspondence or memorandum documenting contact between [REDACTED] and WCLS. [REDACTED] stated that the file would contain WCLS payroll information, Accords filed with the NCCI, loss runs, loss reports, and pool changing sheets.

[REDACTED] stated that he had seen one of the [REDACTED] in a restaurant with [REDACTED] but he does not really know any of the [REDACTED] stated that he has probably seen JACK DUFF, JR. [REDACTED] two times each. [REDACTED] introduced him to them and [REDACTED] stated that [REDACTED] might be friends with them. [REDACTED] related that his relationship with [REDACTED] was only professional and they did not have common friends or socialize together except for a few lunches. [REDACTED] indicated that he did not want to speculate about their relationships, especially in light of the fact that [REDACTED]

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Continuation of FD-302 of [redacted], On 01/10/2000, Page 6

[redacted] initially stated that he could not remember the names of any specific WCLS clients, but recalled that he had seen a list of some or all of their clients. After reviewing a list of WCLS clients [redacted]

[redacted] he recognized the names CURTIS STORAGE & TRUCKING (CURTIS), CUSTODIAL PLUS, and REMEDIAL ENVIRONMENTAL MANPOWER as clients of WCLS. [redacted] recalled that CURTIS was a trucking company, CUSTODIAL PLUS was a janitorial service company, and REM was a recycling plant.

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[redacted] stated that [redacted] provided worker's compensation and general liability insurance for these companies for approximately the last six years, but he did not know what they do beyond his previous descriptions.

[redacted] stated that he also recalled that WCLS had several liquor distributors as clients. [redacted]

[redacted] issued a certificate of insurance to JUDGE & DOLPH after they requested one from WCLS. [redacted] recalled a worker's compensation claim from PACIFIC WINE about a month ago, but he could not remember the specifics of the claim.

[redacted] stated that he had heard the name UNION LIQUOR, but was not sure if someone from there had filed a claim. [redacted]

[redacted] stated that he could not remember doing so, but stated that [redacted] a certificate of insurance to ROMANO BROTHERS and PACIFIC WINE.

[redacted] stated that he has no knowledge of [redacted] being a WCLS client. After reviewing a list of WCLS clients [redacted]

[redacted] stated that [redacted] had a [redacted] which might use some temporary workers, but stated that he did not know the employees from that office. [redacted] stated that, besides himself and two secretaries, the following people work in [redacted]

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- 1)
- 2)
- 3)
- 4)

[redacted]

[redacted] reviewed an Accord, dated 6/14/95, filed

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Continuation of FD-302 of [REDACTED], On 01/10/2000, Page 7

with the NCCI on behalf of WCLS. [REDACTED]
 [REDACTED]
 [REDACTED]
 [REDACTED]

[REDACTED]
 [REDACTED]
 [REDACTED] He assumes that the information is correct and that if it is not, the audit by the insurance carrier will catch it and adjust it up or down. [REDACTED] stated that the auditors get WCLS' tax records and conduct a physical audit at the end of each year. [REDACTED] stated that he advised WCLS that the information should be accurate to avoid a big adjustment at the end of the year. [REDACTED] stated that he never saw WCLS' books or payroll, but "took what they (WCLS) gave me" and didn't challenge them. [REDACTED] stated that companies don't want you to see their payroll, that's what audits are for. [REDACTED] stated, [REDACTED] stated that if the information was incorrect, he had no way of knowing. [REDACTED] stated that he counted on insurance companies to verify the information provided.

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[REDACTED] advised that he was aware that WCLS had recently changed the class code allocation of their employees in order to get voluntary insurance. [REDACTED] someone at WCLS that [REDACTED] didn't have a market for them at their current allocation and BRABAND worked with AMCOMP and WCLS to get them voluntary insurance. [REDACTED] knows that WCLS' current allocation had more employees using the warehousing class code and less employees classified as clerical. [REDACTED] stated that he knows that this caused their premiums to go up. [REDACTED] indicated that [REDACTED] told him of the allocation change, but did not provide him with an explanation as to why they changed.

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[REDACTED] stated that it is really the amount of payroll per class code, not the actual number of employees per class code, that determines the premium, but acknowledged that the two are usually related. [REDACTED] stated that WCLS experience modifier is still bad and their premiums are still high, but he stated that the premiums will ultimately go down because it is the modifier that hurts WCLS' premium.

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Continuation of FD-302 of [redacted], On 01/10/2000, Page 8

[redacted] stated that WCLS' modifier is high because they have losses in warehousing. [redacted] stated that WCLS really does not have that many overall losses (claims) in warehousing, but stated that they had one bad year in the 1990s within the last five years and they have a high number of warehousing claims based on the low number of warehousing employees they have and the high number of clerical employees they have.

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[redacted]

[redacted] stated that this, coupled with the recent changes in class codes, caused him to have doubts about the previously submitted figures, but he stated that there was not much he could do about it.

[redacted] stated that he told [redacted] [redacted] stated that it made him wonder why it was taking so long for WCLS to comply and if the numbers were wrong. [redacted] asked himself if the information they were giving him was wrong. [redacted] asked [redacted] a couple of times and she told him they were correct. [redacted] stated that he also asked [redacted] if they were coded right and if there were that many clerical employees. [redacted] that it was distorting the base premium. [redacted] also assured him the numbers were correct.

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[redacted] related that he explained to [redacted] that the experience modifier was based on the losses compared to the premiums. [redacted] that if the premiums were down and the losses were high for that premium, they were not really saving anything because the percentage of losses to premiums was high, causing the modifier to go up. [redacted]

[redacted]

[redacted] stated that this was a "nice way" to say "are we where we should be" or questioning the accuracy of the information. [redacted] WCLS would be better off in the long run if they got their modifier more in line.

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Continuation of FD-302 of _____, On 01/10/2000, Page 9

_____ stated that he never asked or was told that these numbers are fraudulent or even that the numbers were wrong. _____ stated that he had doubts, but stated that the insurance carriers confirm the numbers. _____ stated that LUMBERMANS in specific gave him some doubts and he asked himself, "Is LUMBERMANS seeing something?" _____ stated that he still does not know if the numbers are fraudulent and indicated that WCLS could have returned to the pool because they cleared things up with the NCCI, so he figured all was okay. _____

_____ stated that he looks more at whether the forms were complete than at accuracy.

_____ was shown a copy of a _____ letter from _____ and attached responses from WCLS to the NCCI in which WCLS indicated "we are unaware of the specific operations Remedial Environmental Manpower provides." _____ indicated that he was aware that _____ was the

_____ was informed that _____ had been on the REM payroll and he was asked how someone providing him insurance on REM could not know what REM did for the purpose of providing insurance information for WCLS. _____ responded, "I took what they gave me, I didn't profit from it." _____ stated that if _____ and responds that she doesn't know what one does, that it was not his job to question her responses. _____ stated that he did not recall if he saw the responses or not.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/26/00

[redacted]
[redacted]
[redacted] was interviewed by the undersigned agents and provided the following information:

[redacted] is a [redacted] who places companies in need of insurance coverage with carriers with whom [redacted] often works with insurance agents who act as producers or brokers for clients in need of insurance. In these instances, [redacted] [redacted] usually has very little or no contact with the insured company. [redacted] has worked with [redacted] [redacted] in this manner for the past three to five years. [redacted] including Windy City Labor, Curtis Storage and Trucking, and Remedial Environmental Manpower. [redacted] for Windy City Maintenance, but no longer does. Currently [redacted] works directly with the insurance carrier, [redacted] [redacted] on the Windy City Maintenance account.

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[redacted] for Windy City Labor in [redacted] [redacted] had a market for Windy City Labor. [redacted] initially spoke to [redacted] [redacted] about Windy City Labor. [redacted] then began dealing with [redacted] regarding Windy City Labor. [redacted] to attempt to place Windy City Labor's workers' compensation coverage with an insurance carrier in the voluntary market. Windy City Labor previously had workers' compensation coverage in the assigned risk market, but coverage in the assigned risk market is usually more expensive than that obtained through the voluntary market. Coverage in the voluntary market is usually approximately twenty percent less than coverage in the assigned risk market.

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[redacted] found a market for Windy City Labor with AmComp Assurance Corporation, an insurance carrier with whom [redacted] has [redacted] Prior to actually providing insurance coverage to Windy City Labor, two loss control representatives

159A-CG-110953-36

Investigation on 1/25/00 at Chicago, ILFile # 194B-CG-105773 Date dictated 1/26/00

by [redacted]

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Continuation of FD-302 of [REDACTED], On 1/25/00, Page 2

from AmComp's Indianapolis office went with [REDACTED] to the warehouse of Romano Brothers Beverage Company (a client of Windy City Labor to whom they provide day laborers). The representatives of AmComp interviewed the representatives of Romano Brothers Beverage Company and asked questions about what the laborers from Windy City Labor did. [REDACTED] went along to accompany the representatives of AmComp, but he did not actually participate in the questioning of the representatives of Romano Brothers Beverage Company.

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[REDACTED] always advises that companies provide the insurance carriers with truthful and accurate information regarding the number of employees that they employ and what kinds of work the employees are engaged in. [REDACTED] does not generally fill out the insurance accords that are provided to the insurance carriers; the producer/broker insurance agents usually fill out the accords. The accords list payroll information and detail employee classifications. Sometimes [REDACTED] takes the information from the producer/broker insurance agent and puts the information onto the accords. [REDACTED] advised that he would have to review the Windy City Labor file in order to check who filled out the accords on the account, but he believes that [REDACTED] then forwarded on to AmComp.

[REDACTED] has never met or talked to any representatives of Windy City Labor, but he is aware that Windy City Labor provides day laborers to various clients. Any workers' compensation claims that are filed on behalf of Windy City Labor employees go directly to AmComp; [REDACTED]

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[REDACTED] has spoken to a representative of Remedial Environmental Manpower, though he cannot recall the name of the person. [REDACTED] is certain that the person he spoke with was not [REDACTED] though he recognizes the name of [REDACTED] as someone associated with Remedial Environmental Manpower.

[REDACTED] last spoke to [REDACTED] about the Windy City Labor account at the time that AmComp agreed to provide workers' compensation coverage to Windy City Labor.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/31/00

[redacted]
[redacted]
[redacted] was interviewed by the undersigned agents and provided the following information:

[redacted]
which is headquartered at [redacted]
[redacted] hires approximately eight to ten day laborers per day from Windy City Labor Service to work at [redacted] is the site manager at the [redacted] [redacted] has been with [redacted] since September of 1996, and [redacted] has worked at [redacted] [redacted] would be responsible for calling Windy City Labor Service to hire day laborers if additional workers were needed for the [redacted]

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[redacted] was shown a copy of a letter written by [redacted] to [redacted] in late fall of 1995. In the letter [redacted] provides detailed descriptions of the types of duties performed by Windy City Labor Service's temporary workers at the [redacted] [redacted] These duties included [redacted] [redacted] advised that this would be an accurate representation of what Windy City Labor Service employees did at [redacted] at the time.

[redacted] was then shown a letter dated January 5, 1996, written by [redacted] in which [redacted] states that he was mistaken in his previous letter. [redacted] claims that [redacted] Windy City Labor Service employee worked at [redacted] [redacted] had no knowledge of either of the letters written by [redacted] could not explain why [redacted] would have written the second letter because the information contained in it is not true. [redacted] advised that [redacted] [redacted] knows of no Windy City Labor Service

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159A-CG-110953-37

Investigation on 1/28/00 at Chicago, IL

File # 194B-CG-105773 Date dictated 1/31/00

by [redacted]

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Continuation of FD-302 of [redacted], On 1/28/00, Page 2

employees who have ever worked in [redacted]
[redacted]

[redacted] previously hired
Windy City Labor Service employees to assist in [redacted]
assembly when [redacted]
Currently [redacted]
with their own employees.

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[redacted]
[redacted]
[redacted]

[redacted] is not aware of any personal relationship between
[redacted] and Windy City Labor Service or between [redacted] and any members
of the Duff family.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/01/2000

[redacted] previously interviewed, was interviewed at his residence. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted]

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[redacted]

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Investigation on 1/31/2000 at [redacted]

File # 194B-CG-105773-302 Date dictated 2/01/2000

by SA [redacted] RPW

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/02/2000

[redacted] telephone [redacted] cellular telephone [redacted] was interviewed at his place of employment, [redacted] Chicago, Illinois. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted]

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[redacted] recalled using day laborers from WINDY CITY LABOR SERVICE (WCLS) for two specific tasks in that department: a large alley cleanup project in Berwyn and as extra help on garbage trucks, usually after holiday weekends in which WASTE MANAGEMENT had a shortened week

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Investigation on 02/02/2000 at Chicago, Illinois

File # 194B-CG-105773

Date dictated 02/02/2000

by SA [redacted] rpw

FD-302a (Rev. 10-6-95)

194B-CG-105773

Continuation of FD-302 of [redacted]

On 02/02/2000, Page 2

to pick up the garbage.

[redacted] in 1995 or 1996, WASTE MANAGEMENT contracted the project with Berwyn in which WASTE MANAGEMENT would, among other things, replace all the 55 gallon garbage cans with 65 or 95 gallon garbage carts for all residential properties. [redacted] recalled that WCLS personnel were used to assemble the new carts, collect the old cans, and replace them with the carts. [redacted] related that the project lasted about 4-6 weeks and used approximately 10-12 WCLS employees per day. [redacted] indicated that the WCLS employees were monitored by WCLS Supervisors, who reported to Residential Supervisors from WASTE MANAGEMENT.

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[redacted] recalled that after WASTE MANAGEMENT had received the Berwyn Project, [redacted]

[redacted] WASTE MANAGEMENT had worked with in the past. After receiving [redacted]

[redacted] to use WCLS because they would be cheaper. [redacted] later indicated that it was well known throughout WASTE MANAGEMENT that [redacted] had a relationship with the DUFF family and that the DUFF family ran WCLS and WINDY CITY MAINTENANCE (WCM).

[redacted] identified as [redacted] after WASTE MANAGEMENT got the Berwyn Project. [redacted] stated that the [redacted] came out to the [redacted] location with a few WCLS managers and discussed what the WCLS laborers would be responsible for. [redacted] indicated that the [redacted] was told at the meeting that the laborers would have to assemble the carts, drop them off at the appropriate address, and remove the old garbage cans. [redacted] stated that he has never been to the WCLS location and stated that he has never met [redacted] or [redacted]

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[redacted] indicated that he was aware that other WASTE MANAGEMENT departments used WCLS and WCM laborers during the time he worked there. [redacted] indicated that WCLS laborers were used at WASTE MANAGEMENT's paper recycling plant and transfer station located at 1500 Hooker Street. [redacted] recalled that WCM and possibly WCLS laborers were used for cleanup at large Chicago events such as concerts and "Chicagofest." [redacted] stated that these day laborers would also bring the garbage to WASTE

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Continuation of FD-302 of [redacted], On 02/02/2000, Page 3

MANAGEMENT trucks parked outside these events. [redacted] indicated that he had never had any dealings with WCM personally.

[redacted] recalled that WASTE MANAGEMENT is also involved with the cities "Blue Bag" recycling project. [redacted] stated that WASTE MANAGEMENT picks up the recycling along with the commercial and residential garbage from their normal clients and brings it all to WASTE MANAGEMENT's paper recycling plant on Hooker Street. [redacted] stated that the blue bag recycling is kept separate at the Hooker Street location and ultimately brought somewhere else by WASTE MANAGEMENT. [redacted] stated that the paper recycling sort line is staffed with WCLS employees. [redacted] stated that WASTE MANAGEMENT has contracted with the City of Chicago to run four sort centers. [redacted] stated that WASTE MANAGEMENT owns three of these sort centers and leases the fourth from the City.

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[redacted] was shown a series of letters written in late 1995 and early 1996 by [redacted]

[redacted] regarding how many WCLS laborers worked at WASTE MANAGEMENT and the types of work they performed. [redacted] indicated that [redacted] the original letter to [redacted] in response to her request for the information. [redacted] recalled that he got [redacted]

[redacted]

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[redacted] correctly stated the number of WCLS employees and the type of work they did for WASTE MANAGEMENT. [redacted]

[redacted]

[redacted] indicated that he could not recall if [redacted] had called him directly or if [redacted] had called [redacted] about the second letter, but both were aware of the situation. [redacted] stated he did not know if [redacted] had written the second letter to [redacted]

[redacted] stated that [redacted] who was the [redacted] at the time, may

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Continuation of FD-302 of [redacted], On 02/02/2000, Page 4

also have known about the letters, but [redacted] is not sure of the extent of [redacted] knowledge.

[redacted] stated that WASTE MANAGEMENT has contracted with the City of Chicago to run four sort centers. [redacted] stated that WASTE MANAGEMENT owns three of the sort centers and the city owns one. [redacted] stated that he knows that day laborers from one of the DUFF related entities work at these sort centers, but [redacted] stated that everyone at WASTE MANAGEMENT just referred to the day laborers as being from "Windy City," and often did not distinguish between companies like WCLS, WCM, and REMEDIAL ENVIRONMENTAL MANPOWER (REM).

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[redacted] stated that [redacted] stated that everyone at WASTE MANAGEMENT was surprised when [redacted] knows to be "tight" with Mayor DALEY. [redacted] stated that [redacted] in Chicago. [redacted] stated that [redacted] is involved with a number of other businesses, but he was unsure who else was involved with these entities.

[redacted] stated that [redacted] is now working for another [redacted] in New York. [redacted] stated that he thinks that the [redacted] is headquartered in New Jersey. [redacted] stated that [redacted] has been promoted a few times, sent out to another [redacted] and ultimately came back to the [redacted] location.

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/10/2000

To: Chicago

From: Chicago

OC-1

Contact: SA [redacted] x3747

Approved By: [redacted] *AKA*

Drafted By: [redacted]

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]
ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;

Synopsis: Assign lead

Details: The above captioned case is an investigation of the alleged conflict of interest existing between Windy City Labor Service, a company owned/operated by the Duff family and Romano Brothers Beverage, Pacific Wine and Spirits and Union Beverage. Windy City Labor Service regularly provided non-union laborers to these companies who had collective bargaining agreements with Local 3, the Distillery Union.

Another aspect of this case is [redacted] [redacted] Windy City Maintenance (WCM). It is alleged that [redacted] John Duff Jr., is the true owner and operator of WCM. WCM participates in the City of Chicago's affirmative action program which requires contractors to share a portion of their city contracts with companies owned/operated by women or racial minorities. [redacted] role as [redacted] of WCM is in violation of the affirmative action program.

Due the numerous interviews and evidentiary documents involved in this case, assistance is requested.

OC-1 Roter:
Set lead for SA [redacted] assist case agent in this investigation.
ALV 2-11-00
2/10/00
TMS



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To: Chicago From: Chicago
Re: 159A-CG-110953, 02/10/2000

LEAD (s):

Set Lead 1: (Adm)

CHICAGO

AT CHICAGO, ILLINOIS

SA is assigned to assist case agent in the investigation of captioned case.

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(Mount Clipping in Space Below)

Monitor says John Duff III should be barred from union

BY CAM SIMPSON
FEDERAL COURT REPORTER

The son of a controversial city contractor should be barred from an international union for life because of his reputed organized crime ties and his alleged embezzlement of almost \$173,000, a court-appointed union monitor said in a report made public Friday.

John "Jack" Duff III, son of city contractors John F. Duff Jr. and

Patricia Green Duff, allegedly worked from 1989 to '91 in a no-show job as an international organizer with the Hotel Employees and Restaurant Employees International Union.

Duff collected \$172,930 for union work that was never performed, according to the report by union monitor Kurt W. Muellenberg.

His report also alleged that Duff was involved in a mob-connected bookmaking operation, had ties to former top Chicago rackets boss Rocco Infelise and threatened to use his mob ties to kill a police officer's family.

Most of the allegations were disclosed in late 1998 when Muellenberg's office released the findings of an extensive investigation into union corruption charges.

Since then, Duff's family has come under fire for netting millions of dollars in city contracts for a family janitorial firm that allegedly had a bogus designation as a woman-owned business.

City officials said late last year that they were nixing the Duffs' contracting preference because their firm was actually run by men.

A second Duff-connected company, Remedial Environmental Manpower, did not lose its designation as a minority-owned business.

According to the monitor's report, that company paid the union-connected Duff son \$72,610 in 1996.

(Indicate page, name of
Newspaper, city and state)
Pg.9
Sun-Times
Chicago, IL.

Date: 02/12/2000

Title: Monitor says John Duff III
should be barred from
union

Character:
or
Classification:
Submitting Office: Chicago

Indexing:

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FBI/DOJ

159A-CG-110953 -41

TWS

(Mount Clipping in Space Below)

Duff son accused of \$172,000 job scam at union

By Ray Gibson
and Andy Martin
TRIBUNE STAFF WRITERS

A lawyer appointed to root out corruption in one of the nation's most powerful unions said Friday that a member of the controversial Duff family cheated the labor organization out of \$172,000, knowingly associated with organized crime figures, and ran an illegal Florida sport bookmaking operation while on the payroll of the union.

Kurt W. Muellenberg, the Washington, D.C., lawyer appointed by the U.S. Justice Department and the hotel workers union to rid it of organized crime figures, banned Chicagoan John Duff III from ever holding a position in the labor organization.

Muellenberg released a report on Friday that detailed a two-year investigation of Duff and his ties to organized crime and his alleged ghost payroll for the Hotel Employees and Restaurant Employees International Union (HEREIU). Attempts to reach Duff's attorney for comment were unsuccessful.

Duff, 48, is one of three sons of John Duff Jr., the head of a family whose companies have won \$100 million in government business under Mayor Richard M. Daley. The family has held fundraisers for the mayor and provided campaign workers for Daley-supported candidates.

Following disclosures in the Tribune last year, the city concluded that one Duff firm, Windy City Maintenance, improperly had claimed it was a woman-owned business enterprise. The city yanked the designation that had allowed the firm to cash in on the city's minority set aside program.

The U.S. attorney's office now is investigating Windy City, and

sources said the federal inquiry also includes John Duff III's union role and the alleged Florida bookmaking operation.

Despite Muellenberg's report, Duff remains an official in the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union, Local 3, a union founded by his father.

Muellenberg's findings contend that in 1996, Duff was on several payrolls, pocketing more than \$202,000. In addition to his \$35,000-a-year job as an international organizer with the hotel workers, he received \$88,333 from the liquor union. He also drew salaries from a family-run business connected to Windy City Maintenance and \$72,600 from Remedial Environmental Manpower Inc., another firm associated with the Duffs that handles the city's Blue Bag recycling program.

Muellenberg has been working since 1995 to clean up the 250,000-member hotel workers union. He was appointed to monitor union activities after the U.S. Justice Department sued the

union, alleging that for 25 years the union was controlled by organized crime figures.

The union was run until 1998 by the late labor leader Edward Hanley of Chicago, one of the nation's most influential union bosses. Muellenberg forced Hanley to step down in 1998 and removed Hanley's son, Thomas, as the president of the powerful Local 1 in Chicago.

Muellenberg also previously issued orders revoking former U.S. Rep. Dan Rostenkowski's \$150,000 consulting contract with the union and ousted Robert Hickman, the convicted former head of the Illinois State Toll Highway Authority, from his job as a union organizer. The monitor took actions against both men because of their criminal convictions.

(Indicate page, name of Newspaper, city and state)
Pgs. 1&8 Sec. 1
Tribune
Chicago, IL

Date: 02/12/2000
Edition: Chicagoland North

Title: Duff son accused of \$172,000 job scam at union

Character
or
Classification:
Submitting Office: Chicago

Indexing:


The Duffs and Hanleys have been friends for years, according to the report. Edward Hanley testified in hearings before the monitor that he hired the younger Duff in 1991 to organize employees of the Alton Belle Casino in Downstate Alton.

But by 1992, the report contended, Duff actually was living most of the time in Florida, where his "principal occupation" was operation of an illegal bookmaking ring with organized crime figures from New York, New Jersey and Florida.

According to the monitor's report, the sports betting ring operated out of apartments that were first rented by the elder Duff and Windy City. Later, a Miami condominium was bought by the elder Duff.

The betting ring was the target of a federal investigation that fell apart when the lead FBI agent in Miami was caught embezzling funds from the agency.

But from December 1993 until about September 1994, a confidential informant inside the bookmaking operation was cooperating with authorities, court records show.

 FBI/DOJ

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159A-CG-110953-42

TWS

Devices that traced the phone numbers of outgoing calls were placed on phones in the operation, and there were 50 tape recordings of telephone conversations made during the investigation, the report said.

John Duff III "was overheard in approximately 15 conversations during the February through September 1994 period, mostly discussing betting lines," according to the report.

When Duff did return to Chicago, he spent a great deal of time gambling at Chicago area riverboats while on the union payroll, the report said.

"Of the 80 visits [Duff] made to Chicago area riverboat casinos during 1993-1996, at least 30 of these visits occurred during Local 1 office hours when [Duff] was allegedly in staff meetings and making office calls at HEREIU Local 1," the report found.

In just four trips to the gaming boats monitored by investigators, Duff used cash to buy \$36,300 in chips when he entered the boats.

The monitor concluded that because of his frequent stays in Florida, his trips to the boats, and overseas travel, Duff wasn't working when he filed reports saying he was.

"I find that [Duff] embezzled approximately \$172,930 from the HEREIU in the form of salary received for services not performed," Muellenberg concluded.

According to the report, Duff's association with organized crime figures dated to 1981 when he was working with a Kentucky gambler in Florida.

The report noted that Duff had testified as a government witness in the 1992 trial of reputed organized crime figure Rocco Infelice. Testifying under a grant of immunity, Duff said he often bet with mob bookmakers and had two meetings with Infelice over a gambling debt.

In November 1993, Duff was arrested by police in Miami Beach and boasted of his ties to organized crime figures in Chicago, threatening to have a police officer and his family killed, the report contended.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/17/2000

[redacted] (PROTECT IDENTITY) previously interviewed by the FBI was interviewed at his attorney's, [redacted] Chicago, Illinois, telephone number [redacted] office. Also present during the interview was [redacted] attorney for [redacted] telephone number [redacted]. After being advised of the purpose of the interview, [redacted] provided the following information:

[redacted] may be able to provide information on WINDY CITY LABOR SERVICE employees. [redacted]

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[redacted] was familiar with the legislation commonly referred to as the WURTZ law and recalled an occasion when [redacted] did not recall [redacted] making any threats or requests for campaign contributions to [redacted] campaign. [redacted]

Investigation on 02/16/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 02/17/2000

by SA [redacted] tdb

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/10/2000

[redacted] (hereafter referred to as [redacted] (PROTECT IDENTITY) Date of Birth [redacted] home address [redacted] was interviewed at the Department of Labor, Office of the Inspector General's Office, Room 756, 230 South Dearborn, Chicago, Illinois.

[redacted] was represented by attorney, [redacted] telephone number [redacted] Also present during the interview were Assistant United States Attorney's [redacted] Department of Labor, Office of the Inspector General's Office Special Agent [redacted] and Department of Labor, Office of Labor Management-Standards Special Agent [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] (hereafter referred to as [redacted] telephone number [redacted] has officially held this position since approximately [redacted] spends most of his time dealing with [redacted] (hereafter referred to as [redacted] was [redacted]

While [redacted] he dealt with JOHN DUFF, JR. [redacted] tried to keep [redacted] with the DUFF's. As long as [redacted] can remember, [redacted] has used WINDY CITY LABOR SERVICE (WCLS). [redacted] was not sure who made the decision to use WCLS but believed it was possibly [redacted]

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[redacted] (hereafter referred to as [redacted]

Investigation on 02/09/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 02/10/2000

44

by SA [redacted] tdb JDS

159A-CG-110953

Continuation of FD-302 of _____, On 02/09/2000, Page 2

WCLS workers mostly worked in the _____
_____. There were a couple of occasions when a WCLS
employee worked in a clerical position. Whenever there was not
enough _____ to handle the work load, a mid-level
manager _____ would contact _____ to
request more workers. It was when there was not enough _____
_____ that WCLS came in to work. WCLS employees normally
worked _____

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_____ have in the past had
dealings with the DUFF's and WCLS and would have knowledge about
the policy used to request WCLS employees. _____

_____ also had significant daily dealings with the DUFF's at WCLS

_____ had in the past received complaints from his
employees regarding the appearance and work ethics of WCLS
employees. Whenever _____ had a complaint he would contact WCLS
and talk to _____

_____ ran WCLS because he was _____ point of contact at
WCLS. _____ did not conduct any business concerning WCLS with

_____ discussed the problems
_____ had with WCLS employees with _____
_____ the response was the same, _____ When there
was a problem or grievance with the _____

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_____ did have conversations with _____
_____ on several occasions regarding _____ business.
_____ however, did not recall when these meetings occurred.
During these meetings with _____ was
also present. _____

_____ was afraid to go to a different temporary agency
because of fears of retribution. _____ feared possible problems
caused by union workers such as production slow downs, shrinkage
or breakage of merchandise. _____ spoke about one incident in

159A-CG-110953

Continuation of FD-302 of [redacted], On 02/09/2000, Page 3

[redacted]

[redacted]

[redacted] was not happy about this and the following Monday after the new conveyor system went into operation, [redacted]

[redacted] For example, a load that would have normally taken 8 hours to [redacted]

because of the slow down and orders were incorrectly loaded.

[redacted] believed these problems were directly related to his plan

[redacted]

[redacted]

[redacted] also feared for the personal safety of his family. [redacted] had never been personally threatened by the

[redacted]

[redacted] fired a young woman who worked as [redacted] found out about the firing, he went to [redacted] and threatened to kill him. After that meeting between [redacted] the young woman was rehired.

[redacted] up until the last time in 1998, provided [redacted]

[redacted] first became

aware of [redacted]

[redacted]

[redacted] was the person JOHN "JACK" DUFF, JR. would call to get the

[redacted]

[redacted] received a telephone

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159A-CG-110953

Continuation of FD-302 of [redacted], On 02/09/2000, Page 4

call from JACK DUFF, JR. [redacted] came out of the office and said you wouldn't believe what this so and so wants (meaning JACK DUFF, JR.) and told [redacted] about the conversation and what JACK DUFF, JR. had requested. [redacted] told [redacted] not to do it. [redacted] you don't know these people.

[redacted]

[redacted]

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In approximately [redacted] REMEDIAL ENVIRONMENTAL MANPOWER (R.E.M.) had submitted a contract bid to do work at

[redacted]

[redacted]

[redacted] was not sure if it was [redacted] that had been approached by R.E.M. but he believed [redacted] had approached someone at [redacted] to use R.E.M. [redacted] knew that R.E.M.'s bid was [redacted]

[redacted]

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE

Chicago, Illinois

Date: West Final Edition

Edition: February 12, 2000

Page One

Title: "Duff son accused of \$172,000 job scam at union"

Character:

or

Classification:

Submitting Office:

Indexing:

Duff son accused of \$172,000 job scam at union

By Ray Gibson
and Andy Martin

TRIBUNE STAFF WRITERS

A lawyer appointed to root out corruption in one of the nation's most powerful unions said Friday that a member of the controversial Duff family cheated the labor organization out of \$172,000, knowingly associated with organized crime figures, and ran an illegal Florida sport bookmaking operation while on the payroll of the

union.

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Muellenberg released a report on Friday that detailed a two-year investigation of Duff and his ties to organized crime and

his alleged ghost payrolling for the Hotel Employees and Restaurant Employees International Union (HEREIU). Attempts to reach Duff's attorney for comment were unsuccessful.

Duff, 48, is one of three sons of John Duff Jr., the head of a family whose companies have won \$100 million in government business under Mayor Richard M. Daley. The family has held fundraisers for the mayor and provided campaign workers for

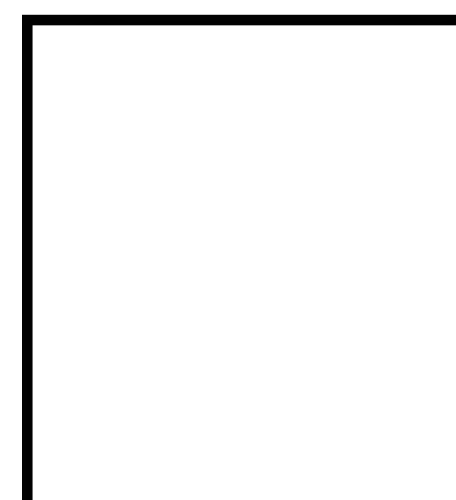
Daley-supported candidates.

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The U.S. attorney's office now is investigating Windy City, and

SEE DUFFS, PAGE 8 7

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JAS

159A-C6-110953-45

[Handwritten signature]

Duffs

CONTINUED FROM PAGE 1

sources said the federal inquiry also includes John Duff III's union role and the alleged Florida bookmaking operation.

Despite Muellenberg's report, Duff remains an official in the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union, Local 3, a union founded by his father.

Muellenberg's findings contend that in 1996, Duff was on several payrolls, pocketing more than \$202,000. In addition to his \$35,000-a-year job as an international organizer with the hotel workers, he received \$88,333 from the liquor union. He also drew salaries from a family-run business connected to Windy City Maintenance and \$72,600 from Remedial Environmental Manpower Inc., another firm associated with the Duffs that handles the city's Blue Bag recycling program.

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The report noted that Duff had testified as a government witness in the 1992 trial of reputed organized crime figure Rocco Infelice. Testifying under a grant of immunity, Duff said he often bet with mob bookmakers and had two meetings with Infelice over a gambling debt.

In November 1993, Duff was arrested by police in Miami Beach and arrested on his ties to organized crime figures in Chicago, the report said. He is alleged to have a police officer and his family killed, the report contended.

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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[Redacted]

[Redacted]

B. [Redacted]

2. At all times relevant to these charges, [Redacted] John F. Duff, Sr., and [Redacted]

operated a number of corporations engaged in the business of temporary labor, maintenance and waste disposal out of offices located at 174-178 N. Halstead Street, Chicago, Illinois. These corporations include the following:

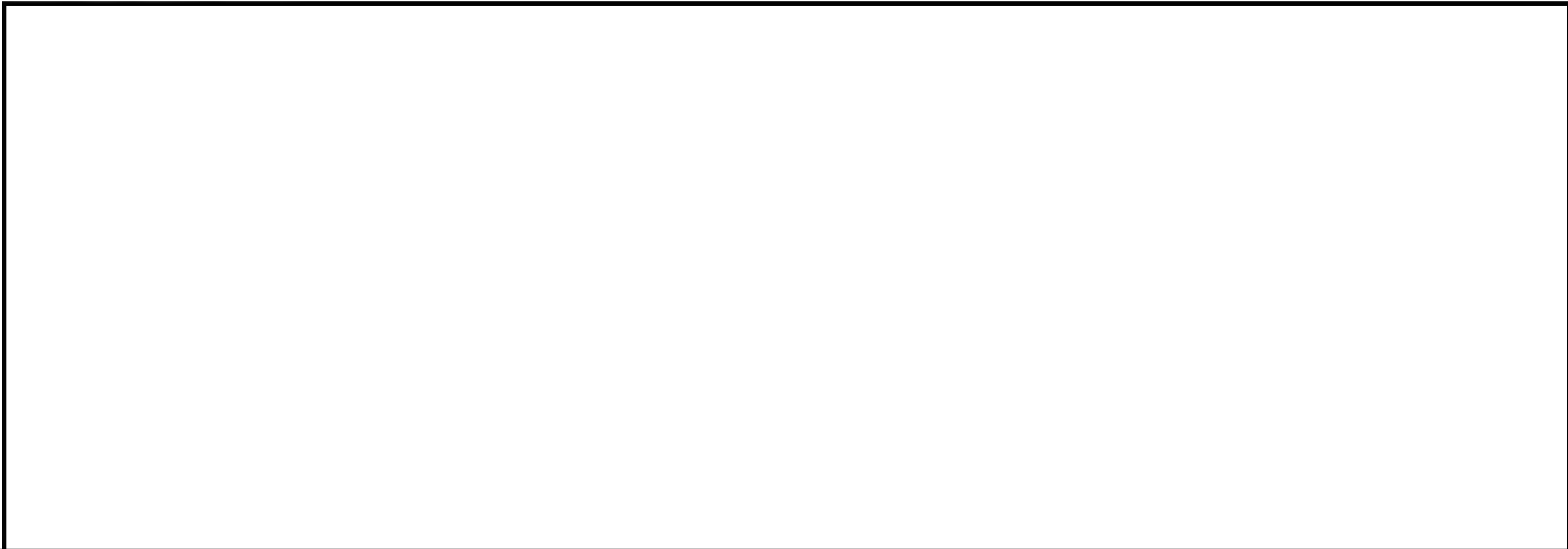
NAME OF COMPANY	DATE OF INCORPORATION	
Windy City Labor Service, Inc.	June 15, 1982	
Windy City Maintenance, Inc.	May 11, 1989	
American Management & Consulting, Inc.	January 11, 1996	

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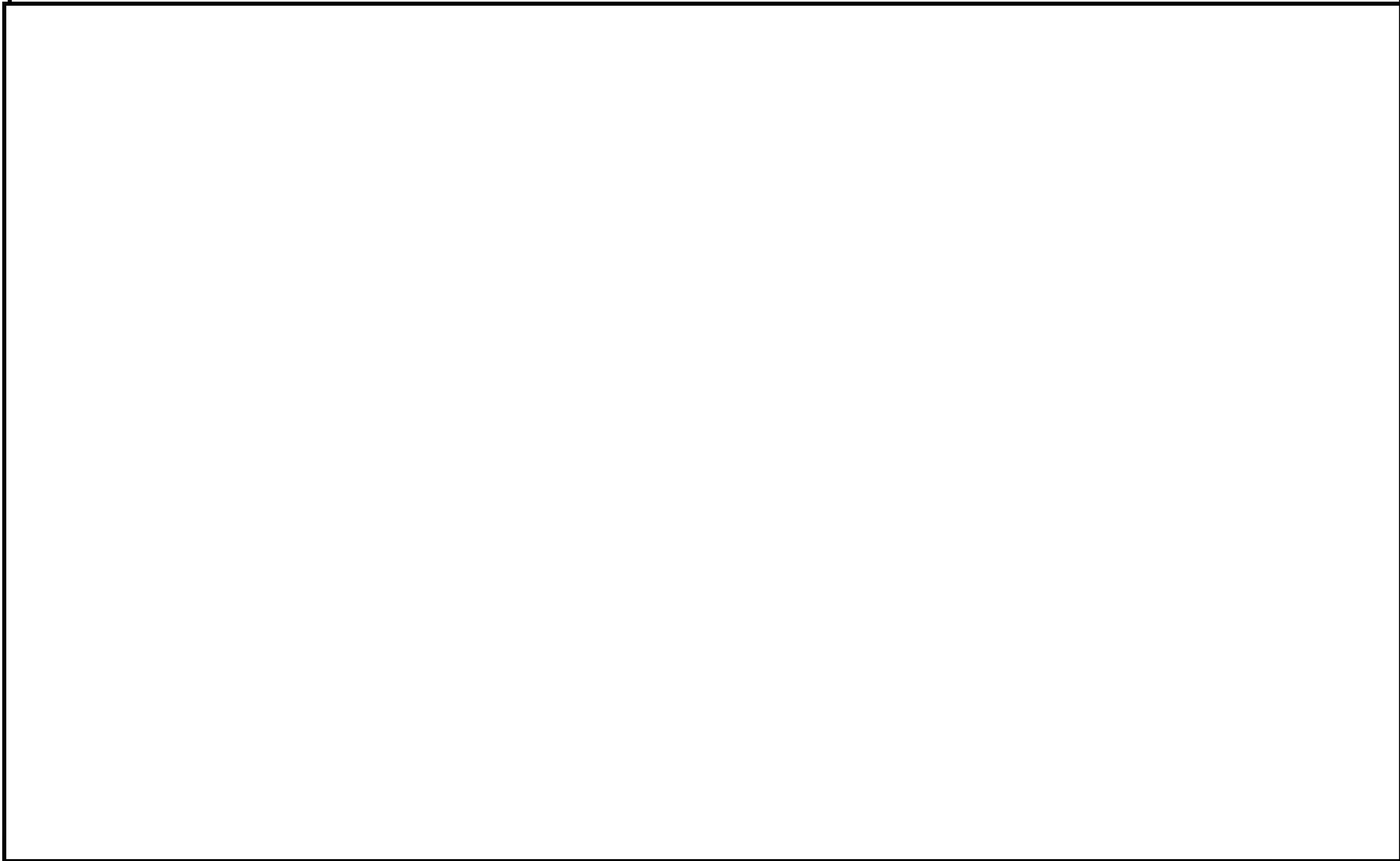
[Redacted]

3. At all times relevant to these charges [Redacted]



[Redacted]



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 John F. Duff, Sr., is a prior convicted felon. On September 2, 1981, a grand jury empanelled in the United States District Court for the Northern District of Illinois returned an indictment alleging, *inter alia*, that John F. Duff, Sr., then a Vice President of the Distillery, Wine and Allied Workers International Union, Secretary-Treasurer, Distillery Workers Distillery Workers Local 3, and Special Representative of the International Union assigned to oversee the affairs of Distillery Workers 42 in Detroit, Michigan, converted approximately \$76,604 in unauthorized expense payments, abstraction of checks and making a false entry in a Distillery Workers Distillery Workers Local 3 record. See United States v. Duff, 529 F. Supp. 148, 150 (N.D. Ill. 1981). After pleading guilty to two counts of the indictment, John F. Duff, Sr., was sentenced on or about April 23, 1982, to 6 months incarceration on a work release program. 

[Redacted]

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[Redacted]

[Redacted]

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[Redacted]

Sea Air Towers

("SAT"), 3725 South Ocean Drive in Hollywood, Florida.

[Redacted]

[Redacted]

J. F. Duff, Sr., and

[Redacted]

[Redacted]

[Redacted] lived at the SAT. [Redacted]

[Redacted]

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[Redacted]

(a) On or about August 9, 1991, J. F. Duff, Sr. [Redacted] leased Apartment 801, a furnished apartment, at the SAT for approximately \$1,350 per month. J. F. Duff, Sr., and [Redacted] continued to occupy Apartment 801 until approximately February 1996.

(b) On or about August 9, 1991, J. F. Duff, Sr. [Redacted] also leased Apartment L10 at the SAT for \$700 per month. J. F. Duff, Sr. [Redacted] continued to lease apartment L10 until August 31, 1996.

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(c) On or about September 13, 1993, Windy City Maintenance, Inc. ("WCMI"), leased Apartment 802 at the SAT for \$1285 per month. The lease was signed on behalf of WCMI by [Redacted] WCMI continued to lease Apartment 802 until approximately November 1995, when J. F. Duff, Sr., and [Redacted] signed a contract to purchase Apartment 802 for

[Redacted]

[Redacted]

approximately \$173,400. [Redacted]

22. The eleven (11) present or former [Redacted] interviewed indicated that [Redacted] J. F. Duff, Sr. [Redacted] lived at the SAT, except for periodic visits to Chicago.^{s/} A number of these individuals said that prior to moving into the SAT in approximately 199 [Redacted] lived at the Diplomat Hotel.

[Redacted]

[Large Redacted Area]

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[REDACTED]

(h) At approximately 1:50 p.m. on Friday, November 3, 1995, [REDACTED]

[REDACTED] J. F. Duff, Sr., passed through the USCS pre-clearance station in Winnipeg, Canada en route to the United States via airline. [REDACTED]

[REDACTED]

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(Mount Clipping in Space Below)

Contracts boss out in shake-up by Daley

By Gary Westfahl
Times Staff Writer

Contracting irregularities that have put political pressure on an embarrassed Mayor Richard Daley and forced a string of reforms cost the city's purchasing chief his job Thursday.

For weeks City Hall insiders had said it was only a matter of time before purchasing agent Alexander Grzyb took the fall after the Tribune revealed that questionable contracts had been awarded to two politically connected firms. The ax finally came down just before a news conference at which Daley announced the appointment of Grzyb's successor.

David Malone, 39, an executive recruited from the R.R. Donnelley & Sons Co. printing firm, will be the city's "chief procurement officer," a revamped title that Daley said reflects a wider range of responsibilities to ensure integrity in acquisition and delivery of goods and services.

Malone's responsibilities will go well beyond the specific role of purchasing agent to include all aspects of the contract process, including bidding, management and accountability, Daley said.

At the heart of Thursday's change were Tribune revelations surrounding the two contractors, Windy City Maintenance and G.F. Structures.

Windy City Maintenance, a janitorial firm that has won millions of dollars in business under a city affirmative-action program, falsely portrayed itself as being operated by a woman. Critics charged that

SEE DALEY, BACK PAGE

(Indicate page, name of newspaper, city and state.)

Chicago Tribune pg. 1&26
Section 1

Date: February 18, 2000

Edition:

Front page

Title: Contracts boss out in
shake-up by Daley

Character:

or

Classification:

Submitting Office: Chicago

Indexing:

① 108

Daley

132-108-48

Daley

CONTINUED FROM PAGE 1

The program, designed to give a boost to female- and minority-owned firms, has been compromised by "front" companies that siphon off set-aside business.

G.E. Structures, a fence company that also has garnered millions in city business, regularly charged prices far higher than stipulated in its contract, a Tribune investigation found.

In his prepared remarks announcing Malone's appointment, Daley made no reference to the outgoing purchasing chief. But when questioned, Daley avoided criticism of Grzyb, saying that he had left because he "wanted to go."

Grzyb has held a succession of public jobs. He was a staffer in the Cook County state's attorney's office when it was run by Daley in the 1980s. When Daley was elected mayor in 1989, Grzyb followed him to City Hall.

Grzyb "did a very good job" and "worked hard in 27 years in government," Daley said.

"You can't just blame him" for contract problems, the mayor said.

"You can't just blame one person. The process broke down. That's what happened. It is called internal bureaucracy."

Attempts to reach Grzyb for comment were unsuccessful.

The Tribune has revealed that Grzyb is a friend of Richard Crandall Jr., the owner of G.E. Structures. One of Grzyb's nephews works for another Crandall-owned company.

And it was on Grzyb's watch that Windy City Maintenance won



Tribune photo by José Moré

David Malone is introduced Thursday as Chicago's new chief procurement officer, which replaces the position of purchasing agent.

and retained designation as female-owned.

G.E. Structures also has contributed to Daley's political coffers, and Crandall buys commercial insurance from John Daley, the mayor's brother.

Men in the Duff family—who the Tribune investigation found actually control Windy City Maintenance—have provided political workers for the mayor on Election Day and have held at least two fundraisers for him, including a breakfast a year ago at which he made an appearance.

The patriarch of the family, John Duff Jr., and his son, John Duff III, have ties to organized crime.

The appointment of Malone, an African-American, is expected to

go down well with black aldermen, who have complained about front companies getting affirmative-action business and about what they consider to be a grossly inadequate volume of city contracts with black-owned firms.

Malone, who has been in the Chicago area for only 14 months as director of worldwide procurement for Donnelley, vowed to run a straight operation.

"I am a pretty tough guy," said Malone, who is married and the father of three. "I was brought up in the '60s in Mississippi. My dad was a farmer, and we came from strong traditional values around church and trying to do the right thing. I would resist any attempts to influence things unduly."

Malone, who previously worked for Xerox Corp., Medical Specialties Co. and Digital Equipment Corp., said bosses and friends at past jobs sometimes sought to intervene in purchasing decisions.

"People always try to influence things, and I think you have to have a set of values and a process that has integrity to drive the right decision," he said.

Assuming City Council approval of his appointment, Malone would earn \$135,000 a year. Grzyb received \$104,000. Still, Malone's salary would be a cut of about \$15,000 from what he made at Donnelley, officials said.

Malone said he was attracted to the city job because of his desire to give the Purchasing Department "credibility beyond what the perceptions are today" and "because I love a challenge."

Malone, who plans to move his family from Naperville to Chicago, said he expects to start his new job on March 22.

Under state law, municipal purchasing agents must be given four-year terms, to shield them from undue political pressure.

Daley said Grzyb was "acting" purchasing agent despite his long tenure because of Daley's opposition to awarding employment contracts that ultimately could require costly buyouts.

But the mayor said he has decided to offer a permanent title and four-year term to Malone "so it doesn't become an issue" with the media as Grzyb's situation had become.

Daley bristled at the suggestion that Grzyb's departure might be window dressing to obscure the fact that both Windy City Maintenance and G.F. Structures have ties to Daley or his family.

"Wait, wait, wait," the mayor said. "I will make you a bet that if you go through everybody in the city, I know somebody. When the Tribune comes in and talks to me about a planned manufacturing district, do they have ties to me? Yes they do."

Executives of Tribune Co. and the newspaper met with Daley last summer to outline expansion plans for the Freedom Center printing plant at Chicago Avenue and Halsted Street and to "try to get a commitment from the city to maintain that area for industrial use," said Kelly Shannon, a company spokeswoman.

The administration decided to back the proposal, and a zoning plan is expected to be considered soon by the Chicago Plan Commission, Shannon said.

Daley later acknowledged that the newspaper never asked for anything inappropriate and that he meets with executives from corporations to discuss a variety of requests "every day."

Malone is one of nine children raised on a farm in Pickens, Miss. His father, John Henry, was a local civil rights leader, Malone said.

Malone's father led a voting-rights effort and drew a volley of gunfire into the family home one night after deciding to become the first black man to run for county-wide office.

There were no injuries, but David Malone, who was 5, said. "The second night was more fun, because my dad and his brothers and some of his friends waited for a return visit and they were quite ready. I was proud of that."

The assailants returned but "left quickly" as bullets flew their way, Malone said.

(Mount Clipping in Space Below)

City urged to investigate Duff pay-law compliance

By Laurie Cohen and Gary Washburn
TRIBUNE STAFF WRITERS

Supporters of Chicago's living-wage ordinance called on city officials Wednesday to look into claims that politically connected Windy City Maintenance and other contractors may not be paying their workers the wages required by law on city jobs.

At a news conference at City Hall, Ald. Theodore Thomas (15th) said he asked purchasing officials to investigate wages paid by Windy City Maintenance and other firms and report in 10 days.

Windy City Maintenance, a janitorial firm run by members of the controversial Duff family, is required to comply with the statute in paying day laborers who clean up after Taste of Chicago and other festivals under a contract with the Mayor's Office of Special Events.

The living-wage ordinance requires certain city contractors to pay day laborers and other select employees at least \$7.60 an hour. The City Council passed the measure, which is designed to benefit the working poor, in 1998.

when it also approved raises for aldermen.

Asked at an unrelated event about allegations the city isn't enforcing the law, Mayor Richard Daley said, "I'll look into it."

Thomas said a study released Tuesday by researchers at the University of Illinois at Chicago has raised questions about how well the city is enforcing of the ordinance.

The study by the university's Center for Urban Economic Development found that 82 percent of 510 homeless people surveyed last October earned less than \$5.50 an hour at their most recent jobs. More than one-fourth said they had worked in City of Chicago buildings or at city-sponsored events such as Taste of Chicago.

Nik Theodore, the UIC center's director, said the study focused on how many homeless people were involved in day labor and didn't directly address the city's enforcement of the living-wage law.

"Our study is being held up to have found more than what we found," he said.

Theodore said the study did indicate that the city may not be

enforcing the ordinance with respect to wage payments under subcontracts. He also said some of the homeless people surveyed mentioned low wage payments by Windy City Maintenance.

Windy City Maintenance officials referred questions to their attorney, who couldn't be reached. Last year, the city ruled that Windy City Maintenance had improperly claimed that it was a

controlled by a woman and bumped it from a city set-aside program designed to aid female and minority-owned businesses. Tribune staff writer Ray Gibson contributed to this report.

(Indicate page, name of newspaper, city and state.)

Section 2, pg 3
Chicago Tribune

Date: 02/24/2000

Edition:

MetroChicago

Title: City urged to investigate Duff pay-law compliance

Character:

or

Classification:

Submitting Office: Chicago

Indexing:

⊗ JWS

159A-CG-110953
-50

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/22/00

Source, who is not in a position to testify, provided the following information:

[redacted] run WINDY CITY MAINTENANCE (WCM). [redacted] MAYOR DALEY gave WCM the contracts for NAVY PIER, MCCORMICK PLACE and O'HARE AIRPORT without putting the contracts up for bids.

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[redacted]

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159A-CG-110953-53

PROGRAM COPY

Investigation on 1/18/00 at Chicago, Illinois

File # [redacted] 29B-CG-111610 -35 Date dictated 1/22/00

by SA [redacted]

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[Handwritten initials]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/24/2000

A Source, who is not in a position to testify, provided the following information:

[redacted] (phonetic), who the Source believes holds a position as [redacted] indicated that [redacted] [redacted] (phonetic), is about to be fired for [redacted] with the DUFF's. Apparently, [redacted] because of the recent DUFF investigation.

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Investigation on 02/24/2000 at Chicago, Illinois (telephonically) [redacted] [signature]
File # [redacted] 159A-CG-110953 -54 Date dictated 02/24/2000
by SA [redacted] :mwm [signature]

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055 mwm 05 302

OC-1

The following investigation was conducted by Special Agent [redacted] at Chicago, Illinois.

On January 26, 2000 and February 1, 2000, [redacted] Deputy Corporation Council, [redacted] Senior Supervising Attorney, and [redacted] Senior Supervising Attorney, attorney's from the City of Chicago, Department of Law, Commercial and Policy Litigation, Room 900, 30 North LaSalle Street, Chicago, Illinois 60602, were present at the United States Attorney's office, 5th floor, 219 South Dearborn, Chicago, Illinois. Also present were Assistant United States Attorney's [redacted] and [redacted] and Department of Labor, Office of the Inspector General's office Special Agent [redacted]

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[redacted] had been involved in an internal investigation into WINDY CITY MAINTENANCE's (hereafter referred to as WCM) classification as a Woman Business Enterprise (WBE). During the course of their investigation, [redacted] conducted numerous interviews of employees of WCM, MCCORMICK PLACE and PIER AUTHORITY (hereafter referred to as MPPA), the Mayor's Office of Special Events, and the Purchasing Department for the City of Chicago.

On August 20, 1999, [redacted] WCM was interviewed. [redacted] has been the [redacted] WCM since being hired by [redacted]

[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/25/00

Source, who is not in a position to testify, provided the following information:

The company that [redacted] secretly took over the contracts for [redacted] and the [redacted]. These contracts were originally held by WINDY CITY MAINTENANCE (WCM). WCM lost the contracts due to the negative newspaper publicity.

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Investigation on 2/17/00 at Chicago, Illinois

File # [redacted] 29B-CG-111610 Date dictated 2/25/00

by SA [redacted]

159A-CG-110953-57

Jane

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/17/00

Source, who is not in a position to testify, provided the following information:

[Redacted]

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[Redacted] is close to [Redacted] that works under [Redacted] Source does not know the name of the [Redacted] but believes that he is the [Redacted] contact with the DUFF family and the WINDY CITY MAINTENANCE (WCM) contracts. [Redacted]

WCM still has some connection to the contracts for [Redacted]

[Redacted] is concerned that the FBI is reviewing records that show the association between WCM and [Redacted] will be interviewed by the FBI regarding these contracts.

159A-CG-110953-58

Investigation on 3/15/00 at Chicago, Illinois

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File # [Redacted] 194B-CG-105773 Date dictated 3/17/00

by SA [Redacted] mb

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/22/2000

To: Chicago

From: Chicago

OC-1

Contact: SA [redacted] ext 2829

Approved By: [redacted] TMB/KLB

Drafted By: [redacted] tdb JAS

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]

ET AL:
LMRDA-1959;
OO: CHICAGO

Synopsis: To provide a brief synopsis of Chicago's trip to Miami between 13 March and 17 March, 2000.

Details: Between March 13 and March 17, 2000, agents from the FBI, Department of Labor and Internal Revenue Service traveled to Miami in order to conduct a review of Miami's illegal gambling case against [redacted] was a subject, [redacted]

[redacted]

SA's [redacted]
Chief Division Counsel SSA [redacted]

[redacted]

During the trip, Miami case 281A-MM-67889 and 52B-MM-85018 were reviewed. The purpose of reviewing the above captioned files was to attempt to locate any evidence that would place [redacted] in Miami between 1992-1996. The main file

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159A-CG-110953 - 59

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To: Chicago From: Chicago
Re: 159A-CG-110953, 03/22/2000

and subsequent sub-files for 281A-MM-67889 were reviewed for pertinent information. The Source file was also reviewed.

The evidence being sought was photographs, surveillance reports, and/or corroborated source information. It was determined that there was no significant evidence that would be beneficial to the on-going Chicago criminal investigation against

[REDACTED]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/24/00

Source, who is not in a position to testify, provided the following information:

[redacted] is paying monthly kickbacks to the DUFFS at WINDY CITY MAINTENANCE (WCM) for the contracts at [redacted]. The DUFFS told [redacted] exactly what to bid in order to get the contracts.

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[redacted]

[redacted]

The DUFFS have hired sales people to try to generate private business to replace the government contracts that they are losing. The DUFFS have a job placement/temporary employment service and also have trucks working for the city.

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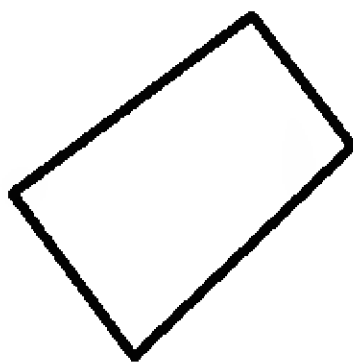
159A-CG-110953
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Investigation on 3/21, 23/00 at Chicago, Illinois

File # [redacted] 29B-CG-111610 - 61 Date dictated 3/24/00

by SA [redacted] Wib

WCC MR. GANN COORDINATOR [signature]



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/4/00

Source, who is not in a position to testify, provided the following information:

[redacted] has been consulting with her attorney regarding setting up investors in [redacted]

[redacted]

[redacted] The older DUFF at WINDY CITY MAINTENANCE (WCM) told [redacted] what she needed to bid to get this contract. In return for this information, [redacted] pays him something each month. DUFF has been helping [redacted] cover the payroll, but as of [redacted]

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[redacted] It is not known which of the DUFFS [redacted] is dealing with.

[Large redacted area]

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159H-CG-110953-65
JAS

Investigation on 3/31/00 at Chicago, Illinois

File # [redacted] 194B-CG-105773 Date dictated 4/4/00

by SA [redacted], SA [redacted]
SA [redacted] :mb

FEDERAL BUREAU OF INVESTIGATION
FOIPA
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No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 233

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/29/00

[redacted] customer service representative for [redacted]
[redacted] was interviewed by
the undersigned agents in the presence of his attorney, [redacted]
[redacted] provided the following information:

[redacted] has worked at [redacted]
[redacted] present title is customer
service representative and his duties include acting as liaison
between insurance companies and insurance brokers. In 1993 or
1994 [redacted] began working with [redacted] an insurance
broker, on four of [redacted] accounts. These accounts consist of
Windy City Labor Service, Windy City Maintenance, Curtis Storage
and Trucking, and Remedial Environmental Manpower. About three
times per year [redacted] speaks telephonically with [redacted]

[redacted] usually deals with [redacted] on
these accounts. [redacted] gives information on the accounts to
[redacted] in written form and [redacted] then uses the information to
fill out insurance applications for the clients.

On at least one occasion [redacted] forwarded an
application known as an acord to the insurance company after
[redacted] had filled it out. This was an acord for Windy City
Labor Service and [redacted] forwarded the copy provided by
[redacted] to AmComp Insurance. [redacted] was attempting to obtain
a quote from AmComp as to the potential cost of workers'
compensation coverage for Windy City Labor Service. [redacted]
described Windy City Labor Service's experience modifier as the
worst that he has ever seen and he explained that a high
experience modifier is an indication that the insured's payroll
may be misclassified and that the insured's employees sustain a
high number of injuries.

In late June of 1999, [redacted]
visited Romano Brothers, a client of Windy City Labor Service,
with loss control representatives from AmComp in a further effort
to ascertain whether or not AmComp would be willing to provide
workers' compensation coverage for Windy City Labor Service.
[redacted] did not actually visit the Romano Brothers warehouse, but
[redacted] discussed the visit with him afterwards. [redacted] then

159A-CG-110953

Investigation on 3/27/00 at Chicago, ILFile # 194B-CG-105773-302 Date dictated 3/29/00

by [redacted]

194B-CG-105773

Continuation of FD-302 of [REDACTED], On 3/27/00, Page 2

telephoned [REDACTED] and told him that [REDACTED] would need correct payroll figures if [REDACTED] was going to be successful in securing coverage from AmComp. [REDACTED] replied that a new application would be faxed to [REDACTED] cannot remember if he was present for [REDACTED] telephone call to [REDACTED] or if [REDACTED] told him about it later. Later that same day [REDACTED] told [REDACTED] that [REDACTED] had called back to say that [REDACTED] had screamed at [REDACTED] for submitting the new application to [REDACTED]

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[REDACTED] last spoke to [REDACTED] when [REDACTED] called to ask [REDACTED] could provide him with the current payroll for Windy City Labor Service. [REDACTED] was surprised that [REDACTED] did not have a copy of the payroll and he asked [REDACTED] why he did not have his own copy. [REDACTED] did not give [REDACTED] an answer. [REDACTED] told [REDACTED] that he wanted to start working on the renewal of Windy City Labor Service's workers' compensation coverage. [REDACTED] thought that this was odd because the current coverage does not expire until July 5, 2000, and the usual practice is to start on renewals about sixty days before the expiration date.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/10/00

[redacted] was interviewed by the undersigned agent and provided the following information:

[redacted] The Hartford on Windy City Labor Service (WCLS) in two separate years. The Hartford had provided WCLS with worker's compensation coverage in the assigned risk market. The purpose of the audits was to verify the information provided by WCLS regarding the total amount of WCLS' payroll and the job classifications of the payroll [redacted]

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[redacted] and met with [redacted] for WCLS. [redacted] and [redacted] provided [redacted] with various documents which verified WCLS' payroll.

[redacted] also performed an audit on WCLS for the worker's compensation insurance that was provided by The Hartford for the period that ended in July of 1998. This audit was not conducted until September of 1999. The audit was conducted at the request of WCLS, a representative of which sent a letter to The Hartford requesting the re-audit. The initial audit had never been finalized and WCLS was having problems getting further worker's compensation coverage as a new company will not provide coverage if an old audit has not been cleared. [redacted] believes that the letter from WCLS requested that he contact [redacted] again. [redacted] did attempt to contact [redacted] but he never received a response from her and he eventually met with another representative of WCLS, [redacted] met with [redacted]

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[redacted] did not receive client lists from [redacted] but the audit went well and he sent his report back to The Hartford. [redacted] did not do everything that he might have done during a normal audit because so much time had elapsed since The Hartford had ceased providing coverage to WCLS.

[redacted] never met [redacted] during his visits to the WCLS office.

159A-CG-110953-68

Investigation on 4/7/00 at Chicago, IL

File # 194B-CG-105773 Date dictated 4/10/00

by [redacted]

194B-CG-105773

Continuation of FD-302 of [redacted], On 4/7/00, Page 2

[redacted]
[redacted] The Hartford provided in the assigned risk market
to Windy City Maintenance from [redacted] The
[redacted]
[redacted] will

search for additional records on this account and the WCLS
audits, but he is not certain if any documents still exist.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/18/2000

[redacted]
was interviewed at her place of employment, [redacted]

After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] indicated that she worked as the [redacted] until approximately [redacted] indicated that prior to that she did [redacted] explained that [redacted]

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[redacted] indicated that [redacted] was a "value-added reseller" (VAR) who sold software like "Platinum for Windows" and then consulted in teaching companies how to use the product to efficiently run their businesses.

[redacted] recalled that WCLS/WCM were using "Platinum" for a DOS operating system [redacted] recalled that management at WCLS/WCM were unhappy with the Platinum software and contacted the company who had sold them the software. [redacted] indicated that the software was used to conduct and manage a company's financial affairs including accounts payable, accounts receivable, and payrolls. [redacted]

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[redacted] indicated that she had no prior relationship with the DUFFs or anyone at WCLS/WCM

[redacted]
[redacted] indicated that WCLS/WCM was basically doing their books by hand [redacted]

Investigation on 04/18/2000 at [redacted]

File # 194B-CG-105773-302 Date dictated 04/18/2000

by SA [redacted] *epw*

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Continuation of FD-302 of _____, On 04/18/2000, Page 2

[Redacted]

[Redacted] related that WCLS and WCM were located on Halstad Street [Redacted] indicated that the businesses had different doors, separate telephone, and indicated that the businesses had distinct areas that were not commingled.

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[Redacted] recalled the following WCLS employees: [Redacted] (phonetic), and a [Redacted] Last Name Unknown (LNU). [Redacted] stated that [Redacted] LNU was the [Redacted] [Redacted] believed that [Redacted] was responsible for [Redacted] the day laborers for WCLS. [Redacted] [Redacted] was at WCLS before [Redacted] arrived and was not related to the DUFFS. [Redacted] indicated that [Redacted] for WCM and possibly WCLS.

[Redacted] also recalled that she would see both [Redacted] and [Redacted] in the office when she was there. [Redacted] indicated that [Redacted] was at the office "occasionally." [Redacted] indicated that she saw [Redacted] in the office a few times and estimated that [Redacted] was in the office about once per month in the winter and a little more when the weather was warmer. [Redacted] indicated that she generally worked Monday through Friday from approximately [Redacted]

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[Redacted] indicated that she would work until [Redacted] on rare occasions. [Redacted] also indicated that she would sometimes be out of the office working on [Redacted] explained that WCM had [Redacted]

[Redacted]

[Redacted] indicated that she was not responsible for working on insurance matters for WCLS and WCM and she did not know who was. [Redacted] stated that she did not complete any

194B-CG-105773-302

Continuation of FD-302 of [redacted], On 04/18/2000, Page 3

applications for insurance for WCLS/WCM. [redacted] did recognize the name [redacted] and recalled that she had printed payroll summaries for him on a few occasions. [redacted] related that someone at WCLS or WCM had initially told her to get [redacted] these summaries and she had done it from that time on when he needed them.

[redacted] related that she had no knowledge of the type of work the day laborers did for WCLS. [redacted] stated that she had

[redacted]

[redacted] indicated that each new WCLS employee was assigned a payroll classification that corresponded to warehouse work, clerical work, or some other classification.

[redacted] recalled [redacted] [redacted] indicated that someone at WCLS was responsible for putting new employees into the computer, [redacted]

[redacted] indicated that she could not look at a specific rate of pay for an employee or a customer invoice and determine what classification a particular employee was. [redacted]

[redacted]

[redacted] was unsure who actually input the employees and who decided how to classify them. [redacted]

[redacted] but did not really know much about the day-to-day operations of WCLS and WCM.

[redacted] indicated that she had seen a lot of the WCLS and WCM client names because she dealt with financial reports, but could only recall JUDGE & DOLPH. [redacted] indicated that she did not have any direct contact with WCLS or WCM clients and did not handle the billing, invoices, or anything with the day-to-day operations. [redacted]

[redacted]

[redacted] described many of the WCLS employees as homeless people who crowded on the street by the Halstad location early in the morning to work. [redacted] stated that it was difficult for her to know who was doing what type of work because she was not there

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194B-CG-105773-302

Continuation of FD-302 of [redacted], On 04/18/2000, Page 4

[redacted]
[redacted] indicated that she could not base it just on their appearance, which was often a t-shirt and jeans when the weather was warm, and indicated that [redacted]

[redacted]

[redacted] indicated that when she left the [redacted]
[redacted]

[redacted] indicated that she had read about WCM in the newspapers and was aware of the problems they had with the City of Chicago relating to [redacted]
[redacted] indicated that she had not been contacted or interviewed by anyone from the City of Chicago. [redacted]
[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/19/2000

To: Chicago

Attn: SA [redacted] Sq OC-1

From: Chicago

NRA/NRA1 at O'Hare

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :smj

Case ID #: 159A-CG-110953-72 (Pending)

Title: [redacted] aka;
ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT - 1959 - LCN

Synopsis: To document lead coverage by FBI Chicago at [redacted]
[redacted]

Reference: 159A-CG-110953 Serial 64

Enclosure(s): Enclosed for FBI Chicago, SA [redacted] are two originals of Federal Grand Jury (FGJ) Subpoenas served upon [redacted] an original and a copy of an FD-302 interview with [redacted] an original and a copy of an FD-302 of an interview with [redacted] and two 1A envelopes containing [redacted] records and [redacted] records for [redacted]

Details: In referenced communication, FBI Chicago [redacted] requested to serve United States District Court, FGJ subpoenas on [redacted] as relating to [redacted]
[redacted]

As detailed in the enclosures, the FGJ's subpoenas were served on [redacted] who in turn did provide the requested records which are enclosed.

At this time, FBI Chicago [redacted] considers all leads covered and will conduct no further investigation in captioned matter.

♦♦

mark lead covered
SA
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(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: West Final Edition
Edition: May 6, 2000
Page One

Title: "Workers seek to break from Duff-run union"

Character:

or

Classification:

Submitting Office:

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Indexing

Workers seek to break from Duff-run union

By Andrew Martin and Jorge Luis Mota
TRIBUNE STAFF WRITERS

Already under investigation by the federal government, the politically connected Duff family has developed another headache from an unlikely source: a group of tortilla makers on Chicago's Southwest Side.

The tortilla makers are employees of Azteca Foods, and for the last six months they have been trying to figure out a way to oust

The rank and file at Azteca Foods say officers from the controversial family approved an inferior contract without their consent.

the union that represents them, the Duff-controlled Liquor and Wine Sales Representatives, Warehousemen, Clerical, Distillery, Rectifying, Tire, Plastic and Allied Workers Union Local 3.

The workers complain that Local 3 officials have done little to improve working conditions at Azte-

ca and have not fought to secure significant pay increases or better benefits. Furthermore, they contend that they have never been allowed to vote for Local 3's officers and have been threatened with physical harm for trying to decertify the Duffs' union.

The National Labor Relations Board is investigating an allegation that a Duff associate threatened Azteca workers, according to an NLRB spokesman.

"The union is more in favor of the company than the workers," said Alfonso Navarro, an Azteca worker and union steward.

In filings with federal regulators and in an interview, union officials and Azteca's owner suggest that the friction stems from a handful of disgruntled employees who are pressuring their colleagues.

But the conflict doesn't represent the first time the Duffs have been accused of neglecting union members.

The Tribune reported last summer that Windy City Labor, a Duff-owned company, was supplying non-union day laborers to the city's biggest liquor distributors, undermining members of Local 3 who work at the same liquor distributors.

The Tribune also reported that companies connected with the Duffs have won \$100 million in city-related business, many of them contracts set aside for women and minorities, since Mayor Richard Daley took office in 1989. The Tribune found that one of the companies, a janitorial firm called Windy City Maintenance and purportedly owned and operated by a woman, actually was run by the men in

159A-CG-110953-76

FBI

Union

CONTINUED FROM PAGE 1

the Duff family.

Prompted by the Tribune stories, the U.S. attorney's office is investigating the possible conflict of interest between the Duff union and Windy City Labor. Federal investigators also have sought records from the city on Windy City Maintenance and its certification as a woman-owned business.

Meanwhile, the workers, dissatisfied with the terms of their most recent contract, are trying to withhold their union wages from the Duffs' union. In January, the workers voted 70 to 10 to withhold their dues, a vote the union is challenging before the U.S. Department of Labor.

Ultimately, the workers said, they hope to oust the union altogether. A decertification vote could be held when the current contract expires in 2002.

The dispute has pitted a small group of laborers, most of whom cannot speak English, against one of the city's most prominent Hispanic businessmen, Azteca owner Arthur Velasquez, as well as a family that has been tied to some of the city's most powerful politicians and organized crime leaders.

Neither Patrick Duff, the union's president, nor his brother, Jack, the secretary and treasurer, could be reached for comment. The Tribune provided questions to an attorney representing the Duffs, but he did not respond.

But in documents filed to challenge the workers' vote to withhold their dues, an attorney for Local 3 alleged that Navarro had threatened workers if they didn't vote

against the union, a charge Navarro denies.

The attorney, William Widmer III, also alleged that Navarro distributed misleading literature to sway the vote and used methods that falsely suggested that Azteca's management supported the effort not to pay dues.

Velasquez, meanwhile, blamed the dispute on a handful of "militant" workers at the factory, 5005 S. Nagle Ave. He argued that his company's pay and benefits are far superior to its competitors and that many of his workers have more than 20 years on the job.

"There's a couple people who are very disgruntled—who are being advised by some outside people—who want to change the world," Velasquez said. "If this were such a bad place to work, why would you work here for more than 20 years?"

In an interview with the Tribune, four Azteca workers—Navarro, Josefina Bonilla, Angelina Diaz and Teresa Morales—all with 19 or more years on the job, said the Duff union has represented them for about six years. Until they began complaining last summer, the workers said they were not invited to vote on union officers and rarely saw representatives of Local 3, except when a new contract was being negotiated.

The labor problems intensified a year ago, however, when negotiations began on a new contract. The workers said they requested a 50-cent-an-hour wage increase, plus beefed up pension and medical benefits. The workers said the average pay is between \$8 and \$10 an hour.

The union, however, agreed to a contract that called for wage increases of 25 to 30 cents an hour with no additional benefits.

Navarro said he and some other

workers were infuriated when the union approved the contract without their consent. They filed a petition with the National Labor Relations Board for an election to withhold their union dues, which was scheduled for Jan. 14.

In the weeks before the vote, union president Patrick Duff met with workers at Azteca and brought along a Teamster official, Geno Rodriguez, to translate. In affidavits filed with the NLRB, three workers contend Rodriguez threatened them with "physical harm or other unspecified reprisals if the deauthorization campaign continued."

According to the affidavits, Rodriguez asked the workers if they knew what happened to Rudy Lozano, a union activist who was murdered in 1983.

"When Geno asked if we knew what happened to Rudy Lozano, I thought he was trying to scare us into giving up our fight," said Bonilla, a 26-year veteran at Azteca.

Rodriguez could not be reached for comment.

The workers, meanwhile, called the federal Occupational Safety and Health Administration last fall to complain about working conditions at Azteca. After a two-week inspection, OSHA investigators cited Azteca for a variety of problems, including excessive sound levels, failing to provide protective equipment and improper training for chemical hazards.

Velasquez said some violations were inevitable given the duration of the inspection and OSHA's "phone book full of rules." He said most of the problems have been addressed.

Jorge Luis Mota is a community and political reporter for Exito!, the Chicago Tribune's Spanish-language weekly.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/09/2000

[redacted]
 [redacted] Social Security Account Number [redacted]
 [redacted] was interviewed at [redacted]
 [redacted] telephone number [redacted] or [redacted]
 [redacted] After being advised of the identity of the
 interviewing Agents and the purpose of the interview, [redacted]
 provided the following information:

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[redacted]
 [redacted]

For the last 20 years [redacted] employees have been represented by a union. Currently, the employees at [redacted] are represented by the LIQUOR and WINE SALES REPRESENTATIVES, WAREHOUSEMEN, CLERICAL, DISTILLERY, RECTIFYING, TIRE, PLASTIC, and ALLIED WORKERS UNION LOCAL 3, the local run by [redacted]. [redacted] remembered the DUFF's being present for the collective bargaining agreement negotiations for at least the last twenty years. [redacted] negotiates the collective bargaining agreement every [redacted]. The last agreement was signed in [redacted]. When [redacted] was going through the negotiations, Local 3 was represented by [redacted] (PHONETIC), [redacted] (PHONETIC), and [redacted].

[redacted] employs [redacted] people working [redacted]. After the last collective bargaining agreement, several employees became [redacted]. [redacted] at the advice of counsel, has distance himself from [redacted] and [redacted] were two individuals involved with the [redacted] petition and sought enough votes to [redacted].

[redacted]

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Investigation on 05/08/2000 at Chicago, Illinois

File # 159A-CG-110953

Date dictated 05/09/2000

by SA [redacted] tdb/ys

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159A-CG-110953

Continuation of FD-302 of [REDACTED], On 05/08/2000, Page 2

[REDACTED] had heard that [REDACTED] was present at [REDACTED] on behalf of Local 3 acting as [REDACTED] stated it was a common practice by the DUFF's to use an interpreter from an outside union. [REDACTED] is a member of the [REDACTED] and has been a [REDACTED] Concerning the article in the CHICAGO TRIBUNE that stated [REDACTED] [REDACTED] commented that he had not been present during the incident but had heard about it. [REDACTED] was referring to the murder of RUDY LOZANO as someone who had died trying to organize unions and not as a threat.

[REDACTED] telephone number [REDACTED] was trying to get the employees of [REDACTED] to go with his union instead of Local 3.

[REDACTED] vehemently denied receiving threats from any of the DUFF's nor has he ever been approached by the DUFF's to provide any type of kickbacks. [REDACTED] has never been approached by the DUFF's to use either WINDY CITY LABOR SERVICE or WINDY CITY MAINTENANCE at [REDACTED] even though [REDACTED] contracts out their janitorial work and has in the past used temporary laborers. [REDACTED] believed it would have been unethical and a conflict of interest using one of the DUFF's companies.

[REDACTED] has offered copies of all [REDACTED] collective bargaining agreements to the interviewing Agents.

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FEDERAL BUREAU OF INVESTIGATION

05/12/2000

Date of transcription

Source, who is not in a position to testify, voluntarily provided the following information:

Source learned from [redacted] that WINDY CITY promised [redacted] contracts for [redacted] from the City of Chicago. Approximately one week ago, in [redacted] [redacted] told Source that based on information from someone at WINDY CITY, [redacted] would receive valuable City of Chicago contracts for [redacted]

Approximately one week later however, in [redacted] [redacted] told Source that they heard from WINDY CITY that [redacted]

A newspaper article in the CHICAGO TRIBUNE during the week of [redacted] made WINDY CITY very nervous, as the article apparently drew negative attention [redacted] Due to the negative publicity, WINDY CITY advised [redacted] that WINDY CITY could not risk drawing any more heat upon themselves and the contracts promised to [redacted]

Approximately one to two months ago, [redacted]

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Investigation on 05/12/2000 at Chicago, Illinois (telephonically)
File # [redacted] 194B-CG-105773 ~~114~~ Date dictated 05/12/2000
by SA [redacted] *OK*

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159A-CG-110953-78
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(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: Chicagoland Final Edition
Edition: May 18, 2000
Section 2; MetroChicago
Page One

Title:
"Duffs lose city
fest cleanups"

Character:
or
Classification:
Submitting Office:

Indexing:

Duffs lose city fest cleanups

New company wins contract

By Laurie Cohen
and Andrew Martin
TRIBUNE STAFF WRITERS

With the first summer festival at Grant Park a little more than two weeks away, city officials on Wednesday said they have finally selected a contractor for the massive task of cleaning up debris afterward.

But instead of Windy City Maintenance, the politically connected firm that has handled the job since shortly after Mayor Richard Daley took office, the city has tentatively selected an 8-month-old firm called Urban Services of America from among five firms that submitted proposals.

James Law, executive director of the Mayor's Office of Special Events, declined to say whether Urban Services of America submitted the lowest price.

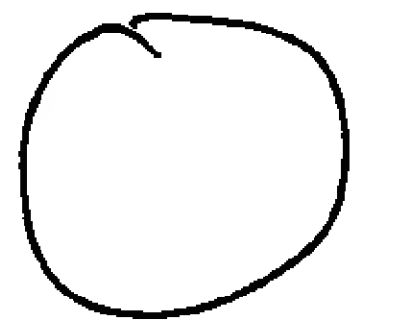
"This is based more on qualifications and their presentation," said Law, adding that the city would begin negotiating a contract in the next few days. The proposals are not available for public review until the city has signed a formal agreement. Last year, the contract was worth \$573,000.

But the city has yet to pick a company to make signs and banners for the festival, a contract some vendors say has been marred by favoritism for years. The company that now has the contract, Frank O. Carlson & Co., will continue providing signs until new proposals are considered.

Law struggled to explain why the city had yet to seek proposals for the sign contract, worth \$108,000 in 1999, though the annual Chicago Gospel Music Festival is scheduled for the first weekend in June.

Before this year, the Mayor's Office of Special Events had a waiver from city purchasing requirements that allowed agency officials to simply select janitorial companies, sign firms and

SEE CLEANUP, PAGE 2



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JLB

159A-06-110953-79

JMS FBI

Cleanup

CONTINUED FROM PAGE 1

certain other vendors for festivals, instead of putting the work out for bid. Law explained that delays in selecting vendors for this year's festivals were due in part to the change in policy requiring that vendors submit formal proposals.

The city changed its policy after the Tribune reported that Windy City Maintenance, a company purportedly owned and operated by a woman, was actually run by men in the Duff family, some of whom have organized crime connections.

Windy City Maintenance was created a month after Daley took office in 1989, and a year later it got a no-bid contract to clean up after summer festivals. Daley reportedly told the late Kathy Osterman, then head of the special events office, to make sure the Duffs got city work, an allegation Daley has denied.

Windy City Maintenance has held the contract ever since and also picked up cleaning contracts for the Harold Washington Library, the International Terminal at O'Hare International Airport, Navy Pier and McCormick Place. In all, the firm received as much as \$13 million a year in city-related business.

Earlier this year, after the city corroborated the Tribune's findings, Windy City Maintenance lost its woman-owned designation and about \$1 million of its city business.

Urban Services of America is an offshoot of Reclaim Service Technologies, a Chicago firm that has specialized in welding plastic garbage carts for cities.

Urban Services' president, Doug Ritter, said the company now does everything from cleaning California highways to transporting portable bathrooms for Chicago city festivals. He said the festival clean-up contract was "unique for anybody except for the prior contractor."

"We are not a business obviously that is posing as what we aren't," he said. "We are not minorities, and we are not women." But he added that his firm planned to give up to 60 percent of its work to minority- and female-owned subcontractors.

In another contract-related matter, city officials said they were re-evaluating proposals to manage concessions at O'Hare's domestic terminals after Daley pal Michael Marchese backed out as a partner in the firm that currently has the \$2.5 million-a-year contract and was favored to win it again.

Marchese, of Harlem-Irving Cos., dropped out as a partner in Airport Retail Management to devote more attention to developing property, according to a spokesman. The other partners in Airport Retail Management are Richard Stein's Mesirov-Stein Development Services and Sharon Gist Gilliam's Unison-Maximus. Stein and Gilliam are both political allies of Daley.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/5/00

[redacted]
date of birth [redacted] social security number [redacted] was interviewed at his place of employment, [redacted] Illinois. Also present for the interview were [redacted] attorney for [redacted]
[redacted] provided the following information:

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[redacted] Windy City Labor Service (WCLS) in [redacted] was told to go to WCLS at 174 North Halsted by his friend, [redacted] Last Name Unknown, and [redacted]
[redacted] he was sent to an assignment at [redacted]
[redacted] was also sent to work as a [redacted] several times and twice he was sent to [redacted] Eventually [redacted] was given a full-time assignment at [redacted]

[redacted] currently works at [redacted] usually works in [redacted]
[redacted] Occasionally [redacted] performs janitorial work. [redacted] has never performed any type of clerical work during any of his assignments for WCLS.

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[redacted] to get to his WCLS assignments. WCLS sends his check to [redacted]
[redacted] the [redacted] provides WCLS with the paperwork documenting the hours that [redacted] works during the week.

[redacted] does not know [redacted] or any members of the Duff family.

159A-CG-110953-80

Investigation on 5/2/00 at Chicago, IL

File # 194B-CG-105773 Date dictated 5/5/00

by [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/05/2000

[redacted] date of birth [redacted]
 [redacted]
 Illinois, was interviewed at his place of employment. Also present during the interview was Department of Labor, Office of the Inspector General's Office Special Agent [redacted] and [redacted]

[redacted] telephone number [redacted]
 After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] started in [redacted] and has been [redacted]
 [redacted] has talked to [redacted] 2 or 3 times. [redacted] recalled one occasion when he [redacted] know he was hiring FNU [redacted] and to make sure [redacted] did not mind. It was understood by [redacted] employees, to include [redacted] was to be kept appraised of what was going on at [redacted] knew [redacted] but had never talked to him.

In the past when thefts had occurred at [redacted] the practice was to inform [redacted] When [redacted] believed the theft warranted a criminal complaint being filed, [redacted] would intervene on behalf of the WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) employee and try to keep [redacted] from filing a police report. To keep [redacted] from getting involved now, [redacted] and afterwards inform WCLS. By handling the problem in this manner, [redacted] from getting involved.

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If there was a problem with a WCLS employee, [redacted] a list of those WCLS employees that were not to return to [redacted] and inform WCLS that the specific individual was not to come back to work at [redacted] knew that WCLS employed "homeless" people and due to their background theft of liquor and/or money was common.

Investigation on 05/02/2000 at [redacted]File # 159A-CG-110953 Date dictated 05/05/2000 -81

by SA [redacted] tdb 403

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/05/2000

[redacted] date of birth [redacted]
 [redacted]
 [redacted] Illinois, was interviewed at his place of employment. Also present during the interview was Department of Labor, Office of the Inspector General's Office Special Agent [redacted] and [redacted]
 [redacted], telephone number [redacted]
 After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted]
 [redacted]
 responsibilities included all aspects of [redacted]
 [redacted]
 [redacted]

WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) currently supplies 5 WCLS employees to work in the warehouse during the day shift, typically 8 or 9 WCLS employees as driver's/helpers one day a week, and 13 WCLS employees to work the third shift in the warehouse 4 nights a week. If ROMANO BROTHERS had not contacted WCLS for any employees, WCLS would call ROMANO BROTHERS to solicit work. [redacted] did not recall who from WCLS would call ROMANO BROTHERS.

WCLS employees do physical labor at ROMANO BROTHERS, such as loading trucks, working in the breakage area, and janitorial work. WCLS does not provide laborer's for clerical work. Approximately 15 to 20 percent of the work at ROMANO BROTHERS is currently done by WCLS employees.

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[redacted] was to eventually eliminate the use of WCLS employees and to hire more full-time union employees. [redacted] talked to [redacted] at ROMANO BROTHERS, about his plan to replace WCLS employees with union members but had not discussed his plan with [redacted] advised that it was understood by ROMANO BROTHERS employees that [redacted] was to be appraised of what was going on at ROMANO BROTHERS.

Investigation on 05/02/2000 at [redacted]

File # 159A-CG-110953 Date dictated 05/05/2000

-82

by SA's [redacted]: tdb [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/8/00

[redacted], Chicago, Illinois, date of birth [redacted] social security number [redacted] was interviewed at his place of employment, [redacted] Illinois. Also present for the interview were [redacted] attorney for [redacted]

[redacted] provided the following information:

[redacted] has worked for Windy City Labor Service (WCLS) for [redacted] assignment for WCLS was to Waste Management [redacted] worked at Waste Management for approximately [redacted] years. His duties there consisted primarily of working [redacted]

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[redacted] he reported back to the WCLS office on North Halsted Street. He met with [redacted] who suggested that [redacted]

[redacted] has now worked at [redacted] years. He works in [redacted] where his duties consist [redacted]

[redacted] has never performed any clerical work at [redacted] or at any of the other sites he has been assigned to by WCLS. [redacted] thinks that WCLS may have a van to transport laborers to job sites, but he has never received a ride to his job sites. [redacted]

[redacted] usually picks up his check at [redacted] but occasionally he has to pick it up at the WCLS office. [redacted] is the person with whom [redacted] usually deals at the WCLS office. [redacted] does not know [redacted] and he has only spoken to [redacted] on the one occasion when [redacted] recommended that he work at [redacted]

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159A-CG-110953-83

Investigation on 5/2/00 at Chicago, IL

File # 194B-CG-105773 Sub 302 Date dictated 5/8/00

by [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/08/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] Illinois, home telephone number [redacted] was interviewed at his residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] was hired by the [redacted] as an [redacted]

For his first three months at [redacted] worked [redacted]

[redacted] has know [redacted] (hereafter referred to as [redacted] for many years as a casual acquaintance. [redacted] has not had any work related dealings with [redacted] worked for [redacted] actually did. [redacted] had seen [redacted] a couple of times at the Local 1 office but after [redacted]

Every quarter, [redacted] would get together at [redacted] to discuss what was going on. These quarterly meetings were supposed to be mandatory, however, there were several occasions when [redacted] did see [redacted] at these meetings but could not recall how many times he had seen [redacted] either at the quarterly meetings or at [redacted]

[redacted] did not know that [redacted] was also affiliated with the [redacted] had not heard of WINDY CITY MAINTENANCE or WINDY CITY LABOR SERVICE before the Chicago Tribune articles were published.

Investigation on 06/07/2000 at [redacted]

File # 159A-CG-110953 Date dictated 06/08/2000
by SA [redacted] : tdb [redacted]

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159A-CG-110953

Continuation of FD-302 of [redacted], On 06/07/2000, Page 2

[redacted]
in [redacted] and currently works for the [redacted]
at [redacted]

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FD-302 (Rev. 10-6-95)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/12/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home address [redacted] Chicago, Illinois, home telephone number [redacted] was interviewed at his residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] is currently an [redacted] for WINDY CITY MAINTENANCE (hereafter referred to as WCM).

[redacted]

Before being hired by WCM, [redacted] worked at the [redacted]. It was while at the [redacted] first met [redacted] contracted job and asked [redacted] if he wanted to work for WCM. [redacted] went to WCM, located at 178 North Halsted, and talked with [redacted]. A couple of days later, [redacted] contacted [redacted] and offered him [redacted] a job. It was [redacted]

[redacted] first started [redacted] for WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) and did that for his first year. During that time, [redacted] went to the office every couple of days for his assignment. At that time, [redacted] saw [redacted] there occasionally. From [redacted] was [redacted] for WCM at the [redacted]

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When REMEDIAL ENVIRONMENTAL MANPOWER (hereafter referred to as R.E.M.) first started up, [redacted]

[redacted] worked at the [redacted]

Investigation on 06/09/2000 at Chicago, Il

File # 159A-CG-110953

Date dictated 06/12/2000

SA [redacted]
SA [redacted]

RS
tdb/jas

159A-CG-110953

Continuation of FD-302 of [redacted], On 06/09/2000, Page 2

[redacted] knows [redacted] at the WCM office. To [redacted] does not have any involvement with WCM. [redacted] knows [redacted] and sees him occasionally at WCM's office and knows [redacted] works for a union. [redacted] does not know what union [redacted] is affiliated with.

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[redacted] day to day point of contact at WCM is [redacted]
[redacted]

When [redacted] first started working for the DUFF's, he
[redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/12/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] Chicago, Illinois, work telephone number [redacted] was interviewed at her residence. After being advised of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] began her employment with the City of Chicago in [redacted] was [redacted] To provide a venue for smaller organizations, [redacted]

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While these festivals were running, someone referred [redacted] to contact "The DUFF's" and to hire them to clean up OLIVE PARK after the festivals. [redacted] did not recall who had told her about "The DUFF's" nor did she know the true name of the company. [redacted] only remembered them as "The DUFF's". [redacted] recalled talking to [redacted] about the festivals. [redacted] also knew [redacted] During her dealings with "The DUFF's", [redacted] had never met or talked to [redacted] [redacted] had met JOHN "JACK" DUFF, JR. but could not recall when or where.

[redacted] of KATHY OSTERMANN (DECEASED) [redacted] the Director of Special Events [redacted] recalled one occasion, after OSTERMANN had started at Special Events, when she (OSTERMANN) received a telephone call from "one of the DUFF's". [redacted] did not know which DUFF. The DUFF's told OSTERMANN that they were good friends of MAYOR DALEY and wanted to meet with her, which they (DUFF's) did. [redacted] did not know what OSTERMANN and the DUFF's discussed.

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After OSTERMANN's death, [redacted] replaced [redacted] replaced [redacted] Before [redacted] took his current position, [redacted]

Investigation on 6/8/2000 at Chicago, Il

File # 159A-CG-110953

Date dictated 06/12/2000

- 90

by SA [redacted] tdb [redacted]

159A-CG-110953

Continuation of FD-302 of [redacted], On 6/8/2000, Page 2

[redacted]
to work cultural development.

After all the newspaper articles concerning the DUFF's and their various business contracts with the city was published, [redacted] was upset and felt responsible for what happened.

[redacted]
currently the [redacted]
replaced [redacted]
[redacted]

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[redacted] returned to the City of Chicago in [redacted]
[redacted] Her responsibilities include
[redacted]

[redacted] had no knowledge of WINDY CITY LABOR SERVICE (WCLS) or WINDY CITY MAINTENANCE (WCM). The last time [redacted] talked to any member of the DUFF family was in 1989.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/13/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home telephone number [redacted] was interviewed at his [redacted] telephone number [redacted]

[redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] (hereafter referred to [redacted])
[redacted]
[redacted]
[redacted]

In [redacted] began working in the field on different projects, such as [redacted]

[redacted]
[redacted]
[redacted]

[redacted]
[redacted] previously reported to [redacted] currently reports to [redacted]

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In [redacted]
[redacted]

Investigation on 06/13/2000 at Chicago, Il

File # 159A-CG-110953 Date dictated 06/13/2000

91

by SA [redacted] *#2*
SA [redacted] *tdb/03*

159A-CG-110953

Continuation of FD-302 of _____, On 06/13/2000, Page 2

_____ had heard of the _____ but was not part of the _____ did not know who was involved with the _____ took place.

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_____ has know _____ (hereafter referred to as _____ began working for _____ recalled seeing _____ at the _____ remembered talking to _____ would wait for appointments. _____ could not recall when he had seen _____ in the office. _____ however, did not know what _____ had participated in nor what contracts _____ had never been worked a _____

Whenever Local 1 got involved with a "drive" _____

_____ The IO's for each particular "drive" would have daily meetings to discuss their progress and to ensure the IO's were not duplicating their efforts.

Every quarter _____ would have a meeting with the officers, BA's, and the rank and file. These meetings would be held at _____ did not know if their was a requirement for the IO's to attend but on several occasions, _____ recalled seeing _____ at these meetings.

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_____ did not know _____ was affiliated with the _____

_____ had heard of WINDY CITY LABOR SERVICE (WCLS) and WINDY CITY MAINTENANCE (WCM) but did not recall where he had heard of WCM or WCLS. _____ believed he had heard of WCM and WCLS before the newspaper articles were published in 1999. _____ had no personal dealings with WCM or WCLS.

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/15/2000

To: Chicago

From: Chicago
OC-1

Contact: SA [redacted] ext. 2829

Approved By: [redacted] *LS*

Drafted By: [redacted] tdb *LS*

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]

JOHN F. DUFF, JR.;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

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Synopsis: To document meeting between the writer and the United States Attorney's Office.

Details: This EC is in reference to a meeting held on June 14, 2000, between the writer and the United States Attorney's Office. Present at the meeting were Assistant United States Attorney's (AUSA) [redacted] and [redacted] and Supervisory Special Agent [redacted]. The purpose of the meeting was to discuss the above captioned case and several problems that have arose during the course of the investigation.

AUSA [redacted] was informed of a telephone call the writer had received from [redacted] City of Chicago. [redacted] requested that he wanted to be the point of contact for any in person or telephonic interviews the investigating agents wanted to conduct. AUSA [redacted] advised that his understanding in regards to interviewing City of Chicago employees was that as long as the employee was interviewed after hours and not on city property, the Corporation Council did not need to be informed. AUSA [redacted] advised the writer that he would contact [redacted] City of Chicago and reiterate the Government's position on this mater. AUSA [redacted] told the writer not to continue any further interviews of city employees until he had talked to [redacted]

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167tdb01.EC

159A-CG-110953 -93

To: Chicago From Chicago
Re: 159A-CG-110953, 06/15/2000

When asked about the names of the warehouse managers for the following liquor distributors, JUDGE & DOLPH, UNION BEVERAGE, and PACIFIC WINES, AUSA [redacted] told the writer he was working on obtaining the names of those individuals from the companies attorney's. AUSA [redacted] advised the writer he would have those names by Friday, June 16, 2000.

AUSA [redacted] requested that the investigating agent not to proceed with any interviews at the liquor distributors [redacted] due to the AUSA's are negotiating with counsel.

Also discussed during the meeting was the ghost pay rolling allegation against [redacted]

[redacted] Both AUSA [redacted] and [redacted] advised that the ghost pay rolling allegation was not a prosecutable case and that, at this time, the US Attorney's office would not prosecute.

AUSA [redacted] advised the writer that he would contact the attorney representing [redacted] to put pressure on having his clients comply with a federal grand jury subpoena for [redacted]

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(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/12/2000

To: Milwaukee

Attn: La Crosse RA
SA [redacted]

From: Chicago

OC-1

Contact: SA [redacted]

Approved By: [redacted]

MYP

Drafted By: [redacted]

tdb *MS*

Case ID #: ✓159A-CG-110953 (Pending)

Title: [redacted]

JOHN F. DUFF, JR;

[redacted]
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959 - LCN;
OC/DI - CHICAGO LCN;
OO: CHICAGO

Synopsis: To set lead for La Crosse Resident Agency.

Details:

The above captioned case was predicated at the request of United States Attorney Scott R. Lassar. The request followed several articles published by the Chicago Tribune revealing allegations that the Duff family and their businesses, WINDY CITY MAINTENANCE (WCM), WINDY CITY LABOR SERVICE (WCLS), and REMEDIAL ENVIRONMENTAL MANPOWER (R.E.M.) received approximately \$100 million from the city of Chicago since Mayor Richard Daley was elected. The allegations involve mail fraud/wire fraud in relation to the city contracts obtained by WINDY CITY MAINTENANCE and possible violations of the Taft/Hartley Act and kickbacks in relation to the use of non-union members at the four local liquor distributors in the Chicago area represented by Distillery Worker's Union, Local 3.

The Duff's have strong union ties with the Distillery Workers Union Local 3. John Duff, Jr. was a former executive for Local 3. [redacted]

[redacted] John Duff, Jr. also has strong ties to Organized Crime in Chicago and had close personal friendships with Outfit members Anthony Spilotro,

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159A-CG-110953-94

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To: Milwaukee From: Chicago
Re: 159A-CG-110953, 06/12/2000

Frank Buccieri and even testified on behalf of mob boss Anthony "Big Tuna" Accardo.

WCM is a janitorial service provider that was granted a WOMAN BUSINESS ENTERPRISE (WBE) classification in 1990. Since receiving the classification, WCM has provided janitorial services for the City of Chicago. The allegations are that [redacted] listed as the sole owner of WCM, was not the true owner of WCM. That, in fact, WCM was run by [redacted] was only listed as the owner to get the WBE status. Therefore, the WBE classification granted by the City of Chicago was fraudulently obtained.

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[redacted] was employed by the City of Chicago and had dealings with the Jim Duff and WCM. Because of her position and the involvement she had in relation to WCM and the City of Chicago, [redacted] is someone that Chicago needs to interview. The following information was from an interview of [redacted]

[redacted] after the newspaper articles were published, conducted its own internal investigation into WCM and interviewed several key individuals, including [redacted]

[redacted] had been employed by [redacted] In 1990, after Richard Daley had been elected mayor, WCM received a contract for the Taste of Chicago. Between 1990 and 1995, WCM's janitorial contract with the City remained the same and WCM received the same payment. In 1995, [redacted] wanted to increase the payment WCM received from the City of Chicago for THE TASTE OF CHICAGO. [redacted]

[redacted]

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The following information was obtained from the Illinois Secretary of States Office and from the United States Postal Police:

Name:
DOB:
Height:
Weight:
Hair:
Eyes:
Former Address:

Current Address:

To: Milwaukee From: Chicago
Re: 159A-CG-110953, 06/12/2000

Telephone number: [REDACTED]

The purpose of approaching [REDACTED] is to ascertain her willingness to cooperate. The preliminary interview is to explain why she is being approached and to advise her that agents from Chicago would like to interview her in detail about her involvement with [REDACTED] and WCM in relation to [REDACTED]. Explain to [REDACTED] that the interview can be conducted at her residence. Try to minimize any fears [REDACTED] may have in talking to Chicago agents. When she [REDACTED] was interviewed by [REDACTED] was very nervous and apprehensive. It is necessary that [REDACTED] be approached in person and not telephonically. The goal is to get [REDACTED] to cooperate.

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To: Milwaukee From: Chicago
Re: 159A-CG-110953, 06/12/2000

LEAD (s):

Set Lead 1:

[REDACTED]

AT [REDACTED]

To conduct an in person interview of [REDACTED]

♦♦

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/31/2000

[redacted] previously interviewed, was interviewed at the Chicago Division of the Federal Bureau of Investigation (FBI), 219 South Dearborn Street, Chicago, Illinois. Also present during the interview were [redacted] attorneys, [redacted] After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] indicated that he recalled [redacted] [redacted] telephone [redacted] about the possibility of finding a market for WINDY CITY LABOR SERVICE (WCLS) in the voluntary worker's compensation market in 1999. [redacted] indicated that [redacted] initially called [redacted] [redacted] employee who handled most of the day-to-day matters on the WCLS file, and inquired about finding this voluntary insurance.

Following [redacted] telephone call, [redacted] stated that he and [redacted] insurance company regarding WCLS. [redacted] stated that he knew that this occurred at least 90 days prior to the end of WCLS prior worker's compensation policy because he thought that it was unusual that they were looking so soon. [redacted] explained that it is [redacted] practice and the industry custom to handle renewal and new carriers approximately 60 days prior to the end of an existing policy. [redacted] was shown an unsigned acord, dated [redacted] with a fax header indicating that it came from [redacted] and showing WCLS payroll as \$1,592,000 clerical (code 8810), 438,000 labor union (code 8755), \$91,900 Building Operations (code 9015), and \$75,000 warehousing (code 8292). [redacted] stated that it was probably the acord that [redacted] when he first sought voluntary worker's compensation insurance, but he was unsure if [redacted] filled it out. [redacted] stated that the information definitely came from [redacted] or someone [redacted] stated that [redacted] worked on the Windy City accounts. [redacted] indicated that it was not uncommon for acords to be unsigned when initially submitted. [redacted] indicated that the acord identified [redacted]

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95
194B-CG 110953-105

Investigation on 03/30/2000 at Chicago, Illinois

File # 194B-CG-105773-WCLS Date dictated 03/30/2000

by SA [redacted] SA [redacted] rpw

194B-CG-105773-WCLS

Continuation of FD-302 of [REDACTED], On 03/30/2000, Page 2

[REDACTED] because [REDACTED] not [REDACTED] had a contract with AMCOMP as a general agent. [REDACTED] stated that because [REDACTED] [REDACTED] to find a market for their client. [REDACTED] was not sure exactly why [REDACTED] was in such a hurry to get voluntary insurance, but he recalled that they had some audit problems with their previous carriers in the assigned risk market.

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[REDACTED] stated that he and [REDACTED] tried to place WCLS with AMCOMP because AMCOMP was a [REDACTED] business partner who was new to Illinois and aggressively seeking business in 1999. [REDACTED] stated that AMCOMP was asking for clients and [REDACTED] was giving them a chance to quote a lot of business in order to build business with them. [REDACTED] stated that he dealt with a [REDACTED] LNU in AMCOMP's underwriting department. [REDACTED] stated that he thought that [REDACTED] LNU was in AMCOMP's Westchester, Illinois office. [REDACTED] indicated that AMCOMP just recently opened a Chicago office, but did not have one at the time. [REDACTED] indicated that AMCOMP was a monoline carrier and explained that AMCOMP only dealt with one type of insurance, worker's compensation. [REDACTED] stated that this was unusual, but it also meant that they would often help when the market was difficult. [REDACTED] indicated that they did not put WCLS in the voluntary market when they initially sought it while their assigned risk policy was in affect. [REDACTED] indicated that nothing was done for awhile and then he and the AMCOMP people decided that it might be beneficial to visit one of WCLS' clients so that the AMCOMP underwriting and loss control people could get a better feel for the risk involved and what WCLS employees did. [REDACTED] stated that he also liked to get out and look at significant clients to see what they did. [REDACTED] explained that by this time it was getting close to July, the time that WCLS needed to renew their policy or find a new carrier.

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[REDACTED] explained that he then called [REDACTED] and raised the possibility of visiting one of WCLS' client sites. [REDACTED] stated that [REDACTED] ultimately agreed to this and chose ROMANO BROTHERS and arranged for [REDACTED] and two AMCOMP loss control employees to visit ROMANO BROTHERS. [REDACTED] indicated that he, two AMCOMP loss control employees from Indianapolis, and an unknown male from WCLS management were walked through the warehouse by an unknown male in management

194B-CG-105773-WCLS

Continuation of FD-302 of [REDACTED], On 03/30/2000, Page 3

with ROMANO BROTHERS. The walk through did not include any documentation being shown by [REDACTED] or anyone else, and consisted of the AMCOMP personnel asking questions and being shown who was a WCLS employee by the manager from WCLS and being told what was going on by the ROMANO BROTHERS manager. [REDACTED]

[REDACTED] stated that during the walk through he noticed that all of the WCLS employees they were shown were performing janitorial functions such as sweeping or serving as line workers counting bottles.

[REDACTED] recalled talking to the AMCOMP loss control people after the walk through and saying that he hoped that [REDACTED] could help them find a market. [REDACTED] related that the AMCOMP loss control indicated that they were going to provide a report for underwriting on the visit to ROMANO BROTHERS, but he did not know if it was written or verbal. [REDACTED] stated that it may have been verbal because of the timing. [REDACTED] explained that they were working to get WCLS voluntary insurance before their assigned risk policy ran out in early July, 1999. [REDACTED] did not recall speaking to the WCLS manager after the walk through.

[REDACTED] stated that after the walk through, he spoke to [REDACTED] LNU in AMCOMP's underwriting department. [REDACTED] recalled that she told him that the premium would be considerable if they did it, but she did not say they would take WCLS on. A few days later, [REDACTED] LNU gave him a proposed quote of approximately \$175,00-180,000. [REDACTED] explained that this was based on WCLS having their payroll classified with \$1.2 million in warehousing. [REDACTED] indicated that he had seen the [REDACTED] documentation showing these payroll figures.

[REDACTED] stated that after he went to ROMANO BROTHERS in late [REDACTED] he realized that a lot of WCLS' employees were involved in warehouse and janitorial work. [REDACTED] stated that he then went back into his company's WCLS files in order to see what types of losses WCLS had and why their experience modifier was so high. [REDACTED] stated that he saw that their payrolls had been heavily classified as clerical in the past.

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194B-CG-105773-WCLS

Continuation of FD-302 of [REDACTED]

, On 03/30/2000, Page 4

[REDACTED] stated that he then called [REDACTED] and told him that he [REDACTED] had been out at ROMANO BROTHERS and needed to know what type of risk they had. [REDACTED] then told [REDACTED] that he needed the correct payroll in the governing classes of warehousing and janitorial work for WCLS. [REDACTED] then told [REDACTED] that he would get back to him. A short time later, [REDACTED] called back and said that he had new payroll numbers. [REDACTED] indicated that either [REDACTED] or himself then got the new numbers from [REDACTED] could not recall if they got a fax of the new numbers or a new application, but they got the new payroll numbers in some documented form in addition to the numbers [REDACTED] gave on the phone. [REDACTED] stated that these new payroll numbers reflected WCLS having \$1.2 million in warehousing payroll. [REDACTED] indicated that either he or [REDACTED] would have forwarded these numbers on to AMCOMP because [REDACTED] is not a general agent for AMCOMP.

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After receiving the new payroll figures, AMCOMP calculated the premium with these new numbers and came back with the quote of approximately \$175,000-180,000. [REDACTED] called [REDACTED] back with this quote and [REDACTED] was very surprised at how high it was. [REDACTED] told him that the amount quoted was correct based on the payroll figures. [REDACTED] indicated that [REDACTED] had the authority to accept or decline the offer, but [REDACTED] told [REDACTED] that he would get back to him on the quote.

[REDACTED] recalled that [REDACTED] then called him and asked him about the quote from AMCOMP and expressed surprise at how high it was and asked if [REDACTED] could get AMCOMP to lower it. [REDACTED] that he would do what he could and he contacted [REDACTED] LNU in AMCOMP's underwriting department. [REDACTED] indicated that he was able to get AMCOMP to lower the premium to approximately \$165,000 and called [REDACTED] back to let them know what AMCOMP's final premium quote was. [REDACTED] recalled that they agreed to accept this premium in [REDACTED] [REDACTED] indicated that insurance carriers were providing as much as 50% scheduled credit on worker's compensation premiums at this time (1999) in order to get business. [REDACTED] indicated that this may have been the reason that [REDACTED] were so surprised. [REDACTED] stated that the type of discount that is offered, if any, depends on the insurance market at a given time.

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194B-CG-105773-WCLS

Continuation of FD-302 of _____, On 03/30/2000, Page 5

_____ called _____ back again a short time after _____ and told _____ was upset with him _____ the second set of payroll figures which classified \$1.2 million in payroll as warehousing. _____ did not know why _____ told him this, but _____ that he did not care that _____ was mad and he needed correct payroll figures.

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_____ does not recall ever discussing with _____ or _____ why the numbers changed so drastically and _____ indicated that he was unsure if he had discussed it with anyone at AMCOMP. _____ indicated that neither _____ ever explained why they took the quote despite the fact that they acted so surprised at how high it was and given that it was considerably higher than what they were paying in the assigned risk market at that time. _____ reiterated that WCLS was having some audit problems with their assigned risk carriers, but stated that he was not sure this was why they were trying to leave the assigned risk market.

_____ indicated that WCLS had been in the assigned risk worker's compensation pool for companies that could not obtain worker's compensation insurance in the voluntary market. _____ indicated that _____ had attempted to find voluntary market worker's compensation insurance for WCLS in the past, but had never succeeded. _____ stated that WCLS experience modifier had always been too high in the past. _____ indicated that most of _____ on the WCLS file had been done by _____ but stated that he and _____ worked very closely on accounts. _____ stated that he had not really done much on the WCLS file in 1999 until he arranged for the walk through at _____ stated that in the past, he and _____ knew that they would probably be unsuccessful in finding a voluntary market, but attempted to do so because they had a lot of business with the client. _____ explained that he knew that WCLS was owned by the Duff family, but indicated that he did not know any of the Duffs. _____ indicated that his company worked with _____ to provide voluntary insurance for a number of other Duff family businesses, including WINDY CITY MAINTENANCE (WCM), CURTIS STORAGE & TRUCKING, and REMEDIAL ENVIRONMENTAL MANPOWER (REM).

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194B-CG-105773-WCLS

Continuation of FD-302 of [REDACTED], On 03/30/2000, Page 6

[REDACTED] advised that he was unsure about the history of the Duff family's other companies or any other insurance problems with these companies. [REDACTED] did not recall any specific instances, other than WCLS, where another Duff company had problems with audits or misclassifying their payroll. [REDACTED] recalled that a few of the other Duff companies had larger payrolls than the 2.6 million at WCLS, including WCM at approximately 8 million in payroll and REM at approximately 5-6 million in payroll.

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[REDACTED] knew that a [REDACTED] LNU worked for several of the [REDACTED] [REDACTED] knew that [REDACTED] LNU handled these matters for WCLS, WCM, and REM. [REDACTED] stated that he does not know this [REDACTED] personally, but he has seen her name on documents in [REDACTED] insurance files and may have met her once when he was at one of the sites. [REDACTED] indicated that [REDACTED] [REDACTED] may know her better than he does.

[REDACTED] related that he also received a telephone call from [REDACTED] in February, 2000, regarding the federal investigation into the WCLS insurance matters. [REDACTED] stated that [REDACTED] called to warn him [REDACTED] that he was going to be visited by some FBI Agents regarding WCLS. [REDACTED] then told [REDACTED] that he had already spoken to some Agents and that he had retained an attorney. When [REDACTED] attempted to inquire about the matter, [REDACTED] informed [REDACTED] that he was not going to discuss it with him anymore and asked if there was any business matters which [REDACTED] wanted to discuss. [REDACTED] recalled that [REDACTED] began swearing at him and said, "You fucking baby. I thought you were a man. A man wouldn't worry about this." [REDACTED] continued to say that his attorney had advised him not to discuss the matter with anyone and [REDACTED] continued getting upset. [REDACTED] told [REDACTED] that he disapproved of what [REDACTED] was doing and had done and [REDACTED] indicated that his opinion of [REDACTED] and his character could not be any lower. [REDACTED] stated that [REDACTED] then hung up on him after yelling and cursing. [REDACTED] indicated that he still has business with [REDACTED] because they have policies that are ongoing, [REDACTED]

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[REDACTED] advised that his office had recently been informed that AMCOMP would not be renewing WCLS' workmen's compensation insurance policy due to the "frequency of claims."

194B-CG-105773-WCLS

Continuation of FD-302 of [redacted], On 03/30/2000, Page 7

[redacted] stated that [redacted] had received a call from someone in AMCOMP's Loss Control Department informing him of this and [redacted] had told him. [redacted] indicated that he had not informed anyone at [redacted] of this, and he was unsure if [redacted] had. [redacted] stated that he did not need to call them because [redacted] would receive a notice of this by regular mail within sixty (60) days of the end of the policy.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/20/2000

Source, who is not in a position to testify, voluntarily provided the following information:

Source received a telephone call from [redacted] [redacted] stated that JOHN DUFF, JR. (also known as JACK), and [redacted] [redacted] asked [redacted] to go with them to [redacted] [redacted]

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[redacted] [redacted] including the DUFFs and [redacted] were escorted into [redacted] [redacted]

[redacted] JACK was taking money out of his pocket and lost approximately [redacted] JACK showed no emotion while he was losing [redacted]

[redacted] [redacted]

[redacted] Source has been engaged in conversation with a man who claims he used to be in a liquor union with JACK. [redacted]

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[redacted] The man told Source that the DUFFs are very close to Mayor DALY. The man told Source that [redacted]

L: 172CAC 02 302

Investigation on 06/19/2000 at Chicago, Illinois (telephonically)
File # [redacted] 159A-CG-110953 Date dictated 06/20/2000
by [redacted]

OC 1

299

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/26/2000

To: Indianapolis

Attn: Merrillville RA

SA [redacted]
Sqd 7

From: Chicago

OC-1

Contact: SA [redacted]

Approved By: [redacted] *WBS*

Drafted By: [redacted] tdb *tdb*

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Case ID #: ✓159A-CG-110953 (Pending)

Title: [redacted]

JOHN F. DUFF, JR;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959 - LCN;
OC/DI - CHICAGO LCN;
OO: CHICAGO

Synopsis: To set lead for the Merrillville Resident Agency.

Details: Reference telecal between the writer and SA [redacted] dated 6/26/2000. The above captioned case was predicated at the request of United States Attorney [redacted]

[redacted] The request followed several articles published by the Chicago Tribune revealing allegations that the Duff family and their businesses, WINDY CITY MAINTENANCE (WCM), WINDY CITY LABOR SERVICE (WCLS), and REMEDIAL ENVIRONMENTAL MANPOWER (R.E.M.) received approximately \$100 million from the city of Chicago since Mayor Richard Daley was elected. The allegations involve mail fraud/wire fraud in relation to the city contracts obtained by WINDY CITY MAINTENANCE and possible violations of the Taft/Hartley Act and kickbacks in relation to the use of non-union members at the four local liquor distributors in the Chicago area represented by Distillery Worker's Union, Local 3.

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The Duff's have strong union ties with the Distillery Workers Union Local 3. John Duff, Jr. was a former executive for Local 3. [redacted]

[redacted] John Duff,

178tdb02.EC

159A CG-110953-97

To: Indianapolis From: Chicago
Re: 159A-CG-110953, 06/26/2000

Jr. also has strong ties to Organized Crime in Chicago and had close personal friendships with Outfit members Anthony Spilotro, Frank Buccieri and even testified on behalf of mob boss Anthony "Big Tuna" Accardo.

WCM is a janitorial service provider that was granted a WOMAN BUSINESS ENTERPRISE (WBE) classification in 1990. Since receiving the classification, WCM has provided janitorial services for the City of Chicago. The allegations are that

[redacted]
[redacted] was not the true owner of WCM. That, in fact, WCM was [redacted] was only listed as the owner to get the WBE status. Therefore, the WBE classification granted by the City of Chicago was fraudulently obtained.

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[redacted] served with a federal grand jury subpoena from the United States District Court Northern District of Illinois for [redacted]

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Source information, however, has placed [redacted] at [redacted] on [redacted] John Duff, Jr. The writer is trying to gather as much information that shows [redacted]

[redacted]

The following information has been obtained from the Illinois Secretary of State records:

Descriptive Data:

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[redacted]

Name -
Last:
First:
Middle:
DDN:
Race:
Sex:
Height:
Weight:
Hair:
Eyes:
DOB:
DOB:

[redacted]

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/05/2000

[redacted] date of birth [redacted] was interviewed at the United States Attorney's Office, 5th Floor, 219 South Dearborn, Chicago, Illinois. [redacted] was represented by attorney, [redacted] telephone number [redacted]. Also present during the interview were Assistant United States Attorney's [redacted] Department of Labor, Office of the Inspector General's Office Special Agent [redacted] and Department of Labor, Office of Labor Management-Standards Special Agent [redacted]. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] was hired by [redacted] [redacted] was responsible to fix the problems [redacted] was also responsible for the [redacted] from [redacted]

When [redacted] first began working for [redacted] he noticed the extensive use of WINDY CITY LABOR SERVICE (WCLS) employees. [redacted] [redacted] not to pursue an alternate temporary agency and to forget about [redacted] use of WCLS employees. [redacted] did not question [redacted] decision. While [redacted] was alive and running the business, [redacted] would handle any grievances concerning WCLS employees or Local 3 union members with [redacted]

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[redacted] recalled one occasion years ago when [redacted] tried to hire 2 individuals for summer work. After he had hired these two individuals, [redacted] received a telephone call from [redacted]. The conversation was brief but [redacted] remembers swearing at him and asking [redacted] who he [redacted] thought he was, hiring these two individuals without [redacted] approval. [redacted] understood that when [redacted]

Investigation on 05/03/2000 at Chicago, IllinoisFile # 159A-CG-110953Date dictated 05/05/2000by SA [redacted] tdb tdb

159A-CG-110953

Continuation of FD-302 of [redacted], On 05/03/2000, Page 2

[redacted] wanted to make any changes, [redacted] needed to be appraised of the changes.

[redacted] had been involved with [redacted]
[redacted]
[redacted]

The first time [redacted] had heard about the ownership of WCLS was what he had read in the newspaper. [redacted] believed [redacted] were the actual owners of WCLS. Since [redacted] started with [redacted] WCLS has been the only temporary company used by [redacted] understood that WCLS was to be the only temporary labor service to be used.

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[redacted] point of contact at WCLS was [redacted] or [redacted] knew who [redacted] was only by [redacted] would see [redacted] at [redacted] occasionally with [redacted]

[redacted]
[redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/26/2000

[redacted] date of birth [redacted] home address [redacted] home telephone number [redacted] was interviewed at his place of employment, [redacted] Chicago, Illinois [redacted] work telephone number [redacted] extension [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] with WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) in [redacted] [redacted] had told him about WCLS and [redacted] who was then working for WCLS and is currently [redacted] for WINDY CITY MAINTENANCE (hereafter referred to as WCM) and asked for a job [redacted] hired by WCLS and [redacted] and began working at [redacted] one of the liquor distributors for which WCLS provided temporary day laborers. [redacted]

[redacted] approached [redacted] and informed [redacted] that WCLS was forming a new company, WCM, and asked if he [redacted] for WCM because WCM had just received the contract [redacted] went to WCM's office at 178 North Halsted and met [redacted] It was [redacted] for WCM and told [redacted] what his salary would be. Whenever [redacted] got a promotion, it was [redacted] who told him [redacted] worked at [redacted]

[redacted] The O'HARE INTERNATIONAL AIRPORT TERMINAL 5 contract was another cleaning contract obtained by WCM.

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For about [redacted] worked at [redacted] [redacted] for WCM. In [redacted] [redacted] was promoted [redacted] for WCM. [redacted] remained at [redacted]

Investigation on 06/23/2000 at Chicago, Illinois

File # 159A-CG-110953

Date dictated 06/26/2000

by SA [redacted] tdb [redacted]

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[Handwritten signature]

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Continuation of FD-302 of [redacted], On 06/23/2000, Page 2

[redacted]

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[redacted] described the WCM office at 178 North Halsted as an open area with a couple of desks and a conference room with a door that was always shut. [redacted]

[redacted]

[redacted] knew [redacted] JACK DUFF, JR. ran WCM, WCLS, and REMEDIAL ENVIRONMENTAL MANPOWER (hereafter referred to as R.E.M.).

[redacted] only once and that was at the DUFF Christmas Party held at the COMO INN. At that one Christmas Party that [redacted] attended he also saw MAYOR RICHARD DALEY, JACK DUFF, JR. and several Alderman sitting at a table together.

[redacted] never saw [redacted] at WCM's office. [redacted] stated that it was common knowledge that [redacted] JACK DUFF, JR. lived in Florida full-time. Whenever JACK DUFF, JR. and/or [redacted] came to Chicago a [redacted] LAST NAME UNKNOWN (LNU) would pick them up at the airport and chauffeur them around. [redacted]

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While at [redacted] knew [redacted] would contact [redacted]

159A-CG-110953

Continuation of FD-302 of [REDACTED], On 06/23/2000, Page 3

believed this allowed [REDACTED] to control how many WCLS workers would be needed at [REDACTED]

[REDACTED]
[REDACTED]
would direct Local 3 members to scratch the labels off of expensive liquors. [REDACTED]

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[REDACTED] knew of several occasions when "the DUFF's" got involved in assisting MAYOR DALEY with promoting certain individuals for election. The "political meetings" were held at the COMO INN. [REDACTED] recalled one such meeting where WCM employees were working on [REDACTED] election because MAYOR DALEY wanted [REDACTED]. During [REDACTED] campaign, WCLS and WCM employees were paid about \$100 a day to pass out election pamphlets.

JACK DUFF, JR. met [REDACTED] when JACK DUFF, JR. [REDACTED] was JACK DUFF, JR.'s [REDACTED] knew JACK DUFF, JR. placed [REDACTED] of R.E.M. and that WCM really owned R.E.M. It was [REDACTED] who made all the decisions for WCM, WCLS, as well as R.E.M. When R.E.M. first started getting contracts, [REDACTED] would take WCM employees from [REDACTED] to work R.E.M.'s contracts.

[REDACTED] WCM actually received the contract that WCM was going to be awarded the NAVY PIER/MCCORMICK PLACE contract.

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Whenever [REDACTED] [REDACTED] would contact [REDACTED] JACK DUFF, JR., and it was JACK DUFF, JR. who would call city hall. After complaining to [REDACTED] whatever the problem was, it was corrected, such as the pay problem. [REDACTED] said it was common knowledge that JACK DUFF, JR. and MAYOR DALEY were close friends and that JACK DUFF, JR. had direct access to the mayor.

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Continuation of FD-302 of [redacted], On 06/23/2000, Page 4

[redacted] on several occasions saw [redacted]
[redacted] for the City of
Chicago, at [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/29/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] Chicago, Illinois, [redacted]

[redacted] work telephone number [redacted] was interviewed at the United States Attorney's Office, 5th Floor, Dirksen Federal Building, 219 South Dearborn, Chicago, Illinois, 60604. Also present during the interview was [redacted]

[redacted] telephone number [redacted] Assistant United States Attorney's (AUSA) [redacted] and INTERNAL REVENUE SERVICE Special Agent [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] upgraded its computer system from key punch to on-line accounting.

[redacted] offered [redacted] which he accepted.

[redacted] duties as [redacted] include overseeing the [redacted] of the company. Currently, [redacted] splits his time between the [redacted]

[redacted] immediate boss is [redacted] is owned by [redacted]

[redacted] has two contracts, one with the INTERNATIONAL BROTHERHOOD OF TEAMSTERS, LOCAL 705, representing

Investigation on 06/28/2000 at Chicago, Illinois

File # 159A-CG-110953: 194B-CG-105773-302 Date dictated 06/29/2000

by SA [redacted] : tdb

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Continuation of FD-302 of [REDACTED], On 06/28/2000, Page 2

the driver's and one with the LIQUOR AND WINE SALES REPRESENTATIVES, TIRE, PLASTIC, AND ALLIED WORKERS UNION, LOCAL 3, who represent the warehouse workers. [REDACTED]

[REDACTED]

[REDACTED] does not know if [REDACTED] was present during the Local 3 negotiations.

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[REDACTED] employ workers from WINDY CITY LABOR SERVICE (hereafter referred to as WCLS). There is no written contract [REDACTED]. The use of WCLS employees [REDACTED] did not know [REDACTED] first began using WCLS employees but believed it was [REDACTED] believed [REDACTED] might have more knowledge on how WCLS got the work [REDACTED] to supply temporary labor. While [REDACTED] he was responsible for [REDACTED] activities.

WCLS laborers are mostly employed [REDACTED] [REDACTED] estimated that 90%-95% of the WCLS laborers are [REDACTED]. According to the contract between [REDACTED] if a delivery meets the requirements, as set forth in the contract, [REDACTED] required to provide the driver with a helper. [REDACTED]

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[REDACTED] WCLS usually does not provide any laborers to work in the warehouse. There was one occasion, however, [REDACTED] employed WCLS employees to work in the warehouse. [REDACTED] due to [REDACTED] required more warehouse workers, so WCLS was called in to help until approximately [REDACTED]

Also, if a lot of Local 3 guys call in sick [REDACTED] call WCLS to get more laborers to fill in. When this happens, WCLS laborers are used in the warehouse as janitors and working "re-packing", "re-packing" is when a liquor manufacturer may have a promotion such as a free baseball hat with every purchase. When that happens, it is the responsibility of the distributor to shrink wrap the promotional item to the bottle.

159A-CG-110953

Continuation of FD-302 of [REDACTED], On 06/28/2000, Page 3

WCLS has never provided any laborers to fill clerical positions for [REDACTED]. [REDACTED] employed WCLS laborers to perform landscaping work. WCLS employees have, in the past, have also been used to [REDACTED]. [REDACTED] has not heard of any specific problems with WCLS laborers and has been satisfied with the work provided by WCLS laborers.

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When [REDACTED] temporary workers the "router" would look at the route slips for the next days deliveries to determine the number of temporary workers needed. Once the number was determined, the router would call [REDACTED] at WCLS to let him know. [REDACTED] advised, however, that the number of WCLS employees requested depended on several other factors. First [REDACTED] was required to offer to any Local 705 driver not driving if he wanted to be a driver's helper. If [REDACTED] could not fill it with Local 705 [REDACTED] would call [REDACTED]. [REDACTED] had exhausted their options with the Local 705 "guys" and the [REDACTED] would call WCLS. [REDACTED] advised that the number of WCLS laborers used depended on the time of year and work load and varied between, no WCLS laborers and the use of 45 WCLS laborers. [REDACTED] uses more WCLS laborers during [REDACTED]. During [REDACTED] does not use any WCLS laborers. [REDACTED] maintains weekly billings and invoices that are sent to WCLS documenting the dollar amount paid to WCLS and the rate of pay and the department worked by WCLS laborers by department code.

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To keep track of the cost of temporary labor, [REDACTED]

[REDACTED] If there was ever a problem with a WCLS laborer, [REDACTED] could not recall dealing with anyone but [REDACTED] regarding the use of WCLS laborers at [REDACTED].

[REDACTED] never heard of [REDACTED] and has had no dealings with WINDY CITY MAINTENANCE, REMEDIAL ENVIRONMENTAL MANPOWER, or CURTIS TRUCKING AND STORAGE. [REDACTED] does not know [REDACTED] or JOHN "JACK"

159A-CG-110953

Continuation of FD-302 of [redacted], On 06/28/2000, Page 4

DUFF, JR. [redacted] has never attended a DUFF Christmas Party at the COMO INN and has not heard of [redacted] providing any liquor contributions to the "DUFF's".

[redacted] employees [redacted]
Local 3 members [redacted]

[redacted] Local 3 members duties include, the loading of the liquor on the semi-trucks, picking orders during the day, and conducting physical inventory of the stock. Local 3 members, however, are never used as driver's helpers.

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[redacted] has never received any threats from Local 3 or [redacted]. When a grievance arises between a Local 3 member and [redacted] the Local 3 member and one of [redacted] represents [redacted]. [redacted] has never had any problems from [redacted] when [redacted] has fired a Local 3 member.

[redacted] does not know when contributions for Local 3 members pension plan begins nor how long a Local 3 member must work before the contributions kick in.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/02/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home address [redacted] Illinois, [redacted]

[redacted] was interviewed at [redacted]

[redacted] Also present during the course of the interview was Department of Labor, Office of the Inspector General's Office Special Agent [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information.

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[redacted]

[redacted]

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[redacted]

Investigation on 06/02/2000 at Chicago, Illinois

File # 159A-CG-~~111795~~/110953 Date dictated 06/02/2000

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by SA [redacted] tdb JMS

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159A-CG-111795

Continuation of FD-302 of [redacted], On 06/02/2000, Page 2

[redacted]

[redacted]

[redacted]

[redacted] served and accepted two separate United States District Court, Northern District of Illinois Federal Grand Jury subpoena's. The first subpoena was for records [redacted] [redacted] and the second subpoena was for records [redacted] [redacted]

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See attached sheets for a detailed list of documents requested.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/07/2000

[redacted] previously interviewed, date of birth [redacted], home address [redacted] home telephone number [redacted] was interviewed at his place of employment, [redacted] work telephone number [redacted] extension [redacted]. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] advised that during the [redacted] [redacted] specifically recalled the following people working at WCLS/WCM offices: [redacted] [redacted] also recalled that there was an [redacted] LAST NAME UNKNOWN (LNU) who was [redacted] and an [redacted] and a [redacted] LNU (Phonetic). [redacted] indicated that [redacted] LNU and [redacted] LNU were [redacted] described [redacted] play cards with JACK DUFF, JR. [redacted] described [redacted] LNU as [redacted]

[redacted] indicated that WCLS and WCM shared the same office space at that time and only a wall and a door separated them from REMEDIAL ENVIRONMENTAL MANPOWER (REM) next door. [redacted] indicated that he was not sure if the DUFFS were running a bookmaking operation from that WCLS/WCM location, but stated that he saw a lot of envelopes passed to individuals who came there.

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[redacted] stated that [redacted] was the owner of WCLS. [redacted] at WCLS and WCM. [redacted] stated that [redacted] stated that [redacted] worked in the WCLS/WCM office and did not get along with [redacted]

Investigation on 07/06/2000 at Chicago, Illinois

File # 194B-CG-105773-302; 159A-CG-110953 -106 Date dictated 07/07/2000

by SA [redacted] rpw
SA [redacted] SJB

194B-CG-105773-302; 159A-CG-110953

Continuation of FD-302 of [redacted], On 07/06/2000, Page 2

[redacted] recalled that [redacted] came to WCLS from [redacted] [redacted] indicated that [redacted] was on both the WCLS and [redacted] payrolls for a period of time. [redacted] stated that during the time that [redacted] would complain that [redacted] [redacted] recalled that after he complained for some time [redacted] [redacted] indicated that he believed that [redacted] had some knowledge of the DUFF'S criminal activity, [redacted] recalled that [redacted] had all worked for [redacted] began working for WCLS or WCM. [redacted] indicated that [redacted] and a FIRST NAME UNKNOWN (FNU) [redacted] (phonetic) had all been [redacted] when he was there.

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[redacted] indicated that he did not know who many of WCLS' clients were except for [redacted] indicated that all of the WCLS day laborers at ROMANO BROTHERS that he was aware of were used as truck helpers. [redacted] indicated that he did know that JUDGE & DOLPH used some WCLS day laborers, but he could not say what exactly they did there. [redacted] indicated that he was not aware of any clerical workers at WCLS during the time he worked there and knew that WCLS' day laborers did not perform any clerical work at ROMANO BROTHERS. [redacted] indicated that JUDGE & DOLPH also used some of the trucks from CURTIS TRUCKING & STORAGE for a period of time.

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[redacted] indicated that after WCM was created, the DUFFS began receiving information about bids on City contracts. [redacted] recalled that [redacted] received Navy Pier bids from a City employee named [redacted] (Phonetic).

[redacted] recalled [redacted] was [redacted] [redacted] at the [redacted]

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/14/2000

To: Chicago

Attn: Squad OC-1
SA [redacted]

From: [redacted]

Squad 7 - [redacted]
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] amj

Case ID #: 159A-CG-110953 (Pending)

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Title: [redacted]

ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959 - LCN;
OC/DI - CHICAGO LCN

Synopsis: Lead covered at [redacted] (contact made with [redacted])

Administrative: Reference Chicago EC to [redacted] dated [redacted]

Details: In the referenced EC, Chicago requested that [redacted] contact [redacted] for the purpose of ascertaining [redacted] willingness to cooperate with the FBI in this case.

On 7/14/2000, SA [redacted] contacted [redacted] SA [redacted] explained to [redacted] Chicago FBI SAs were interested in talking to [redacted] Windy City Maintenance. [redacted] cooperative and friendly and did not seem apprehensive about meeting with Chicago SAs, though it was clear that she would rather not do so. [redacted] but would nonetheless cooperate with the FBI. [redacted] would prefer to be interviewed at [redacted] SA [redacted] told [redacted] would be telephonically contacted by SA [redacted] of the Chicago FBI.

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[redacted] which is located between [redacted]

X JAS

UPLOADED BY [redacted]

WITH TEXT [redacted]

LEADS SET [redacted]

BY [redacted]

DATE 7/20/2000

[redacted]

JAS

[redacted]

EC

JAS

To: Chicago From [redacted]
Re: 159A-CG-110953, 07/14/2000

telephone number is [redacted] (Note that the referenced EC
stated [redacted] telephone number was [redacted].

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/25/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home telephone number [redacted] home address [redacted] was interviewed at his residence. Also present during the interview was [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] first heard of WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) [redacted] if he knew of anyone that would hire him [redacted] It was [redacted] who told [redacted] about WCLS and told [redacted] that when [redacted] set up the meeting between [redacted] while [redacted] did not know how [redacted] went to see [redacted] at WCLS's office located at Halsted and Lake [redacted] told [redacted] what his duties and responsibilities would be and it was [redacted] began working for WCLS in approximately [redacted] and spent his time [redacted]

[redacted] duties included [redacted] and other miscellaneous jobs. [redacted] explained that some of those miscellaneous jobs were [redacted] JOHN "JACK" DUFF, JR.'s (hereafter referred to as DUFF, JR.) [redacted] knew that DUFF, JR. [redacted] owned a house in Florida.

[redacted] did not have anything to do with accounts payable or the checks written for upper management, these checks were handled by [redacted] recalled that [redacted] worked on [redacted]

Investigation on 07/24/2000 at [redacted]
File # 159A-CG-110953 Date dictated 07/25/2000
by SA [redacted] : tdb [redacted]

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159A-CG-110953

Continuation of FD-302 of [redacted], On 07/24/2000, Page 2

[redacted]

[redacted] believed it was DUFF, JR. who actually ran WCLS but saw [redacted] had access to [redacted] The signature stamp had [redacted] as the signature. Depending on what [redacted] wanted, [redacted] would also work for WINDY CITY MAINTENANCE (hereafter referred to as WCM) and REMEDIAL ENVIRONMENTAL MANPOWER (hereafter referred to as R.E.M.) in the same capacity as he had with WCLS [redacted] however, would not make any major decisions concerning WCLS without first talking to [redacted] DUFF, JR.

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[redacted]

[redacted] The day to day operations of WCM, however, were handled by DUFF, JR. [redacted] of R.E.M. but when R.E.M. first started, [redacted] answered to DUFF, JR. [redacted] friend of DUFF, JR's and had worked for [redacted]

From [redacted] was invited and attended the annual DUFF Christmas party which was held at the COMO INN. [redacted] heard that the DUFF's got liquor from JUDGE & DOLPH and possibly ROMANO BROTHERS. [redacted] stated that WCLS supplied day laborers to both JUDGE & DOLPH and ROMANO BROTHERS. Around Christmas time, [redacted] would see several cases of liquor at the WCLS office. [redacted]

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[redacted] DUFF, JR. to the COMO INN for political fund raisers. [redacted] to make telephone calls during the campaign for [redacted] at the WCLS office on several occasions and believed DUFF, JR. and [redacted] were close friends.

159A-CG-110953

Continuation of FD-302 of [redacted], On 07/24/2000, Page 3

[redacted] advised that whenever there was any kind of problems with the day laborers, there were two individuals that the DUFF's used as "muscle". The two individuals were BEDELL STINSON (deceased) and [redacted]

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[redacted] recalled occasions when "the DUFF's" would have closed door meetings at the WCLS office. During these meetings, "the DUFF's", [redacted] would be present. [redacted] did not know what these meetings were about and never attended them. [redacted]

[redacted] recalled WCLS providing a lot of day laborers to WASTE MANAGEMENT working manual labor. [redacted] knew [redacted] worked for [redacted] and was close friends with "the DUFF's". [redacted] never heard of any illegal gambling activity or bookmaking operations nor had he heard of AMERICAN MANAGEMENT & CONSULTING (AMC).

[redacted] advised that [redacted] was a former employee of WCLS and WCM that had [redacted] did not know [redacted]

[redacted] knew WCLS day laborers were used at JUDGE & DOLPH, UNION BEVERAGE, CONTINENTAL LIQUORS, before it was bought out by JUDGE & DOLPH, and ROMANO BROTHERS. The day laborers sent to these distributors were used mostly as truck driver's helpers but some also worked in the warehouse as manual laborers. [redacted] did not recall ever sending a day laborer to work in a clerical position. [redacted] was unsure who handled insurance matters for WCLS, WCM, and R.E.M.

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[redacted] could not recall exactly when [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/27/2000

Source, who is not in a position to testify, voluntarily provided the following information:

On [redacted] JOHN DUFF, JR. (DUFF) and
 [redacted] along with several other
 people, went to [redacted]

[redacted]

[redacted]

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Investigation on 07/26/2000 at Chicago, Illinois (telephonically)

File # [redacted] 159A-CG-110953 112 Date dictated 07/27/2000

by [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/31/2000

[redacted] telephone number [redacted] was served and accepted a United States District Court, Northern District of Illinois Federal Grand Jury subpoena, on behalf of [redacted]

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[redacted] The subpoena commanded [redacted] appear in person on August 17, 2000, at 9:30 a.m. at the DIRKSEN FEDERAL BUILDING, 219 South Dearborn Street, Chicago, Illinois 60604, Grand Jury Room 1625.

The original subpoena was returned to the United States Attorney's Office and a copy is maintained in a 1A envelope.

Investigation on 07/28/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 07/31/2000

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by SA [redacted] tdb *JCS*

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TMS/MS

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/03/2000

[redacted]
[redacted] Chicago, Illinois, telephone [redacted]
[redacted] was interviewed at his place of employment. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] stated that CONTINENTAL GLASS AND PLASTIC (hereinafter "CONTINENTAL GLASS") had used temporary laborers from WINDY CITY LABOR SERVICE (hereinafter "WCLS") until approximately [redacted] stated that he had been in his current position since [redacted] and related that CONTINENTAL GLASS had used WCLS employees for [redacted]
[redacted] stated that he would have to check his records to see how far back CONTINENTAL GLASS had used WCLS employees before [redacted]

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[redacted]
[redacted] stated that the WCLS laborers were primarily used to clean and sweep the CONTINENTAL GLASS warehouses as well as move files and furniture and other manual tasks. [redacted] stated that WCLS employees would occasionally do some maintenance on the lawn and area surrounding the CONTINENTAL GLASS buildings. [redacted] indicated that he had never used WCLS laborers to do any clerical work of any kind.

[redacted] estimated that he normally used approximately 2-3 WCLS employees for a few days each month, but stated that he had some projects where he used 2-4 employees for periods of one week to three weeks. [redacted] stated that there was no contracts or paperwork from WCLS except weekly invoices documenting the hours that the employees had worked and the amount due. [redacted] stated that whenever he needed WCLS workers, he would contact a male, FNU LNU, and simply request a certain number of workers and approximate how long he would need them. [redacted] stated that CONTINENTAL GLASS paid WCLS approximately \$8.00 an hour for temporary laborers.

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At the conclusion of the interview, [redacted] checked the CONTINENTAL GLASS computer records and indicated that they had records of doing business with WCLS going back to 1994.

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Investigation on 08/02/2000 at Chicago, Illinois

File # 194B-CG-110663-302 Date dictated 08/03/2000

by SA [redacted] :row
SA [redacted] :row

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/03/2000

[redacted] Chicago, Illinois, telephone [redacted] was interviewed at his place of employment. Also present during the interview was [redacted]. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] related that FREEMAN DECORATING COMPANY was a trade show company who set up booths, exhibits, and stages at various sites around the country, including McCormick Place. [redacted] stated that he was aware that day laborers from "Windy City" were used to clean up the trade shows at McCormick Place. [redacted] explained that cleaning up included, among other things, vacuuming, picking up the trash, hauling the trash to a location where the trucks could pick it up, and possibly cleaning the media rooms. [redacted] stated that the day laborers moved the trash to the trucks because McCormick Place management did not want to handle it. [redacted] stated that he did not know if these laborers worked for WINDY CITY MAINTENANCE (hereinafter "WCM") or WINDY CITY LABOR SERVICE (hereinafter "WCLS") and indicated that he did not distinguish between the two companies.

[redacted]

[redacted] also did business with CENTURY MAINTENANCE. [redacted] explained that CENTURY MAINTENANCE arranged for the temporary laborers at McCormick Place. [redacted] stated that the person at CENTURY MAINTENANCE who handled these things was [redacted]

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...

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Investigation on 08/02/2000 at Chicago, Illinois

File # 194B-CG-105773-302 Date dictated 08/03/2000

by SA [redacted] SA [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/03/2000

[redacted]
[redacted] Chicago, Illinois, telephone
[redacted] was interviewed at his place of employment.

After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] indicated that he was aware that FREEMAN DECORATING SERVICE (hereinafter "FREEMAN") had used WINDY CITY LABOR SERVICE (hereinafter "WCLS") as a source for temporary labor in their warehouse. [redacted] indicated that FREEMAN had not used WCLS for at least one year. [redacted] recalled that FREEMAN had used WCLS quite a bit in the past, using anywhere from five (5) to twenty-five (25) laborers on any given day.

[redacted] stated that the WCLS employees performed various unskilled, entry-level positions including janitorial work, changing light bulbs, as well as cleaning, checking and packing rental exhibits that had been used at the various trade shows that FREEMAN sets up in their business. [redacted] explained that FREEMAN's union contract precluded the "casuals" from doing anything but "maintenance work" in the warehouse. [redacted] stated that WCLS employees never performed any clerical work at FREEMAN. [redacted] described the WCLS employees as unskilled labor with limited education. [redacted] recalled that FREEMAN stopped using WCLS employees because the quality of the workers began to decrease over time and they (FREEMAN) became dissatisfied with the work they were doing.

[redacted]
[redacted]
[redacted]

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159A-CG-110953-116

Investigation on 08/02/2000 at Chicago, Illinois

File # 194B-CG-105773-302 Date dictated 08/03/2000

by SA [redacted] SA [redacted] :rpw ZPW

1015

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/03/00

[redacted]
[redacted] Chicago, Illinois, [redacted] was interviewed by the undersigned agents and provided the following information:

[redacted]
[redacted] R-4 Services is a record retention company and is [redacted]
[redacted]

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R-4 Services has employed Windy City Labor Service (WCLS) temporary workers [redacted]
[redacted] The WCLS workers stack boxes in the warehouse and perform some cleaning duties such as sweeping the warehouse. The WCLS workers do not perform clerical work at R-4 Services. [redacted] calls [redacted] at WCLS when he needs WCLS workers. The number of WCLS workers needed at R-4 Services varies greatly and is dependent upon the projects that R-4 Services has been hired to do. At times there may be eight to ten WCLS workers at R-4 Services on a daily basis; at other times there might only be one or two WCLS workers per day. There may be periods of up to two months when R-4 Services needs no extra workers and therefore does not use any WCLS workers.

[redacted] is not certain as to how the WCLS workers arrive at R-4 Services, but he believes that they take public transportation. [redacted] has never seen a WCLS van bring the workers to R-4 Services. The WCLS employees work ten to twelve hour days at R-4 Services and usually start at 6:00 or 7:00 am.

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Investigation on 7/31/00 at Chicago, IL

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File # 194B-CG-105773 Date dictated 8/03/00

by [redacted]

JOS

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/07/2000

[redacted] Date of Birth [redacted] home address
 [redacted] home telephone
 number [redacted] was interviewed at the United States
 Attorney's Office, 5th Floor, 219 South Dearborn, Chicago,
 Illinois. Also present during the interview was [redacted]
 [redacted] Assistant United States Attorney's [redacted]
 [redacted] and Department of Labor Special Agent
 [redacted] After being advised of the identity of the
 interviewing Agents and the purpose of the interview, [redacted]
 provided the following information:

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[redacted] UNION BEVERAGE COMPANY, located
 at 2600 West 35th Street, Chicago, Illinois (hereafter referred
 to as UNION) [redacted]
 [redacted] duties include
 [redacted]
 [redacted] from WINDY CITY LABOR SERVICE (hereafter
 referred to as WCLS). [redacted] advised that WCLS has recently
 changed the company name to R.E.M. INC. When UNION required
 temporary laborers, [redacted] contact [redacted] from
 WCLS. If [redacted] was unavailable, [redacted] talk to [redacted]
 LAST NAME UNKNOWN (LNU) (Possibly [redacted])
 stated that WCLS laborers were only used as driver's helpers.
 [redacted] was unaware of any WCLS laborers working in clerical
 positions at UNION. [redacted] did not know what WCLS laborers
 were paid.

Before working for UNION, [redacted] was employed by
 [redacted]
 [redacted] While at [redacted] recalled using
 WCLS laborers as [redacted]
 [redacted] had daily dealings with [redacted]
 concerning LOCAL 3 matters as well as [redacted] also know
 as [redacted] because [redacted]
 Since [redacted] has not had any contact with
 [redacted] knows [redacted]
 [redacted] but has not had any dealings with either [redacted]

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Currently, UNION employs approximately 70 drivers which

Investigation on 08/07/2000 at Chicago, Illinois

File # 159A-CG-110953

Date dictated 08/07/2000

7/18

by SA [redacted] tdb JAB

159A-CG-110953

Continuation of FD-302 of [redacted], On 08/07/2000, Page 2

are represented by TEAMSTERS LOCAL 744. [redacted] was not involved with the collective bargaining agreement but does know the terms of the agreement. According to the agreement between UNION and LOCAL 744, if UNION does not require the use of all 70 drivers, those not used on any given day are afforded the opportunity to work as drivers helpers before UNION contacts WCLS. If UNION has a heavy delivery schedule and all 70 drivers are being used, according to the agreement, UNION must provide a driver's helper if the load is over a certain number of cases. If the load was over 300 cases on a truck, then the driver was given a helper. WCLS has provided temporary laborers to UNION since [redacted] has been at UNION. [redacted] did not know if WCLS laborers were used before he was hired.

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[redacted] did not know who had first decided to use WCLS laborers. [redacted] has not been instructed by anyone at UNION that only WCLS laborers would be used. Nor has [redacted] had any inclination on using a different temporary labor service. UNION employs anywhere from a couple of WCLS laborers to as many as 40 WCLS laborers a day. UNION's busiest day of the week is Tuesdays when UNION will employ 40 WCLS laborers. Also, June, November, and December are typically the busiest months for UNION when UNION employs a large number of WCLS laborers.

[redacted] was shown several original and photocopied UNION invoices. Photocopies of these invoices are maintained in a 1A envelope. [redacted]

[redacted] A "will call" pick up was an order where the customer came to UNION's warehouse to pick the order up. These "donation" orders were a no-charge order with the invoice being generated as a means to keep track of inventory. This type of invoice was also used when UNION set up liquor displays. [redacted] received a "donation" invoice from [redacted] (PHONETIC), [redacted]

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[redacted] was the individual who told [redacted] about the significance of this procedure. [redacted]

[redacted] When the individual the "donation" was for came to the warehouse to pick up the liquor, [redacted]

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Continuation of FD-302 of [redacted], On 08/07/2000, Page 3

[redacted] was not informed who the "donations" were for. Once the order was picked up, [redacted] admitted there were occasions when [redacted] all warehouse men, were also authorized to handle pick-ups of "will call" orders. [redacted]

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[redacted] had not heard of any liquor "contributions" going to any member of the DUFF family. Nor was he aware of [redacted] was shown an invoice dated [redacted] with [redacted] on it. [redacted] reviewed the invoice but did not recall anything about it. The invoice also had a hand written note, [redacted] with [redacted] signing it. [redacted] did not know what the annotation meant but believed [redacted]

[redacted] was also shown other invoices that were for either [redacted] (hand written note) and one invoice dated [redacted] with a hand written notation stating [redacted] did not recall any of these invoices.

When a WCLS laborer showed up in the morning, [redacted] turn the forms over to the guard at the front gate. When the WCLS laborer returned that evening, the guard would sign the laborers end time on the form and place the form [redacted] [redacted] would review the ticket and then send the previous days tickets to [redacted]

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Whenever [redacted] received a complaint from [redacted] regarding a WCLS laborer, [redacted] would call [redacted] at WCLS and tell [redacted] that the individual in question was not to return to UNION.

[redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/17/00

A source, who is in a position to testify, provided the following information:

[Redacted]

[Redacted] members of the DUFF family met with the source to discuss the possibility of their cleaning company, WINDY CITY CLEANERS. [Redacted]

[Redacted] The source recalled that [Redacted] attended the meeting with [Redacted] whose first name the source could not remember. [Redacted]

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[Redacted]

Investigation on 8/16/00 at Chicago, Illinois
File # [Redacted] 281A-CG-11941-42 Date dictated 8/17/00
by SA [Redacted] ATH/ath

159A-CG-110953

SA [Redacted]

DOB

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[Signature]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/28/2000

[Redacted]

telephone number [Redacted] was served and accepted a United States District Court, Northern District of Illinois Federal Grand Jury subpoena on [Redacted] The subpoena commanded [Redacted] to appear on September 14, 2000, at 9:30 a.m. before the Federal Grand Jury in Room 1625, Dirksen Federal Building, 219 South Dearborn, Chicago, Illinois, 60604. The purpose of the Federal Grand Jury subpoena was to obtain testimony.

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The original subpoena was returned to the United States Attorney's office and a copy is maintained in a 1A envelope.

Investigation on 08/24/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 08/28/2000

-120

by SA [Redacted] : tdb *for*

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/24/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home address [redacted] home telephone number [redacted] was interviewed at her residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] worked for WINDY CITY MAINTENANCE (hereafter referred to as WCM), from [redacted]

[redacted] In approximately [redacted] became unhappy with [redacted] There were problems between [redacted]

[redacted] offered her a job with WCM. At first, [redacted] was not interested but eventually met with [redacted] at WCM's office located at Halsted and Lake and discussed the job offer. [redacted] accepted the job and worked as [redacted]

[redacted] main responsibilities at WCM included [redacted] Besides her responsibilities at WCM, [redacted] WINDY CITY LABOR SERVICE (hereafter referred to as WCLS), as well as [redacted] for CURTIS STORAGE AND TRUCKING. [redacted] did not know who owned CURTIS STORAGE AND TRUCKING. [redacted] remembered two employees, [redacted]

Approximately six months to a year after starting at WCM, [redacted] (hereafter referred to as [redacted]) accepted the offer and became [redacted] delegated some of her responsibilities such as [redacted] [redacted] stated that [redacted]

Investigation on 08/23/2000 at [redacted]

File # 159A-CG-110953 Date dictated 08/24/2000

by SA [redacted] SA [redacted] tdb

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159A-CG-110953

Continuation of FD-302 of [redacted], On 08/23/2000, Page 2

that [redacted] did not have knowledge of.

Between [redacted]

[redacted] stated it was [redacted] who controlled the day to day operations of WCM. Before making any types of decisions, however, [redacted] JOHN "JACK" DUFF, JR. (also referred to as JACK DUFF, SR.). [redacted]

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[redacted] did not have an office at WCM. [redacted] knew WCM was fraudulently classifying itself as a WOMAN BUSINESS ENTERPRISE (WBE) when in fact it was not, in order to receive City of Chicago contracts. [redacted] and the other employees that worked in WCM's office that whenever someone called for [redacted] they were to tell the caller she was in a meeting or out of the office. They were to ask the caller to leave a message and [redacted] would return their call.

Almost 90% or more of WCM's contracts were with the city. Whenever WCM was going to have a recertification inspection from the city, WCM got "a heads up". [redacted] knew "the DUFF's" had a point of contact at the city who would give "the DUFF's" advanced notice of the recertification inspection.

[redacted] did not know who the point of contact was [redacted]

[redacted] saw DUFF, JR. at WCM office occasionally. Usually, DUFF, JR. came to Chicago once a month from Florida to conduct meetings. These meetings were either held in the WCM/WCLS conference room or at the COMO INN. When DUFF, JR. had these meetings, the following people would usually be present;

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[redacted]

[redacted] worked for WCM and WCLS in the office located at Halsted and Lake [redacted] When [redacted] first started working for WCM, [redacted] LAST NAME UNKNOWN (LNU) and another female co-worker along with

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Continuation of FD-302 of [redacted], On 08/23/2000, Page 3

[redacted] worked in the office. [redacted] could not recall the other lady's name. [redacted]

[redacted] described the WCM office as having four (4) desks [redacted] WCM was separated from WCLS by a door but both WCM and WCLS shared a conference room.

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[redacted] rarely saw [redacted] at WCM.

[redacted] knew [redacted] DUFF, JR. resided in Florida and came back to Chicago occasionally. When DUFF, JR. was in town, [redacted] (hereafter referred to as [redacted]) would [redacted]

[redacted] not only did [redacted] for "the DUFF'S",

[redacted] were clients of WCLS. [redacted] would arrive at WCLS [redacted]

[redacted] could not recall whether it was WCM or WCLS. [redacted] did not question [redacted] receiving a paycheck because [redacted] did not know if [redacted] worked outside of the office. [redacted] did know that she never saw [redacted]

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When [redacted] JACK DUFF, JR. formed REMEDIAL ENVIRONMENTAL MANPOWER, INC. (hereafter referred to as R.E.M.) [redacted] preferential treatment with city contracts as a MINORITY BUSINESS ENTERPRISE (MBE). [redacted]

[redacted] However, through [redacted] experience and observations, [redacted] deduced that [redacted]

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Continuation of FD-302 of [REDACTED], On 08/23/2000, Page 4

[REDACTED] of R.E.M., INC. in order to receive MBE status from the city. [REDACTED] knew [REDACTED] and JACK DUFF, JR. had known each other for years [REDACTED] thought DUFF, JR. and [REDACTED] meet when DUFF, JR. [REDACTED] as well as the other employees that worked for "the DUFF's" thought [REDACTED] was [REDACTED] [REDACTED] stated that it was common knowledge throughout the office that [REDACTED] believed [REDACTED] would probably have first hand knowledge since [REDACTED] had worked longer at WCM/WCLS and knew [REDACTED] longer. [REDACTED] [REDACTED] was also hired to work at R.E.M. [REDACTED] did not know what [REDACTED] did at R.E.M.

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When R.E.M. was going through the city's certification process, [REDACTED] recalled an occasion when [REDACTED] [REDACTED] knew [REDACTED] [REDACTED] As with the recertification of WCM, R.E.M. received a "heads up" and had time to prepare [REDACTED] [REDACTED] recalled [REDACTED] being similarly coached on WCM. [REDACTED] did not recall exactly when these certifications from the city took place.

[REDACTED] would not make any decisions concerning the union without [REDACTED] JACK DUFF, JR., approval. [REDACTED] described JACK DUFF, JR.'s role as that of a "godfather" in the mafia, controlling every business and interest "the DUFF" family had.

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[REDACTED] attended [REDACTED] Christmas parties "the DUFF's" held at the COMO INN. [REDACTED] [REDACTED] the list numbering from 500-750 people to include politicians such as MAYOR RICHARD DALEY and WCM/WCLS customers.

[REDACTED] was rarely around the WCM office. [REDACTED] recalled WCLS/WCM having a beer tent every year at the 8 or 9 festivals held in Chicago, such as THE TASTE OF CHICAGO and BLUES FEST. [REDACTED]

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Continuation of FD-302 of [redacted], On 08/23/2000, Page 5

[redacted]
[redacted]
[redacted]

[redacted]
[redacted]

[redacted] this included providing auditors with tax and payroll documentation, as well as sitting down with the auditors and breaking down the payroll into classifications. [redacted]

[redacted]
[redacted]

[redacted] stated that she was really only involved in providing [redacted]
[redacted]
[redacted]

[redacted] recalled a specific instance when an insurance auditor was coming out to verify WCLS payroll numbers and classifications for WCLS's insurance. [redacted] did not recall the individuals name or the company he worked for. After telling

[redacted]
[redacted]
[redacted]
[redacted]

[redacted] stated that the only other matters she could recall working on relating to [redacted]
[redacted]
[redacted]

had talked to [redacted] a few times, and possibly someone else at his [redacted] office, about getting [redacted] for WCM/WCLS clients.

[redacted] had never filled out an [redacted]

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Continuation of FD-302 of [redacted], On 08/23/2000, Page 6

[redacted]
[redacted] was unsure who handled this at WCM/WCLS or who actually set up the meetings with the auditors.

[redacted]

[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/22/2000

[redacted]
[redacted]
[redacted] was interviewed by the undersigned agents at [redacted]
[redacted] Also present for the interview was [redacted]
[redacted] provided the following information:

[redacted] began working for AmComp as a loss control consultant on [redacted] Part of his duties include doing physical inspections of insured companies, particularly when the underwriter is not comfortable with the client. [redacted] was assigned to conduct a loss control analysis of Windy City Labor Service (WCLS), a potential new client of AmComp, by [redacted] the underwriter for the potential account. [redacted]

[redacted] visited Romano Brothers Beverage, a client of WCLS to whom WCLS supplies temporary day laborers, on [redacted] [redacted] accompanied [redacted] on the loss control visit because [redacted]

[redacted] were accompanied on the visit to Romano Brothers Beverage by [redacted] for WCLS, and two employees of WCLS. [redacted] does not recall the names of the two WCLS employees but he described them as follows: a white male in his 40's, dark hair, about 5'10", 200 lbs. and a white male in his 40's, light hair, about 6'00".

The visit to Romano Brothers Beverage lasted about forty-five minutes. [redacted] had seen paperwork regarding WCLS before the visit and he noted that clerical workers were supposedly supplied by WCLS; however, he did not observe any clerical workers during the visit. [redacted] does not remember any specific conversations he had with [redacted] or the two WCLS employees regarding the nature of the work being performed by WCLS employees at Romano Brothers Beverage. [redacted] prepared a written loss control report and turned it in to [redacted] about one week after the visit.

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Investigation on 8/21/00 at Chicago, IL

File # 194B-CG-105773 Sub 302 - 71 Date dictated 8/22/00

by [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/28/2000

A Source who is not in a position to testify provided the following information:

The Source advised that the INTERNATIONAL BROTHERHOOD OF TEAMSTERS, LOCAL 714 (hereafter referred to as Local 714) wanted to settle the class action lawsuit filed by current and former members of Local 714 out of court. The lawsuit alleged Local 714 withheld Health and Welfare Benefits from "extra's" that worked in the Movie and Trade Show Division of Local 714.

[Redacted]
[Redacted]
[Redacted] The Source did not know when [Redacted]
[Redacted] The Source believed [Redacted]
[Redacted]

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During a meeting between the defendants and [Redacted]
[Redacted]
[Redacted]

Some of the concessions [Redacted] wanted from the defendants in the lawsuit were [Redacted]
[Redacted]

The Source saw [Redacted]
[Redacted] The Source heard [Redacted] talking about his indictment and subsequent sentencing. The Source heard [Redacted]
[Redacted]

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159A-CG-110953

Investigation on 08/24/2000 at Chicago, Illinois
File # [Redacted] 156A-CG-111795-39 Date dictated 08/28/2000
by SA [Redacted] : tdb

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[Redacted]

156A-CG-111795

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Continuation of FD-302 of Source, On 08/24/2000, Page 2

[Redacted]

The Source knows [Redacted] (hereafter referred to as [Redacted]) The Source advised that [Redacted] was at one time a [Redacted]

[Redacted]

The Source knows [Redacted] "the DUFF family". The "DUFF's" own WINDY CITY MAINTENANCE (hereafter referred to as WCM) and WINDY CITY LABOR SERVICE (hereafter referred to as WCLS). The Source advised that WCM maintains a office at MCCORMICK PLACE and when the CHICAGO TRIBUNE wrote all those articles about WCM, the "DUFF's" changed the name of the company, but have not changed the placard over their office at MCCORMICK PLACE. WCM also maintains a 40' trailer at MCCORMICK PLACE to hold its cleaning supplies.

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Approximately five (5) years ago, MCCORMICK PLACE had an in-house unionized cleaning service to clean MCCORMICK PLACE. After the in-house company was removed, "the DUFF's" business WCM came in and has been used ever since.

The Source heard that [Redacted]

[Redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/06/2000

Source, who is not in a position to testify, voluntarily provided the following information:

[Redacted]

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Investigation on 09/06/2000 at Chicago, Illinois

File # [Redacted] 159A-CG-110953 *124* Date dictated 09/06/2000

by [Redacted] *ACE*

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/06/2000

The Source who is not in a position to testify provided the following information:

The Source advised that when he was at the 2000 TASTE OF CHICAGO he had seen employees from the "DUFF" owned company, WINDY CITY MAINTENANCE (hereafter referred to as WCM), working the cleanup for the TASTE. The Source recognized several WCM employees that work at NAVY PIER and MCCORMICK PLACE for WCM doing the cleanup. The Source further advised that WCM had changed its name from WCM to possibly NATIONAL MAINTENANCE.

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Investigation on 09/05/2000 at Chicago, Illinois

File # A) [redacted] 159A-CG-110953-125 Date dictated 09/06/2000

by SA [redacted]: tdb

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/12/2000

[redacted] date of birth [redacted] home address
 [redacted] was approached by Agents from the FEDERAL BUREAU OF INVESTIGATION (FBI) to be interviewed. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] advised the interviewing Agents that he would not provide any information. [redacted] stated he was instructed to contact his attorney before talking to the FBI. [redacted] further stated he still worked for "the DUFF's" and that was why he could not talk to the interviewing Agents without first talking to [redacted] attorney. [redacted] advised that he would have his attorney contact the interviewing Agents.

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Investigation on 09/11/2000 at [redacted]

File # 159A-CG-110953 Date dictated 09/12/2000

by SA [redacted] tdb *JDS*

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription

[redacted] was interviewed by the undersigned agents in the presence of his attorneys, [redacted] Also present for the interview were [redacted] of the Department of Labor, [redacted] of the Department of Labor, and Assistant United States Attorneys [redacted] provided the following information:

From [redacted] was employed at [redacted] For [redacted] has been the [redacted] responsibilities at [redacted] include running the [redacted] The employees include day laborers from Windy City Labor Service (WCLS). The number of WCLS workers that are needed at Union Beverage varies and is dependent upon the number of orders and deliveries that Union Beverage must make on any given day. The number of WCLS workers at Union Beverage can fluctuate between ten and fifty per day.

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Union Beverage employs about seventy truck drivers and the drivers are entitled by labor union agreement to a helper if they have over 300 cases to deliver in a day. The WCLS workers function as the drivers' helpers and are used to help load the trucks. WCLS workers only work in the Union Beverage warehouse during the peak periods of June and December. At all other times the warehouse work is performed by members of Local 3.

[redacted] how many WCLS workers are required on any given day. This decision is made early in the day and a call is made to WCLS by 4:30 or 5:00 am. The WCLS workers arrive by 7:00 or 8:00 am. [redacted] thinks that the WCLS workers take public transportation to Union Beverage. Union Beverage has had problems with some of the WCLS workers showing up drunk or unable to perform their duties [redacted] a list of WCLS employees who have caused problems in the past and if these same workers show up at Union Beverage, they are told to go home.

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The WCLS workers are given tickets each morning after [redacted] signs the time at which they started work. The WCLS

7/26/00 Chicago, IL 159A-CG-110953-127
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by

[Redacted]

7/26/00

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Continuation of FD-302 of _____, On _____, Page _____

workers report back to Union Beverage at the end of their shift and the guard at the gatehouse fills in their ending time. The WCLS worker keeps a copy of the ticket to turn in to the WCLS office and, in addition, Union Beverage faxes a copy of the correct ticket to the WCLS office. This is done to prevent the WCLS workers from altering their tickets to reflect a greater number of hours worked.

[Redacted] knows [Redacted] of WCLS and he knows all of the Duff family from his years in the liquor industry. He has spoken to [Redacted] in regards to union matters, but he has not discussed any WCLS matters with either of them. [Redacted] has also attended several of the Duffs' Christmas parties at the Como Inn.

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[Redacted] and a black male, name unknown, came to Union Beverage [Redacted] and told [Redacted] that the name of WCLS had been changed to R.E.M. [Redacted] told [Redacted] that nothing else regarding the company's operations would change, but he gave no explanation for the name change.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/28/00

[redacted]
[redacted] was interviewed by the undersigned agents and provided the following information:

[redacted] has been with AmComp as an underwriter since [redacted] AmComp is relatively new to the insurance business in Illinois and only received a certificate to write insurance in the state of Illinois in November of 1998. [redacted] began doing business with AmComp in January of 1999 and [redacted] now does approximately \$500,000 worth of business with AmComp. In February of 1999 [redacted] brought the Windy City Labor Service (WCLS) account to AmComp in an effort to obtain workers' compensation insurance for WCLS. [redacted] sent out a letter declining to provide workers' compensation coverage for WCLS because WCLS' losses were too high.

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Several months later [redacted] submitted the WCLS account for reconsideration by AmComp. At this time, [redacted] was assigned to review the account and decide if AmComp would provide workers' compensation coverage to WCLS. [redacted] pulled the old file which [redacted] had considered and examined it. [redacted] felt that the experience modifier did not seem right as it was way too high considering the number of clerical workers that were supposedly employed by WCLS. Also, when [redacted] examined the loss runs there was way too much exposure (meaning claims had been filed) for code 8292 (warehousing), especially considering the amount of payroll at that classification. Therefore [redacted] ordered an inspection by AmComp's loss control representatives before she would authorize providing workers' compensation coverage to WCLS.

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[redacted] of AmComp's Indianapolis office conducted the loss control inspection at Romano Brothers Beverage, a client to whom WCLS supplied day laborers. They were accompanied on the visit by [redacted] Romano Brothers Beverage was picked as the location to visit because it was easy to get to and arrange a visit to on short notice. After [redacted] completed their inspection, they called [redacted] Based upon their report and the files she had examined, [redacted] wrote a

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File # 194B-CG-105773 Sub 302 Date dictated 8/28/00

by [redacted]

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Continuation of FD-302 of [REDACTED], On 8/21/00, Page 2

memo to the file stating that WCLS' payroll had been misclassified for years in that the number of clerical workers had been overstated. [REDACTED] also had conversations with [REDACTED] and with [REDACTED] agency regarding the overexposures in the clerical class. Subsequently [REDACTED] submitted a new application to AmComp which had a different payroll classification break down. [REDACTED] also told [REDACTED] that he thought WCLS would accept a policy with a premium of up to \$150,000. [REDACTED] felt much more comfortable with the new numbers and issued a policy with a premium of approximately \$160,000. [REDACTED] thought it was odd that [REDACTED] paid the new premium amount (a change from the previous policy of approximately \$24,000) without questioning the reason for the increase. [REDACTED] also told [REDACTED] that if WCLS did not fully cooperate during future audits by AmComp, AmComp would cancel the policy.

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AmComp's coverage of WCLS expired in July of 2000 and AmComp decided not to renew the coverage. This decision was based in part on the fact that almost all of the claimants injured while working for WCLS had retained attorneys. This made it extremely difficult for AmComp to work with the claimants to resolve their cases. In addition, [REDACTED] [REDACTED] for AmComp, had been contacted by the FBI regarding an investigation into WCLS. [REDACTED] called [REDACTED] to find out if AmComp was going to renew coverage and was informed that coverage would not be continued. [REDACTED] submitted no renewal paperwork to AmComp and did not question [REDACTED] on the decision not to renew coverage.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/11/2000

[redacted]
(Protect identities), who were previously interviewed by the undersigned agent, were interviewed at [redacted]

[redacted] telephone number [redacted] After being advised of the nature of the interview, they provided the following information:

[redacted] advised the Chicago Public Schools (CPS) janitorial services contract was awarded as follows: 1) A & R - Region 4; 2) ASHLEY'S CLEANING SERVICE (ASHLEY'S) - Regions 1 and 6; 3) CHICAGO CONTRACT CLEANING AND SUPPLY CO. (CCCSC) - Region 3; and 4) TOTAL FACILITY MAINTENANCE, INC. (TFMI) - Regions 2 and 5. Further, the rebid (second bid) differed from the original in that vendors were required to bid on a specific CPS geographic region(s). As such, [redacted] advised the lowest responsive bidder for each region was to be awarded that particular contract.

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[redacted] advised that ASHLEY'S was the lowest bidder in all six regions as they were the only vendor not part of a collective bargaining agreement (non-union). However, despite being the lowest bidder across the board the Chicago Board of Education (CBOE) only gave two regions to ASHLEY'S over concerns of awarding the entire contract to a single vendor and ASHLEY'S operational abilities to handle such an undertaking (prior to the multi-million dollar CPS contract ASHLEY'S had monthly revenues of only \$600).

In addition, [redacted] thought that [redacted] put together ASHLEY'S bid and not [redacted] Although [redacted] does not have an ownership interest in ASHLEY'S on paper, [redacted] advised [redacted] and it was rumored that [redacted] did have an interest in the business [redacted] indicated that if you call telephone directory assistance and ask for the address for ASHLEY'S you get [redacted] address). Further, [redacted] advised that [redacted]

[redacted]
[redacted] Afterwards [redacted] went to work for ASHLEY'S. Thereafter, [redacted] claims to have bought out [redacted]

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Investigation on 06/28/2000 at [redacted]

File # 60A-CG-110275 Date dictated 07/11/2000

by SA [redacted] *APB*

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Continuation of FD-302 of [REDACTED], On 06/28/2000, Page 2

[REDACTED] advised that a letter was sent to [REDACTED] by the CPS Legal Department advising that [REDACTED] must go or ASHLEY'S would not be awarded the contract. [REDACTED]

he was trying to "strong-arm" ASHLEY'S due to their non-union status. [REDACTED]

By not having a collective bargaining agreement [REDACTED] advised ASHLEY'S got a "sweetheart deal" in that they save over \$2.7 million annually (\$300 a month per employee for health and pension benefits times 700 employees plus additional savings for not paying vacation time based on prior seniority) which goes to their bottom-line. Also, [REDACTED] indicated that ASHLEY'S has been awarded other City of Chicago business such as the cleaning of police stations that typically went to union-only firms. Responding to how ASHLEY'S went from being a relatively small business to having large city contracts, [REDACTED] advised that [REDACTED] who previously held lower-level jobs with other janitorial firms, is friends with [REDACTED]

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[REDACTED] and also employs [REDACTED]

In addition, [REDACTED] advised that after the first bid on the janitorial services contract was rescinded over concerns of collusion, [REDACTED] who was the second lowest responsive bidder, organized the other five low bidders and obtained counsel, [REDACTED] and [REDACTED] to explore a possible lawsuit against the CBOE.

[REDACTED] advised that [REDACTED] did not get any CPS business after the rebid and is currently cleaning City of Chicago police stations and park facilities. Further, [REDACTED] advised [REDACTED] is in financial distress and behind on his tax obligations. [REDACTED] indicated that [REDACTED] is going about business as usual.

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Regarding [REDACTED] [REDACTED] was introduced to [REDACTED] at a Hispanics United function by [REDACTED] (Phonetic), [REDACTED]

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Continuation of FD-302 of [redacted], On 06/28/2000, Page 3

[redacted] advised that [redacted] came from [redacted] where her cleaning business was doing poorly and, as such, she decided to come to Chicago, Illinois. Further, [redacted] advised [redacted] marketed herself as [redacted] but told [redacted] at a [redacted]

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[redacted] advised [redacted] who was the overall lowest responsible bidder on the first CPS bid, was visibly mad when she did not get awarded any regions on the rebid and was also part of the group considering suing the CBOE. Further [redacted] was known for [redacted] but was privy with the local union due to their fear of confronting her and being labeled as racist.

Regarding [redacted] advised [redacted] had contracts through [redacted]

Also, through her ties with WINDY CITY MAINTENANCE (WCM), [redacted] does work at [redacted] Further [redacted] advised [redacted] is friends with [redacted] of [redacted] [redacted] for CPS. [redacted] did not think [redacted] was closely allied with [redacted] of [redacted] however, [redacted] may be close to [redacted]

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In addition, [redacted] was cleaning the CPS headquarters at 125 South Clark Street, Chicago, Illinois after CPS moved there from its Pershing Road building. [redacted] indicated that [redacted] was given the 125 South Clark Street building contract on a "no-bid" basis and it was only after the other vendors complained that CPS put the building up for bid [redacted]

[redacted]

Neither [redacted] nor [redacted] heard of [redacted] and [redacted] going to [redacted] to advise that they were approached by other vendors concerning their respective bids on the initial contract. [redacted] felt that if this did happen, it was just posturing by [redacted] indicated that nobody

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Continuation of FD-302 of [redacted], On 06/28/2000, Page 4

cared what [redacted] bid as they were not good with their "numbers").

Regarding WCM, [redacted] did not know about a letter

[redacted] questioning the awarding of a contract to WCM based on their woman-owned status [redacted]

[redacted] archives but knew nothing about its substance.

Later, [redacted] was contacted by [redacted] of WCM, who, at the onset of the conversation, told [redacted] he was calling on behalf of [redacted] concerning the janitorial contract for [redacted]

[redacted] thought [redacted] reference to [redacted] was peculiar given the publicity WCM had been receiving as of late).

[redacted] indicated that [redacted] had prematurely assumed [redacted] had won the contract and was calling about some equipment at the police facility. [redacted]

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[redacted] mentioned [redacted] Also, [redacted] indicated that [redacted] was allied with [redacted] on the [redacted]

[redacted] Regarding the circumstances around why this contract was rebid, [redacted] indicated that [redacted]

[redacted] told the bidders to add some additional square footage to their proposals. In addition,

[redacted] advised [redacted]

[redacted] and WCM operated in "different circles"; however, she indicated that [redacted] and [redacted] were connected through subcontract work that [redacted] performed for WCM at [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/25/2000

[redacted] attorney representing [redacted]
 [redacted] work
 telephone number [redacted] was served and accepted a United
 States District Court, Northern District of Illinois Federal
 Grand Jury subpoena on behalf of his client. The subpoena
 commanded [redacted] appear in person on October 12, 2000 at
 9:30 A.M. to Grand Jury Room 1625, DIRKSEN FEDERAL BUILDING, 219
 South Dearborn Street, Chicago, Illinois, 60604.

The original Federal Grand Jury subpoena was returned
 to the United States Attorney's Office and a copy is maintained
 in a 1A envelope.

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Investigation on 09/25/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 09/25/2000

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by SA [redacted] tdb JAB

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/8/2000

[redacted]
[redacted] was interviewed by the undersigned agents in the presence of his attorney, [redacted] provided the following information:

[redacted] has been with [redacted] Initially [redacted] was [redacted] In [redacted] became [redacted]

Waste Management has used day laborers from Windy City Labor Service (WCLS) during the entire time that [redacted] has been with Waste Management. [redacted] does not have direct contact with WCLS workers or representatives very often as the front-line supervisors at Waste Management have authority to call WCLS directly in order to get any necessary workers. [redacted] is not aware of any particular person at WCLS who is contacted by Waste Management personnel. The number of workers that Waste Management hires from WCLS varies greatly and is dependent upon many factors, including holidays, special projects needing extra help, and injuries among Waste Management's regular employees.

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In the early 1990's, WCLS workers were hired to help at what was then known as Clearing Disposal at 38th Street and Laramie Avenue in Cicero [redacted] approximated that six to eight day laborers would, on average, be assigned to this site. Not all of the day laborers would necessarily be from WCLS as Waste Management also hired from Resource Dimensions, another day labor firm. The day laborers worked on the recycling line at Clearing Disposal and this entailed sorting through plastics, aluminum cans, and other materials. Occasionally the day laborers were assigned as helpers on the trucks that pick up garbage, but this was only on holidays and other infrequent occasions.

In the spring of 1992 Clearing Disposal won a start-up contract for the village of Woodridge's residential garbage pick-

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Investigation on 9/1/00 at Chicago, Il

File # 194B-CG-105773 Sub 302 Date dictated 9/8/00

by [redacted]

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Continuation of FD-302 of [REDACTED]

, On 9/1/00

, Page 2

up. This was a very busy time for Waste Management and approximately eighteen day laborers were required each day. [REDACTED] [REDACTED] to WCLS to arrange for the workers, [REDACTED]

Clearing Disposal was also responsible for a contract in Berwyn when the city decided to change their garbage pick-up system to a cart system. Clearing Disposal was hired to start the cart system and WCLS was hired to be a sub-contractor. WCLS provided the manpower to do the assembling and distribution of the carts. [REDACTED] not certain as to the number of WCLS workers that were on the project because WCLS representatives managed the workers.

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Ace Disposal is the former name of Waste Management's operation at 1500 North Hooker in Chicago. The 1500 North Hooker site is a recycling facility that processes paper and fiber products. The number of day laborers at this location has varied greatly. In 1995, the recycling facility was very busy and ran three shifts per day, seven days per week. Two to three day laborers might have been hired for each shift. In 1997 and 1998 the recycling business was in a downward swing and few day laborers were needed; two to three workers per day was the approximate average employed at 1500 North Hooker.

[REDACTED]
[REDACTED] had the authority to deal directly with WCLS. For instance, if there was a particularly good worker from WCLS whom they wanted to keep, they could offer to pay an extra 25 cents per hour. In addition, they could refuse to take back an unreliable WCLS worker.

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Presently there are approximately 350 workers at the 1500 North Hooker facility. Of this number, approximately ten to fifteen are day laborers who work at the paper plant on a daily basis. In addition, the Cicero facility at 38th Street and Laramie Avenue employs approximately one or two laborers per day. They are usually assigned to yard duty which entails general outdoor clean up of the facility. Occasionally the day laborers may be used for portable toilet assembly for outdoor festivals.

Urban Services, [REDACTED] believes is the same company as Reclaim Industries, has the cleaning contract with the Mayor's Office of Special Events for all of the major summer events such as Taste of Chicago, Jazz Festival, and the Air and Water Show. Waste

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Continuation of FD-302 of [REDACTED]

, On 9/1/00

, Page 3

Management is only involved in the Taste of Chicago as a subcontractor for Urban Services. Waste Management supplies trucks and handles the disposal of garbage.

[REDACTED] "Recycle America" as a general term used at Waste Management for various recycling programs. The term may also apply to a site in Alsip, Illinois which was a former Waste Management recycling facility for sorting plastics and cans. The facility is now just a transfer station.

C.I.D. is a Waste Management landfill facility at 138th Street and the Calumet Expressway. There is also a blue bag recycling facility at this location.

[REDACTED] is [REDACTED]
[REDACTED] believes that [REDACTED] now lives in New Jersey and works for a company in New York City called [REDACTED]
[REDACTED]

[REDACTED] of WCLS recently called [REDACTED] and told him that WCLS is now part of Remedial Environmental Manpower. [REDACTED] also faxed [REDACTED] a letter regarding the change so that there would not be a problem with future invoices.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/11/2000

[redacted] born [redacted] Social Security Account Number (SSAN) [redacted] was interviewed at her place of business. [redacted]

[redacted] telephone number [redacted] with [redacted]

Also present during the interview was [redacted] attorney, Department of Justice (DOJ), Antitrust Division (AD). After being advised of the identities of the interviewing agent and the DOJ/AD attorney, [redacted] provided the following information:

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[redacted] On [redacted] submitted a bid to the Chicago Public Schools (CPS) who was privatizing the custodial operations at its facilities. [redacted]

[redacted] remained for the bid opening and indicated the following six companies were awarded the contract: 1) CHICAGO CONTRACT CLEANING AND SUPPLY CO. (CCCSC); 2) A & R JANITORIAL SERVICE, INC. (A & R); 3) TOTAL FACILITY MAINTENANCE, INC. (TFMI); 4) ERNIE TERRELL, INC. (ETI); 5) HUGO'S CLEANING SERVICE, INC. (HCSI); and 6) LBR.

Previously, on [redacted] CPS hired OGDEN ALLY (OGDEN), New York, now known as "UNICO", to conduct a survey regarding the privatization of their custodial operations. ICS was chosen by OGDEN to perform the survey of CPS Region 5. In addition, CCCSC, A & R, TFMI, UNITED BUILDING MAINTENANCE (UBM), AGUIRRE BUILDING MAINTENANCE, INC. (AGUIRRE) were hired to perform surveys of the other regions.

[redacted] advised CCCSC was run by [redacted] (CCCSC was also providing cleaning supplies to CPS). Also, [redacted] became very close during the survey. Further, [redacted] were working together on a joint contract with [redacted]

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The CPS janitorial services survey included a review of staffing needs, square footage, supply requirements and the

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Investigation on 06/29/2000 at Chicago, Illinois

File # 60A-CG-110275 Date dictated 07/11/2000

by SA [redacted] II *[Signature]*

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Continuation of FD-302 of [REDACTED], On 06/29/2000, Page 7

[REDACTED] advised another janitorial services company, WINDY CITY MAINTENANCE (WCM), was not involved in the CPS bid. Further [REDACTED] of WCM told [REDACTED] not to get involved with CPS.

[REDACTED] was a subcontractor on a bid submitted by OGDEN, which also included A & R as a subcontractor, to the [REDACTED] for cleaning [REDACTED] was close with a person who she did not identify at OGDEN who told her that they were bidding on [REDACTED] contract. [REDACTED] identified [REDACTED] [REDACTED] After OGDEN failed to win the bid, [REDACTED] asked who got the contract and was told WCM. Thereafter, she made several attempts to contact [REDACTED] at WCM but was unsuccessful in getting through.

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Finally, when [REDACTED] got through to [REDACTED] she was told that [REDACTED] was the subcontractor on [REDACTED] contract and that there was "no room at [REDACTED]". However, in 1997 a joint-venture was formed [REDACTED] for purposes of bidding on City of Chicago buildings (clinics, courts and cultural centers). The joint-venture was called "AIM" and the group held its business meetings at WCM's offices and local restaurants. The group collectively worked on AIM's bid as follows: [REDACTED]

[REDACTED] unidentified female; and WCM, [REDACTED]

Further, [REDACTED] identified the other bidders as follows: A & R, UBM, HCSI, CCCSC, TFMI, and several others. A & R and HCSI were the incumbents although HCSI had been kicked out leaving A & R with the entire contract. The bids were structured by "groupings" and AIM ended up being the lowest bidder on a grouping; however, when the bid was awarded A & R received the entire contract.

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[REDACTED] advised A & R's founder, ANGELO VELASQUEZ, was the minority component of the business and that he had died one or two years earlier. [REDACTED]

[REDACTED] indicated that A & R did not change its certification with the City of Chicago from that of an MBE to WBE. As such, at the time the contract was awarded A & R was in the process of being

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Continuation of FD-302 of [REDACTED], On 06/29/2000, Page 8

decertified as an MBE. However, the contract was inexplicably delayed four or five months after the bid opening enabling A & R to get recertified as a WBE.

[REDACTED] advised A & R does ninety-five percent (95%) of its business with the City of Chicago including the Daley Center (through property manager [REDACTED] privatized city buildings, Public Building Commission, and property manager U.S. EQUITIES. Further, BLUE CROSS put out a bid for its Wacker Drive/Randolph Street building but did not solicit a bid from A & R. Shortly thereafter, [REDACTED] advised BLUE CROSS was told by an unidentified individual ostensibly with the City of Chicago to get a bid from A & R.

After AIM did not get the city contract, [REDACTED] called [REDACTED] to see if WCM had any other jobs. During their conversation, [REDACTED] told [REDACTED]

[REDACTED] became the sole subcontractor for WCM which later partnered with [REDACTED] on the [REDACTED] did not know the name of the WCM/[REDACTED] joint-venture). When asked if [REDACTED] was the only person she worked with at WCM, [REDACTED] advised [REDACTED] worked on the AIM project and signed the contract but did not provide any specifics as to the work she performed. Also, [REDACTED] worked with [REDACTED] (last name unknown), on time reporting.

At the conclusion of the interview, [REDACTED] provided copies of bid sheets from the two CPS janitorial services bids.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/26/2000

[redacted] previously interviewed, was interviewed at his residence, [redacted] Illinois, telephone number [redacted] After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] initially stated that he did not work on any workmen's compensation insurance matters for WINDY CITY LABOR SERVICE (WCLS) and did not know who handled these matters for WCLS. [redacted] explained that he understood the question to mean who processed workmen's compensation claims and paperwork for WCLS while he was there. Upon further questioning, [redacted]

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[redacted] stated that he was initially unsure if the breakdown was by rate per hour or total amount paid to the employees because [redacted]

[redacted] stated that the auditors would then primarily talk to [redacted] asking him [redacted] only a question or two about the WCLS employees or clients.

[redacted] was shown a USF&G INSURANCE (USF&G) "Statement of Earned Premium" dated [redacted] recognized the document as one similar to that [redacted] had used to provide the format for the "corrected" breakdown by payroll in each work classification. [redacted] stated that he did not specifically recall meeting with or talking to [redacted]

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[redacted] recalled that he met with auditors per [redacted] [redacted] thought that one of the auditors he met with was a male, but [redacted] could not recall anything else about him. [redacted] stated that he knew that [redacted] had dealt with some auditors from insurance companies in the past, but stated that he did not know what they had done.

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Investigation on 09/25/2000 at [redacted]
File # 194B-CG-105773-302; 159A-CG-110953 Date dictated 09/26/2000
by [redacted]:rpw

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Continuation of FD-302 of _____, On 09/25/2000, Page 2

_____ recalled the name _____ as being an _____ for the DUFFS and their businesses. _____ stated that he may have spoken to _____ a few times, but stated that he did not really deal directly with _____ or discuss things with him. _____ stated that _____ would often deal with _____ during the time that _____ was at WCLS. _____ estimated that _____ would call WCLS approximately 5-6 times per month and ask for _____. _____ indicated that he did not know what _____ and _____ talked about.

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_____ was shown a three-page fax, dated August 10, 1995, from _____ to _____ identified the handwriting on the cover page as his and stated that he recalled filling out the next two pages at _____. _____ indicated that he knows the companies on the third page to be WCLS clients. _____ identified ACE DISPOSAL, CLEARING DISPOSAL, and RECYCLE AMERICA as WASTE MANAGEMENT companies where the WCLS laborers were used primarily as helpers on the garbage trucks. _____ any WCLS laborers to do clerical work at any of the WASTE MANAGEMENT companies. _____ identified CONTINENTAL DISTRIBUTORS, JUDGE & DOLPH, UNION LIQUORS, and ROMANO BROTHERS as liquor distributors where he dispatched WCLS' laborers to help on the trucks and provide manual labor in the warehouse. _____ any WCLS' laborers to do clerical work at any of the liquor distributors. _____ identified UTILITY WOODS SPECIALISTS and EKCO HOUSEWARES _____ WCLS laborers to do clean up and warehouse duties. _____ any WCLS laborers to do clerical work for either UTILITY WOODS SPECIALISTS or EKCO HOUSEWARES. TIHONOW did not recall the name FREEMAN DECORATING COMPANY, but stated that he did know FREEMAN INDUSTRIES as a company who used WCLS laborers to clean up trade shows at McCormick Place.

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_____ recalled thinking at the time he was filling out the August 10, 1995 fax that it was strange that _____ was having him list WCLS as providing clerical services to these companies, but never said anything to _____ or anyone else at WCLS. _____ stated that _____ would not have liked it if anyone questioned him about something like that. _____ stated that he recalled faxing the document(s) to _____ but does not believe he ever talked directly to _____

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Continuation of FD-302 of [redacted], On 09/25/2000, Page 3

[redacted] about it. [redacted] indicated that he did not recall [redacted] ever telling him why [redacted] needed the information.

[redacted] indicated that he knew that the insurance companies needed to know the nature of the work performed by WCLS employees in order to calculate the amount of the premiums.

[redacted] indicated that it made sense that it cost more money to insure laborers or warehouse employees than it did to insure clerical employees. [redacted] indicated that he understood that by faxing the document indicating that WCLS were engaged in clerical work, it would look like he was involved in a scheme to defraud the insurance companies if the WCLS employees did not perform clerical work or performed significantly less than was stated to the insurance companies. [redacted] indicated that all of the information he got was given to him directly from [redacted] and stated that everything related to the auditors and [redacted] was done at [redacted] direction.

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[redacted] indicated that all business decisions related to WCLS were made by [redacted] explained that this included how much to charge for each laborer, how much to pay employees, and how to deal with outsiders like the City of Chicago compliance officers and insurance auditors.

[redacted] stated that he knew [redacted] to be a friend of the DUFF family who occasionally came down to the WCM/WCLS office. [redacted] stated that based on his observations [redacted] and [redacted] were good friends. [redacted] stated that he had seen [redacted] at least 10-15 times in the office, but [redacted] was not a part of any meetings between [redacted] and anyone from the DUFF family. [redacted] stated that [redacted] called [redacted] at the office all the time, but he did not know what they were talking about. [redacted] indicated that he thought that [redacted] worked in the insurance business and that he worked in the same office as [redacted]

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[redacted] indicated that [redacted]

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Continuation of FD-302 of [redacted], On 09/25/2000, Page 4

[redacted] recalled that [redacted] found out that he was looking and [redacted] explained that [redacted] and [redacted] had a [redacted]

[redacted] stated that he could not recall exactly which contracts or which unions.

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[redacted] recalled that [redacted] would make it look like [redacted]

[redacted] took this to mean that [redacted] would make it look as though [redacted] was involved in [redacted] explained that he thought that this was the case for several reasons. First,

[redacted]

[redacted] stated that he believed that the DUFFS, [redacted] had someone in the City of Chicago providing them information on what to bid for certain contracts. [redacted]

[redacted] was talking about needing to lower bids and talking about other companies bids. [redacted] stated that these conversations and phone calls took place in 1994, 1995, and possibly 1993. [redacted] stated that based on conversations he had overheard, he also got the impression that the DUFFS had contacts in the Cook County Sheriff's Office. [redacted] stated that he did not know who that contact was either. [redacted] knew that the DUFF'S had a relationship with [redacted] but he was not privy to their conversations with [redacted] stated that [redacted] would come into WCLS/WCM occasionally and go into the conference room with individuals from the DUFF family, including [redacted] JACK DUFF, JR (also known as "JACK DUFF, SR").

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[redacted] stated that he also knew that WCM had gotten contracts with Navy Pier, O'Hare Airport, and the Harold Washington Library at various times [redacted]

[redacted] recalled being asked by [redacted]

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Continuation of FD-302 of [redacted], On 09/25/2000, Page 5

[redacted]

[redacted] stated that he often provided these envelopes to JACK DUFF, JR and occasionally to [redacted] stated that he never knew exactly how much was in the envelopes because [redacted] sealed them. [redacted] stated that he knew there was money in the envelopes because JACK DUFF, JR., and sometimes [redacted] would often open the sealed envelopes [redacted]

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[redacted]

[redacted] indicated that he thought that other WCLS/WCM employees also cashed checks for [redacted] at currency exchanges and returned the money to [redacted] stated that he thought that BEDELL STINSON and [redacted] had cashed quite a few checks like this. [redacted] thought that [redacted] and [redacted] might also have cashed checks at the currency exchange for [redacted] explained that he believed this because they also ran errands for the DUFFS like he did and they were in the office.

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[redacted] advised that he was not aware of any gambling that was run out of the WCM/WCLS office while he was there. [redacted] stated that he was aware that the DUFF father, JACK DUFF, JR., was a heavy gambler who went to the gambling boats quite

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Continuation of FD-302 of [redacted], On 09/25/2000, Page 6

often. [redacted] recalled that one of the WCM/WCLS lines, 421-6570, was only used by JACK DUFF, JR. [redacted]

[redacted] recalled that there were many phone lines coming into the WCM/WCLS offices, but they were not dedicated to one business or the other. [redacted] stated that everyone just answered "Windy City" or "Good Morning" because they did not know who someone would be calling for.

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[redacted] stated that he was aware that the DUFF family had "truckfuls" of liquor and wine that they would pass out to their friends, usually around Christmas. [redacted] indicated that he knew that it was "truckfuls" for several reasons. [redacted] indicated that [redacted]

[redacted] also stated that it took several people an entire Saturday to pass it out and indicated that he had overheard conversations in which [redacted] was talking about the liquor. [redacted] estimated that the DUFFS could have approximately [redacted] cases at one time and stated that they kept [redacted] cases in the office at times. [redacted] recalled that [redacted]

[redacted] Last Name Unknown (LNU) [redacted]

[redacted] could not recall any of the people he had given the liquor/wine to on behalf of the DUFFS. [redacted] recalled that the liquor came from JUDGE & DOLPH and ROMANO BROTHERS, but he was not sure if it came from any other liquor distributors. [redacted] recalled there being brandy, vodka, cognac, and wine, but he did not recall specific brands. [redacted]

[redacted]

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[redacted] recalled that [redacted] and [redacted] all worked for either WCM/WCLS or the DUFF family. [redacted] explained that [redacted] a long-time WCM/WCLS employee [redacted] also used to [redacted]

[redacted] indicated that [redacted]

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Continuation of FD-302 of [REDACTED], On 09/25/2000, Page 7

[REDACTED]

[REDACTED] stated that [REDACTED]-time friend of [REDACTED] stated that he thought that [REDACTED]

[REDACTED] stated that [REDACTED] was in the WCM/WCLS offices quite a bit and ran errands for the DUFFS, including [REDACTED]

[REDACTED] stated that he saw [REDACTED] in the WCM/WCLS a few times during the time that he [REDACTED] worked there, but indicated that [REDACTED] did not work in the WCM/WCLS office on a regular basis.

[REDACTED] stated that he was aware that [REDACTED] had to periodically meet with auditors or compliance officers from the City of Chicago regarding the certification of WCM as a woman business enterprise (WBE). [REDACTED] indicated that [REDACTED] always knew 7-10 days ahead of time when the auditors/compliance officers were coming out to the business. [REDACTED] stated that before the auditors/compliance officers came out, [REDACTED] would tell everyone to clean up the office so that there were only WCM materials around. [REDACTED] characterized what took place as [REDACTED] "playing a game" with the certification process. [REDACTED] explained that everyone in the office knew that [REDACTED] ran the company and [REDACTED] rarely came in to the WCM/WCLS office. [REDACTED] stated that despite the fact that it was common knowledge that [REDACTED] did not come into the office, [REDACTED] told everyone to tell the City auditors/compliance officers that the office that [REDACTED] used was [REDACTED] office. [REDACTED] recalled at least one occasion where [REDACTED] was in the WCM/WCLS to meet with the City auditors/compliance officers, but [REDACTED] was not sure how she prepared for it.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/05/2000

On Tuesday, October 3, 2000, Special Agents from the FEDERAL BUREAU OF INVESTIGATION attempted to interview [redacted] [redacted] Chicago, Illinois. [redacted] wife answered the door and advised the interviewing Agents that [redacted] further advised that her husband had been employed by either WINDY CITY MAINTENANCE or WINDY CITY LABOR SERVICE. [redacted] however, was unsure which company.

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Investigation on 10/03/2000 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 10/05/2000

by SA [redacted] ^{DRS} tdb/SC

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/17/2000

[redacted] date of birth [redacted] Social Security Account Number [redacted] home address [redacted] [redacted] was interviewed at his attorney's office, [redacted] Chicago, Illinois, 60661, telephone number [redacted]. Also present during the interview was Special Agent [redacted] DEPARTMENT OF LABOR, OFFICE OF THE INSPECTOR GENERAL. After being advised of the identities of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] has known "the DUFF" family since [redacted] [redacted] had also known [redacted] (hereafter referred to as [redacted] prior to his employment with WINDY CITY LABOR SERVICE (hereafter referred to as WCLS). [redacted] worked for a period of time as a [redacted] (PHONETIC) before being advised by a friend about WCLS. [redacted] could not remember the name of his friend who told him about WCLS. In approximately [redacted] was hired to work at WCLS. [redacted] described his position as that of a [redacted] further described his job as a [redacted] he did whatever [redacted] or one of "the DUFF's" asked him to do.

When [redacted] first began at WCLS, [redacted] was running the daily operations of WCLS, [redacted] also handled [redacted]

[redacted] At that time, WCLS was supplying temporary day labor to the liquor distributors, JUDGE & DOLPH, UNION BEVERAGE, PACIFIC WINE, ROMANO BROTHERS, and CONFEDERATED LIQUORS. These day temporary labors were used as truck helpers on the liquor trucks. [redacted] would begin work at 5:00 A.M. and on several occasions transported the WCLS temporary laborers to the various liquor distributors via a van owned by WCLS. WCLS was also providing temporary day laborers to MCCORMICK PLACE to clean carpets and on several occasions provided temporary day laborers to [redacted] [redacted] WCLS temporary day laborers were used mostly as manual laborers doing heavy lifting. [redacted] recalled only one time when WCLS supplied temporary day laborers to work something other than as manual laborers. That

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b7CInvestigation on 10/16/2000 at Chicago, IllinoisFile # 159A-CG-110953Date dictated 10/17/2000by SA [redacted] tdb⁴⁰³

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Continuation of FD-302 of [REDACTED], On 10/16/2000, Page 2

occasion was when [REDACTED] had to take some temporary day laborers to [REDACTED]. [REDACTED] did not recall what year this happened.

When [REDACTED] first began working for WCLS, [REDACTED] was [REDACTED] located at 174-182 North Halsted and was familiar with the interior/exterior layout. [REDACTED] then described the exterior layout of the Halsted address. The first door on the left when facing the building was the entrance used by the day laborers, which opened up into the "bullpen". The second door north was used by the WCLS office staff, which lead into the main office. In the main office were several desks.

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[REDACTED] was not sure of the year when WINDY CITY MAINTENANCE (hereafter referred to as WCM) was first started. [REDACTED] believed that [REDACTED].

At one point, [REDACTED] was working and receiving checks from both WCLS and WCM, but [REDACTED] could not recall the time period. During this period, [REDACTED] would run miscellaneous errands for [REDACTED] such as [REDACTED].

Before [REDACTED] was promoted to [REDACTED] [REDACTED] would go to the WCLS office at Halsted [REDACTED]. [REDACTED] remembered the following WCLS office staff being present, [REDACTED] (previously indexed as [REDACTED] LAST NAME UNKNOWN (LNU) (probably [REDACTED] and BEDELL (LNU) (probably BEDELL STINSON). [REDACTED] handled the computers and was [REDACTED]. [REDACTED] handled [REDACTED] and [REDACTED]. [REDACTED] thought [REDACTED] also handled [REDACTED].

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[REDACTED] had heard of REMEDIAL ENVIRONMENTAL MANPOWER, INC. (hereafter referred to as R.E.M.) but did not know who owned R.E.M. [REDACTED] did know that R.E.M. handled the BLUE BAG Program for the City of Chicago.

[REDACTED] currently works at [REDACTED] but did not recall when he began working exclusively at [REDACTED].

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Continuation of FD-302 of _____, On 10/16/2000, Page 3

_____ knew it was after WCM was awarded the NAVY PIER/MCCORMICK PLACE contract.

_____ knows the new WCM/WCLS office is located on Kinzie but has never worked there. _____ has, however, been there on several occasions and knows the office staff to be,

_____ is WCM's

_____ knows _____ but does not know what his job duties are for WCLS.

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When WCLS/WCM was located at the Halsted address, _____ would see _____ every day. _____ described _____ of WCLS/WCM and would "pop-in" every day anywhere from 5 minutes to the whole day. _____ had her own desk that _____ stated was "off-limits" to the rest of the office staff but she did not have her own office. When _____ was in the office, she would normally talk to _____. Every once in a while, _____ would also see _____ JOHN "JACK" DUFF, JR. at the Halsted address. _____ also saw _____ at the WCLS office but did not know what _____ responsibilities were. _____

According to _____ had nothing to do with either WCLS or WCM. _____ would see _____ occasionally at the Halsted address. _____ knew _____ and would see her at the Halsted address but _____ did not think _____ worked for WCLS/WCM. _____ also knew who _____ was but only as _____ did not know what _____ did or if _____ was affiliated with _____

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_____ had heard of _____ and knew he worked for _____. _____ had seen _____ on several occasions at the Halsted address. _____ knew _____ and that he _____ also worked for _____ but had never seen _____ at the Halsted address. _____ knew _____ and had seen her on several occasions at the Halsted address. _____ knows _____ because he is in charge of _____ has never

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Continuation of FD-302 of [redacted], On 10/16/2000, Page 4

seen [redacted] at the Halsted address.

[redacted] admitted on several occasions, at [redacted] direction, going to UNION LIQUOR, PACIFIC WINE, ROMANO BROTHERS, and CONFEDERATED LIQUORS to pick up boxes. [redacted] never went to JUDGE & DOLPH. [redacted] originally stated that he did not know what was in the boxes that he picked up but later admitted to knowing that the boxes contained various brands of liquor. [redacted] however, was not sure what years he had picked up the liquor.

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[redacted] was shown a three page photocopy invoice from ROMANO BROTHERS dated [redacted] for liquor pickup. [redacted] went to ROMANO BROTHERS at [redacted] to pickup the liquor. [redacted] admitted that the signature on the invoice was his and he recalled making the pick-up in a van owned by WCLS. [redacted] stated that he loaded the entire invoice of liquor into the van in one trip and brought the liquor back to the Halsted address of WCLS. [redacted] unloaded the liquor in the back room at WCLS.

[redacted]

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When [redacted] he did not met anyone in particular. [redacted] would approach whoever was sitting in the window and tell that person who he was and that [redacted]

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Continuation of FD-302 of [redacted], On 10/16/2000, Page 5

[redacted]

When asked why [redacted] sometimes cashed checks made out to him as well as for "cash" at a currency exchange, [redacted] stated that it was [redacted]. The checks were cashed for "travel money" to be used to pay the WCLS temporary laborers transportation expenses to and from WCLS' clients, such as the liquor distributors. [redacted] could not explain why the checks were made payable to him when the money was really for transportation costs.

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[redacted] was then shown a three page photocopy [redacted] insurance premium statement dated 06/21/1999 listing active employees for WCLS. The interviewing Agents went through the list and asked [redacted] if he knew the employees. [redacted] recognized [redacted] as being a [redacted] of "the DUFF'S" and seen occasionally at the Halsted address.

[redacted] worked with her at [redacted] was a [redacted] is [redacted] at [redacted] works for WCM at [redacted] is [redacted]

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WCM uses a subcontractor at [redacted] for upkeep of the [redacted] did not know the name of the [redacted] company but did know [redacted] (PHONETIC) was the person [redacted]

The photocopy [redacted] insurance premium statement dated 06/21/1999 listing active employees for WCLS and the ROMANO BROTHERS invoice dated 12/19/1996 are maintained in a 1A envelop.

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/30/2000

To: Chicago

From: Chicago

Squad OC-1

Contact: SA [redacted] ext. 2829

Approved By: [redacted] *[Signature]*

Drafted By: [redacted] tdb *[Signature]*

Case ID #: /159A-CG-110953 (Pending)
159A-CG-110953-GJ (Pending)

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Title: [redacted]
JOHN F. DUFF, JR.;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

Synopsis: To request sub-file be opened for above captioned case.

Details: It is requested that a sub-file, 159A-CG-110953-GJ, be opened for above captioned case in order to maintain all Grand Jury subpoenas and the corresponding FD-302's in a separate sub-file.

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/30/2000

To: Chicago
Indianapolis

Attn: South Bend RA
SRA [redacted]

From: Chicago
Squad OC-1
Contact: SA [redacted]

Approved By: [redacted] *tdb*

Drafted By: [redacted] tdb

Case ID #: ✓ 159A-CG-110953 (Pending)

Title: [redacted]
JOHN F. DUFF, JR.;
[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

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Synopsis: Chicago Agents will travel to [redacted] to
conduct interview.

Administrative: Reference telecal between SA [redacted] and SRA [redacted]
dated October 27, 2000.

Details: Special Agents [redacted] will
be traveling to [redacted] on Thursday, November, 2, 2000,
to interview [redacted] at his place of employment in regards to
the above captioned case. [redacted] works for [redacted]
[redacted] Indiana, [redacted]
telephone number [redacted] and his home address is last
known address is [redacted]
telephone number [redacted]

To: Chicago From Chicago
Re: 159A-CG-110953, 10/30/2000

LEAD (s):

Set Lead 1:

INDIANAPOLIS

AT

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For informational purposes only, no action required.

◆◆

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/23/00

[redacted] Chicago, Illinois, date of birth [redacted] was interviewed by the undersigned agents in the presence of her attorney, [redacted]. Also present for the interview were Assistant United States Attorneys (AUSA) [redacted]. Prior to the interview [redacted] was given a proffer letter and a non-target letter by AUSA [redacted] provided the following information:

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[redacted] began working at the [redacted] [redacted] [redacted] [redacted] [redacted] [redacted] was working at these [redacted] she first met [redacted] The next summer [redacted] worked at the [redacted] and, after the [redacted] asked her if she wanted to work at his company full-time.

[redacted] began working at Duff's company's office at 178 North Halsted. She is not certain if she technically worked for Windy City Labor Service (WCLS) or Windy City Maintenance (WCM). Part of [redacted]

[redacted] In addition she ran personal errands for [redacted] [redacted] The office basically consisted of two rooms which were separated by a window. [redacted] [redacted] The front room is where day laborers looking for employment would come.

Other people who worked in the office with [redacted] included Bedell Stinson (now deceased), [redacted] [redacted] Stinson's main duty was to answer the door between the two rooms in the office. [redacted]

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[redacted]

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Investigation on 10/17/00 at Chicago, Illinois

File # 194B-CG-105773 Sub 302 10/23/00 Date dictated

by [redacted]

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Continuation of FD-302 of [redacted], On 10/17/00, Page 2

[redacted] worked at another Duff company, [redacted]

After Christmas of her first year at WCLS, [redacted]

[redacted] returned to WCLS she was given more responsibilities, including taking care of a [redacted] but she did not sign these checks. [redacted] would stamp these accounts with [redacted] [redacted] did not have signatory power on these two accounts either.

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[redacted] is not certain as to how many months out of the year the Duffs were in Florida because they traveled back and forth between Chicago and Florida often. Occasionally [redacted] saw [redacted] in the office at 178 North Halsted, but John Duff was in the office more often. [redacted] also did personal errands for [redacted] such as finding [redacted] that [redacted] wanted. If [redacted] needed money to do anything for [redacted] would then give her checks made out to cash which [redacted] would cash at the currency exchange next door to the office.

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[redacted] and Stinson also cashed checks for [redacted] at the currency exchange. [redacted] described the check cashing as a "daily ritual" and estimated that the practice occurred every other day. [redacted] herself cashed checks made out to [redacted] her to go next door to the currency exchange and cash them. [redacted] would then give the money to [redacted] was responsible for taking care of [redacted] [redacted] also did errands for [redacted] such as buying [redacted] needed money for these things he would cash a check from [redacted] in the same manner that [redacted] did for [redacted]

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Continuation of FD-302 of [redacted], On 10/17/00, Page 3

[redacted] does not remember ever cashing checks made out to her name and then giving the money back to [redacted]. She thinks that [redacted] Stinson may have been given checks made out in their names which they then cashed and gave the money back to [redacted] but she is not certain of this.

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[redacted]
[redacted]
[redacted] The workers at the summer festivals were paid out of this account. [redacted] was also responsible for [redacted]

[redacted] other main responsibility was filling out [redacted]

[redacted] believed that whatever [redacted] told her to write on the [redacted] was true. [redacted] city inspection of REM regarding its MBE status. The inspection occurred approximately [redacted] years ago in a conference room at 178 North Halsted. The meeting lasted about ten minutes and [redacted] were present for it. [redacted] does not recall [redacted] being present at the office very much and from [redacted] perspective [redacted] ran the office.

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In [redacted] quit working and went [redacted]
[redacted]
[redacted]
[redacted]
[redacted]
[redacted]

[redacted] described the office at 178 North Halsted as consisting of two main rooms and a bathroom. The furniture was made up of cafeteria card tables. The office was remodeled

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Continuation of FD-302 of [redacted], On 10/17/00, Page 4

sometime after [redacted] started working there and a bar in the back was made into the conference room. At some point, the currency exchange next door moved down the block and REM took over the space where it had been. [redacted] was there every day at REM, but he had been there everyday even before REM had the office space. [redacted]

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As the office became busier, more people were hired to answer the telephones. While [redacted] was there, [redacted] and [redacted] began working at the office. Their main duty was to [redacted]

[redacted] Prior to [redacted] [redacted] had taken care of the billing by hand. [redacted] Last Name Unknown also began working there before [redacted] left and she also [redacted]

[redacted] characterized the day laborers as men from homeless shelters who were not clean cut. Most of them worked at the liquor warehouses and as helpers on delivery trucks. They also worked clean-up at the various summer festivals. The day laborers did not do clerical work, to [redacted] knowledge, and no typing or skill tests were given at the office.

[redacted] knows that [redacted] was the [redacted] for the office and she used to call [redacted] secretary on a fairly regular basis to deal with matters related to [redacted] for the office employees. [redacted] does not remember ever dealing with any worker's compensation insurance issues. Sometimes [redacted] would help [redacted] by reading off [redacted] as information was entered into the computers. [redacted] knows that [redacted] worked for [redacted] but she does not remember ever supplying him with any [redacted] information.

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[redacted] attended Christmas parties at the Como Inn, but she was not involved in the planning of the parties. The secretaries at [redacted] office took care of the rsvp's for the parties.

[redacted] characterized [redacted] as a close personal friend of [redacted] was also a friend of [redacted] and some of the employees, including

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Continuation of FD-302 of [redacted], On 10/17/00, Page 5

[redacted] did [redacted] because [redacted] told them to.

[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/03/2000

[redacted] date of birth [redacted] telephone [redacted] was interviewed at his place of residence. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

[redacted] stated that he was hired to work at WINDY CITY LABOR SERVICE (WCLS) in approximately [redacted] stated that he worked for the "Duffs" for approximately [redacted] [redacted] explained that by the "Duffs" he meant JOHN "Jack" DUFF, JR., [redacted]

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[redacted] stated that he worked primarily for WCLS, but later assisted with some work for other "Duff" family companies, including WINDY CITY MAINTENANCE (WCM), CURTIS STORAGE & TRUCKING (CURTIS), and a little for REMEDIAL ENVIRONMENTAL MANPOWER (REM).

[redacted] stated that he met the "Duffs" while he was working for [redacted] approximately one (1) year when [redacted] stated that he worked outside the office at WCLS [redacted]

[redacted] began working in the office at 178 North Halstad, Chicago, Illinois. [redacted] said he did not have a title at WCLS, but described himself as a [redacted]

[redacted]

[redacted] stated that his immediate supervisor at WCLS was [redacted] stated that [redacted] was the [redacted] of WCLS. [redacted] stated that he went to [redacted] stated that the ultimate boss was [redacted] stated that [redacted]

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[redacted] stated that [redacted] was in the office almost every day and knew the day-to-day operations of WCLS. [redacted] stated that the "Duffs" owned WCLS, but he did not know specifically who

Investigation on 11/02/2000 at [redacted] File # 194B-CG-105773-302; 159A-CG-110953 Date dictated 11/02/2000 by SA [redacted] rpw/aw

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Continuation of FD-302 of [REDACTED], On 11/02/2000, Page 2

owned the company or what their title was.

[REDACTED] stated that JOHN DUFF, JR. [REDACTED] would come into the WCLS office, but not as much as [REDACTED] stated that JOHN DUFF, JR. would usually come into the office in the afternoon to play cards during the week. [REDACTED] would usually come in with JOHN DUFF, JR. or meet him at the office. [REDACTED] stated that [REDACTED] would occasionally come into the office. [REDACTED] stated that [REDACTED] did not know anything about the day-to-day operation of WCLS, [REDACTED] stated that [REDACTED] would tell everyone to "take it easy" on [REDACTED] [REDACTED] stated that he knew that the name [REDACTED] was on the WCLS and WCM checks, but he did not know why. [REDACTED] stated that he also knew that a [REDACTED] signature stamp was used on the checks from WCM and WCLS.

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[REDACTED] stated that JOHN DUFF, JR. [REDACTED] were often in Florida or at their ranch house in Land O'Lakes, Wisconsin. [REDACTED] stated that he had heard in the office that the "Duffs" had business interests in Florida, but stated that he was never directly involved and did not know the exact nature of those business interests. [REDACTED] stated that he also thought that the "Duffs" had some unknown business interests in Detroit.

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] described the WCLS employees as "industrial labor supply" who were primarily truck helpers. [REDACTED] explained that WCLS' biggest clients were liquor distributors, including ROMANO BROTHERS, JUDGE & DOLPH, CONSOLIDATED, CONTINENTAL DISTRIBUTORS, and FEDERATED. [REDACTED] indicated that the majority of work done by WCLS' laborers for the liquor distributors was assisting liquor distributor drivers in loading their trucks at the warehouses and unloading their trucks at client locations. [REDACTED] indicated that when he started, WCLS would send approximately 10-30 laborers out to each of the liquor distributors daily. [REDACTED] stated that WCLS would send 80-90 per day to the liquor distributors during busy times when he left

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Continuation of FD-302 of [redacted], On 11/02/2000, Page 3

[redacted] stated that WCLS was sending between 300-800 total laborers out [redacted] stated that none of the laborers did clerical work, but simply did manual labor.

[redacted] stated that the "Duffs" had long-standing ties to the liquor distributors through the employee union, Local 3.

[redacted] stated that he knew that [redacted] with Local 3, [redacted] who was a long-time friend of JOHN DUFF, Jr., had also [redacted]

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[redacted] stated that he is aware that JOHN DUFF, JR. was in prison for something related to unions and that as part of his release he is not allowed to have any dealings with unions.

[redacted] stated that JOHN DUFF, JR. abides by this restriction and is very careful not to have any dealings with unions.

[redacted] stated that he has heard around the office that JOHN DUFF, JR. assisted [redacted] but indicated that he does not know exactly how.

[redacted] indicated that he is not aware of the "Duffs" threatening anyone or attempting to intimidate them. [redacted] indicated that he is aware that the "Duffs" have a reputation of being involved with organized crime, but stated that he does not have any direct knowledge of this.

[redacted] stated that he also worked [redacted] for the "Duffs" while he was working at WCLS. [redacted] explained that he would [redacted]

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[redacted] explained that he would also perform [redacted]

[redacted] explained that he also [redacted] estimated that WCM started sometime between 1991 and 1993. [redacted] stated that WCM was formed very quickly right before the "Duffs" were able to get contracts with the City of Chicago to clean the Harold Washington Library, O'Hare International Terminal No. 5, and a 911 Building. [redacted] stated that it happened so quickly that WCM used the

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Continuation of FD-302 of [redacted], On 11/02/2000, Page 4

WCLS labor workforce to handle the contracts for the first year before there was independent hiring for WCM. [redacted] indicated that prior to these contracts, the "Duffs" dealings with the City of Chicago were through the Grant Park ethnic festivals.

[redacted] stated that he worked for WCM by coordinating shifts and schedules from the WCLS workforce. [redacted] stated that [redacted] but JOHN DUFF, JR. [redacted] were around from time to time. [redacted] stated that he reported directly to [redacted] on WCM matters.

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[redacted] stated that when the "Duffs" were forming REM,

[redacted]

[redacted] also recalled that [redacted] brought in a white male, [redacted] Last Name Unknown (LNU), who had some knowledge about environmental matters and tried to help them get REM going. [redacted] stated that [redacted] LNU

[redacted] understanding of what REM was doing was digging holes for and placing underground gas tanks in within environmental standards. [redacted] stated

[redacted] stated that REM did not really get going right away and was inactive for some time after it initially started. [redacted] stated that REM then came back and started getting business, including the "Blue Bag" contracts with the City of Chicago. [redacted] recalled that REM had approximately 4-6 clients, but he could not recall the names of the clients.

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[redacted] stated that once all of the companies were formed, including WCLS, WCM, REM, and CURTIS, [redacted]

[redacted] stated that if there was a problem or a conflict, he would do whatever the "Duffs" told him to do.

[redacted] stated the "Duffs" had been good to him and acknowledged that he did not want to incriminate them. [redacted]

[redacted]

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Continuation of FD-302 of [redacted], On 11/02/2000, Page 5

[redacted] indicated that after he left, [redacted]

[redacted] stated that [redacted]

[redacted] stated that [redacted] was polite and said that he did not want to end things this way and [redacted]

[redacted]

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[redacted] indicated that he knew [redacted] and had seen him at the WCLS/WCM Office. [redacted] recalled that it appeared that the "Duffs" and [redacted] were very close based on the conversations he had witnessed. [redacted] stated that the "Duffs" appeared comfortable talking in front of [redacted] related that he was aware that [redacted] for the Duff companies, but [redacted] did not know the details of the business dealings. [redacted] stated that he had seen [redacted] give [redacted] envelopes before, but [redacted] did not know what was in the envelopes. [redacted] did not have any direct dealings with [redacted]

[redacted] recalled [redacted] asking [redacted] but [redacted] did not know if that was given to [redacted] or not. [redacted] estimated that this was done in the early 1990s. [redacted] indicated that none of the work included in the payroll breakdown was clerical. [redacted] related that he provides this type of information for [redacted] [redacted] but indicated that he was not really aware of it when he worked at WCLS. [redacted] did not recall having any dealings with insurance auditors while he worked at WCLS or any of the other "Duff" companies. [redacted] was unsure who did this at WCLS.

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[redacted] stated that he knew that the "Duffs" had someone telling them where to come in on the contracts they were bidding on with the City of Chicago. [redacted] explained that he had heard [redacted] JOHN DUFF, JR., and [redacted] talking on various occasions about someone at the City of Chicago telling

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Continuation of FD-302 of [REDACTED]

, On 11/02/2000 , Page 6

them what what everyone had bid or where there numbers should be. [REDACTED] indicated that he did not know who at the City was providing the "Duffs" with the information. [REDACTED] knew that the "Duffs" had contact with KATHY OSTERMAN in the Mayor's Office of Special Events on the ethnic festivals.

[REDACTED] stated that the "Duffs" also knew [REDACTED] [REDACTED] stated that he knew that [REDACTED] had worked in the [REDACTED] [REDACTED] recalled seeing [REDACTED] in the WCLS/WCM office from time to time talking to one of the "Duffs." [REDACTED] also recalled seeing [REDACTED] at the "Duffs" Christmas parties at the COMO INN.

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[REDACTED] indicated that he attended the "Duffs" Christmas parties almost every year he worked for them. [REDACTED]

[REDACTED] recalled seeing Chicago Mayor RICHARD DALEY at that Christmas party.

[REDACTED] stated that it was "customary" for the "Duffs" to have their employees pick up liquor from the various liquor distributors once a year around Christmas. [REDACTED] stated that he or other WCLS/WCM employees would do this at the direction of [REDACTED] [REDACTED] stated that it was "high class" liquor that was passed out as gifts to the "Duff's" friends and employees, used at the COMO INN Christmas parties, or kept by the "Duffs." [REDACTED] stated that some of the friends included a [REDACTED] (phonetic), a [REDACTED] LNU, a [REDACTED] LNU, and an unknown male with the last name of [REDACTED] [REDACTED] estimated that each year there was enough liquor to fill up a "semi or two" and [REDACTED] [REDACTED] stated that most of the liquor was stored [REDACTED]

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[REDACTED] was kept at the WCM/WCLS office at 178 North Hastad.

[REDACTED] described the WCM/WCLS office as being an older, run down office that was very open. [REDACTED] stated that the door to 174 North Halstand was used by the laborers and led to a small room with a window were they could check in. [REDACTED]

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Continuation of FD-302 of [REDACTED]

, On 11/02/2000 , Page 7

indicated that the 178 North Halstad door led to the office and was used by the permanent employees of WCM and WCLS. [REDACTED] stated that there was also a third door that led to the REM office, which was connected to the WCLS/WCM office area.

[REDACTED] stated that [REDACTED] but open enough where it really was not a private office. [REDACTED] stated that there was also a full bar in the office area.

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[REDACTED] stated that he had seen some strange things at the various companies the "Duffs" owned dealing with money and taxes. [REDACTED] recalled from [REDACTED] instructed all of the WCLS laborers to file "exempt" on their W-2s. [REDACTED] stated that the "Duffs" always made a big deal about the W-2s for the [REDACTED] recalled a specific instance where he was instructed to pull all of the W-2s for [REDACTED] stated that the "Duffs" were also very secretive about how much they were making with the companies.

[REDACTED] stated that he knew that the "Duffs" gambled quite a bit, but stated that he had never heard of them running an illegal gambling operation. [REDACTED] recalled that one of the [REDACTED]

[REDACTED] stated that he had seen some of the news about the City of Chicago revoking WCM's WBE status, but had not talked to anyone from the City or from the "Duff" businesses about it. [REDACTED] stated that he did not recall ever having any contact with City compliance officers while he worked for the "Duffs."

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[REDACTED] recalled that the "Duffs" had a good relationship with the [REDACTED] was aware that the "Duffs" and [REDACTED] cashed a lot of checks at [REDACTED] stated that often times WCM/WCLS employees would cash checks for [REDACTED] but he remembered BEDELL STINSON doing this quite a bit. [REDACTED] stated that he did not know what the money was for or how it was used. [REDACTED] stated that [REDACTED] would often write as many as 30-50 checks for STINSON to cash at one time at the currency exchange.

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Continuation of FD-302 of [redacted], On 11/02/2000, Page 8

[redacted] stated that he knew [redacted] to be a close friend of [redacted]. [redacted] stated that [redacted] came into the WCM/WCLS office on a regular basis. [redacted] stated that he knew that WCLS' laborers were used on recycling projects at WASTE MANAGEMENT, ACE DISPOSAL, and CID. [redacted] stated that he also thought that BARRY might have worked with CURTIS STORAGE & TRUCKING.

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[redacted] stated that CURTIS STORAGE & TRUCKING was a "Duff" company with a fleet of 15-20 old, beat up trucks. [redacted] stated that the CURTIS trucks were used to take processed garbage from ACE DISPOSAL and WASTE MANAGEMENT sites to landfills. [redacted] stated that CURTIS also had contracts with the City of Chicago moving salt. [redacted] stated that he remembered this because the trucks were not designed to carry salt [redacted]

[redacted]
[redacted]
[redacted]
[redacted]
[redacted]

[redacted] stated that he knew [redacted] as a WCLS employee who would fill in for [redacted] handling [redacted] when they were not around. [redacted] stated that he knew that [redacted] but did not know how [redacted] had met the "Duffs" or come to work at WCLS. [redacted] stated that a younger, white male named [redacted] (possibly [redacted]) started doing more work with WCM, REM, and CURTIS.

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[redacted] stated that he knew the name [redacted] because he had heard of [redacted] but he did not know what she did for the "Duffs." [redacted] stated that he did not recall the name [redacted]

[redacted] stated that he knew that [redacted] was the [redacted] but did not know anything else about [redacted]

[redacted] stated that [redacted] was a small part of the WCM/WCLS operation. [redacted] stated that [redacted] would occasionally do some grunt work or something in the office for a

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Continuation of FD-302 of [redacted], On 11/02/2000, Page 9

brief period, but stated that he was not regularly around.

[redacted] stated that he knew that [redacted]
[redacted] was in
the office once in a while, but did not work there on a regular
basis. [redacted] stated that he knew [redacted] was
[redacted] believed that [redacted] was a
[redacted] and he seldom saw her in the office.

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[redacted] stated that his [redacted]
(phonetic) was [redacted] for a short time.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/03/2000

Source, who is not in a position to testify, voluntarily provided the following information:

One of [redacted] trucks is parked nightly at the corner of [redacted]. The truck has [redacted] on the door. The driver, [redacted] picks the truck up at that [redacted] and drives to a specific job location.

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The DUFFs went gambling on the riverboats on [redacted] [redacted] FIRST NAME UNKNOWN (FNU) [redacted]

b2
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b7D

JAB
159A-CG-110953
149

Investigation on 11/02/2000 at Chicago, Illinois (telephonically)

File # [redacted] 194B-CG-105773-302 Date dictated 11/03/2000

by [redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/16/2000

[redacted] previously interviewed by the FEDERAL BUREAU OF INVESTIGATION was interviewed at his place of employment, [redacted] Chicago, Illinois, telephone number [redacted] extension [redacted] provided the interviewing Agents the following information:

[redacted] had heard that BEDELL STINSON and [redacted] LAST NAME UNKNOWN (LNU) (possibly [redacted] would cash checks at various currency exchanges. [redacted] however, did not know if they (STINSON and [redacted] LNU) were specifically directed to cash checks. [redacted] was never directed by anyone at WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) or WINDY CITY MAINTENANCE (hereafter referred to as WCM) to cash checks at a currency exchange.

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[redacted] advised that in approximately 1995, he received two (2) different W-2's, one from when he worked at [redacted] [redacted] thought this was odd because the money came from the same account.

[redacted] knew [redacted] was used by "the DUFF's" as an enforcer. [redacted] was affiliated [redacted]

[redacted] worked with [redacted] at WCLS. [redacted]
[redacted]
[redacted]

b6
b7C

[redacted] advised that [redacted]
[redacted]
[redacted]
[redacted]
[redacted]

Investigation on 11/15/2000 at Chicago, Illinois

File # 159A-CG-110953

Date dictated 11/16/2000

-150

by SA [redacted]
SA [redacted]

tdb/ors

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/17/2000

Source, who is not in a position to testify, voluntarily provided the following information:

[redacted] stated that [redacted] recently acquired a [redacted] contacts with the DUFF's. [redacted] According to [redacted] the DUFF's to keep for themselves. [redacted] has been talking with DUFF SR about [redacted]

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[redacted]

JNB

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L: 3224A Col. 302

Investigation on 11/16/2000 at Chicago, Illinois (telephonically)

File # [redacted] 194B-CG-105773-302 - 86 Date dictated 11/17/2000

by [redacted] 159A-CG-110953-151

[Handwritten signature]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/09/2000

Source, who is not in a position to testify, voluntarily provided the following information:

[Redacted]

[Redacted] JOHN DUFF were there, along with others. A craps game was going on at the party at approximately \$50 per roll. At the height of the game, approximately 10 to 15 people were playing. Around 12:30 a.m., JOHN DUFF arrived and began playing craps. He wanted to play for \$500 per roll. The other players were able to match the \$500 bet for several rolls, until DUFF won \$1500 and DUFF quit playing. The party lasted until 2:30 a.m.

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[Redacted]

SEARCHED
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FBI - CHICAGO

[Redacted] WBS

314 CAC 01. 302

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Investigation on 11/09/2000 at Chicago, Illinois (telephonically)

File # [Redacted] 194B-CG-105773-302 - 85 Date dictated 11/09/2000

by [Redacted] 159A-CG-110953-152

WBS

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/13/2000

To: Chicago

Attn: SA [redacted]

From: Butte ITC

Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mic/cog

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]
JOHN F. DUFF, JR.;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

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Synopsis: Results of searches re [redacted]

Administrative: Reference FD-809 dated 12/4/2000.

Enclosure(s): Attached are copies of printouts setting forth results of inquiries conducted by Butte ITC and a Reply Form.

Details: [redacted] - As of August of 2000, [redacted] address was reported as [redacted]. Other possible addresses are included.

159A-CG-110953-154

To: Chicago From Butte ITC
Re: 159A-CG-110953, 12/13/2000

LEAD(s) :

Set Lead 1:

CHICAGO

AT CHICAGO

Complete and return Reply Form to Butte ITC.

◆◆

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/21/2000

[redacted]
[redacted] telephone
[redacted] was interviewed at [redacted]
[redacted] After being advised of the identity of the
interviewing agent and the purpose of the interview, [redacted]
provided the following information:

[redacted] advised that he had been employed by CASUALTY
INSURANCE, which later became FREMONT INSURANCE, from
approximately [redacted] (hereinafter "CASUALTY/FREMONT").
[redacted] advised that he worked [redacted] for CASUALTY/FREMONT
from [redacted]
[redacted] before leaving in approximately [redacted]
[redacted] advised that he then worked briefly for [redacted]
and has worked for [redacted] (hereinafter
[redacted])

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[redacted] advised that he recalled CASUALTY/FREMONT
providing worker's compensation insurance to a company named
WINDY CITY LABOR SERVICE (hereinafter "WCLS") while he was the
[redacted] recalled that WCLS was a temporary
labor service, but did not recall anything more specific about
the individuals that owned or worked at the company. [redacted]
advised that he did not know and had never met anyone named JOHN
DUFF, [redacted]
advised that it would have been unusual for someone in
underwriting to have direct contact with the insured party,
rather than the insured's agent. [redacted] advised that normally
it would just be auditors who had direct dealings with the
insured party. [redacted] advised that he did not recognize the
name [redacted] but he recognized the name [redacted] as
someone he had dealt with in the insurance industry.

[redacted] advised that one of the reasons he remembered
WCLS was a conversation that he had with [redacted]
[redacted] about the account. [redacted] recalled that during
this conversation, [redacted] related to him that someone at WCLS
had threatened to break an auditor's legs because of problems
during the audit. [redacted] further related to [redacted] that after
that incident, CASUALTY/FREMONT conducted audits the way the
owners of WCLS told them to. [redacted] advised that this

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159A-CG-110953-155

Investigation on 12/21/2000 at [redacted]
File # 194B-CG-105773-302 Date dictated 12/22/2000
by SA [redacted]:rpw

194B-CG-105773-302

Continuation of FD-302 of [REDACTED]

, On 12/21/2000 , Page 2

conversation took place during the time that [REDACTED] was working in [REDACTED] was aware that [REDACTED] worked at or for [REDACTED] during the time he was in [REDACTED] but [REDACTED] did not recall discussing WCLS with either of them.

After reviewing portions of the CASUALTY/FREMONT underwriting file on WCLS, [REDACTED] indicated that while he did not have a lot of independent recollections of his dealings with WCLS, he recognized many of the documents as CASUALTY/FREMONT documents and they helped refresh his memory on some of his dealings with WCLS. [REDACTED] indicated that he also recognized his handwriting on many of the documents.

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[REDACTED] reviewed a document labeled "AUDIT ADJUSTMENT," dated November 11, 1994, which showed \$600,000 in a Warehousing classification, 8292, for CASUALTY's worker's compensation policy for WCLS for the period of 07/05/93 to 07/05/94. The document stated that it was an "estimated audit" and indicated that a "revised audit [would] be issued when the payroll records are made available." [REDACTED] related that the estimated audit was sent out when an insured party was not complying with a final audit. [REDACTED] indicated that deciding to put the \$600,000 into warehousing, as opposed to some other classification, could have been based on claims they were getting or because it was the highest classification that the insured party was using. [REDACTED] advised that whatever the reason, the estimated audit was designed to get the insured to cooperate with the audit. [REDACTED] advised that if the insured party simply paid the estimated audit, then the carrier would definitely want to schedule a physical audit because it probably meant that the insured was not properly reporting their classifications or payroll.

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[REDACTED] recognized the address of 174-178 North Halstad, Chicago, Illinois as the address of a company named REMEDIAL ENVIRONMENTAL MANPOWER (REM). [REDACTED] advised that he [REDACTED] on REM's workers compensation insurance policy with [REDACTED] approximately three months earlier. [REDACTED] advised that he did not recall seeing any WCLS signage at the address when he conducted the audit survey. [REDACTED] explained that an audit survey consisted of an auditor checking the classifications of a business' employees and their record keeping. [REDACTED] explained that it was customary

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Continuation of FD-302 of [REDACTED], On 12/21/2000, Page 3

for TRAVELERS to do an audit survey on new business to ensure the insured party was properly classifying their employees and keeping adequate payroll records for workers compensation purposes.

[REDACTED] advised that the audit of REM took place at 174-178 North Halstad, Chicago, Illinois. [REDACTED] advised that he was assisted with the audit by a [REDACTED]. [REDACTED] advised that [REDACTED] was the [REDACTED] of REM or a similar position. [REDACTED] advised that he knew the name [REDACTED] REM, but advised that he had never met [REDACTED]. [REDACTED] advised that during the course of the audit he learned that REM had two divisions, a "day labor" division, which TRAVELERS insured through the assigned risk market, and another division, which another company insured in the voluntary market. [REDACTED] recalled that the "day labor" division of REM was primarily classified as warehouse workers and estimated that the total payroll was approximately \$1.6 million based on the quarterly payroll information. [REDACTED] indicated that REM's experience modifier was approximately 1.04, indicating an average loss history given their employee classifications.

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[REDACTED] advised that there were approximately 5-10 people in the office at REM during the audit, including [REDACTED] a female receptionist, a temporary computer person, and some others he did not meet.

[REDACTED] was shown correspondence, dated June 28, 2000, from [REDACTED] indicating that REM had acquired WCLS' operations. [REDACTED] stated that he was not told during the audit that WCLS had been merged into or acquired by REM. [REDACTED] stated that for worker's compensation purposes, WCLS' experience modifier should have been carried over to REM if the "day labor" division was insured separately. [REDACTED] stated that even if all of the loss history, payroll, and classifications for both divisions were merged, the NCCI would have used the old WCLS experience modifier and loss history to create one new experience modifier for REM which incorporated the WCLS acquisition. [REDACTED] indicated that REM should have indicated in their acord to the NCCI that REM had acquired WCLS and indicated any common ownership or acquisition. [REDACTED] indicated that he did not recall seeing this on any documents he

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Continuation of FD-302 of [REDACTED]

, On 12/21/2000, Page 4

used before or received during the audit. [REDACTED] advised that he would not ordinarily ask about common ownership during an audit unless something triggered the question. [REDACTED] advised that the underwriting department would ask and advised that the documents, including an acord, asking such questions would be in TRAVELERS' underwriting files.

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[REDACTED] advised that it was a common form of insurance fraud to create a new company to avoid a very high experience modifier. [REDACTED] related that it was uncommon to see experience modifiers as high as 3.0 or higher. [REDACTED] indicated that if a company was intentionally misclassifying their payroll into a clerical classification when it was supposed to be in a warehousing classification, even a modifier as high as 3.0 won't make up for the premium that should have been paid. [REDACTED] advised that the best way for insurance companies to avoid years of fraud leading to a high modifier is to "verify claims" by checking the nature of the work being done, talk to the people where it was being done, and put the employees in their proper payroll classifications. [REDACTED]

[REDACTED] advised that CASUALTY/FREMONT was not properly handling worker's compensation risks, especially in the assigned risk market. [REDACTED] advised that the underwriting department at CASUALTY/FREMONT consisted of [REDACTED]. [REDACTED] advised that [REDACTED] worked in collections. [REDACTED] advised that CASUALTY/FREMONT was understaffed in underwriting and they were doing everything manually. [REDACTED] stated that CASUALTY's management was not committed to putting an updated computer system in place or getting more people to do the underwriting on assigned risk work. [REDACTED] indicated that the NCCI also wanted final audits done within 90 days of the end of the policy and CASUALTY/FREMONT was rushed to do these. [REDACTED] advised that the NCCI only audited a carrier every few years and only a small percentage of their files were reviewed. [REDACTED] indicated that this allowed CASUALTY/FREMONT to continue to make mistakes for years on assigned risk policies. [REDACTED] advised that neither CASUALTY/FREMONT nor the NCCI had a system for any kind of day-to-day review of underwriting and auditing. [REDACTED] advised that the NCCI would not know if a particular company had been audited from year to year unless an audit card was filed by the servicing

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194B-CG-105773-302

Continuation of FD-302 of _____, On 12/21/2000, Page 5

company. _____

_____ advised that during a normal audit he would ask to be provided with any payroll information, state unemployment records, IRS Form 941s (quarterly payroll), check registers. _____ added that with day labor services he would also ask for break down their payroll into classifications. If this was not done, _____ advised that he would ask for client lists and invoices in order to tie the payroll breakdown back into the 941s after taking out any profit.

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_____ advised that when he conducted an audit, he normally only submitted a final report to TRAVELERS. _____ indicated that he ordinarily kept the underlying documents supporting the audit for six months to a year before destroying them. _____ advised that he still had the documents from the audit of REM. _____ advised that _____ would have underwritten the REM assigned risk policy. _____

_____ advised that _____ (phonetic) of _____ could obtain all of the documents from REM's worker's compensation policies.

b6
b7C

_____ advised that in the assigned risk market, there was no actual risk to the assigned risk carriers. _____ explained that the NATIONAL COUNCIL ON COMPENSATION INSURANCE (NCCI) contracted with the State of Illinois to create and monitor an assigned risk worker's compensation market for companies that could not obtain insurance in the voluntary market. _____ related that the NCCI would assign various companies to be handled by the servicing carriers. _____ stated that while the servicing carriers would collect the premiums and any other fees and pay the claims, they would only receive a flat fee from the NCCI for the business, rather than profiting or losing money based on the premium collected and the claims paid out. _____ related that the NCCI would pay any losses and receive credit for premiums that exceeded the fees

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Continuation of FD-302 of [REDACTED]

, On 12/21/2000 , Page 6

paid to the servicing carriers in the assigned risk market. [REDACTED] stated that the NCCI would then levy a surcharge on all insurance carriers, not just assigned risk carriers, if the losses for a particular year were too high. [REDACTED] related that in addition to assigning carriers, the NCCI also calculated and assigned experience modifiers, established rates for each worker's compensation classification, and established advisory rates for certain classifications.

b6
b7c

[REDACTED] advised that there was formerly many companies that participated as servicing carriers in the assigned risk market, [REDACTED]

[REDACTED] advised that this changed occurred 5-6 years ago when the NCCI went to a bid process for who was going to get the assigned risk business.

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/22/2000

[redacted]
[redacted] was interviewed by the undersigned agents and provided the following information:

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[redacted] Kemper Insurance since [redacted] In [redacted] attempted to perform a pre-audit of Windy City Labor Service (WCLS) in Chicago as it was Kemper's usual practice to do a pre-audit of clients in the assigned risk market within sixty to ninety days of the policy's inception. [redacted] went to WCLS's office at 1024 West Kinzie Street in Chicago on [redacted] [redacted] may have been given [redacted] but he is not certain about this. [redacted] also met [redacted] [redacted] primarily assisted [redacted] in printing out documents. [redacted] provided [redacted] with a partial computer print-out which listed employees' names. [redacted] thinks that the print-out listed a numeric code for each employee which stood for the type of work that the employee performed. [redacted] explained to [redacted] that the best information for him would be a list of clients including contacts and phone numbers, however [redacted] was only given a partial print-out of employees' names to review on that day because WCLS was experiencing computer problems. [redacted] does not remember if he was allowed to keep the partial print-out that he reviewed that day.

b6
b7C

Subsequent to his visit to the WCLS office, [redacted] asked [redacted] of Kemper's audit department how to handle the pre-audit of WCLS as he had not received the list of clients from [redacted] to ask for a list of sales by client. When [redacted] called [redacted] and asked for this information, he was told that it would be looked into. After this conversation [redacted] never heard from [redacted] again [redacted] finally called [redacted] and asked for his help in getting the necessary information from WCLS. [redacted] promised to help, but [redacted] never received the information he needed from [redacted]

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Investigation on 12/13/00 at Chicago, ILFile # 194B-CG-105773 SUB 302 Date dictated 12/19/00

by [redacted]

194B-CG-105773 SUB 302

Continuation of FD-302 of [redacted], On 12/13/00, Page 2

[redacted] could not complete the pre-audit without the information regarding client contacts and client sales, so he returned the case to [redacted] as unauditabile.

b6
b7C

[redacted] described [redacted] as about [redacted] years old, medium build, [redacted] was shown a photospread, but he was unable to pick [redacted] out of it.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/18/2001

Pursuant to a United States District Court, Northern District of Illinois Federal Grand Jury subpoena, [redacted] date of birth [redacted], was interviewed at the United States Attorney's Office, 5th Floor, 219 South Dearborn, Chicago, Illinois. Also present during the course of the interview was [redacted] attorney, Assistant United States Attorney's [redacted] and Department of Labor, Office of the Inspector General, Special Agent [redacted]. After being advised of the identity of the interviewing Agents and the purpose of the interview [redacted] provided the following information:

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[redacted]

b3
b6
b7C

[redacted]

Investigation on 01/17/2001 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 01/18/2001

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by SA [redacted] *tdb/ob*

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/24/2001

[redacted] date of birth [redacted] Social Security Account Number [redacted]

[redacted] home telephone number [redacted] was interviewed at her residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] began her employment at WINDY CITY LABOR SERVICE (hereafter referred to as WCLS) in approximately [redacted] Before [redacted] began working for

WCLS, she worked for the [redacted] After one of [redacted] received a call from [redacted] who asked her if she wanted a job. [redacted] went to the WCLS office located at 178 North Halsted for her job interview. At the office, [redacted]

After the interview, [redacted] was offered her job by [redacted] When [redacted] first started, she worked in the office as [redacted] did not have any specific job responsibilities, she just did what she was told to include [redacted] When

[redacted] first started at WCLS, [redacted] The only other people that worked in the office were [redacted] (hereafter referred to as [redacted])

[redacted] knew WCLS was a day temporary labor service that provided day laborers to the various liquor distributors, ROMANO BROTHERS, JUDGE & DOLPH, FEDERATED LIQUORS, and UNION BEVERAGE. [redacted] described the day laborers as "homeless" and knew that they did manual labor at the liquor distributors.

[redacted] was not aware of the day laborers doing any clerical work. Occasionally [redacted] would be required to [redacted] [redacted] also knew WCLS provided day laborers to WASTE MANAGEMENT. [redacted] knew [redacted]

[redacted] and would see [redacted] at the WCLS office meeting with [redacted] and JOHN "JACK" DUFF, JR. When talking about DUFF, JR., [redacted] referred to him as "MR. DUFF". [redacted] knew [redacted] was a close friend of JOHN DUFF, JR. and would see [redacted] in the WCLS office playing cards with DUFF, JR. and several of DUFF,

Investigation on 01/23/2001 at [redacted]

File # 159A-CG-110953 Date dictated 01/24/2001

by SA [redacted] tdb

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b6
b7C

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Continuation of FD-302 of _____, On 01/23/2001, Page 2

JR.'s friends.

_____ thought _____ and JOHN DUFF, JR. ran the day to day operations of WCLS but that _____ was the owner of WCLS. The reason _____ thought _____ name was on all the documentation. While _____ worked for WCLS she never saw _____ in the office. _____ did not think there was anything wrong with _____ not being in the office. Whenever _____ received a telephone call, _____

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_____ knew _____ and would see him in the WCLS office around lunch time but did not think _____ had anything to do with WCLS. During the festivals, however, _____ would see _____ working the festival. _____ knew _____ did not have any involvement with WCLS. Like _____ did see _____ working the festivals. _____ knew _____ did not work for WCLS or WINDY CITY MAINTENANCE (hereafter referred to as WCM).

After RICHARD DALEY was elected mayor in 1990, "the DUFF's" started another company, WCM. _____ did not know that much about WCM and did not know who the owner was. _____ believed WCM was started when WCM received the festival contracts but WCM was not prepared to handle the clean up of the festivals. WCM did not have the necessary supplies or equipment and needed to use WCLS day laborers to meet the manpower needs. _____ was not sure who "the DUFF's" dealt with at the city but she did know _____ knew _____ did know _____

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b7c

_____ recalled seeing a REMEDIAL ENVIRONMENTAL MANPOWER (hereafter referred to as R.E.M.) sign outside the WCLS/WCM office at 178 North Halsted as well as business documents with R.E.M. letterhead. _____ told _____ that R.E.M. was an old company that

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Continuation of FD-302 of [redacted], On 01/23/2001, Page 3

did not work out. [redacted] knew R.E.M. was owned by "the DUFF's".

[redacted] never saw any cases of liquor at WCLS but she did recall that around Christmas time hearing "the DUFF's" talk about liquor. [redacted] was not sure what the context of the conversation was but thought that maybe "the DUFF's" gave the liquor away as gifts.

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[redacted] was hired by [redacted] and began working at WCLS. [redacted] however, was unsure when [redacted] started at WCLS. [redacted] was involved with the [redacted] at WCLS and helped "the DUFF's" get WCLS [redacted] then went to [redacted]

[redacted] worked for [redacted] (probably [redacted]) [redacted] did not know [redacted] last name. [redacted] was not sure what year but [redacted] was offered a position at [redacted] by "the DUFF's". At that time, [redacted] had moved to [redacted] and did not want to drive that far every day. [redacted] thought "the DUFF's" were harassing [redacted]

[redacted] noticed that [redacted] [redacted] did not know how long that had been going on. [redacted] thought it might have been four years. [redacted] first learned of the past tax problems and [redacted]

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[redacted] also [redacted] after he started working for WCLS. [redacted] affected his job performance, [redacted] knew of occasions when [redacted] would not show up at home or work for days at a time. "The DUFF's" knew [redacted] and even though he did not show up for work, "the DUFF's" still paid him his weekly wages. [redacted] remembered calling [redacted] assistance in getting [redacted]

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Continuation of FD-302 of _____, On 01/23/2001, Page 4

believed _____ was _____
_____ even asked _____
did not show up for work to get his attention. _____ told
_____ that _____ was not getting _____ from him and
refused her request to not pay _____ for his missed hours of
work.

The last time _____ had any contact with "the
DUFF's", she had contacted WCM in _____ and talked to _____
The reason _____ called was _____ the TASTE OF
CHICAGO because _____
denied ever being threatened by anyone when _____
was not aware of any criminal activity going on at WCLS but she
did say something was just not right. _____ said "the DUFF's
had an air about them" and recalled telling her mom that she
_____ worked for the Irish Mob. _____
_____ advised that _____ called her last
Friday and told her that she should expect a visit from the FBI.
_____ was on his way home from his meeting with the Assistant
United States Attorney's.) According to _____ did
not provide her with anymore details other than to expect a
visit. _____ advised that she has little contact with
_____ except when he calls to talk to _____

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/01/2001

[redacted] date of birth [redacted] Social Security Account Number [redacted]
 [redacted] was interviewed at his residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] advised the interviewing Agents to talk to his attorney. [redacted] advised the interviewing Agents that he needed to make a telephone call and went into his residence. Upon returning from the house, [redacted] advised that his attorney was [redacted] asked the interviewing Agents "what is this about?" The interviewing Agents advised [redacted] that because he was represented he was not to answer any questions. [redacted] was shown [redacted]

b3
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[redacted] was also served and accepted two United States District Court, Northern District of Illinois, Federal Grand Jury subpoenas.

One subpoena commanded [redacted] to provide [redacted] and the other commanded [redacted] to appear before the grand jury for testimony.

[redacted] are maintained in a 1A envelope.

The original subpoena's were returned to the United States Attorney's office and copies are maintained in a 1A envelope.

Investigation on 01/31/2001 at [redacted]

File # 159A-CG-110953 Date dictated 02/01/2001

by SA [redacted] tdbjw

[Handwritten signature]

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/07/2001

[redacted] date of birth [redacted] Social Security Account Number [redacted]

[redacted] was interviewed at her residence. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] advised that she had no idea why the FBI would want to talk to her or to her husband [redacted] [redacted] did not know why the FBI had been to their residence before and her husband would not tell her the reason why the FBI had talked to him [redacted] was not familiar with the federal investigation but vaguely recalled reading articles in the CHICAGO TRIBUNE regarding allegations that WINDY CITY MAINTENANCE (hereafter referred to as WCM) fraudulently received contracts from the City of Chicago.

b3
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[redacted] did not know anything about [redacted] [redacted] nor did she know [redacted] [redacted] did not know [redacted] nor did she know anything about [redacted] [redacted] advised that she does not talk to her husband about his job or what happened during his day at work.

Investigation on 02/06/2001 at [redacted]

File # 159A-CG-110953 Date dictated 02/07/2001

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by SA [redacted] tdb^{SSB}

159A-CG-110953
TDB:tdb *tdb*

1

The following investigation was conducted by Special Agent's [redacted] and [redacted] at [redacted] Illinois.

On January 31, 2001, SA's [redacted] attempted to interview [redacted] date of birth [redacted] Social Security Account Number [redacted] at his residence, [redacted] Illinois. SA's [redacted] and [redacted] were met by [redacted] date of birth [redacted]

b6
b7c

[redacted] Illinois. After being advised of the identity of the interviewing Agents and the purpose of the interview, [redacted] provided the following information:

[redacted] moved out of the apartment. [redacted] did not know where [redacted] went and did not plan on speaking with him. The interviewing Agents left cards and asked [redacted] if and when she saw [redacted] to have [redacted] give the interviewing Agents a call.

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/05/2001

[redacted] Illinois, date of birth [redacted] was interviewed in the office of the U.S. Attorney by the undersigned agents and Assistant United States Attorneys [redacted] and [redacted]. Also present for the interview was [redacted] Assistant Corporate Compliance Officer, United Wisconsin Services, Inc. [redacted] provided the following information:

[redacted] She started with [redacted] Previously [redacted] worked for [redacted] began working for [redacted] in [redacted] and it was at [redacted] that she first came into contact with Remedial Environmental Manpower (REM). REM's workers compensation account came to her through the [redacted] [redacted] is the controlling agent on the REM account at [redacted] REM had an excellent safety report from the loss control specialists at [redacted] a workers compensation policy for REM. [redacted] understanding of REM's operations is that REM runs recycling projects for the city of Chicago.

b6
b7C

Sometime later [redacted] went out of business. [redacted] went to work as [redacted] for United Heartland. As Superior National was out of business, [redacted] had to find a new market for REM's workers compensation coverage. [redacted] did not have a contract with United Heartland, but [redacted] did. [redacted] brought the REM account to [redacted] to find a market for it and [redacted] brought it to United Heartland. [redacted] had worked with [redacted] of [redacted] previously on other accounts and she began working on REM's account at United Heartland.

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After writing the account for REM, [redacted] toured one of REM's facilities along with [redacted] This visit occurred sometime in [redacted] The purpose of the visit was to inspect the facility's safety and gain an understanding of REM's operations. [redacted] met with [redacted]

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Investigation on 2/01/01 at Chicago, Illinois

File # 194B-CG-105773 SUB 302 Date dictated 2/05/01

by [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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Continuation of FD-302 of _____, On 2/01/01, Page 2

_____ of REM and a female whose name _____ could not recall. _____ told _____ that many of REM's employees are long-term and they are provided with good benefits.

_____ was shown a copy of a fax dated 9/5/00 in which _____ states that _____ has received an "app for the new accounts." _____ explained that _____ was referring to receiving an application to add the former Windy City Labor Service (WCLS) day labor division to the REM policy. _____ understanding from _____ was that REM was buying the contracts of WCLS which was going out of business. _____ was not under the impression that WCLS and REM were merging.

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b7C

_____ told _____ that she would need an ERM-14 filled out by WCLS in order to consider adding the day labor division onto REM's account. This was needed in order to have the National Council on Compensation Insurance (NCCI) determine if the two companies were combinable in terms of their experience modifier. _____ recalls that WCLS had a poor loss history. _____ was also concerned with how losses would be broken down between the two divisions, especially regarding what _____ had explained on the day of the facility tour. In particular, _____ was concerned about the Romano Brothers account as _____ explained that the day laborers would be helping the drivers actually employed by Romano Brothers. _____ thought this would cause problems in determining how any losses would be apportioned between insurance companies.

_____ did not receive the ERM-14 which she had requested and she repeatedly asked _____ to get it. She did receive an ERM-14 for REM, but this did not provide her with the necessary information on WCLS. It seemed to _____ that _____ was having trouble getting the form from the insured. _____ contacted the NCCI because she had so many questions as to how to work the account. The NCCI representative suggested that _____ request a narrative from the insured explaining the connection between WCLS and REM. _____ requested that _____ obtain such a narrative from the insured, but she never received one. As she never received the narrative or the ERM-14, she did not have enough information to add the day labor division onto the REM policy.

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The REM account at United Heartland is a retro account which means that it is a loss sensitive account. Depending upon what the losses are at the time of the audit, the insured may

194B-CG-105773 SUB 302

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b7C

Continuation of FD-302 of , On 2/01/01, Page 3

either get money refunded to them or the insured may owe additional premium. This was the first retro account that United Heartland had ever written and this made it even more complicated for to add the day labor division onto REM's account.

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/25/2001

[redacted] date of birth [redacted] was interviewed in the U.S. Attorney's Office after having been provided with a proffer letter by Assistant United States Attorneys [redacted]. Also present for the interview were [redacted] attorney [redacted] Department of Labor Agent [redacted] and Internal Revenue Service Agent [redacted] had been previously interviewed on November 3, 2000, and the FD-302 of that interview was read to him by AUSA [redacted] clarified information he had previously provided and provided the following additional information:

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b7C

[redacted] first met [redacted] when [redacted] was working as [redacted]. Sometime later [redacted] asked [redacted] if he wanted to work directly for WCLS [redacted].

[redacted] was usually in the WCLS office on a daily basis, but [redacted] routinely discussed any problems with [redacted] or Bidel Stinson. Occasionally [redacted] would have such discussions with [redacted] is not aware what kind of personal relationship [redacted] have.

The WCLS office opened at 5:00am and clients usually called by 6:00am to make arrangements for the temporary workers that they needed for the day. When [redacted] started at WCLS, there were no computers being utilized to keep track of the number of workers needed by each client. Sometimes an erasable board was used to keep track of the clients' calls, but usually tickets were written out as the calls came in and workers were assigned. [redacted] described the office as in an old, decrepit building. The office has a raised platform from which to overlook the pool of temporary workers and there is a bar in the back. Sometime after [redacted] started at WCLS, additional space next to the office was acquired and the office was expanded.

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b7C

[redacted] was occasionally in the WCLS office and she sometimes attended meetings there. [redacted] was aware of

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Investigation on 1/19/01 at Chicago, ILFile # 194B-CG-105773 Sub 302 Date dictated 1/25/01

by [redacted]

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Continuation of FD-302 of [REDACTED], On 1/19/01, Page 2

the generalities of WCLS's operations, but not all of the details. [REDACTED] denied that he had previously stated that [REDACTED] told office employees to take it easy on [REDACTED]

[REDACTED] saw [REDACTED] on a regular basis at [REDACTED] [REDACTED] is aware that [REDACTED] John Duff Jr., traveled to Florida, but he does not know if they have any business interests there. [REDACTED] described [REDACTED] John Duff Jr.'s trips to Florida and Wisconsin as varied in frequency and duration. He estimated that the trips to Florida would sometimes be several weeks in duration.

b6
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[REDACTED] coordinated the [REDACTED] [REDACTED] [REDACTED] is aware that another checking account existed to pay office expenses such as electric bills, [REDACTED]

[REDACTED] is aware that [REDACTED] [REDACTED] believes that John Duff Jr. cannot have any union dealings because of a prior conviction and thus he could not have [REDACTED] be elected to a union position. [REDACTED] overheard John Duff Jr. on the telephone asking a union official if it would be okay for him to be present at the installation of [REDACTED] so [REDACTED] knows that John Duff Jr. is very careful not to have any union dealings. [REDACTED] is aware that Local 3 represents liquor warehouse workers and he assumed that WCLS was hired for warehouse work because of this association.

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Additional clients of WCLS include [REDACTED] [REDACTED] After Windy City Maintenance (WCM) was started, WCLS workers were used as needed for various WCM projects. WCLS workers were mainly used for WCM's short term projects. WCLS workers were not used at WCM's contracts at the Harold Washington Library, the 911 Building, or O'Hare International Terminal No.5. [REDACTED] has no firsthand knowledge of how these contracts with the city of Chicago were won by WCM. Prior to getting these contracts, WCM was not a sizeable operation. As the contracts came in, WCM

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Continuation of FD-302 of [redacted], On 1/19/01, Page 3

expanded as various projects needed to be staffed. [redacted] does not know who at WCM handled contract matters or how the contracts were obtained.

[redacted] impression was that the entire Duff family owned WCM and had a stake in the business. [redacted] on any matters regarding WCM. [redacted] was not involved in WCM's operations.

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[redacted] denied that anyone in the Duff family ever offered to make him the owner of Remedial Environmental Manpower (REM). [redacted] is not aware that WCM or REM were Minority Business Enterprises (MBE) that were certified as such by the city of Chicago. [redacted] knows that [redacted] to a meeting at the Halsted Street office in which [redacted] was introduced as [redacted] This meeting occurred in the late 1980's or early 1990's and [redacted] Stinson, [redacted] were present.

[redacted] perception is that the Duffs originally owned REM, but at some point [redacted] does not know when or why this happened. [redacted] assumes that the Duffs can exert control over REM, but he is not certain as to why. [redacted] Last Name Unknown initially ran [redacted] of REM when it was first formed.

[redacted] has no knowledge of REM's Blue Bag Recycling contract with the city of Chicago.

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When working at WCLS, WCM, REM, or Curtis Trucking and Storage, [redacted] was usually instructed as to what to do by Stinson or [redacted] On rare occasions [redacted] would direct [redacted] and occasionally [redacted] would tell [redacted] what to do. When [redacted] instructed [redacted] it usually involved the [redacted]

[redacted] respects the Duffs and [redacted] denied that he previously had said that he did not want to incriminate the Duffs. [redacted] denied that he had a fight with [redacted] This was the standard practice at WCLS for all

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Continuation of FD-302 of [redacted], On 1/19/01, Page 4

of the temporary workers. [redacted]

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[redacted]
[redacted] Others who worked at WCLS include [redacted]
[redacted] Last Name Unknown. Initially there was not a
separate staff for WCM, but later some females were hired to staff
the office. These included [redacted] Last Name Unknown and [redacted]
[redacted]

[redacted] does not remember ever seeing [redacted] at the
WCLS office. [redacted] delivered [redacted] at his
office in the [redacted] These [redacted] were
given to [redacted] LNU.
[redacted] does not know what was in [redacted]
estimated that he went to [redacted]
[redacted]

[redacted] was not involved in discussions related to the
bidding for city contracts. [redacted]
[redacted] denied that he had
previously stated that the Duffs had a city contact who told
them what to bid for various city projects.

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[redacted] delivered correspondence to [redacted]
[redacted] is a friend of the Duffs.

From the late 1980's through the early 1990's, [redacted]
[redacted] does not know if any other WCLS or WCM employees
were also instructed to do this. Stinson usually instructed
[redacted]
[redacted] believes that the liquor was given as presents to friends

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Continuation of FD-302 of [REDACTED], On 1/19/01, Page 5

of the Duffs; he does not think that it was used at the Christmas parties at the Como Inn. Some of the friends that the liquor was given to included [REDACTED] with a possible last name of [REDACTED] Last Name Unknown who is possibly [REDACTED]

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[REDACTED]
[REDACTED]
[REDACTED] thinks that he only went to [REDACTED] once and picked up about [REDACTED] of liquor there. He went to [REDACTED] picked up about [REDACTED] of liquor there. [REDACTED] Stinson often did [REDACTED] pick ups himself [REDACTED] The amount of liquor that [REDACTED] picked up from [REDACTED] was less than at other companies, but it was [REDACTED] Last Name Unknown at [REDACTED]

[REDACTED] is not certain if the WCLS day laborers were instructed to file "exempt" on their W-4 forms; it may have been the general policy at WCLS to do so.

[REDACTED] denied previously saying that the Duffs gambled quite a bit. [REDACTED] did state that the Duffs often played cards and poker.

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[REDACTED] often cashed his own payroll checks at the Randolph and Halsted Currency Exchange, but he never cashed checks for cash for [REDACTED] does not know if Stinson cashed these checks for cash. [REDACTED] but he does not know where the money came from as he never got cash himself from the currency exchange for this purpose.

[REDACTED] saw [REDACTED] at the WCLS office on a periodic basis, approximately ten times per year.

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Continuation of FD-302 of _____, On 1/19/01, Page 6

_____ stated that _____
_____ into WCLS' operations as _____
_____ not _____
who began working the window and dispatching day laborers.

_____ became _____ for a short period of
time in the _____ characterized
_____ as incompetent.

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_____ called _____ after he was initially
interviewed by the FBI to tell him about the interview. _____
told _____ that he could recommend a lawyer for _____ Prior
to this conversation, _____ had not talked to _____
_____ One or two months ago _____
_____ Others present included _____
John Duff Jr., and several other men whose names _____ cannot
recall.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/20/2001

[redacted]
[redacted] Chicago, Illinois, was interviewed in her office by the undersigned agents and Assistant United States Attorney [redacted]. Also present for the interview was [redacted] attorney, [redacted]. [redacted] provided the following information:

[redacted] has been [redacted] since its formation in [redacted]. [redacted] was originally located at [redacted]. [redacted] needed to hire a temporary labor company to help move the [redacted] and she began investigating various companies. She learned that a [redacted] Jim Duff, had a temporary labor company named Windy City Labor Service (WCLS). [redacted] has since used WCLS day laborers for various projects. [redacted] first began using WCLS, she dealt with [redacted] understanding is that [redacted] owns WCLS and [redacted] estimated that she dealt twelve times with [redacted] in the first year that [redacted] was in operation. Since then [redacted] has dealt primarily with [redacted].

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The number of WCLS day laborers that [redacted] uses varies depending upon how busy [redacted] has no contract with WCLS. Either [redacted] calls WCLS when they need WCLS day laborers. Everything is on a case-by-case basis and depends upon the projects that [redacted] is working on. The contact person at WCLS has changed over the years that [redacted] has used WCLS. [redacted] does not know who the current WCLS contact person is; usually she just talks to whoever answers the telephone. [redacted] does keep invoices which show the dates and times that WCLS day laborers have worked for [redacted].

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[redacted] has been to the WCLS office numerous times throughout the past eight years, but not as often in the past three to four years. When [redacted] first began operations, [redacted] went to the WCLS office frequently. [redacted] In addition to [redacted]

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Investigation on 02/12/01 at Chicago, IL
File # 194B-CG-105773 SUB 302 Date dictated 02/20/01
by [redacted]

194B-CG-105773 SUB 302

Continuation of FD-302 of [REDACTED], On 02/12/01, Page 2

[REDACTED] also knows a [REDACTED] Last Name Unknown who works in the WCLS office.

[REDACTED] has used WCLS day laborers for a variety of projects, including [REDACTED] [REDACTED] required ten WCLS employees for the six months duration of this project. [REDACTED] does not remember WCLS day laborers ever performing clerical work for [REDACTED] [REDACTED] uses two other temporary labor companies when she needs clerical work done. [REDACTED] estimated that WCLS invoices [REDACTED] about [REDACTED]

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[REDACTED] is aware that Remedial Environmental Manpower (REM) bought WCLS last year. She initially found this out when she received a letter from [REDACTED] which said that REM was purchasing WCLS. [REDACTED] was shown a copy of a letter addressed to [REDACTED] which explained the "combination" of REM and WCLS. [REDACTED] stated that the letter that [REDACTED] received was different in that it did not use the word "combination." [REDACTED] remembers that the letter that [REDACTED] received stated that REM was purchasing WCLS.

The letter which [REDACTED] received stated that invoices would now be from REM. In addition, the letter advised that someone from REM would visit [REDACTED] to answer any questions. [REDACTED] remembers a [REDACTED] whose name she could not recall, coming by [REDACTED] to discuss the change. [REDACTED] does not know why REM purchased WCLS and she had no conversations with anyone regarding the reason behind the purchase. [REDACTED] has never been contacted by any insurance companies regarding work done at [REDACTED] by either WCLS or REM day laborers.

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The letter which [REDACTED] received from REM also advised of a new contact person and telephone number for REM. [REDACTED] stated that she would call [REDACTED] if she had any problems with REM's work for [REDACTED] Prior to the change in ownership, [REDACTED] would have called any of the Duff family members, including [REDACTED] [REDACTED] if she had problems with WCLS.

[REDACTED] first met [REDACTED] prior to REM's acquiring WCLS. She met him at [REDACTED] to which [REDACTED] invited [REDACTED] She was aware that a business relationship existed between WCLS and REM prior to the change in ownership, but she does not know any details of it. She does know that the WCLS and REM offices were next door to each other on Halsted Street.

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Continuation of FD-302 of [REDACTED], On 02/12/01, Page 3

[REDACTED] was shown a copy of a check from WCLS made out to [REDACTED]. She advised that [REDACTED] and he has never worked for WCLS; he is an [REDACTED]. [REDACTED] did recognize the signature on the back of the check as that of [REDACTED].

[REDACTED] knows [REDACTED] but she has not seen him since he left [REDACTED]. She does not know if Waste Management ever used WCLS day laborers.

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[REDACTED] has not been contacted by anyone regarding the investigation of WCLS or REM, but she has called [REDACTED] because [REDACTED] told her that his business is suffering because clients have been intimidated by the FBI.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/2001

Pursuant to a United States District Court, Northern District of Illinois Federal Grand Jury subpoena, [redacted] [redacted] date of birth [redacted] Social Security Account Number [redacted] was interviewed at the Office of the FEDERAL BUREAU OF INVESTIGATION (FBI). Also present during the interview was her attorney, [redacted] [redacted] telephone number [redacted] After being advised of the identity of the interviewing Agents and the purpose of the interview [redacted] provided the following information:

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[redacted]

[redacted]

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Investigation on 03/05/2001 at Chicago, Illinois

File # 159A-CG-110953 Date dictated 03/05/2001

by SA [redacted] *RPV*
SA [redacted] *tdb*

-171

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/18/2001

To: Chicago

From: Chicago

OC-1

Contact: SA

[Redacted]

Approved By:

[Redacted] *tdb*

Drafted By:

[Redacted] tdb *tdb*

Case ID #: 159A-CG-110953 (Pending) - *172*

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Title:

[Redacted]

JOHN F. DUFF, JR.;

[Redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

Synopsis: To document the writer as the Administrative Agent for a Title III and has been unable to work the above referenced case.

Details: This EC is to document that the writer has been assigned the duties of Administrative Agent for a Title III and has been unable to work the above referenced investigation.

108tdb02.ec

159A-CG-110953-172

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/21/2001

[redacted] telephone [redacted] was interviewed in the office of the U.S. Attorney by the undersigned agents and Assistant United States Attorneys [redacted] and [redacted] provided the following information:

[redacted] reviewed the FD-302 from his previous interview on [redacted] and confirmed the information in it. [redacted] did clarify an aspect of Waste Management's handling of a project in Berwyn in which Windy City Labor Service (WCLS) workers were used to assemble new garbage carts. The WCLS workers were not responsible for removing the old garbage cans of Berwyn residents.

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[redacted] was shown a copy of a fax cover sheet addressed to [redacted] from [redacted] and a copy of a typewritten letter addressed to [redacted] had not seen these two documents during his first interview on February 2, 2000. [redacted] remembered that [redacted] had faxed the typewritten letter to him and [redacted] retyped it on his computer and printed it on Waste Management letterhead. Before [redacted] faxed the letter to [redacted] he had called [redacted] on the phone and yelled at him for sending a prior letter to [redacted] in which [redacted] detailed the correct duties of WCLS workers at Waste Management. [redacted] that he should not have sent out the first letter to [redacted] and it could cost WCLS more money for their insurance. [redacted] then told [redacted] that [redacted] would fax over something for [redacted] to send to [redacted] told [redacted] that he knew about the first letter that [redacted] had written to [redacted] because [redacted] had received a phone call from WCLS. [redacted] assumes that the call came from one of the Duff family members, though [redacted] did not specifically say this. [redacted] normally dealt with [redacted] so this letter situation was very unusual.

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[redacted] believes that [redacted] was aware of the letters that were sent to [redacted] may have asked [redacted] had sent out the second letter which [redacted] faxed to [redacted] but [redacted] does not specifically remember having this conversation with [redacted] is not certain if [redacted] was aware of the letters to [redacted] does not think that [redacted] had anything other than a business relationship with the Duff family. [redacted] believes that it was known

Investigation on 3/14/01 at Chicago, ILFile # 194B-CG-105773 SUB 302-134 Date dictated 3/21/01

by [redacted]

159A-CG-110953-173

194B-CG-105773 SUB 302

Continuation of FD-302 of _____, On 3/14/01, Page 2

throughout Waste Management that _____ and the Duff family were friends.

_____ first met _____ at Waste Management's Fox Valley Disposal. At this time _____ Prior to this, _____ had been the _____ had dealt with the Duff's at Hooker Street because WCLS workers were the only day laborers used at the Hooker Street facility. After _____ he still had power over which companies received Waste Management contracts which were brokered out. _____ thinks that this was a carry over from when _____ Curtis Trucking was one of the companies to which Waste Management brokered out work. _____ is aware of rumors at Waste Management that _____ was somehow connected to Curtis Trucking. Marina Trucking also had a contract with Waste Management in which Marina trucked in debris to Waste Management facilities from lot clean-ups in the city of Chicago. Afterwards Marina would truck out the clean dirt to landfills. _____ is aware of rumors at Waste Management that _____ had some connections to Marina Trucking.

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(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois
Date: North Final Edition
Edition: July 19, 2001
Section 2; Metro
Page One

Title:

"Duffs face rivals
for McPier contract"

Character:

or

Classification:

Submitting Office:

Indexing:

Duffs face rivals for McPier contract

By Laurie Cohen
Tribune staff reporter

The agency that manages McCormick Place and Navy Pier plans to seek competitive bids for janitorial service rather than extend a multimillion-dollar agreement with a controversial cleaning firm.

Windy City Maintenance, which has taken in \$50.7 million from the janitorial contract in the past five years, is run by the politically connected Duff family. The Tribune has reported that federal authorities are investigating whether the Duffs violated labor laws and defrauded a Chicago program intended to benefit minorities and women.

Directors of the Metropolitan Pier and Exposition Authority voted Wednesday to seek competitive bids to make sure the agency is paying a reasonable price for cleaning services and not because of any alleged misconduct by the Duffs, officials said.

"At this point, all there are are allegations," said board chairman Kelly Welsh.

Board members also took action on another high-profile contract, awarding a five-year food service agreement at McCormick Place worth about \$120 million to Chicago-based Levy Restaurants. Levy, which has held the pact since

PLEASE SEE CONTRACT, PAGE 6

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Ball JS

CONTRACT: Vote on pact had been put off since April

CONTINUED FROM PAGE 1

1991 in a partnership with minority vendors Tim and Everett Rand, was among four groups vying for the business.

The authority said it would conduct a formal review of Levy's performance after 30 months.

A vote on the janitorial contract had been stalled since April, when staff members praised Windy City's work and recommended that it get a three-year contract extension. Instead, the board decided to survey cleaning costs at other convention centers.

On Wednesday, citing difficulties in determining janitorial costs elsewhere, the board members extended Windy City's contract through February to give the agency time to solicit bids. James Streicker, an attorney for the Duffs, had no immediate comment on whether Windy City plans to bid on

the new contract.

The Tribune reported in 1999 that Windy City had reaped tens of millions of dollars as a woman-owned business even though it was run by the men in the Duff family, some of whom have connections to organized crime. The Duffs have held fundraisers for Mayor Richard Daley and provided campaign workers for Daley-backed candidates.

The Duffs also control a union known as Liquor and Wine Sales Representatives, Warehousemen, Clerical, Distillery, Rectifying, Tire, Plastic and Allied Workers Union Local 3. The Tribune investigation showed that a separate day-labor company run by the Duffs was undermining the union by providing cheap, non-union labor to the city's major liquor distributors.

After the disclosures, the city concluded that Windy City had improperly claimed it was controlled by a woman, and the firm became ineligible for about \$1 million in contracts under the city's minority set-aside program. Windy City has lost other city business in the past year, including janitorial work at the Harold Washington Library and the International Terminal at O'Hare International Airport.

Remedial Environmental Manpower, a company founded by the Duffs and now run by one of their associates, still holds a lucrative garbage-sorting sub-contract as part of the city's Blue Bag recycling program.

Windy City won the janitorial contract at McCormick Place and Navy Pier in 1996, after an unusual bidding process. Three rivals initially submitted lower bids. But after all the finalists met with authority officials and revised their proposals, Windy City became the low bidder.

The company actually was paid \$10.6 million the first year—nearly \$4 million more than its winning proposal. Last year its bill came to \$11.3 million.

In other action, the board also voted to install 62 defibrillators at McCormick Place, replacing three machines that had been in use. Such lifesaving devices, which deliver an electric shock that restarts a heart in cardiac arrest, already have been installed in other public sites, including O'Hare and Midway Airports.

Authority officials said the defibrillators will cost \$3,000 each and will be within a two-minute walk of any point in the complex, making them readily available in emergencies.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/19/2001

Source, who is not in a position to testify, voluntarily provided the following information:

Source saw [redacted] during the week of [redacted] driving a beat up, older model [redacted]. Source has not seen [redacted] since the time that [redacted]

Source stated that [redacted] went to [redacted] [redacted] was asked if he/she had applied anywhere else. [redacted] applied at WINDY CITY MAINTENANCE, and [redacted]

[Large redacted block]

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[redacted] JAB

157 201CAC02 302 159A-CG-10953 176

Investigation on 7/18/2001 at [redacted]

File # [redacted] 194B-CG-105773-302 Date dictated 7/19/2001

by [redacted] :cac JAB

KEMPER.

P O Box 749033
Dallas, TX 75374-9945

Phone 972/364-5310
FAX 972/364-5270

October 14, 1999

[Redacted]

Special Agent
Federal Bureau of Investigation
219 S. Dearborn Suite 905
Chicago, IL. 60604

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Re: Windy City Labor Service, Inc.

Dear [Redacted]

[Redacted] for Kemper Insurance, asked that I refer the following to your attention. It is my understanding that you have an interest in members of the Duff family.

Kemper Insurance is a major property and casualty insurance carrier whose home office is located in Long Grove, Illinois. Approximately 60% of Kemper's business is the provision of workers' compensation insurance which is handled through the collection of premiums from our insured's.

The following report pertains to the efforts made by Kemper Insurance to conduct an audit of the accounting records of Windy City Labor Service in order to determine the appropriate workers' compensation insurance premiums for the period July 5, 1998 to July 5, 1999. In the effort to determine those premiums, Kemper Insurance has uncovered questionable activity on the part of the management of Windy City Labor Service, Inc. A summary of the events leading to this point is attached.

Windy City Labor Service, Inc. of Chicago, Illinois was unable to procure workers' compensation insurance coverage in the voluntary market, so they applied to the Illinois assigned risk market. The coverage was assigned to Kemper Insurance through the assigned risk plan and a policy (Policy #3BA-093027-00) was issued to provide the coverage to Windy City Labor Service effective July 5, 1998.

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At the time the policy was issued, Windy City Labor Service, Inc. based their estimated premium on 200 employees of which it was indicated over 90% of these employees would be performing clerical type duties. Windy City Labor Service represented their estimated annual payroll to be over \$2,000,000.

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[Redacted] WRB

WRB

Representatives of Windy City Labor Service have failed to cooperate with Kemper Insurance and allow our auditors the opportunity to conduct an audit. As a result of this failure, Kemper Insurance has been unable to determine an exact premium due for the policy period.

However, through investigation of their operation, we have been able to determine that Windy City Labor Service has misrepresented the work performed by their employees. Windy City Labor Service is a day labor service specializing in the production of non-skilled employees in warehouse and delivery settings. The large majority of the employees which Windy City Labor Service and their insurance agent have represented as clerical employees are actually working in warehouse settings loading trucks and participating in the delivery of merchandise.

Based upon the information developed, Kemper Insurance has determined that Windy City Labor Service should have paid over \$300,000 in workers' compensation insurance premiums to Kemper during this period while having actually paid \$56,695. The damages estimate is based upon an analysis of the information received to date. Windy City Labor Service is providing warehouse employees to several customers in the Chicago area. The least expensive available warehouse classification was used in lieu of the clerical classification. It is very likely that more expensive classifications will apply to the payroll which in turn will increase the damages estimate.

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The attached summary and information outlines Kemper's business relationship with Windy City Labor Service. If after review of these documents you need additional information from our files, please contact me at [REDACTED]

Sincerely,

[REDACTED]

[REDACTED]

Cc:

[REDACTED]

**WINDY CITY LABOR SERVICE, INC.
CHICAGO, ILLINOIS**

WORKERS' COMPENSATION INSURANCE:

The following is a brief explanation of the workers' compensation insurance system in the Illinois Assigned Risk Market and how it is supposed to be operated. Due to intention of making workers' compensation insurance available to all employers in Illinois, it is possible for some employers to manipulate the system in order to pay less premium than they truly owe.

Workers' compensation insurance is intended to provide insurance coverage to the employees of a company in the case of a work related injury. Because an insurance carriers' exposure is determined by the number of employees that a company has as well as the type of work performed by those employees, the workers' compensation insurance premiums are calculated using the following criteria:

1. An employee's total payroll subject to various criteria
2. The type of work performed by the employee
3. The state set rate for the type of work performed.

There are over 600 workers' compensation insurance classification used to define the type of work performed by the various industries in Illinois. These classifications range from the least expensive such as clerical only operations (work exclusively in an office handling paper work, phones, etc) to the construction trades (such as roofing, carpentry, plumbing, etc). Common sense would indicate that the insurance rate for a secretary (clerical) would be much lower than the insurance rate for a roofer. There is a much larger likelihood of injury as well as a much larger likelihood of serious injury to the roofer than there is to the secretary.

The following example will illustrate the difference in premium faced by a company made up entirely of secretaries vs. a company made up entirely of roofers.

Secretarial Firm:

Total Payroll	\$100,000
Insurance Rate	.36%
Total Premium	\$360

Roofing Firm:

Total Payroll	\$100,000
Insurance Rate	36.46%
Total Premium	\$36,460

As can be seen from this example, the insurance rate for a roofer is much higher than it is for a clerical person in Illinois. A roofing company that honestly rates their employees will pay 100 times more for their workers' compensation insurance than a company made up entirely of secretaries.

Workers' compensation insurance carriers rely upon the insured to provide an honest assessment of their operations including a full description of the type of work performed by each employee. The example provided indicates how lucrative it can be to purposefully misclassify their employees. It is made simpler by temporary labor contractors, since they provide employees to several different customers. The temporary labor contractor can report those employees to the insurance carrier as clerical even though the employees may be working in the warehouse.

Workers' compensation insurance coverage in Illinois is mandatory. Every employer must carry workers' compensation insurance. However, not every company is able to procure workers' compensation insurance in the marketplace due to circumstances as diverse as to small a company to poor loss experience. For those employers, Illinois has the assigned risk market which is also known as the market of last resort. Whereas the insurance agent represents the insurance carrier in the regular marketplace, the agent represents the employer in the assigned risk market. The insurance carrier has no relationship with the insurance agent in the assigned risk market. In addition, it should be noted that the insurance carrier and the employer do not court each other in the assigned risk market. Those employers that apply for workers' compensation insurance through the assigned risk market have their policy assigned to the carrier by the Plan Administrator, the National Council on Compensation Insurance, otherwise known as NCCI. In this market, the carrier does not have the right to refuse to write the insurance for the employer.

Under normal circumstances an employer's workers' compensation insurance coverage is assigned to the insurance carrier. Through the insurance application, the employer has provided a general description of their business as well as an estimate of the total payroll broken down by the different workers' compensation insurance classifications. The estimated annual premium is paid in full with the application. The workers' compensation insurance policy is issued to the employer and coverage is in force. In some cases, the insurance carrier will perform a preliminary (or mid term) audit to verify the payroll totals and the insurance classifications. At the end of the annual policy period, the insurance carrier conducts an audit to verify the total payroll and the proper insurance classifications. Through the use of the final audit, the total policy premium is determined for the policy period.

SUMMARY OF EVENTS:

Windy City Labor Service applied for workers' compensation insurance in July 1998. Because Windy City was unable to procure workers' compensation insurance in the general market (all carriers approached by their insurance agent refused to offer coverage to them), Windy City Labor Service's [redacted] requested coverage through the Illinois Assigned Risk Market (a.k.a. the market of last resort). The application (exhibit #1) was sent to the National Council on Compensation Insurance (NCCI) which operates the assigned risk market for Illinois along with a check (exhibit # 2) for the initial deposit premium.

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In the policy application, Windy City Labor Service represented themselves as a "labor service — providing day to day manpower for various clients". Windy City Labor Service based its annual insurance premium on policy estimates that indicated they had over 200 employees and that over 92% of their payroll was either clerical office employees or professional employees of labor unions (a classification used for the actual employees running the union). Both carry a very low workers' compensation insurance rate.

Upon receipt of an application for workers' compensation insurance coverage from the insurance agency for Windy City Labor Service, NCCI requested that the insured complete the "Temporary Employment Contractor Information" questionnaire (exhibit #3). The questionnaire requires a complete list of the current clients as well as any shared ownership with a client. In response to this request from NCCI for additional information, [redacted] and the representatives of Windy City Labor Service (exhibit #4) provided the following information which included a list of clients as well as the statement "there is no common ownership with any client".

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- The following clients were identified by Windy City Labor Service:

[redacted]

Curtis Storage & Trucking (share ownership with Windy City Labor Service)

[redacted]

[redacted] (share office space and probable ownership with Windy City Labor)

[redacted] (share probable ownership with Windy City Labor)

Remedial Environmental Manpower (share office space and ownership with Windy City Labor)

- Windy City provides only clerical personnel to labor unions, not laborers or members. (yet no labor unions mentioned as clients)
- Windy City provides only clerical personnel to all of their clients. (claims past and present indicate otherwise)
- Windy City did not know anything about the operations of Remedial Environmental Manpower. (yet they share office space and ownership with REM)

NCCI reviewed the policy application, assigned the coverage to [redacted] and forwarded the premium to [redacted]. Upon assignment of the workers' compensation policy by NCCI, [redacted] issued policy number [redacted] (exhibit #5) for the policy term [redacted].

Furthermore, Windy City Labor Service indicated the only executive officer and owner was [redacted] and that it was not related through common management or ownership to any other company. In addition, the policy application seems to have been stamped rather than signed [redacted]. It appears from information provided to Kemper Insurance that [redacted] (exhibit #6) and that she does not actually run Windy City Labor Service. It has been indicated that the company is actually run by [redacted] John Duff, Jr. [redacted]. Further investigation has revealed that Windy City Labor Service has a DBA, Windy City Maintenance Company which has numerous contracts with the City of Chicago. Finally, it seems that Windy City Labor Service/Windy City Maintenance are related through common ownership to several other companies including, but not limited to Windy City Cartage, Remedial Environmental Manpower, Curtis Storage & Trucking and Hutchinson Trading Company.

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A Kemper Insurance auditor attempted to conduct a preliminary audit on this account in September 1998. (exhibit #7) He was told to meet the insured at an address that was not reflected on the policy, 1024 W. Kinzie in Chicago. The auditor was shown a computer generated payroll recap that placed almost all of the payroll under the clerical classification. When the auditor asked the insured to provide accounting back up as well as the names and phone numbers of the clients, he was told that it was not available at that time. He was asked to return at a later date after the insured had the opportunity to put together the requested information. The insured would not return any of the auditor's subsequent phone calls, so he contacted their [redacted] [redacted] and requested assistance. Although assistance was promised, none was ever provided. The audit was returned to the Dallas office in November 1998 due to a lack of cooperation.

At the same time as an auditor was attempting to perform a preliminary audit, Kemper's Loss Control department was attempting to schedule a survey of the insured's operation. The Loss Control representative was refused access. The Loss Control representative spoke to the insured's designated contact by telephone in late January 1999, but was not provided access to their office or to their job sites (exhibit #8).

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On [redacted] of Windy City Labor Service contacted [redacted] with Kemper Insurance. Windy City Labor Service was attempting to renew their workers' compensation insurance coverage on 7/5/99, but NCCI would not allow the renewal coverage to be written since Windy City Labor Service had not cooperated with Kemper's preliminary audit request in 1998. [redacted] Kemper to tell NCCI that Windy City Labor Service was now willing to cooperate. This request was refused at that time, although [redacted] was told that if she sent the payroll records by over night mail, the request would be considered.

On [redacted] for Windy City Labor Service, contacted [redacted] [redacted] Kemper Insurance and made the same request that [redacted] had made earlier in the day. The same conditions were outlined for [redacted].

Neither [redacted] provided any of the requested documentation. As such, NCCI was never told that Windy City Labor Service had cooperated on the audit.

[redacted] contacted Kemper Insurance to inform them that he thought Windy City Labor Service was hiding their warehouse payroll in the clerical classification. On [redacted] [redacted] was contacted and his allegations were clarified. [redacted] stated he was an [redacted] Ulico Casualty Corp and that he had been approached in [redacted] [redacted] about writing the workers' compensation insurance for Windy City Labor Service. At that time, [redacted] refused to bid on the insurance coverage, since almost all of the payroll was under the clerical classification. [redacted] was approached by [redacted] again on [redacted] about writing the insurance coverage for Windy City Labor Service. However, this time almost all of the payroll was placed under a more expensive warehouse classification. [redacted] [redacted] became suspicious, since this seemed to have been done only to make the account more enticing from a premium standpoint for [redacted] thus encouraging him to write the insurance. Once again, [redacted] was convinced that the actual owner of Windy City Labor Service was male, not female. [redacted] [redacted] finished the conversation by stating that he was convinced that [redacted] was fully aware of the entire operation of Windy City Labor Service, Inc. [redacted] can be contacted at the following number:

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[redacted]

An auditor representing Kemper Insurance attempted to schedule an appointment to conduct the final audit on the workers' compensation policy on [redacted] with Windy City Labor Service canceled the appointment stating the records were not ready. An appointment was scheduled for [redacted] which was subsequently canceled on [redacted]. To this date, Windy City Labor Service has not allowed an audit of their records by a representative of Kemper Insurance.

Through various sources, Kemper Insurance has determined that Windy City Labor Service is actually a day labor service providing general labor to various industries in the Chicago area. The physical address of Windy City Labor Service is 174-178 N. Halsted in Chicago, Illinois. This has been referred to as an industrial area of town with very little professional foot traffic (which would be necessary for a temporary labor contractor that specializes in the supply of clerical help). In addition, it has been noted that Windy City Labor Service owns more than one van used to transport temporary laborers to their daily job sites. A temporary labor contractor specializing in clerical personnel does not have a need to transport their personnel to the client's site. This is typically reserved for temporary labor contractors that specialize in the use of "day laborers" performing non-clerical functions. Furthermore, representatives of Windy City Labor Service in interviews with Kemper claims adjusters have referred to Windy City Labor Service as a day labor agency.

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In addition, the Chicago Tribune has recently written several articles (exhibit #9) on the business relationships of the Duff family. In those articles it has been noted that Windy City Labor Service is a "day labor" company that often uses the homeless to handle their work.

Furthermore, it has been alleged that Windy City Labor Service is providing almost all of the labor to load the trucks at Chicago area liquor distributors. Supposedly the labor unions run by the Duff's have a contract with these same distributors to supply all of the labor to load these same trucks. The following liquor distributors have been identified as clients using Windy City Labor Service to load trucks (in contrast to Windy City Labor Service's contention that they supply clerical only labor.

- Romano Brothers Beverage
- Pacific Wine & Spirits
- Union Beverage
- Judge & Dolph

On [redacted] was injured while working as a [redacted]

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[redacted]
[redacted]
[redacted] As with the other claimants, [redacted] was described as a laborer. On [redacted]
[redacted] was injured while working with the pallets at [redacted] (exhibit #10)

In correspondence dated July 15, 1998, Windy City Labor indicated Romano Brothers was a client of theirs and all employees supplied to Romano Brothers as well as all other listed clients were clerical only. As with the other claimants, their duties as temporary employees did not match the duties indicated in written correspondence from Windy City Labor Service. During the period from July 5, 1998 and July 5, 1999, Windy City Labor Services submitted 10 claims for workers' compensation insurance coverage. All of these claims were for "laborers" involved in various industries. None of the claims involved injuries remotely related to clerical duties.

Finally, Windy City Labor Service has a workers' compensation insurance experience modifier of 2.78. This is comparable with their experience modifier for the past several years. The experience modifier is a formula used to even the "playing field" between companies in the insurance market. Since all companies in an industry must use the same classifications with the same rates, those companies that present an unsafe workplace with numerous claims are presented with a debit experience modifier based upon the number of claims versus the total amount of premium paid. An experience modifier of 2.78 indicates Windy City Labor Service is paying workers' compensation insurance at 2.78 times the standard rates. A premium of \$100 becomes \$278. An experience modifier this high is an indicator of a payroll that has been seriously misclassified for many years.

CONCLUSION:

The workers' compensation insurance policy for Windy City Labor Service was issued in July 1998 based upon the information provided by Windy City Labor Service and [redacted]

[redacted] An examination of the insurance application and Temporary Employment Contractor questionnaire shows several areas in which the insured and their agent purposely misstated the facts.

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Per Windy City Labor Service

Owned 100% by [redacted]

Subsequent Investigation

Owned by [redacted] and the Duff family.

Not related through common mgmt or ownership to any other company

Related to several companies including a D.B.A.

Not related to any clients

Related to almost all of the clients listed in correspondence

Almost all payroll is clerical

Almost none of the payroll is clerical

Subsequent correspondence from both the insured and their agent stated that the payroll was primarily clerical in nature and that they were not related to any of their known clients. However, information found in the past several weeks leads us to believe that the large majority of their payroll is actually non-clerical in nature and that they are related through both ownership and management to several companies including several clients.

The following points are intended to show the likelihood of misrepresentation on the part of Windy City Labor Service and their insurance agent:

- The business location is in an area of Chicago typically used by "non-clerical" day labor firms.
- The insured themselves refer to their company as a day labor service
- All 10 claims submitted by the insured during the policy period are non-clerical in nature
- The experience modifier based upon the past several years of experience indicates Windy City's employee's have been misclassified for workers' compensation purposes for several years.
- Windy City Labor Service owns vans used for the transportation of employees to their respective jobs which is not a service typically provided to clerical employees.
- Windy City Labor Service uses the homeless as employees.
- Windy City Labor Service has failed to allow an audit of their records. The one time an auditor was allowed to visit Windy City Labor Service, they were directed to an address not identified as Windy City Labor Service (1024 W. Kinzie) rather than the known address on Halsted. (best to avoid the obvious questions about the clerical or lack of clerical employees)
- Windy City Labor Service has failed to supply a complete list of their clients. The only clients made known to Kemper Insurance at this point are related through ownership and

- management to Windy City Labor Service, or seem to have very close business ties to the Duff family such as Romano Beverages.
- Windy City Labor Service failed to allow Kemper's loss control representative to visit their job sites.

By failing to allow the audit, Windy City Labor Service has managed to hide their full payroll from Kemper Insurance. In addition, it has been impossible to obtain a full client list which could have been used to independently verify the total payroll and the type of work handled by Windy City Labor Service employees.

Although the failure to allow an audit has made it impossible to accurately determine the total premium owed by Windy City Labor Service, it can be determined that Windy City Labor Service should have paid premiums in excess of \$300,000 during this policy period, but only paid \$56,695.

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/20/2001

To: Chicago

From: Chicago

Squad OC-1

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] bej

Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]
JOHN F. DUFF, JR.;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO: CG

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Synopsis: Reassignment of above captioned case.

Details: Due to the transfer of writer, SA [redacted] requests the re-assignment of above captioned case to SA [redacted] Squad OC-1.

◆◆

OC-1 Roles:

Reassign case to OC-1 SA [redacted]

*ALV
12-27-01*

[Signature]

12/21/01

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/28/2002

On February 27, 2002, Special Agent (SA) [redacted] of the FEDERAL BUREAU OF INVESTIGATION (FBI) contacted [redacted] SA [redacted] personally served a Federal Grand Jury Subpoena for documents (Special February 2002-2 Grand Jury '98 GJ 899) on [redacted] telephone [redacted]

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Telephone directory assistance provided the number [redacted] address.

SA [redacted] observed that the [redacted] address is a two story commercial structure with the sign age [redacted] on the front of the building. SA [redacted] was admitted through the front door of the building where he observed a receptionist desk, approximately five cubicle desk areas and two larger rooms in the rear of the office. [redacted] was present along with two other unidentified [redacted]

[redacted] stated to SA [redacted] that she was unaware of the existence of any records described and requested in referenced subpoena. Further, [redacted] stated that she was unaware of [redacted] referenced in the subpoena. SA [redacted] instructed [redacted] to have either [redacted] or [redacted] to contact Assistant United States Attorney [redacted] regarding any questions in compliance with the subpoena.

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Investigation on 2/27/2002 at Chicago, Illinois
File # 159A-CG-110953 Date dictated 2/27/2002
by SA [redacted] /bej

-180

059 JMM 02.302

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/28/2002

On February 27, 2002, Special Agent (SA) [redacted] of the FEDERAL BUREAU OF INVESTIGATION (FBI) contacted [redacted] SA [redacted] personally served a Federal Grand Jury Subpoena for documents (Special February 2002-2 Grand Jury 98 GJ 899) on [redacted] Chicago, Illinois, telephone [redacted]

SA [redacted] observed that the [redacted] location consisted of apparently two small rooms comprising the entire [redacted] office. [redacted] was the only person present.

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Previously on [redacted] SA [redacted] telephoned [redacted] at his residential number of [redacted] SA [redacted] recited the specifics of referenced subpoena whereupon [redacted] indicated he understood same. [redacted]

[redacted] SA [redacted] requested [redacted] to contact Assistant United States Attorney [redacted] regarding any questions in compliance with the subpoena. Further, SA [redacted] cautioned [redacted] that the scope of this subpoena encompasses [redacted]

Investigation on 2/27/2002 at Chicago, Illinois
File # 159A-CG-110953 Date dictated 2/27/2002 -181
by SA [redacted] /bej

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059 JMM01.302

(Mount Clipping in Space Below)

U.S. jury subpoenas city data on Duff firms

By Andrew Martin and Ray Gibson
Tribune staff reporters

A federal grand jury has subpoenaed records from City Hall related to a mob-connected family whose janitorial company has won tens of millions of dollars in city-related contracts since Mayor Richard Daley took office.

In a subpoena dated Feb. 11, federal prosecutors asked the city's deputy budget director, Bill Cerney, to deliver "all employee expense tax records" filed by four companies, at least three of which are directly related to the Duff family, whose ties to organized crimes date back four decades.

The request, signed by Assistant U.S. Atty. Charles Ex, seeks records from the companies dating to Jan. 1, 1998. The companies are Windy City Maintenance, Windy City Labor Service, Remedial Environmental Manpower and Remedial Daily Labor.

Cerney was instructed to deliver the records to the grand jury on Feb. 14. The subpoena was obtained by the Tribune under the Illinois Freedom of Information Act.

The Duff family has held fundraisers for the mayor and provided campaign workers for Daley-supported candidates.

A federal grand jury also

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois

Date: North Final Edition
Edition: March 19, 2002
Page One

Title: "U.S. jury subpoenas city data on Duff firms"

Character:
or
Classification:
Submitting Office:

Indexing:

~~WINDY CITY MAINTENANCE~~

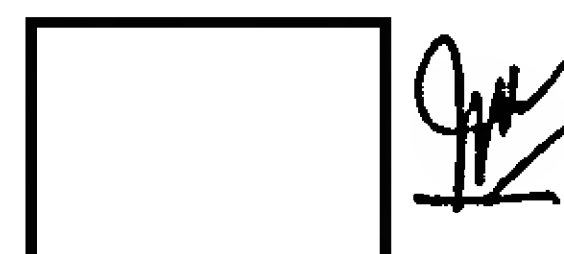
~~WINDY CITY LABOR SERVICE~~

~~REMEDIAL ENVIRONMENTAL
MANPOWER~~

~~REMEDIAL DAILY LABOR~~

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159A-06-110953 - 182

FBI/DOJ


DUFF: Grand jury seeks McPier records

CONTINUED FROM PAGE 1

sought records on at least one Duff company, Windy City Maintenance, from the Metropolitan Pier and Exposition Authority (McPier), which oversees McCormick Place and Navy Pier, sources said. Windy City Maintenance, a janitorial firm, has held a cleaning contract with the authority since 1996, and the authority board is expected to decide whether to renew its contract in the coming weeks.

Officials with the U.S. attorney's office declined to comment. The Duffs' attorney, James Streicker, could not be located for comment.

Jennifer Hoyle, spokeswoman for the city's Law Department, said city officials were cooperating.

Federal prosecutors have been investigating the Duffs for more than two years, a probe prompted by a July 1999 Tribune investigation that found Windy City Maintenance, which had won tens of millions of dollars in government con-

tract set-asides for woman-owned firms, was actually run by men in the Duff family.

The Tribune also reported that a day labor company controlled by the Duffs, Windy City Labor, was supplying non-union labor to liquor distributors whose employees were represented by a union run by the Duff family, a possible labor violation.

To date, the federal investigation has focused on those labor violations by the Duffs' union, the Liquor and Wine Sales Representatives, Tire, Plastic and Allied Workers Union, sources said.

The latest subpoena suggests the investigation has now expanded. Though federal prosecutors asked city officials for records on Windy City Maintenance in January 2000, there has been little additional evidence they were actively pursuing the Duffs' contracts at City Hall.

The Tribune reported Windy City Maintenance had used its status as a woman-owned company to win millions in contracts from the Daley administration and the Metropolitan Pier and Exposition Authority, a joint city/state agency. The city requires that 25 percent of all contracts be awarded to minority- and woman-owned firms.

The company had earned its woman-owned status by stating it was owned and operated by

Patricia Green. The Tribune found Patricia Green was actually Patricia Green Duff, and the company was run by her husband, John Duff Jr., and their sons.

In the years since Daley took office, Windy City Maintenance had been hired to clean up after city festivals such as Taste of Chicago and to provide janitorial services at the Harold Washington Library, the International Terminal at O'Hare International Airport, Navy Pier, McCormick Place and several police stations.

Remedial Environmental Manpower was formed in 1988 by Patricia Green and William Stratton, an African-American who was a longtime employee of the Duffs' union. Stratton was also a director for Windy City Maintenance.

Remedial Environmental Manpower's initial request to be certified as a minority-owned company in 1993 was rejected by the city because Stratton "appears to be substantially reliant on James Duff," one of the sons, an investigation found. However, a year later, Stratton convinced city officials he owned the company, though city officials said they lost documents explaining why they approved the company's minority certification.

Though it had virtually no business beforehand, Remedial Environmental Manpower was selected in 1995 as the main sub-

contractor on the city's massive blue-bag recycling program, a contract that pays the firm as much as \$9 million a year.

It was not known what, if any, connection the Duff family has to Remedial Daily Labor.

After the Tribune investigation, Daley vowed his own investigation of the Duffs' companies. The results of the city probe concluded Windy City Maintenance was controlled by a woman when it first got city business but eventually was taken over by the Duff men. There was no evidence of willful wrongdoing, according to administration officials.

Patricia Green's husband, John Duff Jr., once testified on behalf of mob boss Anthony "Big Tuna" Accardo, who faced income-tax fraud charges. Duff's testimony helped the mobster's defense but ultimately cost Duff his job as a city investigator.

He later pleaded guilty to embezzling union funds in Chicago and Detroit and spent 17 months in prison.

One of his three sons, John Duff III, has also been associated with organized crime.

He once testified mob boss Ernest Rocco Infelice gave him a break on a gambling debt, and he boasted about his organized-crime ties when he was arrested in Florida in 1993 for threatening to kill a police officer, charges that were later dismissed.

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, IllinoisDate: West Final Edition
Edition: April 22, 2002
Page 2Title: "Tough Duffs lose points
for style at lunch meeting"

Character:



John Kass

Tough Duffs lose points for style at lunch meeting

To Joey A, also known as Joe "The Builder" Andriacchi.

cc: Rocky Infelice (who is away for a while), Johnny "No Nose" DiFronzo, Joey "The Clown" Lombardo and the rest of the bosses of the Chicago Outfit.

Hey, Youse Wise Guys:

I was having lunch at Gene & Georgetti on Friday with two of my good friends—a Fox-TV analyst and the spokesman for the Illinois Supreme Court.

And three well-known Chicago toughs walked up to our table.

These toughs boast of having supreme connections with Outfit bosses and throw out the big names around town.

And they're also good friends of Mayor Richard Daley, having received \$100 million in city-related cleaning contracts.

You know these three tough guys.

The Duffs.

What surprised me is that they're interested in my family.

They say they never see my little boys playing out in front of my home. They say it is quiet in front of our house.

I've written a lot about the Duffs and Daley. And they don't like that. A federal grand jury is investigating how the Duffs got their \$100 million, including their affirmative action contracts for minorities

and women.

Daley must be extremely nearsighted because the Duffs sure don't look like black women to me.

Here's what happened:

Sitting with me at lunch at Gene's was Thom Serafin, the respected political consultant and Fox News analyst.

Also with us was Joseph Tybor. He's a former Tribune colleague, a lawyer and currently the spokesman for the state Supreme Court.

Thom excused himself to use the facilities. Joe and I were talking White Sox baseball when three tough and chunky Duffs walked up to the table.

It was like a scene from "The Sopranos," but without Paulie Walnuts.

"Hey, Kass," one of them said. "I'm Jack Duff."

He stuck his hand out and I took it, and we kept squeezing, although it wasn't friendly.

"I live near you. My kids play on your block sometimes, and you know what?" he asked.

What?

"I've never seen your kids out in front."

He smiled into my eyes, still gripping my hand.

"And I've never seen anyone in front of your house," he said. "Ever."

What?

"I don't see anybody outside your house. Ever," he said.

After delivering his clumsy message, he tried to leave, but I kept hold of his hand, mentioning that we should have a beer sometime, so I could keep him there talking, so I could think.

Here was a Duff who likes my home so much that he tells me he watches it intently, he sees who comes and goes, wonders about my kids.

Two other Duffs were right behind him, the father, Jack Duff Sr., and another chunky son, who identified himself as Jim Duff.

"Where do you want to meet?" asked Jim Duff. "Want us to come over? Is that

it? Huh? You want us to come over? Huh?"

Outfit bosses sometimes hang out at La Scarola on Grand Avenue on Fridays. So I told them we should meet there.

"We don't go there anymore," said Jim Duff, sadly as they walked away. "We don't go there."

I'm sure our social secretaries can arrange a luncheon, perhaps a high tea, with the Duffs, the mayor and me.

But the Duffs and Daley must promise not to scarf up all the crumpets and the cucumber sandwiches before I get there.

After they'd left, Thom Serafin sat back down at the table. He was angry he missed the show.

Sitting at a nearby table was a former Chicago police superintendent, who stopped by to say hello and heard the story from us.

Without prompting, the former police superintendent said, "It sounds like a threat to me."

Joe Tybor, of the state Supreme Court, was fascinated the Duffs repeated the line about my home.

"He said it twice," said Joe, later. "Not once but twice."

People who don't like what I write complain to me personally all the time. Sometimes they get angry, even tough guys get angry, but I don't write about it.

But don't mention my children or my home. Ever.

I thought that was against the rules.

It could have been a threat. Or simple stupidity. Or it could have been panic brought by a federal grand jury. I can't say.

Obviously, this is a question of style. So I'm hoping that you, Joe Andriacchi, you, Johnny DiFronzo, and the rest of the real tough guys can enlighten us.

Miss Manners can't answer this, but you can. Why don't you drop me an e-mail to explain the proper wise guy etiquette here, OK?

jskass@tribune.com

159A-CG-110953-183

JAS DOJ

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/10/2002

To: Chicago

Attn: SA [redacted]

From: Chicago

Squad IS-1

Contact: IRS [redacted]

Approved By: [redacted] *[Signature]*

Drafted By: [redacted]:jes

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Case ID #: 159A-CG-110953 (Pending)

Title: [redacted]

ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CHICAGO

Synopsis: Request authorization to close lead.

Reference: 159A-CG-110953 Serial 174

Details: Writer was assigned to enter information from financial records into a database regarding companies operated by the Duff family. The database contained records from companies Windy City Maintenance, Windy City Labor Services and Remedial Environmental Manpower. These companies were alleged to have received approximately \$100 million from the City of Chicago for contracts. The investigation involved mail and wire fraud schemes relating to city contracts obtained by WCM and also union violations. It was noted that members of the Duff family have strong union ties and have close organized crime affiliations.

Over 80,000 records were added into the database capturing the company name, banking institution, account number, check number, date, missing check column, statement information and description of account (miscellaneous section). After all of the data was entered and edited, a disc containing the records was given to the case agent and subsequently submitted to the U.S. Attorney's Office for further review.

This lead should be considered covered.

♦♦

*OC-1 Notes:
Cover lead with this serial
in Serial 174.*

*ALV
5/15/02*

[Signature]

(Mount Clipping in Space Below)

Duffs' accounts probed by U.S.

Park Ridge bank tied to subpoena

By Ray Gibson
Tribune staff reporter

The U.S. Department of Labor has subpoenaed the personal and business accounts of a politically connected family at a Park Ridge bank that held union funds controlled by members of the family.

According to court records, the investigation focuses on whether the Duff family has received favorable interest rates on personal loans and other financial perks for maintaining the union funds at Park Ridge Community Bank.

Federal prosecutors have been investigating the Duff family for more than two years. The probe began after Tribune disclosures that a janitorial firm owned by the family had improperly won tens of millions of dollars in government contracts and that the family-run day-labor business supplied workers to liquor distributors where a union controlled by the Duffs had labor contracts.

Just months ago, lawyers for the family unsuccessfully fought to block the subpoena filing suit in U.S. District Court.

In the court case, a federal agent said in an affidavit that he launched a probe of Local 3 of the Liquor and Allied Workers Sales Division's health and welfare fund in October 2000.

Two of the trustees of the fund are John Duff III and his brother Patrick. Another brother, James, was a vice president at Local 3, which represents liquor salesmen

PLEASE SEE DUFFS, PAGE 5

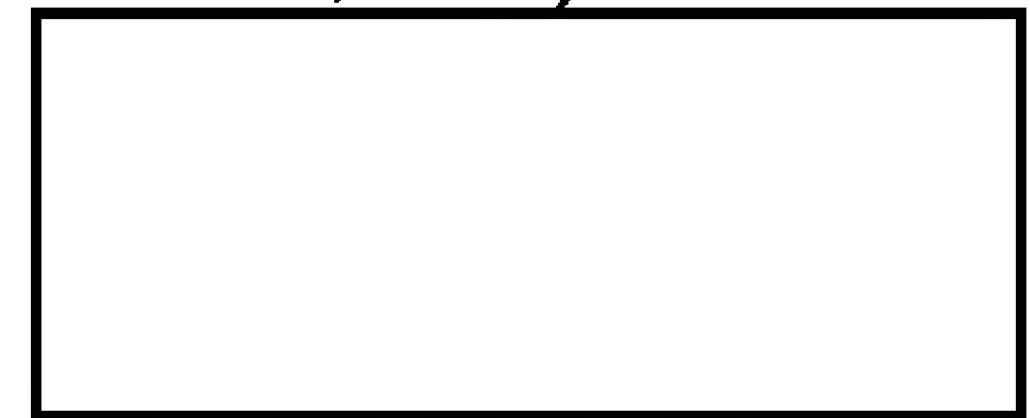
(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois
Date: North Final Edition
Edition: June 18, 2002
Section 2; Metro
Page One

Title: "Duffs' accounts probed by U.S."

Character:
or
Classification:
Submitting Office:

Indexing:



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159A-CG-110953-185

FBI/DOJ
LMS

DUFFS: Subpoena seeks list of records

CONTINUED FROM PAGE 1

and workers in a distillery.

Their parents are John Duff Jr. and Patricia Green Duff. She was listed as owner of Windy City Maintenance, a janitorial business that city investigators concluded wasn't being operated as a female-owned business. The father was listed as an owner of Windy City Labor Services, the firm that provided day laborers to liquor businesses.

An attorney for the Duffs declined to comment on the investigation. Bank Chairman Eugene Carter also declined to comment, as did a spokeswoman for the U.S. Department of Labor.

A federal grand jury this year subpoenaed records at City Hall and the Metropolitan Pier and Exposition Authority relating to tens of millions of dollars in business Windy City Maintenance had won at the two agencies.

The Duff family has been active in raising political donations for Mayor Richard M. Daley, and its companies are donors to Democratic and Republican candidates. Two members of the family have had ties to organized-crime bosses.

John Duff Jr. once testified on behalf of mob boss Anthony "Big Tuna" Accardo, who was facing federal income-tax fraud charges. John Duff Jr. later pleaded guilty to embezzling union funds in Chicago and Detroit and spent 17 months in prison.

John Duff III was ousted in 2000 from the Hotel Employees and Restaurant Employees International Union after investigators alleged he knowingly associated with organized-crime figures, was a ghost payroller and ran an illegal bookmaking operation in Florida.

The Labor Department probe began with a routine audit of the

union's \$3.2 million health and welfare fund. Auditors noticed that about \$750,000 of the fund was held in a single account at the Park Ridge Bank, exceeding the \$100,000 federal insurance limit, according to court records.

With \$650,000 of the fund at risk, the auditors last year cited the fund for violating its fiduciary responsibility. Then it issued the subpoena for an extensive list of records, including personal accounts of all five family members, loans to family members and business accounts.

With \$152 million in assets, Park Ridge is a mid-size community bank. Carter said he started the bank in July 1995 with his son, Thomas, who is the bank's president.

Land records show that at least three of the Duff family members and an attorney for the fund have received loans from the bank.

In May 1997, James Duff borrowed \$450,000 from the Park Ridge bank and another \$150,000 from a La Grange bank to buy a \$710,000 home in La Grange.

He refinanced the home two years later with another lender when mortgage rates decreased.

In 1996, John Duff Jr. and his wife purchased two units in a Hollywood, Fla., condominium building. Land records indicate he paid \$242,300 for the properties. Florida land records indicate the couple has a \$400,000 mortgage from the Park Ridge bank on the condominiums.

Labor leaders have been charged criminally in the past for using their influence over union funds to obtain personal loans from banks.

Last summer, a federal jury convicted John Serpico, a former international vice president of the Laborers Union, and another union official of obtaining \$5 million in personal loans at favorable rates after the deposit of about \$4 million at Capitol Bank and Trust.

Capitol Bank pleaded guilty in 1996 to its role in the scheme and was fined \$800,000.

Tribune staff reporter Andrew Martin contributed to this report.

(Indicate page, name of newspaper, city and state.)

(Mount Clipping in Space Below)

CHICAGO TRIBUNE

Chicago, Illinois

Date: North Final Edition
Edition: August 7, 2002
Page One

Title: "Duffs' firm loses rich McPier deal"

Character:
or
Classification:
Submitting Office:

Indexing:

ARAMARK SERVICEMASTER
Downers Grove, IL.



Duffs' firm loses rich McPier deal

Board unanimous in dumping janitorial company

By Ray Gibson
Tribune staff reporter

A politically connected janitorial firm that was paid more than \$50 million since 1996 for cleaning McCormick Place and Navy Pier was dumped Tuesday when the Metropolitan Pier and Exposition Authority board awarded the agency's most lucrative contract to a rival firm.

Windy City Maintenance Inc., under investigation by a federal grand jury, lost the contract in a

unanimous vote by the board, which selected ARAMARK ServiceMaster.

Without comment, the board rejected a recommendation by staff that the janitorial service contract be divided between Windy City and ARAMARK.

Windy City, which is controlled by the Duff family, won the contract in 1996 after McPier officials tossed out the first round of bids, in which three competitors underbid Windy City. The firm then lowered its

price by \$1.3 million and was awarded the five-year contract.

When the contract expired last year, the board extended it while it sought new proposals, but that process generated controversy.

The Tribune reported in 1999 that Windy City had reaped more than \$100 million in city-related and McPier business, some of it by using its status as a woman-owned business to cap-

PLEASE SEE CONTRACT, PAGE 2

①

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GM

DAW

159A-CG-110953-186

FBI/DOJ
TMS

CONTRACT: Jury probing how Duffs got last contract

CONTINUED FROM PAGE 1

ture contracts set aside for firms run by women or minorities. Despite that designation, the firm was run by men in the Duff family, the Tribune found.

The Cook County state's attorney's office is reviewing the bid process for the new McPier contract after the bids of the seven competing firms were illegally revealed to one of the bidders. The board asked for the investigation in May and also asked outside accountants to review the bid process.

A spokesman for the state's attorney's office declined comment on the inquiry.

Meanwhile, a federal grand jury continues to investigate how the Duffs won the McPier contract as well as millions of dollars in city contracts.

Board member Patrick Daly said the amount to be paid to Downers Grove-based ARAMARK is being negotiated.

Daly said staff members had recommended dividing the contract, but the board overruled the recommendation. He did not elaborate.

How the deal to keep Windy City fell through is unclear. John Ruel, a board member who sources said didn't favor keeping Windy City, declined to com-

ment after the authority's meeting.

The authority has been battling public relations setbacks in recent months.

In April, its chief executive officer, Scott Fawell, was indicted on federal racketeering charges that he used state employees to do political work for Gov. George Ryan's campaign in 1998. Fawell, who is on paid leave from his \$195,000 post, has pleaded not guilty to the charges.

In May, a McPier board member, Lawrence Warner, was indicted on federal charges that he paid bribes for multimillion-dollar contracts and leases during George Ryan's tenure as secretary of state. Warner also pleaded not guilty.

In June, there were published reports of Fawell throwing parties for Senate President James "Pate" Philip and board member James Bolin. Both later reimbursed McPier for the events.

Last month, an owner of one of the companies that Windy City uses on the Navy Pier and McCormick Place contract testified in the federal corruption trial of Cicero Town President Betty Loren-Maltese and seven others.

In his testimony, Anthony Leopold said his family-owned janitorial business, We Clean Inc., borrowed nearly \$180,000 over several years from Michael Spano Jr., whose father is the reputed head of organized crime in Cicero. Prosecutors say the money Leopold received was stolen from Cicero as part of an elaborate \$10 million fraud scheme.

Two members of the Duff fam-



Tribune photo by Carl Wagner

Board member Patrick Daly (standing) said the amount of the new contract was not set.

ily also have ties to organized crime figures. John Duff Jr. once testified on behalf of the late mob chieftain Anthony "Big Tuna" Accardo, who was facing income tax fraud charges. Duff later pleaded guilty to embezzling union funds in Chicago and Detroit and spent 17 months in prison.

One of his sons, John Duff III, was ousted in 2000 from the Hotel Employees and Restaurant Employees International Union after investigators said he associated with organized-crime figures.

The Duff family has been active in raising funds for Mayor Richard Daley and its companies are donors to Democratic and Republican candidates.

After a five-month investigation in 1999, the city concluded that Windy City was not run by John Duff Jr.'s wife, Patricia

Green Duff, as the firm had claimed. The decertification as a woman-owned company led to the cancellation of \$1 million in city contracts.

In 2000, the company lost the job of cleaning up after city festivals, a move that cost the firm about \$573,000 a year in business. In addition, the firm lost city business at Harold Washington Library and the International Terminal at O'Hare International Airport, where it was a subcontractor.

One Duff-related firm still has business with the city. That company, Remedial Environmental, Manpower has been paid more than \$36 million for work on its blue bag recycling program.

Last month, Remedial filed documents with the city showing that John Duff Jr. and Patricia Green Duff owned the company along with William Stratton. The Duffs are white and Stratton is African-American. The firm had been at the center of a dispute about whether the Duffs owned it or whether it was minority-owned.

The recent filing showed that Stratton owns 60 percent of the company's stock and Patricia Green Duff holds 40 percent.

(Mount Clipping in Space Below)

City was informed of Duff suspicions

In '99, inspector reported doubts woman ran firm

By Ray Gibson and Laurie Cohen
Tribune staff reporters

Almost three years ago, when the City of Chicago stripped Windy City Maintenance of its status as a woman-owned firm, officials stopped short of saying the controversial company had actively deceived anyone.

The firm had erroneously stated it was run by Patricia Green, but that was only because Green once was in charge and had since handed off some of her duties, city officials concluded.

But a recently discovered City Hall document shows that behind the scenes, a city inspector was suspicious that Green ran the firm because she seemed unable to grasp basic points about how the business operated.

Though the inspector never concluded deception was involved, he reported he was "stunned" by Green's lack of business knowledge.

James Streicker, a lawyer for the Duff family, which controls Windy City, declined comment on the report. Two members of the Duff family have been linked to the mob by authorities, and the family has political ties to Mayor Richard M. Daley.

The report, which provides the first public glimpse of the city's investigation of Windy City, shows inspector Robert Cunniff made several surprise visits to the firm, but Green was never around. When Cunniff finally reached Green by phone, he was surprised she knew so little about Windy City's operations.

"I asked about the cost of her services, and she responded that 'she didn't know.' Not only that, she didn't seem to know who did know. . . . We are not discussing a minor detail here. This is the cost of her service!" he wrote.

The city has denied Tribune requests for documents relating to the investigation. Cunniff's report turned up misfiled with city records on another janitorial outfit.

His report was filed in August 1999, five months before the city decertified the company and the month following

(Indicate page, name of newspaper, city and state.)

CHICAGO TRIBUNE
Chicago, Illinois
Date: West Final Edition
Edition: August 18, 2002
Section 2; Metro West
Page One

Title:

"City was informed of Duff suspicions"

Character:

or

Classification:

Submitting Office:

Indexing:

159A-CG-110953-187



[Handwritten signature]

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janitorial firm had improperly won tens of millions of dollars in government contracts by falsely claiming to be owned by a woman.

At the Dec. 31, 1999, news conference, Corporation Counsel Mara Georges said the city's investigation had found "no evidence of an affirmative action by them to engage in deception."

Georges said control of the firm had been gradually turned over to male members of the Duff family partly because Green, the family matriarch, had health problems. (Green's married name is Green Duff.)

There was no evidence of fraud, Georges said, because company officials believed that Green's diminished role at Windy City met the statutory definition of being a woman-owned enterprise.

Had the city found any evidence of fraud, officials could have barred the firm from receiving city business for three years and referred the case to law enforcement authorities for investigation.

Although the city didn't ask for an investigation, federal prosecutors launched a probe of the firm following the Tribune article. The investigation is ongoing, authorities said.

Cunniff tried to interview Green more than six weeks before the Tribune story was published in July 1999. His visit came after Windy City submitted its annual papers to be re-



The Tribune reported in 1999 that Windy City Maintenance, at 1024 W. Kinzie St., improperly won tens

certified as a woman-owned business enterprise, a designation that allows companies to cash in on city policies mandating that women and minorities receive a chunk of city work.

"On June 9th of this year, I went, unannounced, to the above address; but Patricia Green, the president, was not in," Cunniff wrote in his report. "I stated my intent, but no one seemed to know of her whereabouts or of her expected time back."

After failing to locate Green, Cunniff wrote that he was "directed to leave it alone" until

August 1999. He apparently went back as part of the city's 5-month long investigation of the Duff family that led to the Dec. 31 news conference.

Cunniff made two more surprise visits, but Green was never in the office. He finally reached her by phone. She suggested he try back the next week, according to the report, and asked him "to surprise her" with the time of my call."

When Cunniff got Green on the phone, she had little knowledge about the details of the company. "The President of a company can delegate whatever

she wants, but to have no knowledge of something as basic as costing does remain a mystery," he wrote.

Jennifer Hoyle, a spokeswoman for the city's Law Department, said Cunniff's report didn't contradict anything Georges said at the news conference.

Hoyle said the report "was filed where it was supposed to be until recently."

Jessica Maxey-Faulkner, a spokeswoman for the city's Procurement Services Department, which handles certification of minority- and woman-owned businesses, said Cunniff wasn't ordered to back off the case in June. He was only advised to pursue other assignments and return to work on the case at a later date. Cunniff declined to be interviewed.

As a result of the city action, Windy City lost millions of dollars in contracts with the city. Earlier this month, the Metropolitan Pier and Exposition

ONLY AT SYMPHONY CENTER CAN YOU ACQUIRE A STEINWAY PIANO

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of The Chicago Symphony Orchestra"

Saturday, Au



Authority awarded the company's most lucrative contract—a \$10 million a year cleaning contract at Navy Pier and McCormick Place—to a rival firm.

The Duff family has been active in raising political donations for Daley, and its companies are donors to both Democrats and Republicans.

Two members of the family have had ties to organized-crime bosses. John Duff Jr., the husband of Green, once testified on behalf of former mob boss Anthony "Big Tuna" Accardo, who was on trial for federal income tax fraud. The elder Duff later pleaded guilty to embezzling union funds.

One of his sons, John Duff III, was ousted in 2000 from the union representing hotel and restaurant employees after investigators alleged he knowingly associated with organized-crime figures, was a ghost payroller in the union and ran an illegal bookmaking operation in Florida.

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/17/2003

To: Chicago

From: Chicago

Squad OC-1

Contact: SA [redacted] ext. [redacted]

Approved By: [redacted] *[Signature]*

Drafted By: [redacted] bej *[Signature]*

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Case ID #: 159A-CG-110953 (Closed)

Title: [redacted]

ET AL;
LABOR MANAGEMENT REPORTING
DISCLOSURE ACT (LMRDA) 1959-LCN;
OC/DI - CHICAGO LCN;
OO: CHICAGO

Synopsis: Case status change from pending to closed with appropriate case identification change.

Details: Pursuant to a conversation occurring on 03/17/2003 between Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] it was agreed that above-captioned investigation should be closed.

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[redacted] is also the main subject of another FBI Chicago Division investigation conducted by case agent [redacted] assigned to squad WC-3. As such, SA [redacted] worker's compensation insurance fraud case is anticipated to be indicted on or about 03/27/2003. Accordingly, the above-captioned case assigned to SA [redacted] concerns a LMRDA labor violation whereupon all investigative leads have to date been exhausted.

No physical evidence has been maintained in the Chicago Division evidence bulky room requiring any disposition. Since all investigative leads has been completed with negative result, SA [redacted] requests captioned case be closed.

♦♦

*ALV
3-18-03*
*OC-1 Rotos:
Close Main and all
Subs. C-5.
[Signature]
3/17/3*

076 SMMOIEC

-188

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/18/2003

To: Chicago

Attn: Evidence Control Room

From: Chicago

Squad OC-1

Contact: SA [redacted] ext. [redacted]

Approved By: [redacted] *TMB/mm*

Drafted By: [redacted] *bej*

Case ID #: 159A-CG-110953 (Closed)

Title: JOHN F. DUFF;
LMRDA
OO: CG

Synopsis: Destruction of evidence with bar code E1384090 listed as 1B1.

Details: Above-referenced case is closed. Evidence comprising 1B1 is of no value and should accordingly be destroyed.

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b7C

159A-CG-110953-189
11-9-04 EC

To: Chicago From: Chicago
Re: 159A-CG-110953, 06/18/2003

LEAD(s) :

Set Lead 1: (Action)

CHICAGO

AT CHICAGO, ILLINOIS

Destroy evidence, being various duplicated consensual
tape recordings and transcripts from 281A-MM-67889.


◆◆



May 01, 2003

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Informal Routing Slip

A review of the Closed Cases with Pending Evidence Report revealed that the attached evidentiary items are still being retained in the Evidence Control Room. Please provide documentation for reason of retention. Return to  by COB 5/8/03.

Thank You!



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~~SECRET~~

DECLASSIFIED BY 60322 uc lrp/plj/lsc
ON 02-25-2011

04/24/03
09:48:48

Closed Cases with pending Collected Items
05/01/1997 thru 04/23/2003
Collected Item Type: General
Category Type: 1B

ICMIPRO6
Page 39

Case Squad: OC-1 Case Agent: [REDACTED] Closed: 03/18/03
Case Number: 159A-CG-110953 Title: DUFF, JOHN, F

b6
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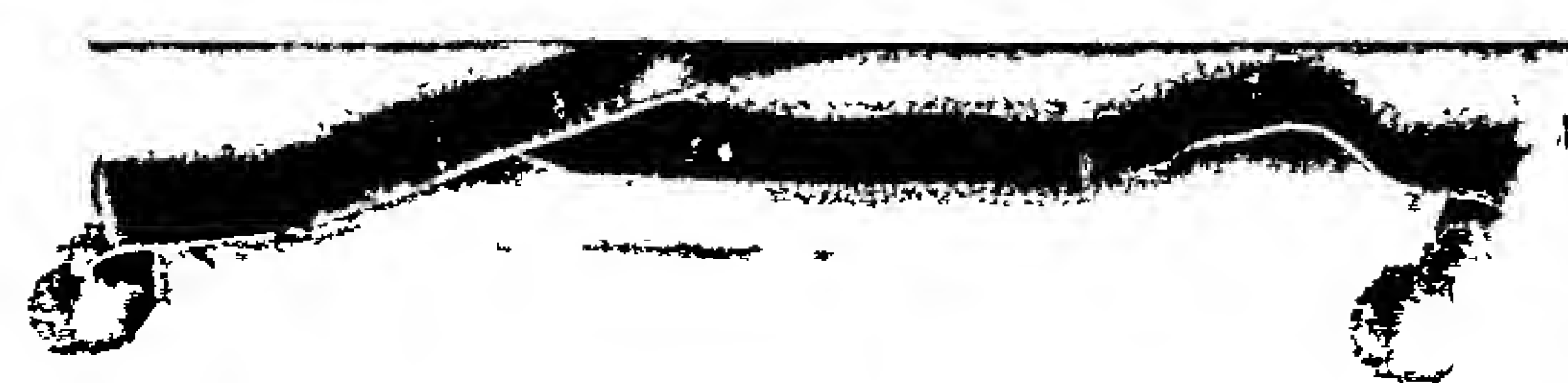
Cat/Num	Acquired	Type	Barcode	Anticipated Disposition and Date	Contributor/Description
1B1	01/12/1999	G	E1384090	RETURNED	SA [REDACTED] PHOTOCOPIES OF TRANSCRIPTS FOR CONSENSUALLY RECORDED CONVERSATIONS FOR 281A-MM-67889 1B1-8, 1B10-53; COPIES OF TAPES FOR CONSENSUALLY RECORDED CONVERSATIONS FOR 281A-MM-67889 1B1-8, 10-26, & 31-53

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 21
Page 3 ~ b2, b6, b7C, b7D
Page 11 ~ Referral/Direct
Page 12 ~ Referral/Direct
Page 13 ~ Referral/Direct
Page 14 ~ Referral/Direct
Page 15 ~ Referral/Direct
Page 16 ~ Referral/Direct
Page 17 ~ Referral/Direct
Page 18 ~ Referral/Direct
Page 19 ~ Referral/Direct
Page 23 ~ Referral/Direct
Page 24 ~ Referral/Direct
Page 34 ~ Referral/Direct
Page 35 ~ Referral/Direct
Page 36 ~ Referral/Direct
Page 37 ~ Referral/Direct
Page 38 ~ Referral/Direct
Page 39 ~ Referral/Direct
Page 41 ~ b3, b6, b7C
Page 42 ~ b3, b6, b7C
Page 62 ~ b2, b6, b7C, b7D



(Title) _____

(File No.) _____

Item	Date Filed	To be returned		Disposition
		Yes	No	
1	2/00	X		OMG. notes re: [redacted] 2/9/00
2	5/00	X		Cont of F.G.J subpoena served on [redacted]
3	7/00	X		OMG. notes re: [redacted]
4	7/00	X		[redacted]
5	7/00	X		[redacted]
6	6/00	X		OMG. notes re: [redacted] 6/2/00
7	7/00	X		" " [redacted] 6/7/00
8	7/00	X		" " [redacted] 2/9/00
9	7/00	X		" " [redacted] 6/8/00
10	7/00	X		OMG. notes re: [redacted] 6/13/00
11	7/00	X		OMG. notes re: [redacted] 6/23/00
12	7/00	X		" " [redacted] 5/3/00
13	7/00	X		" " [redacted] 6/28/00
14	7/00	X		[redacted]

b3
b6
b7c

159A-CG-110953-1A

Universal Case File Number 159A-CG-110953-1A1

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 2/9/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7c

2/19/00

①

[redacted]
 [redacted]
 [redacted]

- WCHS employees mostly warehouse & driver helpers
- couple occasions WCHS employees as clerical
- Warehouse jobs - Local 3 responsible
 - forklift drivers
 - loader's
 - inventories

b6
b7C

- WCM people come in when Local 3 doesn't have enough people.
- mid-level manager would call Local 3 for bodies
- Romano Brothers always used temp. helpers

[redacted]

(Knowledge about WCHS)

is asking for extra labor.

- someone from higher management maybe [redacted]
- was told to use WCHS.

b6
b7C

- has never seen a written contract between [redacted] & WCHS
- people had gone to [redacted] about ~~people~~ WCHS employees.

- made observations to WCHS - told [redacted]

- complained to [redacted]

- [redacted] - said "I'll look into it."

[redacted] - saw [redacted] in official capacity. Saw [redacted]
 with [redacted]

- afraid to go to a different temp service cuz of possible problems caused by union workers, problems with production slow down of work

2/9/00

2

[redacted]
[redacted]
[redacted]

[redacted] (phonetic)
- hired as supervisor early 90's - work production affected.

b6
b7C

- 1st met saw [redacted]
[redacted]

- did have discussions w/ [redacted] about difficulties in dealing with [redacted]

- met Jack Duff Jr @ union negotiations.
- met [redacted]

- ~~know~~ had only social contacts w/ [redacted]

- familiar w/ REM - put in bid to do work for Romano ^{didn't get}

- currently [redacted] runs warehouse operations - involved with negotiations. hired about [redacted] ago.

- still using WCB employees.

- WCB mostly used during [redacted]

- Romano Brother's [redacted]

- [redacted] for Romano Brother's

b6
b7C

General Sales Manager -
Warehouse Manager
 ↳ former

[redacted] had significant day to day dealings w/ Duff's @ WCB & Local 3

[redacted] POC @ WCB that Romano Bros. would contact,

219 -

[redacted]

(3)

[redacted]

Duff's X-Mas Party
annual (1x q/yr) merchandise

[redacted]

[redacted]

& Duff Jr - did this.

[redacted]

told

[redacted]

went to

[redacted]

told him not to worry.

about it, it was none of his business, he would
take care of the Duff's.

b6
b7C

[redacted]

~~Told~~

Took request from Duff for X-Mas Party.

[redacted]

[redacted]

walked out of office & told

[redacted]

about see Duff's

[redacted]

[redacted]

[redacted]

[redacted]

Universal Case File Number 159A-CG-110953-1A2

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received _____

From _____

(Name of Contributor)

(Address of Contributor)

(City and State).

By _____

(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL aka;

Reference: _____

(Communication Enclosing Material)

Description: Original notes re interview of

Copy of FGJ subpoena served on

b6
b7C

b3

United States District Court

DISTRICT OF

TO: NORTHERN

ILLINOIS

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.


PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 <hr/> DATE AND TIME May 4, 2000 9:30 a.m.
---	---

b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Please see additional information on reverse



This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK MICHAEL W. DOBRINS	Date
(BY) DEPUTY CLERK 	April 6, 2000

b6
b7C

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

 AUSA
 U.S. Attorney's Office
 219 S. Dearborn Street, 5th Fl.
 Chicago, IL 60604 

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD-227
Revised April 1997



Printed on Recycled Paper

RETURN OF SERVICE⁽¹⁾

RECEIVED BY SENDER	DATE 4-10-2000	PLACE [Redacted]
SERVED	DATE 4-13-2000	PLACE [Redacted]

SERVED ON (NAME)
[Redacted]

SERVED BY
[Signature]

TITLE
SA/FBI/CG

b3
b6
b7C

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 4-13-2000
Date

[Redacted Signature]
Signature of Server

219 S DEARBORN CHICAGO IL
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

United States District Court

DISTRICT OF

TO: NORTHERN

ILLINOIS

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE

DIRKSEN FEDERAL BUILDING
219 South Dearborn Street
Chicago, Illinois 60604

ROOM

GRAND JURY ROOM 1625

DATE AND TIME

May 4, 2000
9:30 a.m.

b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Please see additional information on reverse

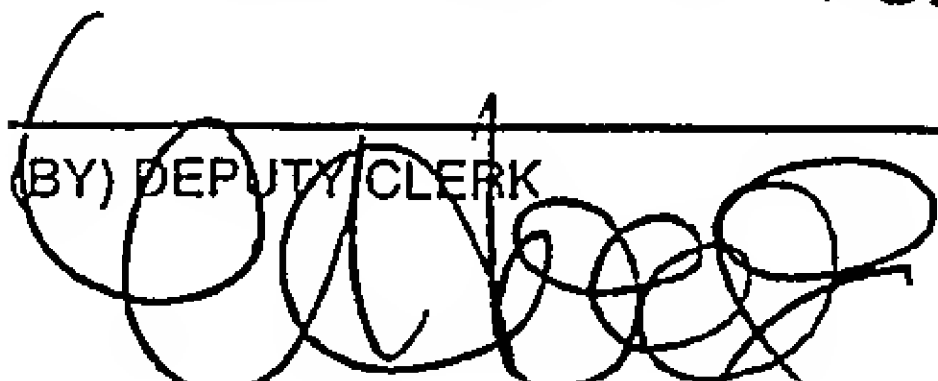
This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK **MICHAEL W. DOBBINS**

Date

b6
b7C

(BY) DEPUTY CLERK



April 6, 2000

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

AUSA
U.S. Attorney's Office
219 S. Dearborn Street, 5th Fl
Chicago, IL 60604

*If not applicable, enter "none."

To be used in lieu of A0110

FORM OBD-227
Revised April 1997



Printed on Recycled Paper

RETURN OF SERVICE⁽¹⁾

RECEIVED BY SENDER	DATE <i>04-10-2000</i>	PLACE <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
SERVED	DATE <i>04-12-2000</i>	PLACE <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

SERVED ON (NAME)

SERVED BY <i>[Signature]</i>	TITLE <i>SA / FBI / CC</i>
---------------------------------	-------------------------------

b3
b6
b7C

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on *4-12-2000*
Date Signature of Server

219 S DEARBORN CHICAGO IL
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

Universal Case File Number 159A-CG-110953 - 1A3

Field Office Acquiring Evidence CG

Serial # of Originating Document 77

Date Received 5/8/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

518/100

①

[redacted]

- last [redacted] yrs had union - AFL-CIO
- ^{Duff's} at least last [redacted] yrs.

[redacted]

[redacted]

- was an employee for [redacted] yrs.

b6
b7C

- Duff's present at least in [redacted]

- owns w/ [redacted]

- negotiate every [redacted] yrs.

- last collective bargain agreement [redacted]

- Jack Duff [redacted]

→ AFL-CIO

[redacted] - represents / Local 3.

- normally [redacted] people @ negotiation

86 union members - 3 shifts.

- want to [redacted]

[redacted]

- knows [redacted]

for years was an

- knows [redacted]

[redacted]

- heard [redacted]

made reference to LOZANO

b6
b7C

murder.

- charged [redacted]

subsequently [redacted]

- Duff's never threatened [redacted]

Wed [redacted]

- hearing @ NLRB to see [redacted]

[redacted]

[redacted]

5/8/00

(2)

union

- trying to get [redacted] to go with them

b6
b7C

- former shop steward removed approx [redacted] months.

- no steward on [redacted]

former Shop Steward

- HR manager would call [redacted]

- attorney [redacted] (LNU)

- currently uses [redacted] for cleaning

won't use Duff's business WCLS or WCM

b6
b7C

- Duff's never approached for kickbacks or any other illegal activity.

DOB-

SSN =

Universal Case File Number 159A-CG-110953-1A1

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 04-12-2003

From _____

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title: _____ aka;

ET AL;
LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT-1959-LCW

Reference: _____
(Communication Enclosing Material)

b3

Description: Original notes re interview of



b3
b6
b7C

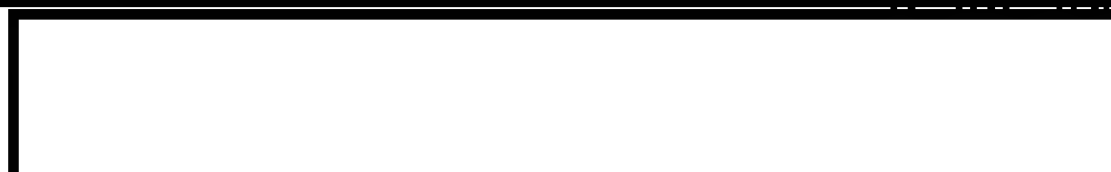
Universal Case File Number 159A-CG-110953-1A5

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 4-17-2000

From 


By  (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:  aka;

ET AC;
LABOR MANAGEMENT REPORTING &
DISCLOSURE Act 1959-CCN

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of



b3
b6
b7C

b3

Universal Case File Number 159A-CG-110953 -1A6

Field Office Acquiring Evidence CG

Serial # of Originating Document 30

Date Received 6/2/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title: DUFF, JOHN F;
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

[redacted]

[redacted]

6/2/00

(7)

[redacted]

[redacted]

DOB:

[redacted]

SSN:

[redacted]

Last XMas party - [redacted] yrs. ago

200-300 people (approx) for party.

b6
b7C

[redacted]

[redacted] w/ Duff's - [redacted]

[redacted]

- [redacted] - believes [redacted] provided liquor. said he'd have to think about it

- tries to provide liquor. sometimes customer had special request that [redacted] couldn't get.

[redacted]

[redacted]

[redacted]

[redacted]

b6
b7C

[redacted] never worked for [redacted]

[redacted] doesn't know about [redacted] CTR - have to look @ records.

- Got involved w/ doing [redacted] to increase revenue. No one referred [redacted]

- didn't know Kathy Ostermann very well.

- knows [redacted] on business relationship

[redacted]

- employees represented by [redacted]

- currently in litigation w/ [redacted]

- [redacted] hasn't signed new contract.

- [redacted] says [redacted] owes [redacted]

[redacted]

- [redacted] & Duffs have a business relationship only.

- 1st met "Old Man" Duff, [redacted] through business

he believes

Duffs - long time [redacted]

- [redacted] never heard of Gemini

- doesn't recall doing Irish Fest or Festival for Charities

b6
b7C

Universal Case File Number 159A-CG-110953-1A7

Field Office Acquiring Evidence CG

Serial # of Originating Document 88

Date Received 6/7/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

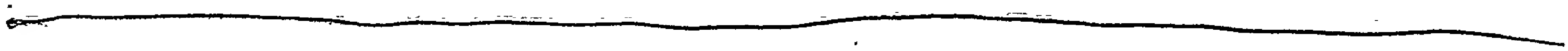
Description: Original notes re interview of

b6
b7C

[redacted]

- [redacted] hired by [redacted]
- worked at [redacted]
- [redacted] went to [redacted] worked [redacted] up to [redacted]
- 1st 3 months worked as [redacted]
- [redacted]
- [redacted]
- saw [redacted] a couple of times
- didn't know what [redacted] did.
- never had meetings w/ other [redacted]
- every quarter all [redacted] got together met at [redacted]
- [redacted]
- mandatory
- ~~was~~ [redacted] would show up for 1/2 hr to hr.
- didn't know about [redacted] at Local 3.
- didn't have any work dealings
- knows [redacted]
- works at [redacted]

b6
b7C



Universal Case File Number 159A-CG-110953-1A8

Field Office Acquiring Evidence CG

Serial # of Originating Document 89

Date Received 6/9/00

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7c

responsibility

[redacted]
[redacted]

- manages [redacted]
- cleaning common areas/restrooms
- been at [redacted] yrs.
- worked for WCM [redacted] yrs.

b6
b7C

1st hired as [redacted]
- worked at [redacted] yrs.

[redacted] months at [redacted]
[redacted] months at [redacted] for R.E.M.

- paid by R.E.M. [redacted]
→ [redacted] - part owner - REM.

↳ dealt w/ [redacted]
↳ [redacted] - respons for field ops -

- worked at [redacted] 1st - WCM asked if he wanted a job.

- met [redacted] at airporter & asked if he wanted to work part for WCM.

- went to 178 w Halsted office
started working [redacted] - worked for [redacted]

b6
b7C

- met [redacted] 1st day of work at WCM
[redacted] called [redacted] back a couple of days later

offered [redacted] a job.
- 1st yr. work [redacted] - went to office every couple of days to get assignments

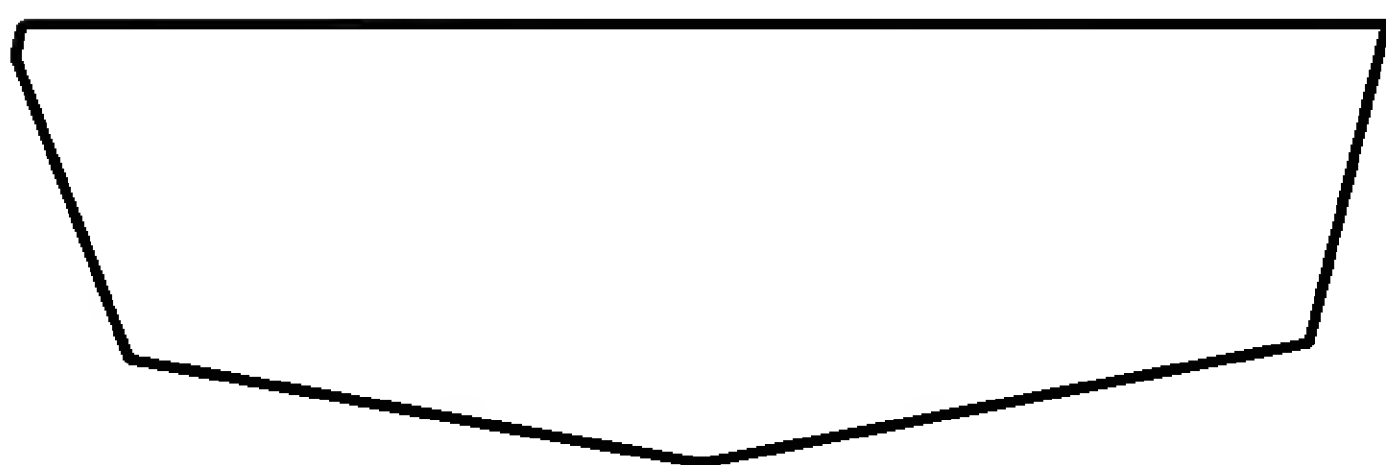
→ saw [redacted] there occasionally.

- day to day contact w/ [redacted]
- 1st started out w/ WCLS - WCLS 1st did [redacted]

- knows [redacted] not involved - sees @ office
- sees ^{on} occasions, knows he works for [redacted]
started saw all the time

②

b6
b7c



Universal Case File Number 159A-CG-110953-1A9

Field Office Acquiring Evidence CG

Serial # of Originating Document 90

Date Received 7/8/00

From
(Name of Contributor)

(Address of Contributor)

Chicago IL
(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

[redacted]

SSN:

[redacted] Assistant to [redacted]

back

Started

[redacted]

- [redacted] - want for a couple of years.

- [redacted]

[redacted]

b6
b7C

- referred by someone to call the ~~company~~ Duff's
- ~~saw~~ talked to [redacted]
- didn't know true name of company. Know just as the Duff's
- did about [redacted] festivals, ~~a year~~ during the summer for a weekend.

[redacted]

- hired [redacted] for custodial services

- don't contract's out.

- No knowledge of WCLS/WCM.

- friend of Kathy Ostermann

b6
b7C

[redacted]

- when Daley elected hired Ostermann, Ostermann

- Ostermann told [redacted] about phone call [redacted] got a phone call from the Duff's. They told Kathy they were friends of the Mayor. Just wanted to meet.

- last time saw or talked to Duff's in [redacted]

[redacted] - worked for [redacted] for 8 yrs.

- hired [redacted] to work [redacted]

- replaced [redacted]

↳ [redacted] replaced Ostermann.

[redacted] talked to [redacted] about Duff's.

- never seen or met [redacted] dealt with

- met Jack Duff Jr. doesn't recall when or where

- wasn't approached to be used as reference by [redacted].
[redacted] didn't know about it.

b6
b7C

Universal Case File Number 159A-26-110953 - 1A10

Field Office Acquiring Evidence CG

Serial # of Originating Document 91

Date Received 6/13

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____ (Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

[redacted]

6/13/00

[redacted] - started - [redacted] - [redacted]

1st 2 yrs in office - [redacted]

[redacted] in field

[redacted]

b6
b7C

[redacted] - [redacted] from [redacted]

- help on [redacted] for a couple of weeks.

- '96 - [redacted]

- '97 - [redacted] sold to [redacted] E. Chicago Michigan City, IND. currently

working on.

- neutrality card check

- [redacted] no participation

- elected [redacted]

- [redacted]

- elected position lasts [redacted] yrs.

b6
b7C

- last saw [redacted] in [redacted] yrs.

- would come to Local office & talk to [redacted]

- didn't know sack stuff affiliated w/ ~~the~~ Local 3.

↳ new [redacted]

- [redacted] knew [redacted] was [redacted] "didn't know of what -"

- [redacted]

- currently an [redacted]

- reported to [redacted] directly - [redacted]

- current [redacted]

- no [redacted] meetings
- never worked on a [redacted]
- when on [redacted] [redacted] would have meetings
- [redacted] would assign [redacted]
- didn't know [redacted] before starting w/ [redacted]
- ~~monthly~~ quarterly membership meetings held @ [redacted]
- Officers, BAs, staff, IO's & rank & file.
 - "mandatory" unless had work conflict.
- had seen [redacted] @ qtrly mtg.

b6
b7c

- [redacted] checked in on daily basis @ [redacted]

- [redacted] did not have his own office.

[redacted]

[redacted]

DOB: [redacted]

SSN: [redacted]

WCLS & WCM - had heard of - doesn't recall where he heard of them.

- had no dealings personally w/ WCLS or WCM.

Universal Case File Number 159A-CG-110953 - 1A11

Field Office Acquiring Evidence CG

Serial # of Originating Document 99

Date Received 6/23/00

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

06/15/63

6/23/00

[Redacted]

[Redacted]

- worked WCLS @

[Redacted]

[Redacted]

- [Redacted]

worked WCLS @

[Redacted]

- [Redacted]

approached by

[Redacted]

'91-'92 ~ asked

[Redacted]

to work @

[Redacted]

supervisor

- '93-

[Redacted]

- supervisor

b6
b7C

- '94 - @

[Redacted]

Mgr

'94-'95 - went to

[Redacted]

as

[Redacted]

1st met

[Redacted]

- for job

[Redacted]

(WCLS)

[Redacted]

approached said

WCLS got a contract @

[Redacted]

changed name to WCM.

∴

2

know [redacted] & back →
owned WCLS & WOM.

b6
b7C

- when [redacted] took job @
[redacted] met [redacted]
[redacted] told [redacted] about
salary - [redacted] hired [redacted] for
all positions.

Lake & Halsted office.

- couple desks - open area
& a conference room + a door
door always closed.

- reported once a week
reported to [redacted]

b6
b7C

from 90-95 - saw [redacted]
[redacted] once @ Suff X-MAS
Party @ COMO INN.

would see ~~old man~~ ^{Jack Jr.} Duff ⁽³⁾
at office.

- lived in Florida - ~~Old Man~~ ^{Jack Jr.}
[redacted] - knew.

- [redacted] LNU - driver for
~~Old Man~~ ^{Jack Jr.} Duff [redacted]

[redacted] lived downtown.

WCLS =

b6
b7C

[redacted] would tell [redacted]
[redacted] how
many Local 3 guys would be
there.

[redacted] LNU - would drive
WCLS guys to Romano's
in a WCLS owned van.

heard [redacted]

[redacted]
directed people to scratch
labels off of expensive
liquors so Romano Bros. could
not sell liquor.

Mayor Daley, Duff Sr &
several Alderman @ 1 table

b6
b7c

- [redacted] saw them

- held political mtgs @ COMO INN
- got involved in who Daley
wanted elected.

Duff Sr. knew [redacted] from

[redacted] was Jr.'s [redacted]

(3)

-had to work @ Taste of Chicago.

REM

- WCM owned REM.

[redacted] figure head.

[redacted] made all decisions for WCM & REM.

b6
b7C

[redacted] hired guys from Harold Wash to work @ REM. when REM first started

[redacted] knew WCM was getting contract
- knew 2 yrs before actually getting Navy Pier / McCormick placed bid.

[redacted]

(4)

[redacted]

had a problem w/ [redacted]

[redacted]

called & swore @

b6
b7C

him cuz

[redacted]

didn't screw

w/

[redacted]

[redacted]

[redacted]

[redacted]

-ran

WCM, WCLS, REM - made all decisions.

b6
b7C

[redacted]

-South side.

[redacted]

heard that if he ever

went to

[redacted]

5

they were to call "the Duff's"
immediately. ~~He~~ Someone from
WCM/WCS would follow [redacted]

WCM - uses a lot of illegals.

b6
b7C

[redacted]

[redacted]

for Harold Washington

[redacted]

[redacted]

@ Harold Wash.

[redacted]

would do all cards for [redacted]

[redacted]

[redacted]

backbone of WCM.

b6
b7C

When [redacted] having problems @ Harold
Wash. trouble getting paid. called [redacted]

[redacted]

called Jack Jr. Jack Jr called

(6)

@ City Hall.

[redacted]

saw

[redacted]

@ Lake & Halsted office.

when WCM 1st started didn't
know anything about cleaning
so they had used the cleaners
from Navy Pier/McCormick Place.
@ Harold Wash O'Hare Term. 5.

b6
b7c

- Daley wanted

[redacted]

as Alderman

[redacted]

Ward.

Universal Case File Number 159A-CG-110953-1A12

Field Office Acquiring Evidence CG

Serial # of Originating Document 98

Date Received 5-3-00

From Contributor)

(Address of Contributor)

(City and State)

By Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

513/00

(11)

- started

- hired by

- wanted him to fix

- worked in distribution.

b6
b7C

- warehouse & delivery operations

- ~~also in~~

- in charge of

- had been involved w/ collective bargaining agreements w/

b6
b7C

- approached to get bids

from a temp service.

handled all grievances w/

Jack Duff Jr.

told

"he'd have to talk to the old man"

believed it was Jack Jr.

[redacted]

- tried to hire 2 guys for summer jobs.

[redacted]

called swearing @

[redacted]

- Jan/Feb '99 - called

[redacted]

b6
b7C

before
→
→

Jan 99 meeting w/ [redacted]

[redacted]

[redacted]

told

[redacted]

he was

going to reduce union jobs & to

reduce # of WCLS.

[redacted]

told

[redacted]

to talk to

[redacted]

- WCLS - manual laborers - loading trucks

- working in returns, breakage.

b6
b7C

[redacted]

Assistant to

[redacted]

- [redacted]

told

[redacted]

in his

[redacted]

absence

only

[redacted]

had authority to hire

WCLS employees, authorize O.T.

WCLS employees, authorize O.T.

[redacted] has [redacted]

Use of WCLS never came up @ negotiations

b6
b7C

1st time he heard about ownership of WCLS read in newspaper.

[redacted] believed [redacted] f Jack Jr owned WCLS.

[redacted] has never used any other temp service besides WCLS.

[redacted] understood that WCLS was only temp service used.

b6
b7C

~~ETD~~

[redacted] was POC @ WCLS

[redacted] another POC @ WCLS

[redacted] knows [redacted] union

guy - would see him @ [redacted] w/ [redacted]

Ⓟ

Knows

[Redacted]

b6
b7C

[Redacted] knew about contributions to Duff's
around X-Mas.

[Redacted] heard [Redacted] complaining
about Duff's contributions getting expensive
liquor.

Universal Case File Number 159A-CG-110953-1A13

Field Office Acquiring Evidence CG

Serial # of Originating Document 103

Date Received 6/28/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

6/28/00

①

[Redacted]

-attorney

[Redacted]

b6
b7C

[Redacted]

[Redacted]

@ Judge & Dolph

to [Redacted] @ 1st - for 6 months then transferred

[Redacted]

- [Redacted] system change from key punch to on-line

- conducted [Redacted]

- [Redacted] moved to [Redacted]

- [Redacted] promoted to [Redacted] - worked there for 13 yrs.

- [Redacted] approached by owner's. choose to [Redacted]

- became [Redacted]

- now [Redacted] - since [Redacted]

- Duties currently

- [Redacted] when company expanded

- warehouse, delivery, purchasing, warehouse

b6
b7C

[Redacted]

- 5 VP

VP - Ops

VP - Finance

3 VP - Sales Division

owners - Wirtz Corporation

[Redacted]

[Redacted]

warehouse duties

[redacted]

3 warehouses

Elk Grove - warehouse

Rolling Meadows - warehouse

Wood Dale - purchasing, customer services & sales.

b6
b7C

[redacted]

has been involved in

[redacted]

for

contract negotiations.

- sat as observer for recent negotiation w/ [redacted]

- not involved in [redacted] negotiations.

- for [redacted]

- attorney's

- HR V.P.

[redacted]

[redacted]

- doesn't know of [redacted] involved in negotiations.

- only contracts w/ [redacted]

WCLS - ~~know~~ under [redacted] jurisdiction.

b6
b7C

- [redacted] - not involved w/WCLS when 1st used.

- WCLS came in mid-80's.

- [redacted] in mid-80's.

- retired [redacted]

- was responsible for all operations, warehouse activities.

(3)

WCLS - helpers on truck.

- 705 - driver
- if a load warrants, by contract, a helper for the driver - would use WCLS.
- WCLS never really does warehouse work.

'96 - J & D bought out Continental Liquors acquisition.

- during the conversion after CL buyout - used WCLS more to help out in warehouse, just for that period, mostly driver's helpers

- if a lot of guys (L03) call in sick - J & D will call on WCLS to get more bodies to help out in warehouse & labors, clean-up (janitorial) "repacking"

- WCLS never did any clerical work.

- satisfied w/ WCLS work.

- never heard of 705 complaints in general terms concerning WCLS.

- go off of route slips - to determine # of WCLS.

- the router would call WCLS & talk to let him know how # WCLS J & D would need. It all depends on what contract requires for helpers.

b6
b7C

- used WCLS for landscaping in the past as well as fill up the trucks for gas.

- will use 705 drivers as helpers if there isn't enough work for all drivers.

- by contract if not enough work can layoff drivers. But must use 705

(14)

- guys 1st before he can use temp help-
- will use WCLS as last resort for temp help.
- # of WCLS used varies depending work load.
anywhere from 0-45. WCLS employees-

- no written contract w/WCLS all oral.
- currently \$9.75/hr. - doesn't know who negotiates these rates from S&D.

- S&D has a separate acct for temp labor - [redacted]
[redacted]

- if there was any problems w/WCLS - [redacted] or [redacted] managers would call [redacted]

b6
b7c

- never heard of [redacted]
- no dealings w/WCM, REM!
- LU 3 union steward [redacted]
- [redacted] LU 3 members.

- very little contact w/[redacted] - no day to day contact
w/[redacted]

LU 3 duties

- unloading semis from distributors
- loading liquor onto semis
- picking orders during day.
- conducting physical inventories.
- never used as truck helpers.

5

- never received any threats from LU3
- for any grievances - [redacted] represents LU3
guys & one of [redacted] represents I&A.
- never had any problems w/ [redacted] over [redacted]
[redacted]

- doesn't know [redacted] or Jack Duff Jr.
- never attended a Duff X-Mas party. Didn't know about X-Mas Party.
- doesn't know anything about liquor contributions to Duff's.

b6
b7C

[redacted] doesn't know when contributions to pension plan of LU3 began. Didn't know how long an employee worked before contributions began.

[redacted] LU3 handles contributions.

DOB [redacted]

Universal Case File Number 159A-CG-110953 - 1A14

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 7/6/00

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

b3

(Title) _____

(File No.) _____

Item	Date Filed	To be returned		Disposition
		Yes	No	
15	7/00		X	Orig. notes re: [redacted] 7/21/00
16	7/00		X	Copy of FGJ for [redacted]
17	Y		X	Orig. notes re: [redacted] 8/17/00
18	Y		X	[redacted]
19	Y		X	Copy of FGJ subpoena for [redacted]
20	Y		X	orig. notes re: [redacted] 8/23/00
21	Y		X	Copy of FGJ subpoena served on [redacted]
22	7/00		X	[redacted]
23	11/00		X	Orig notes re: [redacted] 11/15/00
24	01/01		X	Orig. notes re: [redacted]
25	Y		X	" " [redacted] 11/23/01
26	05/01		Y	Copy of FGJ subpoena for [redacted]
27	Y		X	Photocopied insurance documents
28	Y		X	Copies of 2 FGJ subpoenas served on [redacted]

b3
b6
b7c

159A-CG-110953-1A

Universal Case File Number 159A-CG-110953-1A15

Field Office Acquiring Evidence CG

Serial # of Originating Document 111

Date Received 7/24/00

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7c

①

DOB
SSN

b6
b7C

Started WCLS. [redacted]

found out thru a friend [redacted] - [redacted]

[redacted] knew Duff family - set up job for [redacted]

[redacted] knew [redacted] from [redacted]

- asked for a job while in [redacted] told [redacted] about job w/ Duffs. [redacted] set up deal.

- went to Halsted & Lake met [redacted]

- [redacted] told [redacted] what his job duties would be. [redacted] worked in office, [redacted] etc.

- conference room, offices x 2.

[redacted] Bedell b6 b7C

Stinson

- thought Duff Sr. ran WCLS. saw [redacted]

[redacted]

[redacted]

- did payroll checks for temp laborer's [redacted] had access to stamps

[redacted] talked to J&D, Romano Bros Waste Management

- mainly worked as driver's helpers, manual laborers

- no clerical

(2)

[redacted]

[redacted] - would write out checks to all the
Duff's.

[redacted] worked for WCLS, WCM, REM. depending
on what [redacted] wanted.

[redacted] had to discuss w/ Sr.
- thought [redacted] owned WCM. - [redacted] didn't

get involved in day to day if [redacted] Sr.
- REM - [redacted] saw [redacted]

- [redacted] very close friends. - Sr.

- [redacted] originally answered to Duff Sr.

- wrote payroll checks for REM.

- [redacted] wrote acct's payable checks (some)
- knew [redacted] (LNU) wrote checks.

- 1st time @ X-Mas Party in '91 - all office employees
invited - @ COMO.

- Didn't have X-Mas party in [redacted]

- at X-Mas time they had liquor. got liquor from
J&D. maybe.

- [redacted] saw several cases of liquor @ office had
[redacted] at friends of Duff's. no gifts.

- doesn't recall who he brought liquor from.

- [redacted] Duff Sr. to COMO for political
fund raisers.

- [redacted] was asked to call for [redacted] Ward

- [redacted] - good friends w/ Duff Sr.

- mainly public trans. sometimes WCLS took them
in van.

b6
b7C

b6
b7C

③

[redacted] (2) believes he was [redacted]

[redacted] & Bedell were used as "muscle".

- knew [redacted]

- knew [redacted]

- knew [redacted] did odd jobs in office.

b6
b7C

- during closed doors meetings - [redacted]

[redacted] Duff's present.

- knew [redacted].

[redacted] kept away from dealing w/ [redacted] - anything to do w/ Duff's handled by [redacted]

- didn't hear anything about IGB.

- knew [redacted]

- never heard of AMC.

b6
b7C

- WCLS had a lot of day laborers @ Waste Management - knew REM had dealings w/ Waste

[redacted] knew [redacted]

was good friends w/ Duff's. Saw [redacted] @ WCLS Halsted & Lake. Was introduced to [redacted]

knew [redacted] was a [redacted]

(9)

heard [redacted] was looking for a new job.

[redacted]

[redacted]

worked in office @ WCLS/WCM.

[redacted]

b6
b7c

[redacted]

- friend of Jeff's.

Union Liquors - day laborers from WCLS
- mainly truck helpers & manual laborers.

didn't have a sales dept.

Universal Case File Number 159A-CG-110953 - 1A/6

Field Office Acquiring Evidence CG

Serial # of Originating Document 113

Date Received 7/28/00

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ETAL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

Copy of FGJ for

b3
b6
b7c

United States District Court

NORTHERN DISTRICT OF ILLINOIS

TO:

[Redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 DATE AND TIME August 17, 2000 9:30 a.m.
---	---

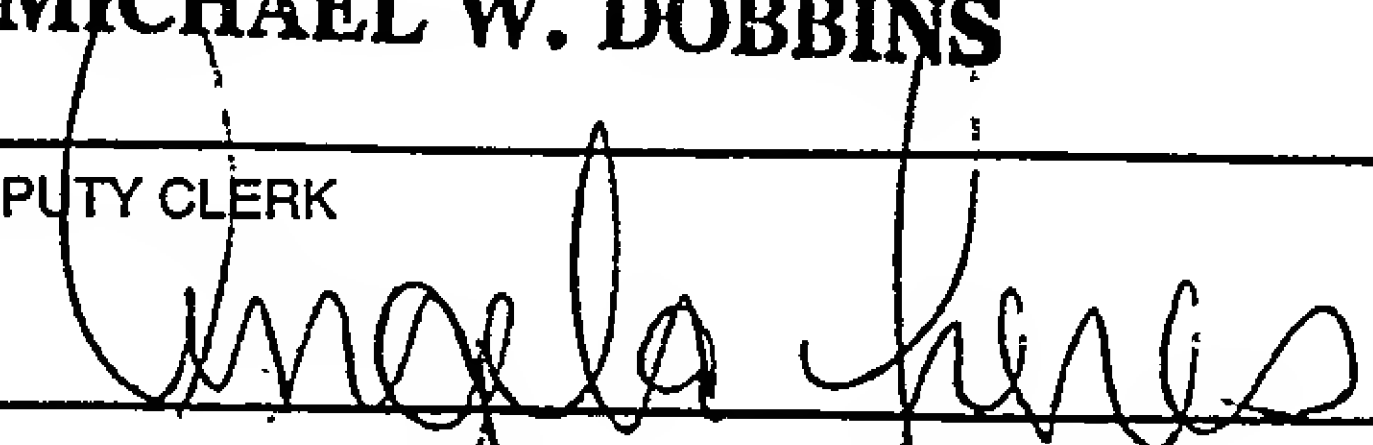
YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is to obtain grand jury testimony.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

b6
b7C

CLERK MICHAEL W. DOBBINS	Date
(BY) DEPUTY CLERK 	July 27, 2000

This subpoena is issued upon application of the United States of America

NAME ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
 [Redacted] AUSA
 United States Attorneys Office
 219 South Dearborn Street, 5th Floor
 Chicago, Illinois 60604 [Redacted]

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD-227
Revised April 1997



RETURN OF SERVICE⁽¹⁾

RECEIVED BY SENDER	DATE 7/27/00	PLACE Chicago IL
SERVED	DATE 7/28/00	PLACE Chicago IL

SERVED ON (NAME)
[Redacted]

SERVED BY [Redacted]	TITLE SPECIAL AGENT
-------------------------	------------------------

b3
b6
b7C

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 7/28/00 [Redacted]
Date Signature of Server

719 S. Dearborn Chicago IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

Universal Case File Number 159A-CG-110953-1A17

Field Office Acquiring Evidence CG

Serial # of Originating Document 118

Date Received 8/7/00

From Contributor)

(Address of Contributor)

By (City and State)
Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

8/7/00

[redacted] attorney

[redacted]

[redacted]

[redacted] - 7 yrs.

b6
b7C

duties

[redacted]

[redacted]

- calls WCLS now REM.

- would call [redacted] or [redacted] LNU [redacted]

- used as helper's on trucks

- contract w/744. if ~~was~~ a heavy load need a helper.

if over 70 trucks, (70 driver's) use WCLS.

if not using 70 driver's other driver's used as helper's 1st

before calling WCLS/REM - used WCLS since [redacted] been there

- 2600 w. 35th st - address for union

if an invoice came down w/ [redacted] name on it. The invoice & order was for a donation, or display

b6
b7C

[redacted] - charge of order department. told [redacted]

about significance of invoice w/ his name on it.

- nothing leaves warehouse w/out invoice.

[redacted] or # [redacted]

3-4 people can handle "will call orders" - pickup

[redacted] warehouse man

[redacted] " " " " } all LUS members

if it's a donation [redacted] would normally initial invoice. [redacted] initials when donation picked up.

2

[redacted] former [redacted] - left as

[redacted]

b6
b7C

- did attend a X-Mas party starting [redacted] yrs. ago.
went to last party [redacted]
[redacted] thought it was a WCLS invite.
- saw [redacted]

[redacted] secretary

"restricted item". item not sold to every customer. might be a small inventory item. NOT enough in stock.

- on [redacted] WCLS worker's to just a handful other days.

[redacted] will use more WCLS worker's.

b6
b7C

- talked to [redacted] when at [redacted]
- used to work for [redacted]
- [redacted]

- used WCLS worker's
- Union Liquor bought out Federated
- used WCLS in '91 at Federated. as helper's

- never talked to [redacted]
- knows [redacted] - 89 or 90 - [redacted] for LUB.

3

- doesn't know what WCLS is paid.

[redacted] signs them in. Guard signs them out @ night.

- Union uses Pinkerton Security.

- sends the tickets up to [redacted] (?)

- WCLS - mostly public transportation

- occasionally gets complaints - once complaint given [redacted] calls [redacted] & tells him, not to send that individual again.

- no one told [redacted] he had to use WCLS.

- WCLS never used as clerks to [redacted] knowledge.

- [redacted] not used any other ~~type~~ temp service besides WCLS.

b6
b7C

[redacted]
[redacted]
DOB: [redacted]

[redacted]

Universal Case File Number 159A-CG-110953-1A18

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 8/7/00

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b3
b6
b7C

Universal Case File Number 159A-CG-110953-1A19

Field Office Acquiring Evidence CG

Serial # of Originating Document 170

Date Received 8/28/00

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

Copy of FG-1 subpoena for

b3
b6
b7c

United States District Court

NORTHERN

DISTRICT OF

ILLINOIS

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 DATE AND TIME September 14, 2000 9:30 a.m.
---	--

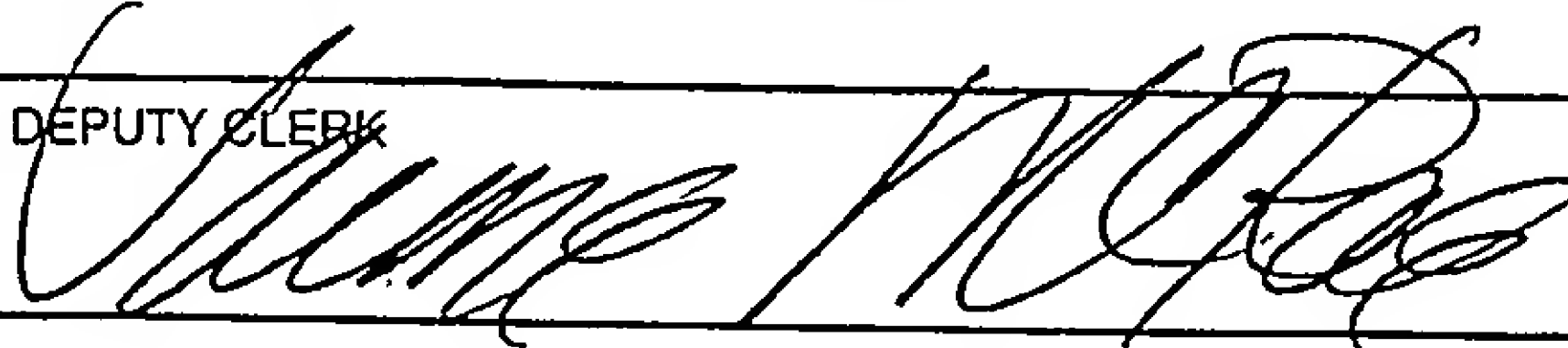
YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is to obtain grand jury testimony.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

b6
b7C

CLERK MICHAEL W. DOBBINS	Date
(BY) DEPUTY CLERK 	August 22, 2000

This subpoena is issued upon application of the United States of America

NAME ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY <div style="border: 1px solid black; width: 100%; height: 15px;"></div> AUSA United States Attorneys Office 219 South Dearborn Street, 5th Floor Chicago, Illinois 60604 <div style="border: 1px solid black; width: 100%; height: 15px;"></div>

*If not applicable, enter "none."



RETURN OF SERVICE ⁽¹⁾

RECEIVED BY SENDER	DATE 8/24/00	PLACE Chicago IL
SERVED	DATE 8/24/00	PLACE Chicago IL

SERVED ON (NAME)

SERVED BY

TITLE

Special Agent

b3
b6
b7c

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER ⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 8/24/00
Date

219 S. Dearborn Chicago IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

Universal Case File Number 159A-CG-110953 - A20

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 8/23/00

From _____ (Contributor)

Address of Contributor _____

(City and State) _____

By _____ (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure
 Yes No

Title:

ET AL

Reference: _____ (Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

8/23/00

(K)

- worked [redacted] yrs. [redacted] WCM did work for WCLS
- did [redacted]

- worked & reported to [redacted]

- [redacted]

- [redacted] left [redacted] offered her a job.

- came in for interviews.

- knew [redacted] - worked in office.

b6
b7C

[redacted] LNU & another UNF

left about [redacted] yrs. ago.

- worked @ [redacted] Brought [redacted]
to WCM & worked @ [redacted]

- Bedell Stinson worked in back w/ [redacted]

- Jack Sr. came in from time to time.

[redacted] in everyday.

[redacted] - rarely in - talked to [redacted] on phone
rarely.

- auditor's for insurance were afraid. [redacted] would
scare them off.

b6
b7C

- thought [redacted] was in charge of getting #'s for insurance.

[redacted]

- [redacted] told [redacted] not to give more info than necessary.
[redacted] wanted to go over everything that [redacted] gave to
auditor.

[redacted] kept everything to himself.

- [redacted] did WCM [redacted]

[redacted]

- check w/ [redacted] review committee
- see if WCM has been absorbed by REM like WCLS
- ask [redacted] if she's heard about [redacted] getting letters from REM saying they've merged w/ WCLS

b6
b7C

[redacted] handled [redacted] before [redacted]

[redacted] had no knowledge of Duff's prior to coming to WCM.

[redacted] has known Duff's, [redacted] talked to O'Hare Terminal S. [redacted]

[redacted] dealt w/ WCLS - opened up, responsible for paying.

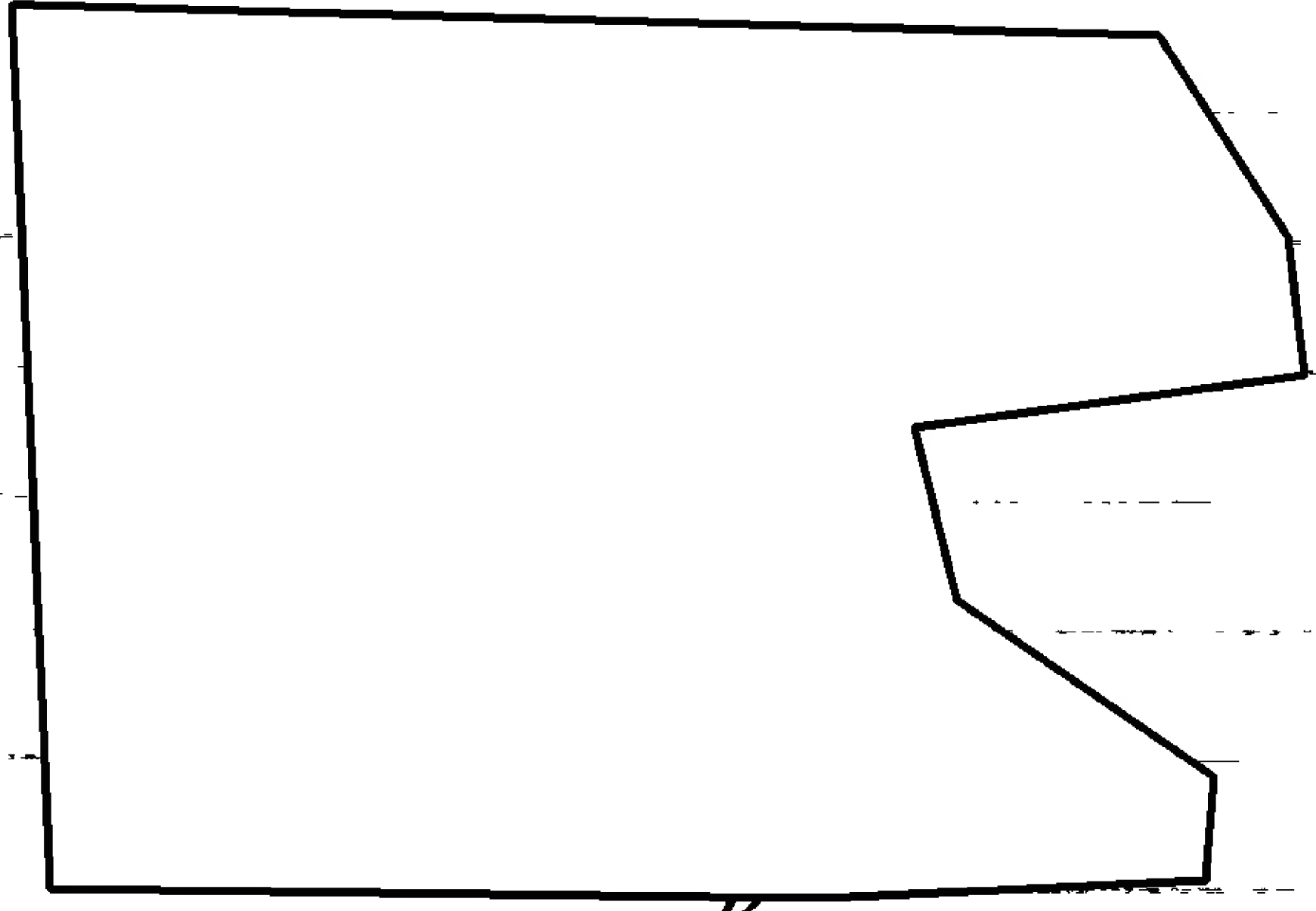
[redacted] ~~had in~~ was involved in everything WCLS & WCM.

[redacted] for Duff Sr. when in came into town from Florida.

b6
b7C

[redacted]

WCLS clients



didn't know what WCLS laborers did

[redacted]

WCM officer - 4 desks sat across from Sam Duff

↳ WCM & WCLS separated by a door.

↳ WCM & WCLS shared a conference room.

had discussions w/ John Leahy on phone, didn't really deal w/ the insurance.

②

- called [redacted] for insurance certificates.

- after starting a WCM [redacted] called [redacted]

[redacted]

- [redacted] worked a [redacted]

[redacted]

b6
b7C

[redacted]

[redacted]

- [redacted] knew [redacted] warned her not to talk to [redacted] again.

- [redacted] knew WCM was classifying as WBE but [redacted] didn't run WCM.

- WCM / [redacted] knew a head of time about recertification

[redacted]

[redacted] had a POC a City, who gave them a heads up.

- [redacted] knew [redacted] had no control of WCM.

b6
b7C

- [redacted] ran WCM & controlled it, but ran everything by Duff Sr.

- Duff Sr. spent majority of time in Florida, came back to DCG once in a while for meetings.

- [redacted] but Duff Sr. made decisions

[redacted]

[redacted]

- mtg a conference room, a lot a COMO MW.

WCLS

- [redacted] controlled it. [redacted] ran it. [redacted] got paid by WCLS, not WCM.

R.E.M.

[redacted]
[redacted] Sr. started R.E.M. & put [redacted] in to get minority contracts.

b6
b7C

- [redacted] controlled behind scenes. [redacted] was front man.

[redacted]

[redacted]

- knew [redacted] was being brought.

[redacted] not a business man.

- want to [redacted] Duff's X-Mas party @ COMO INN

[redacted]

- [redacted] connection w/ LUS got liquor, liquor went to COMO INN.

- Jack Sr. came into WCM & WCLS but really do anything.

b6
b7C

- WCM/WCLS had beer tents @ the festivals.

[redacted]

- Curtis = [redacted]

[redacted] LNU (maybe [redacted])

[redacted]

[redacted] did not work for WCM/WCLS

5

[redacted] - part owner of R.E.M. - knew
a head of time about certification. [redacted] came in
[redacted] prepped & [redacted] prepped her on what to say
for certification. [redacted] came in just for certification
mtg.

b6
b7c

- got checks from either WCA or WCLS - she didn't work.

[redacted] told [redacted] how to break down of work classification.

[redacted]

DOB: [redacted]

SSN: [redacted]

[redacted]

[redacted]

Universal Case File Number 159A-CG-110953-1A21

Field Office Acquiring Evidence CG

Serial # of Originating Document 131

Date Received 9/25/00

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ETAL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

Copy of FGJ subpoena served on

b3
b6
b7C

United States District Court

NORTHERN

ILLINOIS

DISTRICT OF _____

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 DATE AND TIME October 12, 2000 9:30 a.m.
---	--

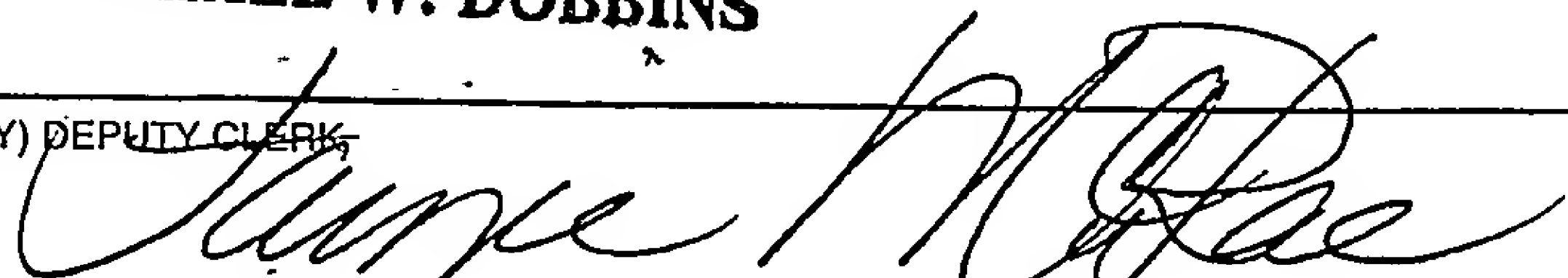
YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is for your appearance before the Grand Jury.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

b6
b7C

CLERK MICHAEL W. DOBBINS	Date
(BY) DEPUTY CLERK 	September 22, 2000

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

AUSA
 United States Attorneys Office
 219 South Dearborn Street, 5th Floor
 Chicago, Illinois 60604

*If not applicable, enter "none."



RETURN OF SERVICE⁽¹⁾

RECEIVED BY SENDER	DATE 9/22/00	PLACE Chicago IL
SERVED	DATE 9/25/00	PLACE [Redacted]

SERVED ON (NAME)
[Redacted]

SERVED BY [Redacted]	TITLE Special Agent
-------------------------	------------------------

b3
b6
b7C

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 9/25/00 [Redacted]
Date

219 S. Dearborn Rm 905 Chicago IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

Universal Case File Number 159A-CG-110953 - 1A22

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 10/16/00

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b3
b6
b7C

Universal Case File Number 159A-CG-110953 -1A23

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 11/15/00

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

11/15/00

[redacted]

- Bedell ^{Stinson} & [redacted] (?) cashing checks

@ currency exchange. Didn't know if directed or not

- [redacted] cashed checks (?)

- never told to claim exempt on W-4's.

- 1 yr. got two different W-2 ([redacted])

[redacted]

1995

[redacted] an enforcer for "the Duff's"

[redacted]

[redacted] hates the Duff's left

b6
b7C

in approx [redacted]

[redacted]

[redacted] did special favors for

[redacted] etc.)

[redacted]

[redacted]

worked for Duff's

ran errands, snow removal

[Redacted]

@ Harold

Washington - took over for
- doesn't like "the Duff's"

[Redacted]

[Redacted]

b6
b7C

[Redacted]

- ~~the~~ Peer Authority

- gave bids from other companies to
Duff's for
contract.

[Redacted]

Universal Case File Number 159A-MG-110953-1A24

Field Office Acquiring Evidence CG

Serial # of Originating Document 159

Date Received 1/17/01

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure
 Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

1/17/01

①

[Redacted]

[Redacted]

- friend - [Redacted] - got her a job.

b6
b7C

[Redacted]
- 1st met [Redacted] - she asked [Redacted] if she could work full-time.

- started working @ WCM for 1 month in office

[Redacted]

- went back to [Redacted]

[Redacted]

- worked in office @ [Redacted]
- worked by herself - [Redacted] was in charge of whole place. [Redacted] worked in office.

- Did not know who owned Curtis.
- [Redacted] offered [Redacted] her a job.

b6
b7C

[Redacted] - in charge

- Curtis - pulled trailers in & out of McCormick during shows.

- hauled [Redacted] for [Redacted]

- job duties

[Redacted]

1/17/01 for Curtis

(2)

- drivers [redacted]

✓ - employed 15-17 drivers @ Curtis

[redacted]

= [redacted] paid [redacted] in cash, sometimes in check
never [redacted] company check

✓ [redacted] worked [redacted]

[redacted] worked for WCM.

[redacted]

b6
b7C

✓ @ WCM in 90 - [redacted] LNU worked in office. [redacted] LNU.
worked in office.

- believes [redacted] ran WCM

- met Jack Sr & [redacted] @ office.

* none of the other Duff's had any involvement. Would see them around lunch time!

REM

✓ knows [redacted] - didn't know what he did. Work for the Duff's?

towards end of employment @ Curtis went [redacted]

b6
b7C

[redacted] for

Curtis

✓
✓
[redacted]

1/17/01

3

- Knows [redacted] worked in WCM office.

WCM -

[redacted]

b6
b7C

[redacted] did hiring of truck drivers for Curtis.

[redacted] didn't know what he did. knew he worked WCLS.

[redacted] friend of Duff's.

[redacted] WCLS? - not sure what [redacted] did for WCLS. [redacted] would see her in office every once in a while. very demanding

Saw Duff Jr @ office - didn't know what he did.

b6
b7C

Know [redacted] knows [redacted] didn't think she worked for WCM/WCLS

[redacted] ^{no} know knowledge [redacted] knew [redacted] was a [redacted] - worked for

knew [redacted] didn't know of she worked @ WCLS/WCM.

[redacted] all had desks.

[redacted] - did odd jobs for Duff's.

1/17/01

(4)

J [redacted] to WCM & WCM
[redacted]

[redacted]

✓ [redacted] drivers paid by the hour.
[redacted] paid by the load.

✓ Attended suff X-Mas Parties - attended [redacted] parties.

✓ Never saw liquor @ ~~WCM~~ S. Wolcott (Curtis)

b6
b7C

[redacted] worked for [redacted] met him.
- saw him @ Curtis & @ WCLS/WCM.
- saw [redacted]

[redacted]

✓ [redacted] worked for WCLS - was [redacted]
1st met in '94.
- worked @ [redacted] before coming to WCLS.

- ~~Never~~ cashed doesn't recall cashing checks @ currency exchange.

1/17/07

(5)

- [redacted] for Dan Rostenkowski
- doesn't know who told her to do it.

- argued w/ [redacted] over how to do daily business w/ Curtis

- saw [redacted] around lunch time.

- saw [redacted] occasionally.

- on several occasions [redacted] to [redacted] didn't know what it was she delivered.

- didn't think [redacted] had any involvement w/ WCLS/WCM.

b6
b7c

Conference Room - used by [redacted]

[redacted] - worked @ Fests.

[redacted] did everything - [redacted] was her boss.

WCLS - day labor service. knew they went to the liquor warehouse. Romano Brothers, Judge & Dolph. thought WCLS workers were homeless.

[redacted] worked for WCLS.

(6)

WCLs: worked on trucks & in warehouse
@ liquor distributors.

- saw WCLs laborers working @ liquor distributors.

didn't think day laborers did clerical but not sure.

doesn't know who owns REM.

WCM - Sanitorial Cleaning service.

[redacted] ran it. thought [redacted] owned it.

- saw [redacted] do paperwork.

- more involved w/ WCM - [redacted]

✓ - saw her physically sign documents.

- saw [redacted] work on contracts but

heard [redacted] say he had to get his [redacted]

in to sign contracts.

thought [redacted] was an officer in [redacted]

b6
b7c

[redacted] didn't have any involvement
w/ Curtis Storage.

[redacted] referred [redacted] to represent
her.

Waste Management [redacted] - [redacted] LNU, [redacted] LNU
[redacted] who worked @ [redacted]

Universal Case File Number 159A-CG-110953 - 1A25

Field Office Acquiring Evidence CG

Serial # of Originating Document _____

Date Received 1/23/01

From (Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

b6
b7C

1/23/01

①

[redacted]

[redacted]

[redacted]

told her.

originally worked for the City & worked [redacted]

[redacted] - started working for WCLS/WCM? wasn't sure

[redacted] called her

- told to meet @ 178 N. Halsted

- met [redacted]

- hired WCLS ~~WCM~~ - worked in office. after working in office - [redacted]

- odd jobs -

- day laborers - manual laborers - Romano, ~~United~~ Union Federated, Judge & Dolph.

- knew WCM - O'Hare, Harold Washington.

[redacted]

+ WCLS worked @ Waste Management - knows [redacted]

[redacted]

worked for Romano

- did receive checks for WCM

- worked for [redacted] yr.

[redacted]

- knew [redacted] was John Suff Sr's friend, would play cards.

- ~~old~~ Mr Suff told her never to open the door.

b6
b7c

* worked the [redacted]
- WCLS - [redacted] - saw all documentation with her name on it, thought she owned it - only because of the documentation - ~~never~~ saw her never saw her at the office.

- did personal errands for [redacted]
- when [redacted] got tele calls - MP would take msg & give msg to [redacted]

[redacted] ran day to day.
- would meet for lunch. [redacted] worked @ [redacted]

[redacted] involvement w/ WCLS.
worked @ Festivals, [redacted]

- REM the Suff's owned it, [redacted] told her don't worry about it it's a company we had. Saw RSM paperwork & asked about it. Part of same office.

b6
b7c

[redacted] went to O'Hare then to Curtis - as a [redacted]

- offered him a position @ [redacted]

[redacted]

- Suff's didn't threaten her when she left.

[redacted] worked for [redacted] left in [redacted]

[redacted]

(3)

[redacted]

- around X'Mas there was talk about liquor. not sure if they gave it away as gifts. maybe from the liquor companies.
- knows [redacted]
- not involved in WC insurance.

[redacted] saw him in office
- met w/ [redacted] & Jack Jr.
[redacted] in evening.

b6
b7c

[redacted] called her on last Friday & told her the FBI would be stopping by.

- 1st yr working fest (WOM) used workers from WCLS day laborers.

- Not aware of any criminal activity.
- When Daley got elected he hired the WC

Jack Jr. had a lot of say in day to day @ WCLS

[redacted]

Universal Case File Number 159A-CG-110953 - 1A26

Field Office Acquiring Evidence CG

Serial # of Originating Document 5

Date Received 2/7/01

From (Name of Contributor)

(Address of Contributor)

(City and State)

By (Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

Copy of FGS subpoena for

b3
b6
b7C

United States District Court

NORTHERN

ILLINOIS

DISTRICT OF _____

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.


PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 <hr/> DATE AND TIME February 15, 2001 11:30 a.m.
---	--

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is for your appearance before the Grand Jury.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK MEL W. DOBBINS	Date February 6, 2001
(BY) DEPUTY CLERK 	

b6
b7C

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
 AUSA
 United States Attorneys Office
 219 South Dearborn Street, 5th Floor
 Chicago, Illinois 60604

*If not applicable, enter "none."



RETURN OF SERVICE ⁽¹⁾

RECEIVED BY SENDER	DATE 02/06/01	PLACE Chicago IL
SERVED	DATE 02/07/01	PLACE [Redacted]

SERVED ON (NAME)
[Redacted]

SERVED BY [Redacted]	TITLE Special Agent
-------------------------	------------------------

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

b3
b6
b7C

DECLARATION OF SERVER ⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 02/07/01
Date

[Redacted Signature]

219 S. Dearborn Rm 905, Chicago IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

Universal Case File Number 159A-CG-110953 - 1A27

Field Office Acquiring Evidence CG

Serial # of Originating Document 162

Date Received 1/31/01

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure
 Yes No

Title: _____
ET AL

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of
Photocopied marriage documents

b6
b7C

ACCORD WORKERS COMPENSATION APPLICATION DATE: 7-20-00

PRODUCER: [Redacted] (708) 947-7710

APPLICANT NAME: Remedial Environmental Response, Inc.

ADDRESS: 182 N. Halsted Street, Chicago, IL 60661

TYPE OF BUSINESS: CORPORATION LIMITED CORP OTHER

INSURANCE CODE: 5

AGENCY OF ORIGIN: 369580586

AGENCY NUMBER: 127509973

STATUS OF SUBMISSION **SELLING/AUDIT INFORMATION**

TYPE QUOTE: LEGAL POLICY RENEWAL AGREEMENT RENEWAL (AGREEMENT ACCORD 12)

AGENCY BILL: DIRECT BILL: ADDRESS: [Redacted] CITY: CHICAGO STATE: IL

ACCOUNT TYPE: AT CORPORATION NON-CORPORATE QUARTERLY

LOCATIONS

NON-COVERED RECYCLING LOCATIONS	COVERED TEMPORARY LABOR LOCATIONS
182 N. Halsted, Chicago, Cook, IL 60661	
750 North Elmhurst Avenue, Chicago, Cook, IL 60624	
13707 South Jeffrey, Chicago, Cook, IL 60637	SEE BILLET
3737 West 34th Street, Chicago, Cook, IL 60633	
1633 East Medill, Chicago, Cook, IL 60614	

POLICY INFORMATION

PROPOSED END DATE: 7/24/00 PROPOSED CIP DATE: 7/24/01

PART 1 - WORKERS COMPENSATION COVERAGE: IL

PART 2 - EMPLOYER'S LIABILITY: \$500,000 EACH ACCIDENT, \$300,000 DISEASE-POLICY LIMIT, \$500,000 DISEASE-EACH EMPLOYEE

OTHER STATED RISKS: MEDICAL, SICKNESS, ACCIDENT

OTHER COVERAGES: ULL & VOLUNTARY COV, FOREIGN COV

RATING INFORMATION

STATE	LBO	CLASS CODE	ESTIMATED ANNUAL PREMIUM	RATE	ESTIMATED ANNUAL PREMIUM
IL		8292	\$1,200,000	8.10	97,200
IL		8810	\$600,000	.36	2,160
IL		9014	\$800,000	5.63	45,040

DESIGNATED LOCATIONS ENDORSEMENT

COVERED TEMPORARY LABOR LOCATIONS

Acc Disposal, 1500 N. Hooker, Chicago, IL 60622
 Judge & Dolph, 1925 Basse Road, Elk Grove, IL 60007
 Union Liquors, 2800 W. 35th., Chicago, IL 60632
 Romano Brothers, 7001 S. Harlem, Bedford Park, IL 60638
 Pacific Liquors, 2701 S. Wacker, Chicago, IL 60608
 R-4 Services, 2201 S. Wabash, Chicago, IL 60616
 National Lamination, 555 Santa Rosa Drive, Des Plaines, IL

	FACTOR	FACTORED PREMIUM
TOTAL		\$ 144,000
INCREASED LIMITS	1.7	\$ 2,455
DEDUCTIBLE		\$
PREM. SUB. CO. EXP. MOD.		\$ 144,835
EXPERIENCE MODIFICATION	1.09	\$ 15,217
LOSS CONSTANT		\$
ALERTING RISK SURCHARGE		\$
ADP		\$
Sub-Total		\$ 160,072
PREMIUM DEDUCT		\$
EMPHYSIOTHERAPY		\$ 230
TOTAL EST. ANNUAL PREMIUM		\$ 160,302

ACCORD 120 (7/98) PLEASE COMPLETE REVERSE SIDE

1131101
JMS

JUL-31-20 15:10 FROM:

PAGE:008-011

JUL-31-20 13:25

PAGE:003/003

INDIVIDUALS INCLUDED/EXCLUDED

NAME	DATE OF BIRTH	TITLE	EMPLOYER	ADDRESS	PHONE	CLASS CODE	ASSIGNMENT
[REDACTED]		100% Administration	TRC	8810	8100, 8004		

PRICES CARRIED INFORMATION LOSS HISTORY

INVESTOR	CARRIED POLICY NUMBER	ANNUAL PREMIUM	MC	CLAIMS	RECIPT YRS	REMARKS
[REDACTED]		\$432,928				
[REDACTED]		\$386,231				
[REDACTED]		\$407,949			888	
[REDACTED]		\$329,986				Provided Yoga
[REDACTED]		\$242,057				River &

b6
b7c

NATURE OF BUSINESS/DESCRIPTION OF OPERATIONS

TYPE COMMENTS AND DESCRIPTION OF BUSINESS, OPERATIONS AND PRODUCTS: MANUFACTURING - RAW MATERIALS, PROCESSES, PRODUCT, EQUIPMENT, CONTRACTOR - TYPE OF WORK, SUB-CONTRACTS, MACHINERY, MACHINING, CUSTOMERS, DELIVERIES SERVICE-TYPE, LOCATION, FIRM, INCREASE, ANNUALS, MACHINERY, SUB-CONTRACTS.

RECYCLING & TEMPORARY LABOR

GENERAL INFORMATION

EXPLAIN ALL "YES" RESPONSES	YES/NO	EXPLAIN ALL "YES" RESPONSES	YES/NO
1. DOES APPLICANT OWN, OPERATE OR LEASE AIRCRAFT/WATERCRAFT?	X	16. ARE EMPLOYEES COVERED AFTER OFFERS OF EMPLOYMENT ARE MADE?	X
2. DO YOU HAVE, PRESENT OR DISCONTINUED OPERATIONS INVOLVED IN CORING, TREATING, DISCHARGING, APPLYING, DISPOSING, OR TRANSPORTING OF HAZARDOUS MATERIALS (E.G. WASTE, WASTE, AND OTHERS)?	X	17. ANY OTHER INSURANCES WITH THIS INSURANT?	X
3. ANY WORK PERFORMED UNDERGROUND OR ABOVE 16 FEET?	X	18. ANY OTHER COVERAGE DECLINED?	X
4. ANY WORK PERFORMED ON BRIDGES, VESSELS, DOCKS, BRIDGE OVER WATER?	X	19. ARE EMPLOYEE HEALTH PLANS PROVIDED?	X
5. IS APPLICANT ENGAGED IN ANY OTHER TYPE OF BUSINESS?	X	20. IS THERE A LABOR INTERCHANGE WITH ANY OTHER BUSINESS/SUBSIDIARY?	X
6. ARE SUB-CONTRACTORS USED? IF YES, GIVE % OF WORK SUB-CONTRACTED?	X	21. DO YOU LEASE EMPLOYEES TO OR FROM OTHER EMPLOYERS?	X
7. ANY WORK SUBLET WITHOUT CERTIFICATES OF INS.?	X	22. DO ANY EMPLOYEES PREDOMINANTLY WORK AT HOME?	X
8. IS A WRITTEN SAFETY PROGRAM IN OPERATION?	X	23. ANY TAX LENS OR BANKRUPTCY WITHIN THE LAST 3 YEARS?	X

CONTACT INFORMATION

CONTACT INFORMATION
1. ANY SEASONAL EMPLOYEES? X
2. IS THERE ANY VOLUNTEER OR DONATED LABOR? X
3. ANY EMPLOYEES WITH PHYSICAL HANDICAPS? X
4. DO EMPLOYEES TRAVEL OUT OF STATE? X
5. ARE ATHLETIC TEAMS SPONSORED? X

APPLICABLE IN TENNESSEE: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO ANY PARTY TO A WORKERS COMPENSATION TRANSACTION FOR THE PURPOSE OF COMMITTING FRAUD. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS. ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE A STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONFERMING ANY FACT MATERIAL THERE TO, COMMITS A FRAUDULENT INSURANCE ACT WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND CIVIL PENALTIES (NOT APPLICABLE IN CO, MI, NE, OH, OR, IN, MS AND VA. INSURANCE REPORTS MAY ALSO BE OBTAINED).

CHANGES * Premium and loss runs applicable to only recycling operations or temporary labor operations commenced in July, 2000

[REDACTED] market restructuring [REDACTED]

[REDACTED]

ACORD **WORKERS COMPENSATION INSURANCE PLAN** DATE OF SUBMITTAL
ASSIGNED RISK SECTION 7-20-00

THIS FORM ALONG WITH AN ACORD 130 WORKERS COMPENSATION APPLICATION CONSTITUTE AN APPLICATION FOR WORKERS COMPENSATION INSURANCE PLAN (ASSIGNED RISK) COVERAGE. THIS FORM MUST BE ATTACHED TO AN ACCRC 130 FOR SUBMISSION. PLEASE REFER TO THE STATE SPECIFIC INSTRUCTIONS PAGE FOR SPECIFIC REQUIREMENTS

APPLICANT NAME PROPOSED EFF DATE
Remedial Environmental Manpower, Inc. 7-24-00

SUPPLEMENTAL INFORMATION

<p><small>PAYROLL OFFICE NAME, ADDRESS AND TELEPHONE NUMBER (A PO BOX ADDRESS ALONE IS NOT ACCEPTABLE. PLEASE PROVIDE DRIVING INSTRUCTIONS IF A ROUTE ADDRESS IS SHOWN.)</small></p> <p>Remedial Environmental Manpower, Inc. 182 N. Halsted Street Chicago, IL 60661</p>	<p><small>EXPLAIN ALL "YES" RESPONSES IN THE REMARKS SECTION</small> <small>YES</small> <small>NO</small></p> <p>4. HAS THERE BEEN A NAME CHANGE, CONSOLIDATION, MERGER OR OWNERSHIP CHANGE DURING THE PAST FIVE YEARS? IF YES, GIVE PREVIOUS NAME AND DATE OF CHANGE. CONTACT THE PLAN ADMINISTRATOR ABOUT AN ERM-14 X</p> <p>5. IS APPLICANT RELATED THROUGH COMMON MANAGEMENT OR OWNERSHIP TO ANY ENTITY NOT LISTED HERE, WHETHER COVERAGE IS REQUIRED OR NOT? IF YES, GIVE DETAILED EXPLANATION. X</p>
--	---

STATE DEVELOPING HIGHEST PAYROLL: 6. DO YOU LEASE WORKERS FROM A LABOR CONTRACTOR? IF YES, REFER TO WCIP INSTRUCTIONS. X

<p><small>EXPLAIN ALL "YES" RESPONSES IN THE REMARKS SECTION</small> <small>YES</small> <small>NO</small></p> <p>1. HAS THERE BEEN PREVIOUS WORKERS COMPENSATION COVERAGE IN THIS STATE? X</p> <p>IN ANY OTHER STATE? X</p> <p>- IF NO TO BOTH QUESTIONS, WAS THIS DUE TO:</p> <p><input type="checkbox"/> NEW BUSINESS <input type="checkbox"/> SELF INSURED-GROUP <input type="checkbox"/> SELF INSURED-INDEP <input type="checkbox"/> EMPLOYEES</p>	<p>7. DO YOU LEASE WORKERS TO A CLIENT COMPANY? IF YES, REFER TO WCIP INSTRUCTIONS. X</p> <p>8. ARE YOU SEEKING TO COVER THE LEASED WORKERS? IF YES, REFER TO WCIP INSTRUCTIONS. X</p> <p>9. DO YOU PROVIDE TEMPORARY LABOR SERVICES TO OTHER EMPLOYERS? X</p>
---	---

<p>2. IS THERE ANY UNPAID WORKERS COMPENSATION PREMIUM DUE OR IN DISPUTE FROM YOU OR ANY COMMONLY MANAGED OR OWNED ENTERPRISES? IF YES, EXPLAIN INCLUDING ENTITY NAME(S) AND POLICY NUMBER(S). X</p>	<p>10. DO YOU HAVE A FRANCHISE OR LICENSING AGREEMENT? IF YES, PROVIDE DETAILS OF THE AGREEMENT. X</p>
---	---

<p>3. YEAR APPLICANT'S BUSINESS BEGAN: 1995</p>	<p>11. DO TRUCKING CLASSIFICATIONS APPLY? IF YES, COMPLETE QUESTIONS 12-14. X</p>
--	--

12. DO YOU OR YOUR EMPLOYEES REGULARLY OPERATE FROM A BASE TERMINAL(S) WHICH IS (ARE) USED TO LOAD, UNLOAD, STORE OR TRANSFER FREIGHT? IF YES, PLEASE PROVIDE A LIST OF TERMINAL ADDRESSES:

#	STREET	CITY	COUNTY	ST	ZIP CODE
1					
2					
3					

13. CAN EACH DRIVER'S STATE OF MAJORITY DRIVING TIME BE ESTABLISHED THROUGH VERIFIABLE RECORDS OR LOGS?

14. PLEASE PROVIDE A LIST OF ALL DRIVERS/HELPERS AND THEIR STATE OF RESIDENCE:

	DRIVER NAME	TERMINAL # (SEE ABOVE)	MAJORITY DRIVING STATE	RESIDENCE STATE
1				
2				
3				

INSURANCE COMPANIES WHO HAVE OFFERED/REFUSED INSURANCE

1. HAVE YOU RECEIVED ANY OFFERS OF VOLUNTARY COVERAGE? (INCLUDE MULTI-LINE OR RETROSPECTIVE RATING PLAN, IF APPLICABLE) IF YES, PROVIDE FULL DETAILS INCLUDING PLAN TERMS IN THE REMARKS SECTION. YES NO X

2. INDICATE THE NUMBER OF INSURANCE COMPANIES WHICH HAVE REFUSED THE APPLICANT COVERAGE IN THE LAST 60 DAYS (OR IN ACCORDANCE WITH STATE SPECIFIC GUIDELINES): **3**

IN ACCORDANCE WITH PLAN RULES, THE APPLICANT OR ITS REPRESENTATIVE SHALL MAINTAIN ON RECORD FOR THIS POLICY PERIOD THE CARRIER NAME, CONTACT PERSON, ADDRESS, PHONE NUMBER AND DATE OF CONTACT OF THOSE CARRIERS REFUSING COVERAGE AND MAKE SUCH INFORMATION AVAILABLE TO THE PLAN ADMINISTRATOR OR ASSIGNED RISK CARRIER UPON REQUEST.

REMARKS

Designated workplace endorsement is required as only the temporary labor operations of Remedial Environmental Manpower, Inc. are to be covered by this policy. The seven (7) designated locations are as follows:

- Ace Disposal, 1500 N. Hooker, Chicago, IL 60622
- Judge & Dolph, 1925 Busse Road, Elk Grove, IL 60007
- Union Liquors, 2600 W. 35th., Chicago, IL 60632
- Romano Brothers, 7001 S. Harlem, Bedford Park, IL 60638
- Pacific Liquors, 2701 S. Western, Chicago, IL 60608
- R-4 Services, 2201 S. Wabash, Chicago, IL 60616
- National Lamination, 555 Santa Rosa Drive, DesPlaines, IL 60018

PREMIUM PAYMENT (Refer to WCIP Instructions)

IS THE PREMIUM FINANCED? YES NO NO

PAYMENT METHOD - SELECT ONE:

1. VERBAL CHECK

BANK/ABA # ACCOUNT # CHECK # PREMIUM PAYMENT AMOUNT \$00

2. ELECTRONIC FUNDS TRANSFER

BANK/ABA # ACCOUNT # PREMIUM PAYMENT AMOUNT \$00

3. MAIL-IN CHECK

CHECK # PREMIUM PAYMENT AMOUNT \$ 2121121 4,076.00

For submission methods 1 and 2:

- 1. Does the payor require a physical record of this transaction? YES NO
2. To ensure accuracy, a voided check or deposit slip (of the payor) should be faxed to NCCI, Inc. upon return of the signed ACORD applications.
3. The undersigned Producer or Applicant certifies that by signing this application he/she authorizes NCCI, Inc. to deduct or has obtained financial information and authorization from the payor to direct NCCI, Inc. to deduct the Premium Payment Amount, and any other monies required to bind coverage, from the bank and the account number as indicated above for purposes of securing workers compensation insurance pursuant to this application.

APPLICANT'S STATEMENT

The undersigned applicant hereby certifies that he/she has read and understands the statements in this application. As further consideration of policy issuance, the applicant also certifies that the responses provided in this application are true and furthermore agrees:

- To maintain a complete record of all payroll transactions in such form as the insurance company may reasonably require and that such record will be available to the company at the designated address.
To comply substantially with all laws, orders, rules, and regulations in force and effect made by the public authorities relating to the welfare, health, and safety of employees.
To comply with all reasonable recommendations made by the insurance company relating to the welfare, health, and safety of employees.
To take no action in any form to evade the application of experience modification determined in accordance with the experience rating rules, as determined by the Plan Administrator.

The undersigned applicant also certifies he/she has had no difficulties with any producer or company in regard to: (a) payroll records; (b) the amount of premium charged; (c) the payment of premium; (d) the carrying out of any recommendation made for the purpose of safeguarding employees; (e) the handling of any claim or accident report except the following:

Violation of any of these agreements may result in cancelation of a policy of insurance issued under a Workers Compensation Insurance Plan.

The undersigned applicant understands also that coverage is NOT bound until the signed application is received with appropriate premium and eligibility is determined by the administrator. Provided that applicant is determined to be eligible and in good faith entitled to WCIP insurance, based upon the information provided herein or otherwise available, coverage will be bound in accordance with plan rules. See individual state plans for applicable binding rules.

The undersigned applicant understands further that since he/she has been unable to secure workers compensation coverage through any other insurance provider, this coverage is being afforded through a Workers Compensation Insurance Plan, and that the rates charged may be higher than those in the voluntary market.

The following statement is only applicable in jurisdictions where the NCCI, Inc. Loss Sensitive Rating Plan has been approved for use:

By signing below I acknowledge that the NCCI, Inc. Loss Sensitive Rating Plan has been explained to me or that an explanatory notice or brochure has been provided to me and I agree that I shall be bound by the terms of such plan if my estimated annual premium or preliminary physical audit premium meets or exceeds the premium eligibility requirement.

APPLICANT'S NAME AND TITLE (PRINT OR TYPE) DATE 7/21/00
REMINDER: BOTH THE ACORD 130 AND 133 APPLICATIONS MUST BE SIGNED

PRODUCER'S CERTIFICATION
THE PRODUCER ALSO CERTIFIES THAT HE/SHE HAS BEEN AUTHORIZED TO SUBMIT THE APPLICATION ON BEHALF OF THE APPLICANT AND THAT ALL INFORMATION PROVIDED ON THE ACORD 130 AND ACORD 133 IS TRUE AND ACCURATE TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF

AGENCY FEIN AGENCY PHONE NUMBER (A/C, No, Ext) AGENCY FAX NUMBER (A/C, No)
EXPIRATION DATE NON-RESIDENT LICENSE NUMBER EXPIRATION DATE
TYPE DATE
7/21/00

b6
b7C

b6
b7C

01-31-00 10:19 FROM [redacted]

ACORD

WORKERS COMPENSATION INSURANCE PLAN ASSIGNED RISK SECTION

DATE SUBMITTED
7-20-00

THIS FORM ALONG WITH AN ACORD 100 WORKERS COMPENSATION APPLICATION CONSTITUTE AN APPLICATION FOR WORKERS COMPENSATION INSURANCE PLAN (ASSIGNED RISK) COVERAGE. THIS FORM MUST BE ATTACHED TO AN ACORD 100 FOR SUBMISSION. PLEASE REFER TO THE STATE SPECIFIC INSTRUCTIONS PAGE FOR SPECIFIC REQUIREMENTS.

APPLICANT NAME

APPROVED BY DATE

Remedial Environmental Mopower, Inc.

7-26-00

ADDITIONAL INFORMATION

MAIL OFFICE NAME ADDRESS AND TELEPHONE NUMBER
PO BOX ADDRESS ALONE IS NOT ACCEPTABLE. PLEASE PROVIDE
NEED INDICATORS OF A BOXED ADDRESS (B) INDICATOR

Remedial Environmental Mopower, Inc.
182 W. Halsted Street
Chicago, IL 60661

EXPLAIN ALL "YES" RESPONSES IN THE REMARKS SECTION

YES NO

4. HAS THERE BEEN A NAME CHANGE, CONSOLIDATION, MERGER OR OWNERSHIP CHANGE DURING THE PAST FIVE YEARS? IF YES, GIVE PREVIOUS NAME AND DATE OF CHANGE. CONTACT THE PLAN ADMINISTRATOR ABOUT AN ERM-11.

X

5. IS APPLICANT RELATED THROUGH COMMON MANAGEMENT OR OWNERSHIP TO ANY ENTITY NOT LISTED HERE, WHETHER COVERAGE IS REQUIRED OR NOT? IF YES, GIVE DETAILED EXPLANATION.

X

LEASED WORKERS - REGISTRY PAYROLL

PLEASE ALL "YES" RESPONSES IN THE REMARKS SECTION

YES NO

6. DO YOU LEASE WORKERS FROM A LABOR CONTRACTOR? IF YES, REFER TO WOP INSTRUCTIONS.

X

HAS THERE BEEN PREVIOUS WORKERS COMPENSATION COVERAGE:

IN THIS STATE?

X

7. DO YOU LEASE WORKERS TO A CLIENT COMPANY? IF YES, REFER TO WOP INSTRUCTIONS.

X

IN ANY OTHER STATE?

X

8. ARE YOU SEEKING TO COVER THE LEASED WORKERS? IF YES, REFER TO WOP INSTRUCTIONS.

X

IF NO TO BOTH QUESTIONS, WAS THIS DUE TO:

NEW BUSINESS SELF INSURED GROUP
SELF INSURED WOP EMPLOYEES

9. DO YOU PROVIDE TEMPORARY LABOR SERVICES TO OTHER EMPLOYERS?

X

IS THERE ANY UNPAID WORKERS COMPENSATION PREMIUM DUE OR IN DISPUTE FROM YOU OR ANY COMMONLY MANAGED OR OWNED ENTERPRISES? IF YES, EXPLAIN INCLUDING ENTITY NAME(S) AND POLICY NUMBER(S).

10. DO YOU HAVE A FRANCHISE OR LICENSING AGREEMENT? IF YES, PROVIDE DETAILS OF THE AGREEMENT.

X

YEAR APPLICANT'S BUSINESS BEGAN:

1995

11. DO TRUCKING CLASSIFICATIONS APPLY? IF YES, COMPLETE QUESTIONS 12-14.

X

DO YOU OR YOUR EMPLOYEES REGULARLY OPERATE FROM A BASE TERMINAL WHICH IS (AND) USED TO LOAD, UNLOAD, STORE OR TRANSFER FREIGHT? IF YES, PLEASE PROVIDE A LIST OF TERMINAL ADDRESSES:

STREET	CITY	COUNTY	ST.	ZIP CODE
1				
2				
3				

CAN EACH DRIVER'S STATE OF MAJORITY DRIVING TIME BE ESTABLISHED THROUGH VERIFIABLE RECORDS OR LOGS?

PLEASE PROVIDE A LIST OF ALL DRIVERS/HELPERS AND THEIR STATE OF RESIDENCE:

DRIVER NAME	TERMINAL # (SEE ABOVE)	MAJORITY DRIVING STATE	RESIDENCE STATE
1			
2			
3			

INSURANCE COMPANIES WHO HAVE OFFERED/REFUSED INSURANCE

HAVE YOU RECEIVED ANY OFFERS OF VOLUNTARY COVERAGE? (INCLUDE MULTI-LINE OR RETROSPECTIVE RATING PLAN, IF APPLICABLE) IF YES, PROVIDE FULL DETAILS INCLUDING PLAN TERMS IN THE REMARKS SECTION.

YES NO

X

INDICATE THE NUMBER OF INSURANCE COMPANIES WHICH HAVE REFUSED THE APPLICANT COVERAGE IN THE LAST 90 DAYS (OR IN ACCORDANCE WITH STATE SPECIFIC GUIDELINES):

3

IN ACCORDANCE WITH PLAN RULES, THE APPLICANT OR ITS REPRESENTATIVE SHALL MAINTAIN ON RECORD FOR THIS POLICY PERIOD THE CARRIER NAME, CONTACT PERSON, ADDRESS, PHONE NUMBER AND DATE OF CONTACT OF THOSE CARRIERS REFUSING COVERAGE AND MAKE SUCH INFORMATION AVAILABLE TO THE PLAN ADMINISTRATOR OR ASSIGNED RISK CARRIER UPON REQUEST.

REMARKS

Designated workplace endorsement is required as only the temporary labor operations of Remedial Environmental Mopower, Inc. are to be covered by this policy. The seven (7) designated locations are as follows:

- Ace Disposal, 1500 N. Hooker, Chicago, IL 60622
- Judge & Dolph, 1925 Busse Road, Elk Grove, IL 60007
- Union Liquors, 2600 W. 35th., Chicago, IL 60632
- Romano Brothers, 7001 S. Harlem, Bedford Park, IL 60638
- Pacific Liquors, 2701 S. Western, Chicago, IL 60608
- R-4 Services, 2201 S. Wabash, Chicago, IL 60616
- National Lamination, 555 Santa Rosa Drive, DesPlaines, IL 60018

JA 7/27/00

PREMIUM PAYMENT (Refer to WCIP Instruction sheet for state requirements)

PAYMENT METHOD - SELECT ONE:

IS THE PREMIUM PAID BY YOU? YES NO

1. CHECK

BANKRU #	ACCOUNT #	CHECK #	PREMIUM PAYMENT AMOUNT
[REDACTED]	[REDACTED]	[REDACTED]	\$ [REDACTED].00

2. ELECTRONIC FUND TRANSFER

BANKRU #	ACCOUNT #	PREMIUM PAYMENT AMOUNT
[REDACTED]	[REDACTED]	\$ [REDACTED].00

3. MAIL-IN CHECK

CHECK #	PREMIUM PAYMENT AMOUNT
2712	\$ 4,007.60

For submission methods 1 and 2:

1. Does the state require a physical record of this transaction? YES NO
2. To ensure accuracy, a voided check or deposit slip (of the payer) should be used to NCCI, Inc. upon return of the signed ACCRO application.
3. The undersigned Producer or Agent certifies that by signing this application he/she authorizes NCCI, Inc. to debit or has obtained financial information and authorization from the payer to debit NCCI, Inc. to deduct the Premium Payment Amount, and any other monies required to meet coverage, from the bank and the account number as indicated above for purposes of securing workers compensation insurance pursuant to this application.

APPLICANT'S STATEMENT

The undersigned applicant hereby certifies that he/she has read and understands the statements in this application. As further consideration of policy issuance, the applicant also certifies that the responses provided in this application are true and furthermore agree:

- To maintain a complete record of all payroll transactions in such form as the insurance company may reasonably require and that such record will be available to the company at the designated address.
- To comply substantially with all laws, orders, rules, and regulations in force and effect made by the public authorities relating to the welfare, health, and safety of employees.
- To comply with all reasonable recommendations made by the insurance company relating to the welfare, health, and safety of employees.
- To take no action in any form to evade the application of experience modification determined in accordance with the experience rating rules, as determined by the Plan Administrator.

The undersigned applicant also certifies he/she has had no difficulties with any producer or company in regard to: (a) payroll records; (b) the amount of premium charged; (c) the payment of premium; (d) the carrying out of any recommendation made for the purpose of safeguarding employees; (e) the handling of any claim or accident report except the following:

Violation of any of these agreements may result in cancellation of a policy of insurance issued under a Worker Compensation Insurance Plan.

The undersigned applicant understands also that coverage is NOT bound until the signed application is received with appropriate premium and eligibility is determined by the administrator. Provided that applicant is determined to be eligible and in good faith entitled to WCIP insurance, based upon the information provided herein or otherwise available, coverage will be bound in accordance with plan rules. See individual state plans for applicable binding rules.

The undersigned applicant understands further that since he/she has been unable to secure workers compensation coverage through any other insurance provider, this coverage is being afforded through a Workers Compensation Insurance Plan, and that the rates charged may be higher than those in the voluntary market.

The following statement is only applicable in jurisdictions where the NCCI, Inc. Loss Sensitive Rating Plan has been approved for use:

By signing below I acknowledge that the NCCI, Inc. Loss Sensitive Rating Plan has been explained to me or that an explanatory notice or brochure has been provided to me and I agree that I shall be bound by the terms of such plan if my estimated annual premium or preliminary physical audit premium meets or exceeds the premium eligibility requirement.

APPLICANT'S NAME AND TITLE (PRINT OR TYPE)	DATE
[REDACTED]	7/21/00

REMINDED: BOTH THE ACCRO 130 AND 133 APPLICATIONS MUST BE SIGNED

PRODUCER'S CERTIFICATION

THE PRODUCER ALSO CERTIFIES THAT HE/SHE HAS BEEN AUTHORIZED TO SUBMIT THE APPLICATION ON BEHALF OF THE APPLICANT AND THAT ALL INFORMATION PROVIDED ON THE ACCRO 130 AND ACCRO 133 IS TRUE AND ACCURATE TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF

AGENCY FIRM	AGENCY PHONE NUMBER (AG, HR, Etc)	AGENCY FAX NUMBER (AG, HR)
[REDACTED]	[REDACTED]	[REDACTED]
EXPIRATION DATE	NON-RENEWAL USE ONLY NUMBER	COMPLETION DATE
[REDACTED]	[REDACTED]	[REDACTED]

b6
b7c

00/15/00

Universal Case File Number 159A-CG 110953 -1A28

Field Office Acquiring Evidence CG

Serial # of Originating Document 1658

Date Received 1/31/01

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____
(Name of Special Agent)

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:
ET FL

b3
b6
b7C

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

Copies of 2 FGD subpoenas served

on

United States District Court

DISTRICT OF

NORTHERN

ILLINOIS

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)


YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	ROOM
DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	GRAND JURY ROOM 1625 DATE AND TIME February 8, 2001 at 9:30 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK	Date
MICHAEL W. DOBBINS	January 26, 2001
	b6 b7C

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

AUSA
U.S. Attorney's Office
219 S. Dearborn Street, 5th Fl.
Chicago, IL 60604

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD-227
Revised April 1997



Printed on Recycled Paper

RETURN OF SERVICE ⁽¹⁾

RECEIVED BY SENDER	DATE 1/26/01	PLACE CHICAGO, IL
SERVED	DATE 1/31/01	PLACE [Redacted]
SERVED ON (NAME) [Redacted]		

SERVED BY SA [Redacted]	TITLE SA/FBI
----------------------------	-----------------

b3
b6
b7C

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER ⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 1/31/01
Date

[Redacted]
219 S. Dearborn
CHICAGO, IL
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c) Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)

United States District Court

TO: NORTHERN DISTRICT OF ILLINOIS

[Redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

b3

PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625 DATE AND TIME February 1, 2001 at 9:30 a.m.
---	---

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

This subpoena requires that [Redacted]

[Redacted]

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK *Jacqueline Hellimon* MICHAEL W. DOBBINS

Date
January 12, 2001

(BY) DEPUTY CLERK

b6
b7c

This subpoena is issued upon application of the United States of America

NAME ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
[Redacted] AUSA
U.S. Attorney's Office
219 S. Dearborn Street, 5th Fl.
Chicago, IL 60604 [Redacted]

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD-227
Revised April 1997



Printed on Recycled Paper

RETURN OF SERVICE ⁽¹⁾

RECEIVED BY SENDER	DATE <i>1/22/01</i>	PLACE <i>CHICAGO, IL</i>
SERVED	DATE <i>1/31/01</i>	PLACE <div style="border: 1px solid black; width: 100px; height: 20px;"></div>

SERVED ON (NAME)

SERVED BY <i>SA</i>	<div style="border: 1px solid black; width: 100%; height: 30px;"></div>	TITLE <i>SA/FBI</i>
------------------------	---	------------------------

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER ⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on *1/31/01*
Date Signature of Server
218 S. Dearborn
CHICAGO, IL
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
 (2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825; Rule 17(d), Federal Rules of Criminal Procedure; Rule 45(c), Federal Rules of Civil Procedure)"

b3
b6
b7C

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 96

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(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/30/2000

To: Chicago

From: Chicago

Squad OC-1

Contact: SA [redacted] ext. 2829

Approved By: [redacted] *RES*

Drafted By: [redacted] tdb

Case ID #: 159A-CG-110953 (Pending)
✓159A-CG-110953-GJ (Pending)

Title: [redacted]
JOHN F. DUFF, JR.;

[redacted]

LABOR MANAGEMENT REPORTING
AND DISCLOSURE ACT 1959-LCN;
OC/DI-CHICAGO LCN;
OO:CG

b6
b7C

Synopsis: To request sub-file be opened for above captioned case.

Details: It is requested that a sub-file, 159A-CG-110953-GJ, be opened for above captioned case in order to maintain all Grand Jury subpoenas and the corresponding FD-302's in a separate sub-file.

♦♦

OC-1 Notes:

OYA SUB GT to SA [redacted]

*ALV
10-30-00*

*[Signature]
10/30/00*

159A-CG-110953-GJ-1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/22/2000

[redacted] was served and accepted a United States District Court, Northern District of Illinois. The subpoena commanded [redacted] to appear in person before the Federal Grand Jury, Room 1625, Dirksen Federal Building, 219 South Dearborn, Chicago, Illinois, 60604, on November 30, 2000, at 9:30a.m. [redacted] informed the Agents that he was represented by [redacted]

b3
b6
b7C

A copy of the subpoena is attached and the original subpoena was returned to the United States Attorney's office.

b3
b6
b7C

Investigation on 11/22/2000 at [redacted]
File # 159A-CG-110953-GJ Date dictated 11/22/2000
by SA [redacted] SA [redacted] tdb/ab -2

United States District Court

NORTHERN

ILLINOIS

DISTRICT OF _____

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

<p>PLACE</p> <p>DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604</p>	<p>ROOM</p> <p>GRAND JURY ROOM 1625</p> <hr/> <p>DATE AND TIME</p> <p>November 30, 2000 9:30 a.m.</p>
--	---

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is for your appearance before the Grand Jury.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

<p>CLERK</p> <p>MICHAEL W. DOBBINS</p> <p>(BY) DEPUTY CLERK</p> <p><i>Angela Jones</i></p>	<p>Date</p> <p>October 27, 2000</p>
---	-------------------------------------

b6
b7C

<p>This subpoena is issued upon application of the United States of America</p>	<p>NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY</p> <p>AUSA United States Attorneys Office 219 South Dearborn Street, 5th Floor Chicago, Illinois 60604</p>
---	---

*If not applicable, enter "none."

FORM OBD-227
Revised April 1997



159A-CG-110953-GJ-3

RETURN OF SERVICE ⁽¹⁾

RECEIVED BY SENDER	DATE 11/8/00	PLACE Chicago IL
SERVED	DATE 11/22/00	PLACE <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

SERVED ON (NAME)

SERVED BY <div style="border: 1px solid black; height: 30px; width: 100%;"></div>	TITLE Special Agent
--	------------------------

b3
b6
b7C

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER ⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 11/22/00
Date

219 S. Dearborn Rm 905, Chicago IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
 (2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such cost (18 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/15/2000

[redacted]
[redacted] was served and accepted an United States District Court, Northern District of Illinois Federal Grand Jury subpoena. The subpoena commanded [redacted] to appear in person on January 11, 2001 at 11:30 a.m. at the DIRKSEN FEDERAL BUILDING, Grand Jury Room 1625, 219 South Dearborn, Chicago, Illinois, 60604. [redacted] advised the Agents that she was being represented by [redacted]
[redacted]

b3
b6
b7C

The original subpoena was returned to the United States Attorney's Office and a copy of the subpoena is attached.

b3
b6
b7C

Investigation on 12/14/2000 at [redacted]
File # 159A-CG-110953-GJ Date dictated 12/15/2000
by SA [redacted] tdb/bs

United States District Court
NORTHERN ILLINOIS

DISTRICT OF _____

TO:

[Empty box for recipient name]

SUBPOENA TO TESTIFY
BEFORE GRAND JURY

b3

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE

DIRKSEN FEDERAL BUILDING
219 South Dearborn Street
Chicago, Illinois 60604

ROOM

GRAND JURY ROOM 1625

DATE AND TIME

January 11, 2001
11:30 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

The purpose of this subpoena is for your appearance before the Grand Jury.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK

MICHAEL W. DOBBINS

Date

b6
b7C

(BY) DEPUTY CLERK

[Handwritten signature]

December 6, 2000

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

[Empty box] AUSA
United States Attorneys Office
219 South Dearborn Street, 5th Floor
Chicago, Illinois 60604 [Empty box]

*If not applicable, enter "none."

To be used in lieu of AO110



Printed on Recycled Paper

FORM OBD-227
Revised April 1997

RETURN OF SERVICE⁽¹⁾

RECEIVED BY SENDER	DATE 12/7/00	PLACE Chicago IL
SERVED	DATE 12/14/00	PLACE [Redacted]

SERVED ON (NAME)
[Redacted]

SERVED BY [Redacted]	TITLE Special Agent	b3 b6 b7C
-------------------------	------------------------	-----------------

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER⁽²⁾

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 12/14/00 [Redacted]
Date

219 S. Dearborn Rm 905, Chicago, IL 60604
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.
(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/08/2001

[redacted]
[redacted] telephone number [redacted] was served and accepted a United States District Court, Northern District of Illinois Federal Grand Jury subpoena on behalf of his client, [redacted]. The subpoena commanded [redacted] to appear in person to testify before the Grand Jury on February 15, 2001, at 11:30 A.M.

b3
b6
b7C

The original subpoena was returned to the United States Attorney's Office and a copy is maintained in a 1A envelope.

b3
b6
b7C

Investigation on 02/07/2001 at [redacted]
File # 159A-CG-110953-GJ Date dictated 02/08/2001
by SA [redacted] *tdb*

-5

United States District Court

NORTHERN DISTRICT OF ILLINOIS

TO:

[Redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

ATTN:

[Redacted]

SUBPOENA FOR:

or

PERSON

DOCUMENTS OR OBJECT(S)

[Redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE

DIRKSEN FEDERAL BUILDING
219 South Dearborn Street
Chicago, Illinois 60604

ROOM

GRAND JURY ROOM 1625

DATE AND TIME

March 7, 2002

9:30 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

SEE ATTACHMENT.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK

MICHAEL W. DOBBINS

DATE

b6
b7C

(BY) DEPUTY CLERK

February 22, 2002

This subpoena is issued upon application of the United States of America:

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

[Redacted] AUSA
United States Attorneys Office
219 South Dearborn Street, 5th Floor
Chicago, Illinois 60604 [Redacted]

*If not applicable, enter "none"

United States District Court

NORTHERN DISTRICT OF ILLINOIS

TO:

[Redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

ATTN:

[Redacted]

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

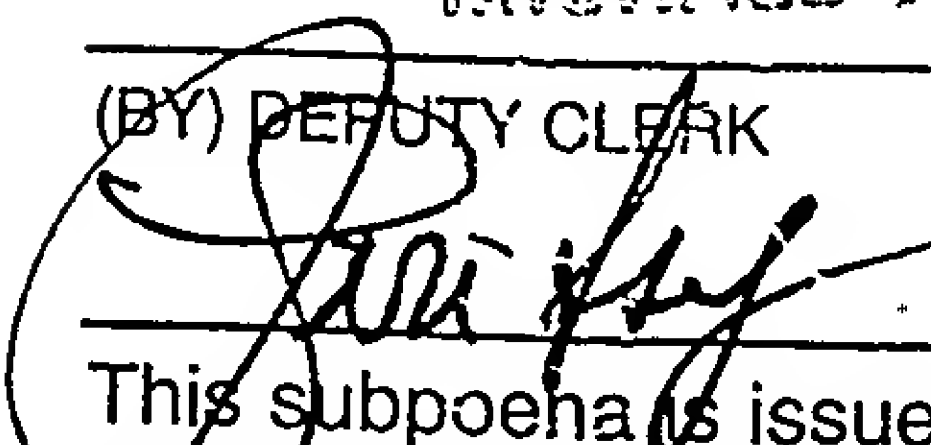
PLACE DIRKSEN FEDERAL BUILDING 219 South Dearborn Street Chicago, Illinois 60604	ROOM GRAND JURY ROOM 1625
	DATE AND TIME March 7, 2002 9:30 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

SEE ATTACHMENT.

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK MICHAEL W. BOEING	DATE February 22, 2002
(BY) DEPUTY CLERK 	b6 b7C

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

[Redacted] AUSA
United States Attorneys Office
219 South Dearborn Street, 5th Floor
Chicago, Illinois 60604 [Redacted]

*If not applicable, enter "none"

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 4

Page 14 ~ Duplicate

Page 16 ~ b3

Page 17 ~ Duplicate

Page 19 ~ Duplicate

memorandum

DATE: 11/1/82

REPLY TO ATTN OF: SUPERVISOR [redacted] (SQUAD 6A)

SUBJECT: JACK DUFF, dba
WINDY CITY LABOR SERVICE, INC.
1043 WEST MADISON
CHICAGO, ILLINOIS;
HOBBS ACT-LABOR RELATED

TO: SAC, CHICAGO (195A-NEW)

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Attached is a letter received on 10/29/82.

Based on this letter, a new 195A is being opened and assigned to SA [redacted] SA [redacted] should contact SA [redacted] regarding Chicago File 159-550.

Check of Illinois Bell Telephone revealed that 421-5537 is listed to Windy City Labor Service, Inc., 1043 West Madison, Chicago, Illinois.

1*

WIB/sjp
(2)

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b7C

195A-75-1

SEARCHED INDEXED
SERIALIZED FILED

NOV 1 1982

FBI CHICAGO

RM NO. 10

(REV. 5-81)
GSA FPMR (41 CFR) 101-11.6
5010-11

Handwritten notes:
OF PROB
wp
11/1/82
HOBBS
B
D

421-537

Q

THIS LETTER IS TO INFORM YOU OF THE FOLLOWING:

WINDY CITY LABOR SERVICE LOCATED ON ¹⁰⁴³~~1200~~ W. MADISON STREET IS A NEW LABOR SERVICE AND OPERATED BY JACK DUFF, EX PRESIDENT OF LOCAL 62 DISTILLERY WORKERS UNION.

HE HAS BEEN USING STRONG ARM MUSCLE AND THREATS TO THE LIQUOR DISTRIBUTORS AND WHOLESALERS TO USE HIS HELP OF WHICH HE DEMANDS AND CHARGES MORE THAN CAN BE GOTTEN FROM ANY OTHER SOURCE.

JACK DUFF IS UNDER INDICTMENT OR NOW POSSIBLY IN JAIL FOR UNION IRREGULARITIES.

JACK DUFF HAS USED HIS LABOR SERVICE TO PICKET AND DEMONSTRATE FOR THE UNION FOR RESTAURANTS AND HOTELS UNION THROUGHOUT THE LOOP AREA. HE HAS BEEN PAID BY THE UNION TO DO THIS IN ORDER TO GET THE RESTAURANTS TO JOIN UP.

THREATS AND HARM AS WELL AS SERIOUS DAMAGES AND HARM HAS BEEN GIVEN BY JACK DUFF BECAUSE HE HAS BEEN REMOVED FROM THE UNION BECAUSE OF HIS PROBLEMS WITH THE GOVERNMENT REGARDING THIS SAME TYPE OF ACTION.

THEREFORE HE NEEDS INCOME AND HAS PUT A SERIOUS CLAMP ON THE LIQUOR WHOLESALERS TO USE HIS ~~HXXX~~ HELP AND SERVICES OR ELSE AND AT A RATE THAT IS FAR ABOVE WHAT WE HAVE BEEN PAYING BEFORE TO ANYONE FOR THE SAME SERVICES.

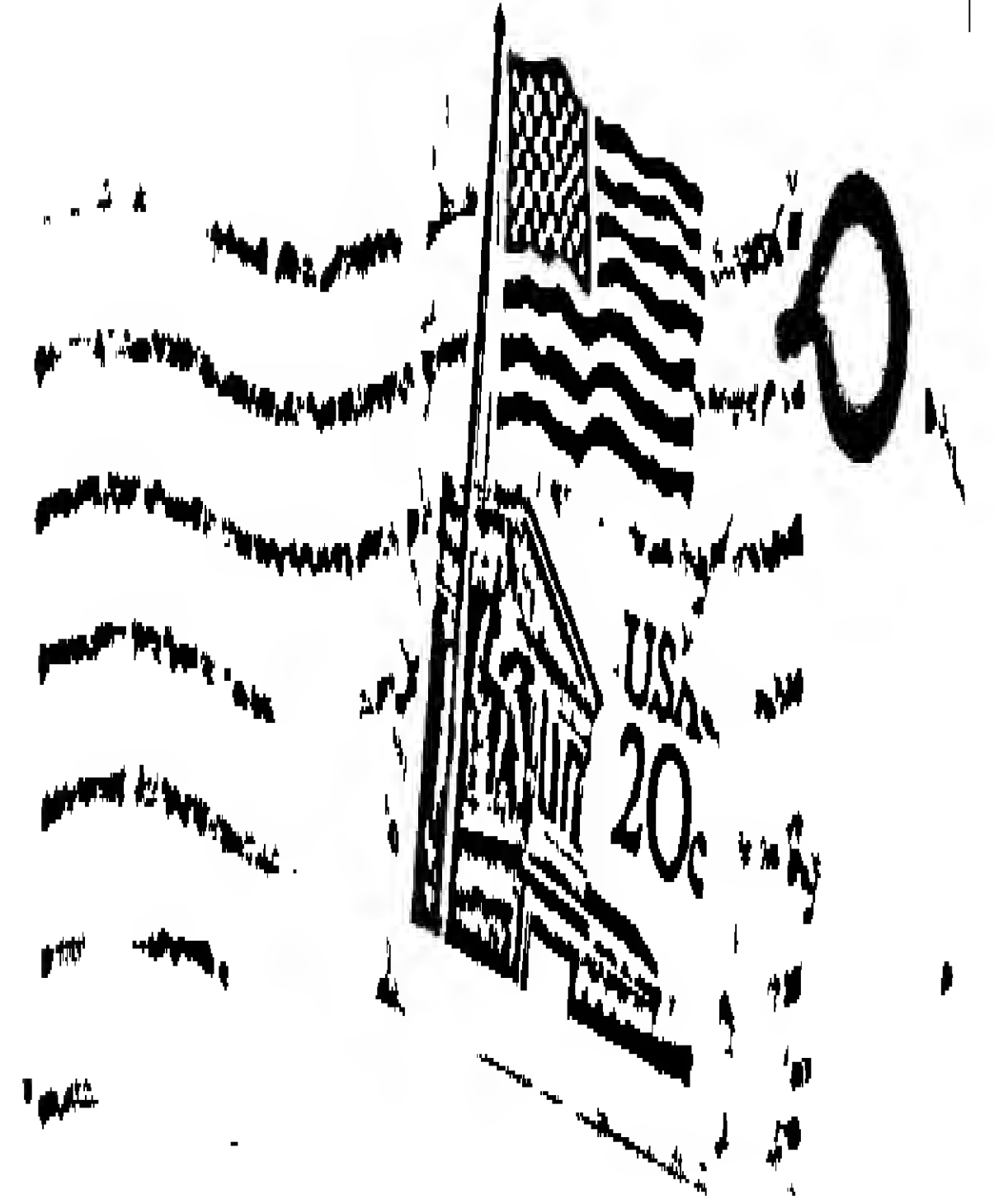
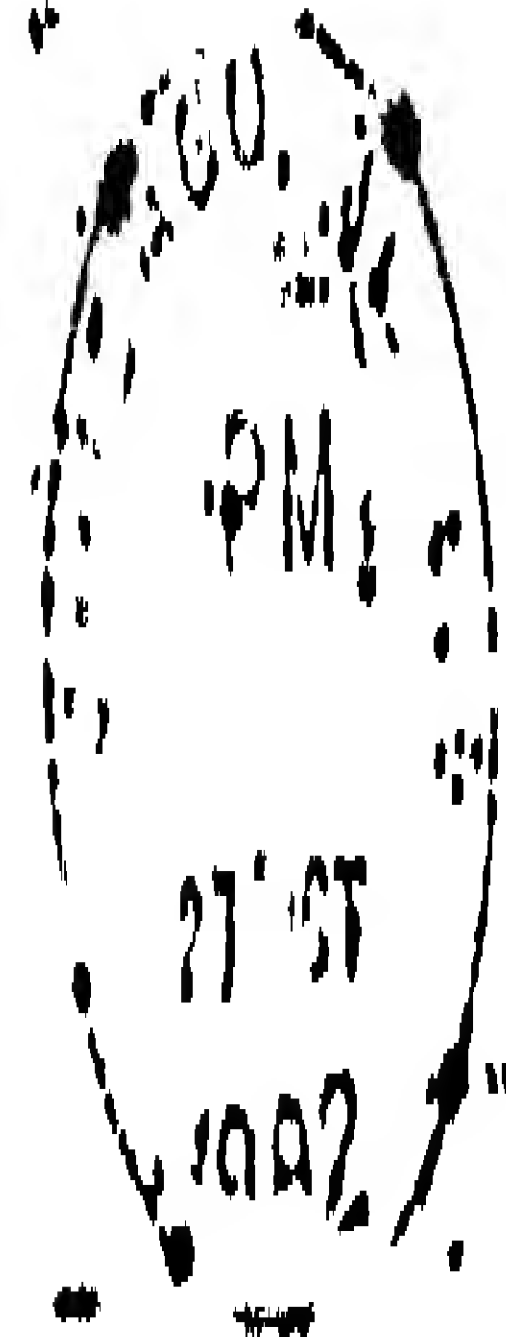
HE WAS CONNECTED BEFORE WITH THE UNIONS OF [REDACTED] THIS TYPE OF HOOLIGANISM MUST NOT BE TOLLERATED BY YOU OR US IF WE ARE TO BE FREE TO OPERATE IN A FREE SOCIETY WITH THE FREEDOM AND JUST THAT WE ARE ENTITLED TO HERE IN AMERICA.

THIS MAN IS MEAN AND RUTHLESS AND HAS CAUSED MUCH HARM TO MANY PEOPLE AND HAS HURT MANY AS WELL IN REGARDS TO INJURIES FROM HIM. A PERSON CAN NOT LIVE IN THIS FEAR ON A DAILY BASIS NOT KNOWING WHAT HE WILL DO OR WHAT HIS NEXT DEMANDS WILL BE.

b6
b7c

I CANNOT SIGN THIS LETTER BECAUSE I AM TERRIFIED FOR MY LIFE WITH THE ANTICS OF THIS GANG DICTATING TO US.

[REDACTED]



F.B.I.
219 S. DEARBORN STREET
CHICAGO: ILLINOIS



Date 8/25/83

Title and Character of Case

JACK DUFF, dba
WINDY CITY LABOR SERVICES, INC,
1043 W. MADISON, CHICAGO, ILLINOIS
HOBBS ACT -LABOR RELATED
OO: CHICAGO

Date Property Acquired	Source From Which Property Acquired.
	REN REGISTER.

Location of Property or Bulky Exhibit	Reason for Retention of Property and Efforts Made to Dispose of Same
EVIDENCERØDM #1	EVIDENCE

To Be Returned <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	See Serial	Agent Submitting Property or Exhibit SA	Agent Assigned Case SA
---	------------	--	---------------------------

Yes No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

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Description of Property or Exhibit

Pen Register tapes reflecting calls placed from telephone numbers

[Redacted]

For the period of [Redacted]

ROY

For Valuable and/or Narcotics Evidence Only	
Evidence Bag Seal # _____	Signature of Two Special Agents Verifying and Sealing Bag Contents _____

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

GA

195A-75-1b

BLOCK STAMP

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 25 1983	
FBI - CHICAGO	

Field File #195-A-75

OO: Chicago

ORIGINAL (FILE COPY)

AIRTEL

11/15/82

TO: SAC, SPRINGFIELD

FROM: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

JACK DUFF, dba
WINDY CITY LABOR SERVICE, INC.,
1043 WEST MADISON
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED

Chicago is currently investigating an anonymous complaint alleging that Duff is using strong arm muscle and threats against various liquor distributors and wholesalers to utilize the services of Windy City Labor. A computer check to the Illinois Secretary of State's Office, Corporation Division, shows Windy City Labor to be a new corporation as of 6/82, with the officers not yet listed. The registered agent was noted as [redacted] Chicago, Illinois.

LEAD:

SPRINGFIELD DIVISION

AT SPRINGFIELD, ILLINOIS

Contact appropriate officials of the Illinois Secretary of State's Office, Corporation Division, and obtain all information available regarding Windy City Labor Services, to include listed purpose of business, distribution of stocks, and identities of persons affiliated with the business.

1*

2- Springfield

② Chicago

RWB/sjp

(4) PH

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195A-75-2

acb

acb

LMB

[redacted]

SI 195A-45

JEM/elj

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The following investigation was conducted by Special Clerk (SC) [redacted] on November 23, 1982, at Springfield, Illinois.

[redacted] Corporation Record Section, furnished the following information:

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Windy City Labor Service, Inc. was incorporated in the State of Illinois on June 15, 1982, by [redacted] Illinois. The registered agent is listed as [redacted] Chicago, Illinois. The purpose for which the corporation was organized is to furnish temporary labor and/or services on a day to day basis to persons or business requiring same.

[redacted] advised Windy City Labor Service, Inc. would not be required to file an Annual Report until February, 1983, at which time officers would be listed.

[redacted] furnished a copy of Articles of Incorporation.

195-A-75-3

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 12/16/82

- Desk # 1
- Desk # 2
- Desk # 3
- Desk # 4
- Squad # 5
- Squad # 5-A
- Squad # 5-B
- Squad # 5-C
- Squad # 5-D
- Squad # 5-E
- Squad # 5-F
- Squad # 5-G
- Squad # 5-H
- Squad # 5-I
- Squad # 5-J
- Squad # 5-K
- Squad # 5-L
- Squad # 5-M
- Squad # 5-N
- Squad # 5-O
- Squad # 5-P
- Squad # 5-Q
- Squad # 5-R
- Squad # 5-S
- Squad # 5-T
- Squad # 5-U
- Squad # 5-V
- Squad # 5-W
- Squad # 5-X
- Squad # 5-Y
- Squad # 5-Z

TO: SAC, Chicago (195A-75) (Squad 6A)

FROM: SAC, Springfield (195A-45) (RUC)

SUBJECT: JACK DUFF,
dba Windy City Labor Service, Inc.,
1043 West Madison,
Chicago, Illinois
HA - LABOR RELATED

OO: Chicago

Re Chicago airtel to Springfield, 11/15/82.

Enclosed for Chicago is the original and one copy of an investigative insert by SC [redacted] at Springfield, Illinois, and copy of Articles of Incorporation for Windy City Labor Service, Inc.

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- 13-A
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- 16

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② - Chicago (195A-75) (Enc. 3) *HA*

1 - Springfield (195A-45)

JEM/elj
(3)

195A-75-4

ab

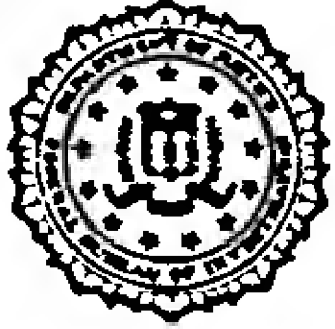
Approved: *[Signature]*

Transmitted *[Signature]*

(Number) (Time)

[Redacted Box]

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U.S. Department of Justice

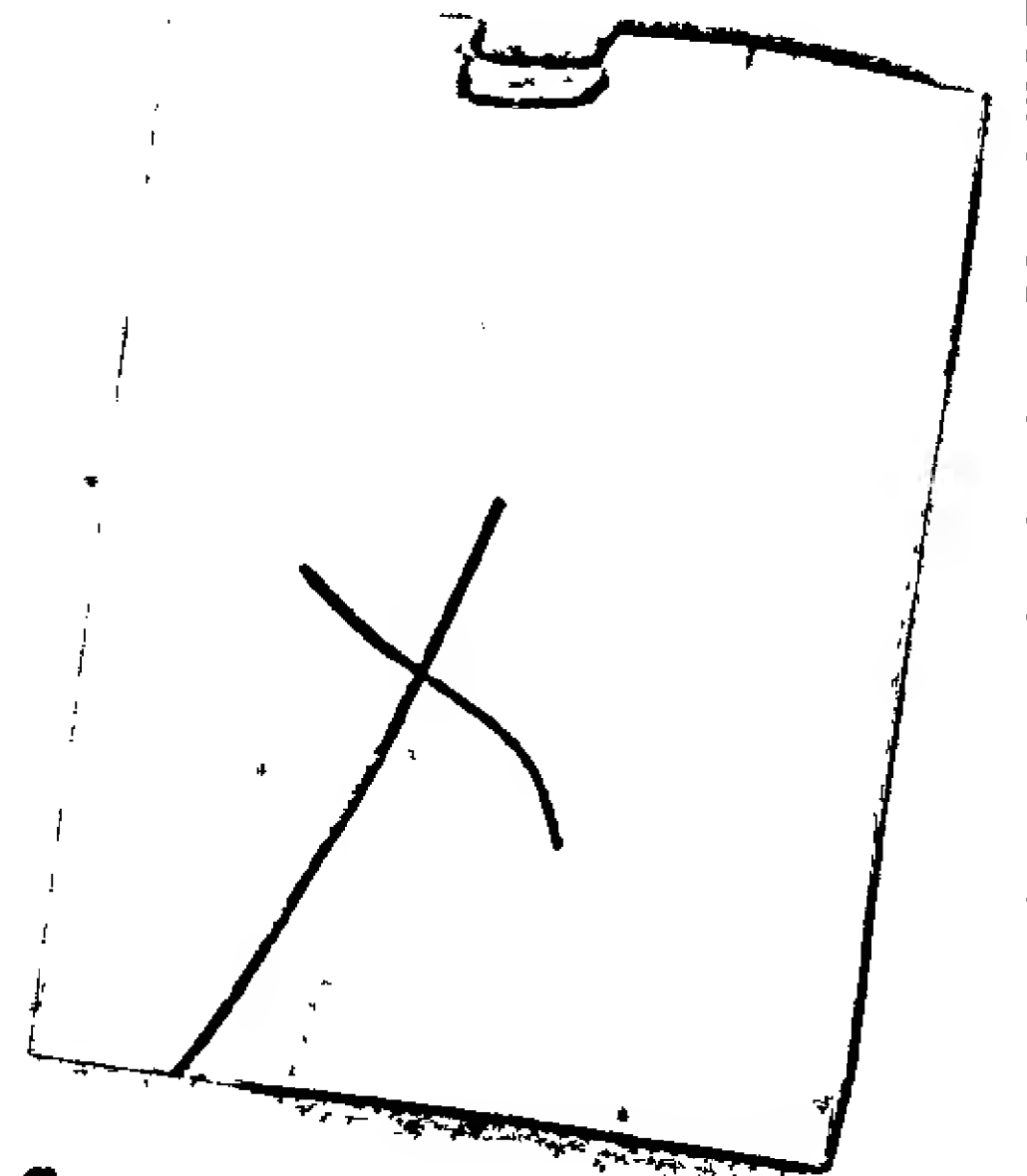
Federal Bureau of Investigation

In Reply, Please Refer to

File No. CG 195A-75

Chicago, Illinois
January 3, 1983

JACK DUFF,
DOING BUSINESS AS
WINDY CITY LABOR SERVICES,
INCORPORATED,
1043 WEST MADISON STREET
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED



This investigation was initiated on receipt of an anonymous letter alleging that Jack Duff, former president of Distillery Workers Union Local 62, is currently involved with the operations of Windy City Labor Services, Incorporated, 1043 West Madison, Chicago, Illinois, a day labor service.

The letter alleges that Windy City Labor has been using strong arm muscle and threats to liquor distributors and wholesalers in the Chicago area to force them to utilize the services of Windy City at an inflated price.

Duff is currently incarcerated in the Metropolitan Correctional Center (MCC), Chicago, following convictions for unlawful payments to union officials and embezzlement of union funds. His mandatory release date is March 10, 1984, with a date of parole eligibility of March 20, 1983.

Duff arrived at MCC, Chicago, on July 9, 1982, and was initially involved in a limited work release program.

Investigation at the Illinois Secretary of State's Office, Corporate Records Section, revealed that Windy City Labor was incorporated on June 15, 1982, by [redacted] Chicago, Illinois. The registered agent is listed as [redacted]. The purpose listed for the corporation is to furnish temporary labor and/or services on a

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

195-75-5

JACK DUFF,
DOING BUSINESS AS
WINDY CITY LABOR SERVICES, INC.

day to day basis for persons or businesses requiring same. Windy City Labor's first annual report is not required until February, 1983, at which time the corporate officers will be listed.

Physical surveillance conducted at Windy City Labor indicates that [REDACTED]

[REDACTED] may be involved in the operations of Windy City Labor.

Chicago Strike Force Attorney [REDACTED] was advised of the above facts on January 3, 1983, and in his opinion, the matter warrants further investigation.

The following is a description of subjects in this matter:

Jack Duff:

Race	White
Sex	Male
Date of Birth	June 27, 1925
Social Security Account Number	345-14-7471

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[REDACTED]

Race	White
Sex	Male
Date of Birth	
Height	
Weight	
Hair	
Eyes	
SSAN	
FBI Number	
Employment	
Prior Arrests	

JACK DUFF,
DOING BUSINESS AS
WINDY CITY LABOR SERVICES, INC.



Also Known As
Race
Sex
Date of Birth

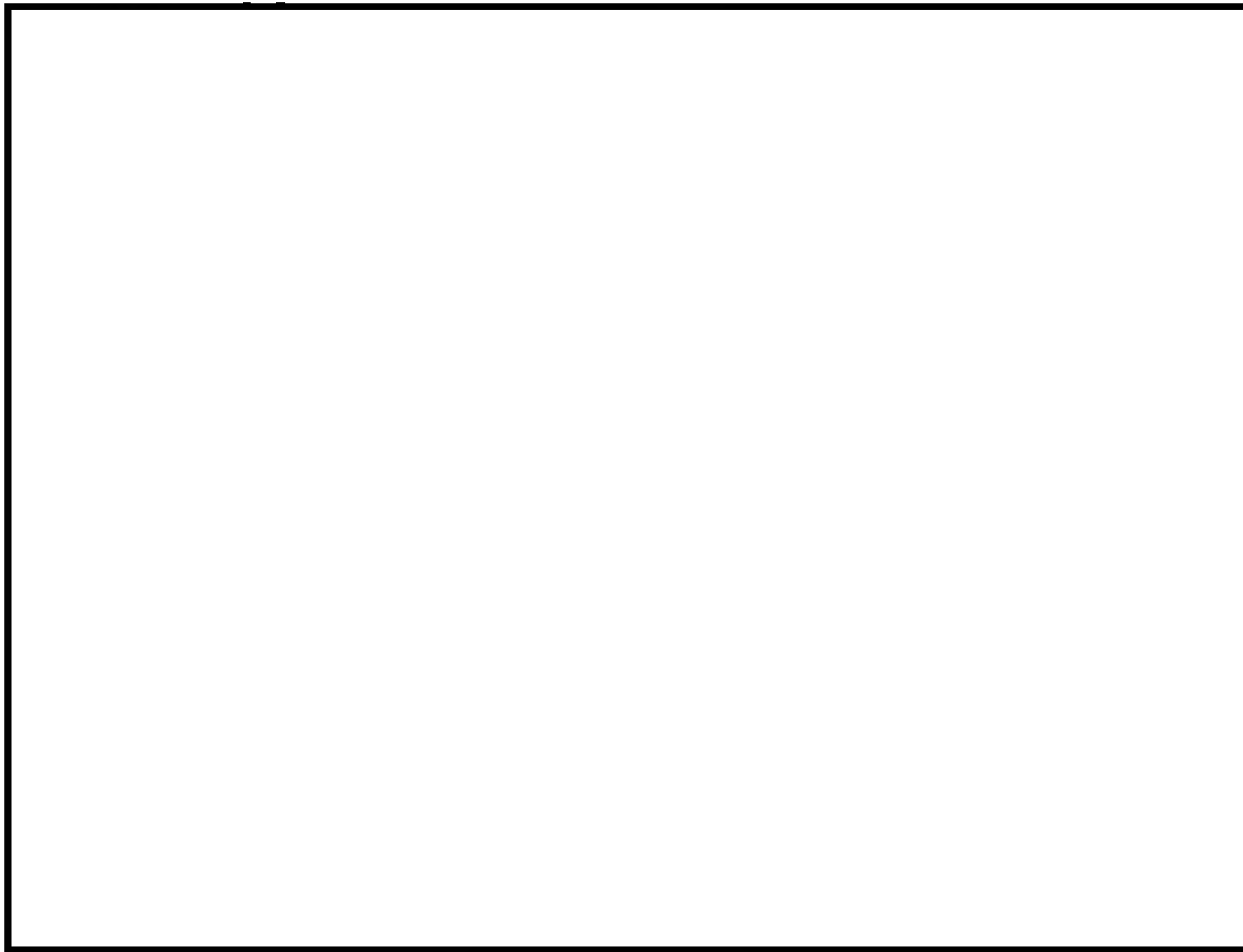




White
Male

b6
b7C

Height
Weight
Hair
Eyes
SSN
FBI Number
Employment

Prior Arrests



 Indices and arrest information regarding 
thus far is negative.

AIRTEL

1/3/83

TO: DIRECTOR, FBI
FROM: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

JACK DUFF, dba
WINDY CITY LABOR SERVICE, INC.,
1043 WEST MADISON STREET
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED
OO: CHICAGO

Enclosed for the Bureau are the original and three
copies of an LHM setting forth the facts in this matter.

LEAD:

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

Will continue investigation into activities of
Windy City Labor Services.

1*

2- Bureau (Encl. 4)
2- Chicago
RWB/sjp
(4)

195-75-5
af
af
F
NE
D

memorandum

DATE: 1/3/83

REPLY TO ATTN OF: SA [redacted] (SQUAD 6A)

SUBJECT: JACK DUFF, dba
WINDY CITY LABOR SERVICES, INC.,
1043 W. MADISON STREET
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED
OO: CHICAGO

TO: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

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Re memo of Supv. [redacted] dated 11/1/82.

This investigation was initiated based upon the receipt of an anonymous letter alleging that Jack Duff, former president of Distillery Workers Union Local 62, is currently involved in the operations of Windy City Labor Services, a day labor service, and that he has used threats and intimidation to force certain liquor wholesalers and distributors in the Chicago area to utilize the services of Windy City Labor at an inflated price.

Physical surveillance conducted at Windy City Labor indicates that [redacted] may also be involved in the operations of Windy City Labor.

Conversation with Chicago Strike Force Attorney [redacted] on 1/3/83 determined that a pen register conducted on [redacted] would aid in the identification of victims and additional subjects in this matter.

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On 12/3/82, SC [redacted] advised that there are currently [redacted]

It is requested that the Technical Services and Support Squad (11) handle all necessary equipment surveys and telephone lines for operation of a pen register device.

1*

① 195A-75
1- 66-699 SUB D
1- 66-5195 SUB C
RWB/sjp
(3) [initials]

195A-75-6

SEARCHED	INDEXED
SERIALIZED <i>ab</i>	FILED <i>at</i>
JAN 4 1983	
OPTIONAL FORM NO. 10	
CFR [initials]	

195 A - 75 - 17

SEARCHED	INDEXED
SERIALIZED <i>ab</i>	FILED <i>ab</i>

JAN 18 1983

FBI - CHICAGO

RMB

X Airtel

1/25/83

TO: DIRECTOR, FBI
(ATTN: TECHNICAL SERVICES DIVISION)

FROM: SAC, CHICAGO (SQUAD 11)

JACK DUFF, dba
WINDY CITY LABOR SERVICES, INC.,
1043 W. MADISON STREET
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED
OO: CHICAGO

Re SAC Memorandum 4-82 dated 1/26/82.

Per the above SAC Memorandum, the following information is being provided:

- 1) Date of Connection:
- 2) Serial Numbers of Equipment Used:
- 3) Date Court Order Signed:
- 4) Length, in days, of court order:
- 5) Chicago file # 195A-75
- 6) Subscriber's name, address and telephone numbers:

b2
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b7C
b7E

2 - Bureau
② - Chicago
1 - 195A-75
1 - 66-5195 Sub C

JMS/cab
(4)

195-A-75-8
ab ab

AMB

1957-75-9

SEARCHED	INDEXED
SERIALIZED <i>AK</i>	FILED <i>AK</i>
FEB 21 1933	
FBI - CHICAGO	
	<i>AK</i>

① ~~AK~~
② *AK*

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1917A-75-70

SEARCHED	INDEXED
SERIALIZED <i>at</i>	FILED <i>at</i>
FEB 28 1933	
F. I. - 5 10269	
	<i>amp</i>

①
② *kwm*

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195A-75-11

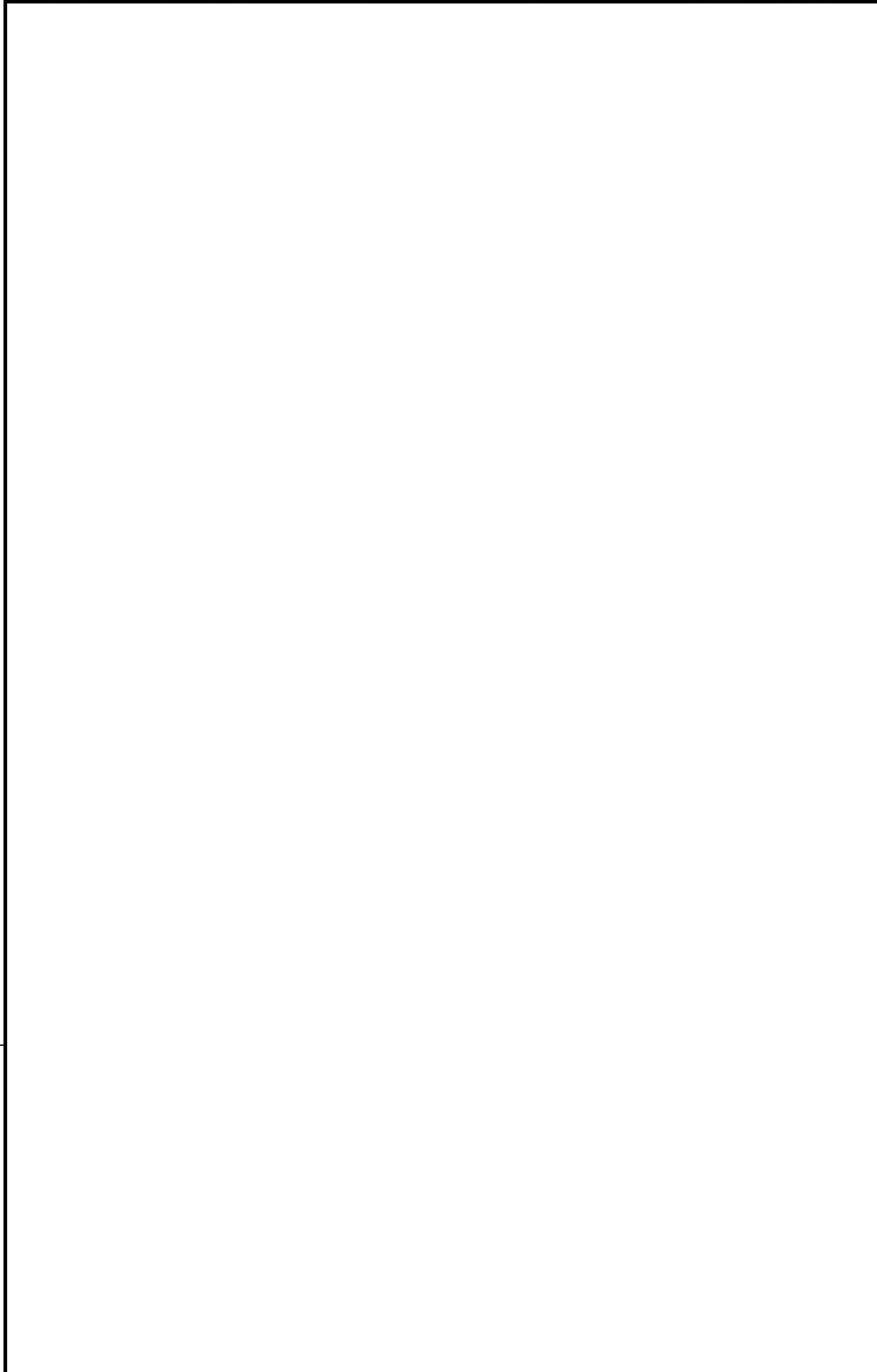
SEARCHED	INDEXED
SERIALIZED	FILED
MAY 02 1983	
FBI - CHICAGO	
YMB	

CG 159A-470

CRD/rke

1

On April 19, 1983, Special Agent (SA) [redacted]
[redacted] of the Department of Labor, Office of Inspector
General, provided SA [redacted] with a list of
approved visitors for Metropolitan Correctional Center
(MCC) prisoner John "Jack" Duff. This list included
the following people:



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LOCAL # I	9/81	156-147*
LOCAL 1	8/80	195-50*
LOCAL #1	10-73	92-4181*
LOCAL 1	7-73	92-4113*
LOCAL ONE	10/71	173-106*
LOCAL NUMBER 1	7-66	92-2197*
LOCAL #1	1-66	122-631*
LOCAL 1	11-65	122-616*
LOCAL 1	11-65	122-617*
LOCAL 1	11-65	122-618*
LOCAL 1	11-65	122-619*
LOCAL 1	11-65	122-620*
LOCAL 1	11-65	122-621*
LOCAL 1	11-65	122-622*
LOCAL 1	11-65	122-623*
LOCAL 1	11-65	122-624*
LOCAL 1	11-65	122-625*
LOCAL 1	11-65	122-626*
LOCAL 1	11-65	122-627*
LOCAL 1	11-65	122-628*

LOCAL 1 11-65 122-629*

LOCAL 1 8/63 159-154*

LOCAL 1 1-62 105-0-11415

LOCAL #1 9/80 183-1119 SUB G-5p4

LOCAL #1 1/77 92-1368-257 pl
LABORERS INTERNATIONAL
UNION OF NORTH AMERICA

LOCAL 1 5-59 92-729*

re: AFL-CIO Chicago Flat Janitors Union,
Local 1, Randolph Street, Cg. Ill.

LOCAL BOARD 1; 6/61 52-3923*

UNSUB; Theft of 2 green metal
Chairs from Local Board 1, Selective
Service System, Chicago, Ill. 5/25/61.

10/63
AMALGAMATED INDUSTRIAL UNION
CRIMINAL INFLUENCE IN LOCAL
44, CHICAGO, ILLINOIS

92-902*

in

TEL/725-6871 9/80 159-532-63

M. C
OCT 15 1980

LOCAL 44, AMALGAMATED 10/63
INDUSTRIAL UNION, CHICAGO
ILLINOIS, CRIMINAL INFLUENCE

92-902*

LOCAL 44A 6/80 159-532-2

LOCAL 44A 6/80 159-532*

LOCAL 44 UNION 6-82 159-470-519

Basic Processor's

[Handwritten signature]
1982

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/22/83

On January 17, 1983, Chief U.S. District Court Judge [redacted] Northern District of Illinois, signed an order authorizing the installation and use of a device to register telephone numbers dialed from [redacted]

The following is a review of the telephone numbers called:

Called by [redacted]

<u>Date</u>	<u>Number</u>	<u>Subscriber</u>
[redacted]		

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Investigation on 1/17-2/17/83 at Chicago, Illinois File # 195A-75 - 25

SA [redacted] *RWB*
RWB:mjb Date dictated 4/12/83

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195A-75-25

SEARCHED	INDEXED <i>SC</i>
SERIALIZED <i>L</i>	FILED <i>ab</i>
MAY 04 1983	
FBI - CHICAGO	
<i>123</i>	



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/22/83

On January 17, 1983, Chief U.S. District Court Judge [redacted] Northern District of Illinois, signed an order authorizing the installation and use of a device to register telephone numbers dialed from [redacted]

[redacted]

The following is a review of the telephone numbers called:

Called by [redacted]

<u>Date</u>	<u>Number</u>	<u>Subscriber</u>
-------------	---------------	-------------------

[redacted]		
------------	--	--

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Investigation on 1/17-2/17/83 at Chicago, Illinois File # 195A-75-26

by SA [redacted] *RWB*
RWB:mjb Date dictated 4/12/83

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b7C

195A-75-26

SEARCHED INDEXED
SERIALIZED FILED

MAY 02 1983

FBI - CHICAGO

112

C

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 5/11/83

[redacted] Judge & Dolph, Ltd., 2037 North Clybourn, Chicago, Illinois, telephone number 477-6000, was advised of the identities of the interviewing Agents, and he provided the following information:

Employees of Judge & Dolph are currently represented by three different labor unions: the salesmen by Liquor & Wine Salesmen Local 62, the warehouse employees by Liquor & Allied Workers Local 3, and the drivers by Teamsters Local 705. The contracts are negotiated with the three unions by representatives of the Illinois Wholesale Liquor Dealers Association of which Judge & Dolph is a member.

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The company also utilizes the service of Windy City Labor upon occasion for temporary labor services. Windy City is the only casual labor service the company uses that [redacted] is aware of. [redacted] advised that [redacted] would be more familiar with the dealings covering Windy City.

Investigation on 5/4/83 at Chicago, Illinois File # Chicago 195A-75-27
by SAs [redacted] *RWB* RWB/jrm Date dictated 5/5/83

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FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 5/11/83

[redacted]
 Judge & Dolph, Ltd., 2037 North Clybourn, Chicago, Illinois, telephone number 477-6000, was advised of the identities of the interviewing Agents and he provided the following information:

For the purposes of hiring casual labor, Judge & Dolph now utilize the service of Windy City Labor exclusively, though in the past they had used Laborama and another casual labor service company whose name [redacted] cannot recall. The company will utilize casual labor for various union maintenance tasks around the warehouse, or to help out if a warehouse employee calls in sick. [redacted] normally deals with [redacted] at Windy City.

The company started using Windy City last year. Jack Duff, a former union business Agent came to see [redacted] about that time and asked him to give Windy City Labor a try whenever they needed casual labor. [redacted] did and has been satisfied with the help they send over. Windy City charges about \$6.00 per hour which is competitive with the other labor services they had utilized.

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[redacted] advised that he is friendly with the Duffs and is aware of Jack Duff's past legal problems. He and the company received no pressure from the Duffs to use Windy City Labor Services.

[redacted] advised that their association negotiates their labor contracts for the company.

Investigation on 5/4/83 at Chicago, Illinois File # Chicago 195A-75-28

by SA [redacted] RWB/RWB/jrm Date dictated 5/5/83

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 5/11/83

[redacted] Capital Wine and Liquor Company, 2440 West 34th Street, Chicago, Illinois, telephone number 254-9700, was advised of the identities of the interviewing Agents, and he provided the following information:

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Employees of Capital are currently represented by three different unions: the drivers by Teamster Local 705, clerical and warehouse employees by Liquor and Allied Workers Local 3, and the salesmen by Liquor and Wine Salesmen Local 62. The labor contracts are negotiated by the Illinois Wholesale Liquor Distributors Association of which Capital is a member.

Capital also often utilizes temporary casual labor services. Since September of 1982, they have used the service of Windy City Labor exclusively when they needed temporary labor.

Prior to that they used the services of Laborama on Madison Street in Chicago.

[redacted] deals at Windy City with [redacted]. When [redacted] first started using Windy City, he heard (possibly from [redacted] that if he utilized Windy City Labor whenever he needed temporary help, they would help to minimize any minor hassles he may have in the future with Local 705.

[redacted] is their current [redacted] from Local 705. [redacted] is their current [redacted] from Local 3.

[redacted] knows [redacted] as an official who has come around as [redacted] of Local 3, but recalls no conversations with [redacted] regarding Windy City.

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Windy City generally charges approximately \$6.00 per hour for their help, and [redacted] believes this price is competitive.

Investigation on 5/4/83 at Chicago, Illinois File # Chicago 195A-75-29
by SAs [redacted] MBRWB/jrm Date dictated 5/5/83

CG 195A-75

2

has received no pressure or threats,
from anyone to utilize Windy City Labor.

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 5/11/83

[redacted] Gold Seal Liquors, 707 West Harrison, Chicago, Illinois, telephone number [redacted], was advised of the identities of the interviewing Agents and he provided the following information:

The employees of Gold Seal Liquors and its affiliated companies, Steel City Liquors and Cornell Distributing, same address, are currently represented by three different unions: The clerical and warehouse employees by Liquor and Allied Workers Local 3, the salesmen by Liquor and Wine Salesmen Local 62, and the drivers by Teamster Local 705.

b6
b7C

The contracts for these locals are negotiated by the Illinois Wholesale Liquor Dealers Association of which Gold Seal is a member. [redacted] estimates there are between 20 - 30 liquor wholesalers in the Chicago area. A [redacted] is [redacted] for the association.

Gold Seal also makes occasional use of temporary labor services, currently utilizing the services of Windy City Labor Services, though in the past has utilized the services of Laborama and All-Net. The decision as to which labor service the company will utilize was most likely made by [redacted]

b6
b7C

Investigation on 5/4/83 at Chicago, Illinois File # Chicago 195A-75-30
 by SAs [redacted] ^{RWB} RWB/jrm Date dictated 5/5/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 5/13/83

[redacted] Gold Seal Liquors,
707 West Harrison, Chicago, Illinois, telephone
[redacted] was advised of the identities of the in-
terviewing Agents, and he provided the following
information:

b6
b7C

Employees for Gold Seal Liquors are currently represented by three unions, Liquor and Allied Workers Local 3, Liquor and Wine Salesmen Local 62, and Teamsters Local 705. The contracts are negotiated by the Illinois Wholesale Liquor Dealers Association, of which Gold Seal is a member.

Gold Seal often make use of temporary labor services, normally to help out in the warehouse to unload or load a truck or clean up the warehouse area.

[redacted] believes this may possibly be a violation of the company's contract with Local 3, but adds that the company has always made some use of temporary labor services and they have received no complaints about it from Local 3.

[redacted] advised that they utilize Windy City Labor Services almost exclusively when they need temporary labor. Prior to Windy City they used Laborama.

[redacted] knows Jack Duff [redacted]
[redacted] with Local 3.

[redacted] believes Jack Duff may have con-
tacted him a year or so ago and told him he was starting
a temporary labor service, asking [redacted] to give
the service a try, but [redacted] has received no pressure
from the Duffs of Local 3 to utilize Windy City services.

[redacted] believes that rates changed by
Windy City for services are competitive with other
temporary labor companies.

b6
b7CInvestigation on 5/6/83 at Chicago, Illinois File # Chicago 195A-75-31by SAs [redacted]
and [redacted] *RWB*
RWB:rke Date dictated 5/9/83

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 5/23/83

TO: SAC, BIRMINGHAM

FROM: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

JACK DUFF, dba
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois;
 HOBBS ACT - LABOR RELATED;
 OO: CHICAGO

This investigation was initiated upon the receipt of an anonymous letter alleging that Jack Duff, former President of Distillery Workers Union Local 62, is currently involved in the operations of Windy City Labor Services (WCLS), a day labor service, and that he has used threats and intimidation to force certain liquor wholesale and distributors in the Chicago area to utilize the services of WCLS at an inflated price.

[Redacted]
 are [Redacted]

of Liquor and Allied Workers Union Local 3.

The following telephone numbers were obtained from a pen register installed on [Redacted] from the period [Redacted]

- 2- Birmingham
- 2- Cleveland
- 2- Dallas
- 2- Miami
- 2- Milwaukee
- 2- New Orleans
- 2- New York
- ② Chicago

RB/jrm
 (16)

195A-75-32

at

at

①
 MBW

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

b2
b6
b7C
b7E

CG 195A-75

LEADS

Receiving offices are requested to determine the subscribers to the following phone numbers and conduct indices checks as appropriate. No attempt should be made to contact subscribers.

BIRMINGHAM DIVISION

CLEVELAND DIVISION

DALLAS DIVISION

MIAMI DIVISION

MILWAUKEE DIVISION

NEW ORLEANS DIVISION

NEW YORK DIVISION

b2
b6
b7C
b7E

C

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/1/83

- _____ Desk # 1
- _____ Desk # 2
- _____ Desk # 3
- _____ Desk # 4
- _____ Squad # 5
- _____ Squad # 5-A
- _____ Squad # 5-B
- _____ Squad # 5-C
- _____ Squad # 6
- _____ Squad # 6-A
- _____ Squad # 6-B
- _____ Squad # 6-C
- _____ Squad # 7
- _____ Squad # 7-A
- _____ Squad # 7-B
- _____ Squad # 7-C
- _____ Squad # 8
- _____ Squad # 9
- _____ Squad # 10
- _____ Squad # 11
- _____ Squad # 12
- _____ Squad # 13
- _____ Squad # 13-A
- _____ Squad # 14
- _____ Squad # 15
- _____ Squad # 16
- _____ SSS

TO: SAC, CHICAGO (195A-75)

FROM: SAC, NEW ORLEANS (195B-36) (Ruc)

JACK DUFF, dba
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois;
 HOBBS ACT - LABOR RELATED;
 (OO: CHICAGO)

Re Chicago airtel to Birmingham, 5/23/83.

Telephone number [redacted] is the general number
 for [redacted]

New Orleans indices negative for:

Jack Duff

[redacted]
 Windy City Labor Service, Inc.
 Liquor and Allied Workers Union
 Distillery Workers Union

No further investigation being conducted at New Orleans.

2- Chicago
 1- New Orleans
 APH:sms
 (3)

195A-75-33

SEARCHED _____ INDEXED _____
 SERIALIZED ab FILED af

OCIS
 E
 NE
 B

JUN 3 1983

Approved: ESP/AQH Transmitted _____

(Number)

(Time)

Per _____
 FBI - CHICAGO
AmB

b2
 b6
 b7C
 b7E

195^A-75-34

SEARCHED	INDEXED
ab	ab
MAY 2 1953	
[Redacted]	
RMB	

b6
b7C

[Faint, illegible text, possibly bleed-through from the reverse side of the page]



MI 195A-20

BKC/bkc

1

The following investigation was conducted by
SC [redacted] on June 2, 1983:

The records of the General Telephone Co. of Wisconsin,
Sun Prairie, Wis., were checked by [redacted] for subscriber
information to the below-listed number:

[redacted]

b2
b6
b7C
b7E

[redacted]

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/3/83

- _____ # 1
- _____ # 2
- _____ # 3
- _____ # 4
- _____ Squad # 5
- _____ Squad # 5-A
- _____ Squad # 5-B
- _____ Squad # 5-C
- _____ Squad # 6
- _____ Squad # 6-A
- _____ Squad # 6-B
- _____ Squad # 6-C
- _____ Squad # 7
- _____ Squad # 7-A
- _____ Squad # 7-B
- _____ Squad # 7-C
- _____ Squad # 8
- _____ Squad # 9
- _____ Squad # 10
- _____ Squad # 11
- _____ Squad # 12
- _____ Squad # 13
- _____ Squad # 13-A
- _____ Squad # 14
- _____ Squad # 15
- _____ Squad # 16
- _____ PPS

TO: SAC, CHICAGO (195A-75) (SQ 6A)

FROM: SAC, MILWAUKEE (195A-20) RUC

JACK DUFF, dba
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois
 HOBBS ACT - LABOR RELATED

OO: CG

Re CG airtel to BH 5/23/83.

Enclosed for Chicago is the original and one copy of an investigative insert reflecting results of subscriber check.

Milwaukee Office indices negative regarding subscriber.

2 - Chicago (Enc. 2)
 1 - Milwaukee
 BKC (3)

file out down

195A-75-35

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 17 1983	
FBI - CHICAGO	

amb

Approved: WLRAM

Transmitted _____ (Number) _____ (Time)

b6
b7c

Airtel.

6/8/83

TO: SAC, MOBILE
FROM: SAC, BIRMINGHAM (195A-11)(RUC)

JACK DUFF, dba
Windy City Labor Service, Inc.,
1043 West Madison,
Chicago, Illinois;
HOBBS ACT - LABOR RELATED;
OO: CHICAGO

Re Chicago airtel to Birmingham, 5/23/83.

Enclosed for Mobile is one copy of referenced airtel.

For information of Chicago, telephone [redacted] is a Mobile,
Alabama number.

LEAD

MOBILE

At Mobile, Alabama:

Will handle lead set out for Birmingham in enclosed referenced
letter.

2 - Mobile (Enc. 1)
② Chicago (195A-75)(SQUAD 6A)
1 - Birmingham
RJ/ljd
(5)

b2
b6
b7C
b7E

6A

195A-75-36

SEARCHED	INDEXED
SERIALIZED <i>at</i>	FILED <i>at</i>
JUN 13 1983	
FBI - CHICAGO	
[redacted]	<i>kmb</i>

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

-1-

Date of transcription 6/13/83

[redacted] Continental Distributors, Incorporated, 9800 Balmoral Avenue, telephone number 671-7700, was interviewed at his place of business. He was advised of the identities of the interviewing Agents, Special Agent (SA) [redacted] Federal Bureau of Investigation (FBI), and SA [redacted] U.S. Department of Labor, Office of Inspector General. He was advised of the nature of the interview. [redacted] provided the following information:

He stated that there are three labor unions that represent his employees:

b6
b7C

1. Distillery Workers Local 3 represents clerical and warehouse workers.
2. Distillery Workers Local 62 represents the salesmen.
3. Teamsters Local 705 represents the truck drivers.

[redacted] stated that until one year ago Jack Duff was the President of Local 3. He stated that since July, 1982, Local 3 has been run by [redacted] stated that he deals with [redacted] and [redacted] concerning contract matters.

[redacted] stated that his company always used temporary labor services in addition to the full time employees. He stated that for many years Continental obtained temporary labor from Laborama, which was run by [redacted] He stated that the company paid a rate of \$5.00 per hour to Laborama for temporary labor.

b6
b7C

[redacted] stated that approximately one year ago Jack Duff visited him at Continental. He stated that Duff told him he was forming Windy City Labor Service and he asked if he [redacted] would consider using his service. [redacted] stated that Duff made no threats or references to any type of labor trouble if he did not use Windy City.

Investigation on 6/9/83 at Rosemont, Illinois File # Chicago 195A-75-37
by SA [redacted] mbh Date dictated 6/10/83

[redacted] stated that Windy City charges \$6.00 per hour for temporary labor. He stated that since July, 1982, Continental has paid over \$124,000.00 to Windy City for temporary labor.

[redacted] usually deals with [redacted] or [redacted] at Windy City.

[redacted] stated that Continental is one of seven members in the Illinois Wholesale Liquor Dealers Association. He stated that the other members are:

1. Federated
2. Judge & Dolph
3. Romans Brothers
4. Gold Seal
5. Union
6. Capital

[redacted] stated that the association, through [redacted] [redacted] conducts all of the collective bargaining with Local 3 and Local 62.

[redacted] stated that several months ago [redacted] called him and asked if the FBI had talked to him concerning Windy City. He stated that [redacted] made no threats or suggestions as to what he should do or say.

195^A-75-37

SEARCHED	<i>at</i>	INDEXED	
SERIALIZED	<i>at</i>	FILED	<i>at</i>
JUN 16 1983			
FBI - CHICAGO			
			<i>WB</i>

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/15/83

- _____ Desk # 1
- _____ Desk # 2
- _____ Desk # 3
- _____ Desk # 4
- _____ Squad # 5
- _____ Squad # 5-A
- _____ Squad # 5-B
- _____ Squad # 5-C
- _____ Squad # 6
- ✓ _____ Squad # 6-A
- _____ Squad # 6-B
- _____ Squad # 6-C
- _____ Squad # 7
- _____ Squad # 7-A
- _____ Squad # 7-B
- _____ Squad # 7-C
- _____ Squad # 8
- _____ Squad # 9
- _____ Squad # 10
- _____ Squad # 11
- _____ Squad # 12
- _____ Squad # 13
- _____ Squad # 13-A
- _____ Squad # 14
- _____ Squad # 15
- _____ Squad # 16

TO: SAC, CHICAGO (195A-75) (Squad 6A)

FROM: SAC, MIAMI (195A-14) (RUC)

SUBJECT: JACK DUFF, dba
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois;
 HOBBS ACT - LABOR RELATED
 (OO: CHICAGO)

b6
b7C

Re Chicago airtel to Birmingham 5/23/83.

The 1982 Cross Reference Directory for Hollywood and Vicinity was reviewed by SC [redacted] on 6/3/83 and it reflected that telephone number [redacted] is subscribed to by [redacted]

[redacted]

Miami file 183-855 entitled, [redacted]

[redacted] RICO; OO: MIAMI." This file reflects that captioned establishment is a popular meeting place for numerous documented organized crime figures allegedly involved in a multitude of nefarious activities including shylocking, extortion, gambling, prostitution, conspiracy to commit murder, corruption of public officials, fencing of stolen property, etc.

No further investigation being conducted by Miami.

- ② - Chicago
- 1 - Miami
- MHG:jal
- (3)

195A-75-38

SEARCHED	INDEXED
SERIALIZED	FILED

JUN 21 1983

Approved: [Signature]

Transmitted _____ (Number) _____ (Time)

[redacted]

b6
b7C

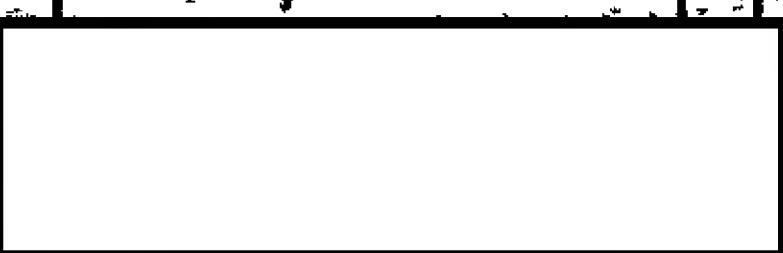
Original
159-550-70

195^A-75-39

SEARCHED	INDEXED
SERIALIZED <i>Pa</i>	FILED <i>at</i>
JUN 1 1963	
FBI - CHICAGO	

① *up*
MB

② 



MB

b6
b7C

CG 195A-75
CG 159B-550

5) [redacted] called to testify before a
Federal Grand Jury (FGJ) [redacted]
[redacted]
[redacted]

6) [redacted]
[redacted]
[redacted]

SA [redacted] and [redacted] agreed that no final
prosecutive considerations or commitments would be made
concerning either matter until the following events take place:

- 1) [redacted] testifies before the FGJ;
- 2) [redacted] matter materializes.

b2
b3
b6
b7C
b7E

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/28/83

Desk # 1
Desk # 2
Desk # 3
Desk # 4
Serial # 5
Serial # 5-A
Serial # 5-B
Serial # 5-C
Serial # 6
Serial # 6-A
Serial # 6-B
Serial # 7
Serial # 7-A
Serial # 7-B
Serial # 7-C
Serial # 8
Serial # 9
Serial # 10
Serial # 11
Serial # 12
Serial # 13
Serial # 13-A
Serial # 14
Serial # 15
Serial # 16

TO: SAC, CHICAGO (195A-75) (SQ. 6A)

FROM: SAC, CLEVELAND (195A-52) (RUC)

RE: JACK DUFF, dba.,
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois;
 HOBBS ACT - LABOR RELATED;

OO: Chicago

Re Chicago's airtel to Birmingham with copy to Cleveland, dated 5/23/83.

Contact was made with Ohio Bell Telephone Co., Cleveland, Ohio, to determine the identity of the subscriber for the following telephone number:

Telephone #:
Subscriber:



Billed to:

On 6/1/83, the records of the Cleveland, Ohio Police Department and their respective divisions, and the Cuyahoga County, Sheriff's Office were checked for any identifiable info re the individual listed as [redacted]

The above check(s) all met with negative results.

2-Chicago
1-Cleveland
SLO:dan
(3)

195A-75-41

SEARCHED	INDEXED
SERIALIZED	FILED

Approved: *JES/RT*

Transmitted _____ (Number)

DCIS _____ (Time)

Per JUL 5 1983

[Redacted signature box]

b6
b7c

CV 195A-52

On 6/6/83, inquiry was made at the office of the Clerk of Courts, for the Municipal Court of Cleveland, Ohio. A search of their records failed to reveal any civil or criminal action against [REDACTED]

On 6/10/83, the records of the Department of Motor Vehicles for the Northern District of Ohio were reviewed, and met with negative results.

The records of the Ohio state, Law Enforcement Automated Data System (LEADS), were searched for each name listed and all met with negative results.

The records of the National Crime Info Center (NCIC) have been checked with regards to [REDACTED] and the results were negative.

On 6/20/83, the files of the State of Ohio, Bureau of Criminal Investigation (BCI) were reviewed and a finding of no record for [REDACTED]

Cleveland indices negative re [REDACTED]

b6
b7C

C

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 7/1/83

Desk # 1
Desk # 2
Desk # 3
Desk # 4
Squad # 5
Squad # 5-A
Squad # 5-B
Squad # 5-C
Squad # 5-D
Squad # 5-E
Squad # 5-F
Squad # 5-G
Squad # 5-H
Squad # 5-I
Squad # 5-J
Squad # 5-K
Squad # 5-L
Squad # 5-M
Squad # 5-N
Squad # 5-O
Squad # 5-P
Squad # 5-Q
Squad # 5-R
Squad # 5-S
Squad # 5-T
Squad # 5-U
Squad # 5-V
Squad # 5-W
Squad # 5-X
Squad # 5-Y
Squad # 5-Z

TO: SAC, CHICAGO (195A-75)

FROM: SAC, DALLAS (195A-23) (RUC)

SUBJECT: JACK DUFF, aka;
ET AL
HOBBS ACT-LABOR RELATED
OO: CHICAGO

Re Chicago airtel to Birmingham, dated May 23, 1983

A source with [redacted] advised [redacted]

Dallas indices negative regarding [redacted]

ADMINISTRATIVE

[redacted] furnished the above [redacted]

This information is confidential in nature and cannot be made public except through issuance of a subpoena duces tecum directed to [redacted]

2 - Chicago
1 - Dallas
GFL/jep
(3)

Approved: TCK/ [signature] Transmitted _____ (Number) _____ (Time)

OCIS
E
NE
D ✓

195A-75-42

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 1 1983	
FBI-CHICAGO	
[signature]	

b2
b6
b7C
b7D
b7E

b6
b7C

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date JUL 21 1983

- # 1
- # 2
- # 3
- # 4
- # 5
- # 5-A
- # 5-B
- # 5-C
- # 6
- # 6-A
- # 6-B
- # 6-C
- # 7
- # 7-A
- # 7-B
- # 7-C
- # 8
- # 9
- # 10
- # 11
- # 12
- # 13
- # 13-A
- # 14
- # 15
- # 16

TO: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

FROM: *JAS* ADIC, NEW YORK (195A-152) (RUC) (M-5)

SUBJECT: JACK DUFF, dba
 Windy City Labor Service, Inc.,
 1043 West Madison,
 Chicago, Illinois;
 HOBBS ACT - LABOR RELATED;
 OO: CHICAGO

Re Chicago airtel to Birmingham, dated 5/23/83.

A review of the records of the New York Telephone Company revealed the following subscribers for the below listed telephone numbers:



A check of the NYO indices proved negative on [redacted]

2 - Chicago
1 - New York

CAS:cas
(4)

195A-75-43

SEARCHED	INDEXED
SERIALIZED <i>na</i>	FILED <i>at</i>

JUL 22 1983

FBI-CHICAGO

Approved: _____

Transmitted _____ (Number) _____ (Time)

KMO

b2
b6
b7C
b7E

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 8/3/83

TO: SAC, CHICAGO (195A-75) (Sq. 6A)

FROM: SAC, MOBILE (195A-17) (RUC)

SUBJECT: JACK DUFF, dba
 Windy City Labor Services, Inc.,
 1043 West Madison
 Chicago, Illinois
 HOBBS ACT - LABOR RELATED
 OO: CG

Re. Birmingham airtel to Chicago, 6/8/83.

Enclosed for Chicago are two copies of an investigative insert re subscriber information.

Mobile indices negative re

b6
b7c

- ② - Chicago (Enc. 2)
 - 1 - Mobile
- RKB/mb
(3)

195A-75-44

SEARCHED	INDEXED
SERIALIZED <i>ab</i>	FILED <i>ak</i>
AUG 03 1983	
FBI-CHICAGO	
Per <i>[Signature]</i>	

① OCIS *[Signature]*
 E
 ME *[Signature]*
 D

Approved: _____ Transmitted _____ (Number) ② (Time) *drum*

MO 195A-17

RKB-mec

1

b2
b6
b7C
b7E

Telephone number [redacted] is listed to [redacted]

[redacted]

memorandum

DATE: 8/25/83.

REPLY TO
ATTN OF: SA [redacted] (SQ. 6A)

SUBJECT: JACK DUFF, dba
Windy City Labor Services, Inc.,
1043 West Madison,
Chicago, Illinois;
TO: HOBBS ACT - LABOR RELATED;
OO: CHICAGO

TO: SAC, CHICAGO (195A-75)

Re Chicago airtel to Birmingham, 5/23/83.

The following is a summary of the subscribers
to the telephone numbers as set out in referenced airtel:

b2
b6
b7C
b7E

<u>Telephone Number</u>	<u>Subscriber</u>
[redacted]	

(Above establishment allegedly a popular meeting
place for numerous documented organized crime figures.
Individuals not specified.)

② - Chicago
RWB/kjh
AMS (2)

195A-75-45

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 21 1983	
GOVERNMENT FORM NO. 10	
(41 CFR) 101-11.6	

★U.S. Government Printing Office: 1980-311-153/6042

b6
b7C

memorandum

DATE: 10/7/83

REPLY TO
ATTN OF: SA [redacted]

SUBJECT: JACK DUFF, dba WINDY CITY LABOR SERVICES, INC.,
1043 W. MADISON, CHICAGO, ILLINOIS;
HOBBS ACT-LABOR RELATED, OO: CHICAGO

TO: SAC, CHICAGO (195A-75) (P) (SQUAD 6A)

[redacted] MCC-Chicago, advised that Jack Duff has been on work release since approximately 9/1/83, returning to the MCC evenings. He is currently working at Windy City Labor Services on Madison Street. [redacted] advised Duff is due for release on 12/23/83 if he receives all his good time.

SA [redacted] advised [redacted] negotiating with Duff through a third party concerning performing money laundering services for Duff, however, Duff himself has not approached them...

b2
b3
b6
b7C
b7E

On 9/27/83, a subpoena for [redacted] [redacted] It is anticipated that a review of these records will provide additional leads in this matter.

LEAD:

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

Review [redacted] upon receipt for lead purposes.

1*

RWB/sjp
RWB

195A-75-46

SEARCHED	INDEXED
SERIALIZED <i>af</i>	FILED <i>af</i>
OCT 11 1983	
FBI - CHICAGO	

RWB

CG 195A-75

RWB RWB/sjp

1

The following investigation was conducted within the Chicago Division by Special Agent (SA) [redacted]:

On October 5, 1983, a physical surveillance (fisur) was conducted in the area of [redacted] Chicago, Illinois. The following vehicles were noted parked on the street in front:

A pink/tan 1982 Cadillac bearing Illinois license [redacted] registered to [redacted] Chicago, Illinois;

b6
b7c

A gray 1983 Ford van bearing Illinois license [redacted] registered to [redacted] Chicago, Illinois;

A 1980 Mercury bearing Illinois license [redacted] registered to [redacted] Chicago, Illinois;

A 1979 Chevrolet two-door bearing Illinois license [redacted] registered to [redacted] Illinois.

The fisur was conducted from 2:30 PM to 3:45 PM, and no unusual activity was noted.

195A-75-47

195A-75-48

SEARCHED	INDEXED
SERIALIZED <i>pan</i>	FILED <i>ak</i>
OCT 17 1983	
FBI - CHICAGO	
<i>AMP</i>	

b6
b7c

2
1
dec



195-A-25-49

SEARCHED	INDEXED
SERIALIZED <i>Jan</i>	FILED <i>at</i>
OCT 17 1983	
FBI - CHICAGO	
	<i>RMD</i>

b6
b7C

Date 10/7/83

- Birth
 Credit
 Criminal
 Death
 INS
 Marriage*
 Motor Vehicle
 Other _____
 Driver's License

To _____ Buded _____

Return to _____ File number 195A-75

6A x223

Name and aliases of subject, applicant, or employee, and spouse

b6
b7C

Addresses _____

Residence _____

Business _____

Former _____

* Date and place of marriage (if applicable) _____

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
Birth date	Birthplace					
Arrest Number	Fingerprint classification			Criminal specialty		
Specific information desired						Social Security Number

Results of check

*Need real estate info
as to owner & TAX PAYER*

- See attached -

*2 PM 10/11/83
Now needed
Doon as possible SC*

195A-75-50

OCT 14 1983

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____

OCT 17 1983

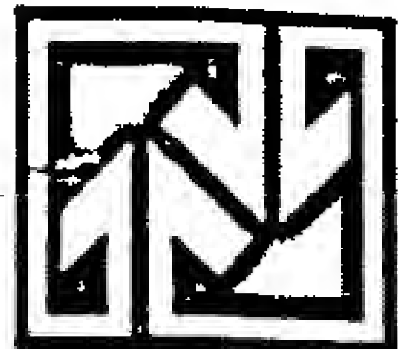
FBI - CHICAGO

_____ *MS*

b6
b7C

(Use reverse side, if necessary)

10/11/83



Since 1892

Real Estate Index

1820 Ridge Ave. Evanston, IL 60201
312-864-9000

TITLE PAYMENT

Client:

Reference:

TORRENS	
Cert. No.	1324600
Book	2654-2
Page	301

Address of Property:

Permanent Real Estate Index Number:

Legal Description:

Title held by:

Document No.	Grantor	Grantee	Inst.	Date	Date Filed

Last Assessee:

Address:

This report is furnished by the company and accepted by the customer on the condition and agreement that the Company shall not be liable for any damage, cost, loss or expense of any kind or nature whatsoever arising from any error or omission whether by reason of negligence or otherwise.

Search Dated

Covering Records of 10-7-83

Fee

12.50

CG 159B-550

CG 195A-75

CLI:mbh

1 *mbh*

The following investigation was conducted by
Special Agent (SA) [redacted] at Chicago, Illinois:

On September 28, 1983, a Federal grand jury
subpoena was served on [redacted]

[redacted] accepted service as [redacted]
[redacted]

b3
b6
b7C

195A-75-51
SEARCHED INDEXED
SERIALIZED FILED *af*
OCT 11 1983
[redacted] *mbh*

b6
b7C

memorandum

DATE: 10/20/83

REPLY TO
ATTN OF: SA [redacted]

SUBJECT: JACK DUFF, dba WINDY CITY LABOR SERVICES, INC.,
1043 W. MADISON, CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED, OO: CHICAGO

TO:

SAC, CHICAGO (195A-75) (P) (SQ. 6A)

b6
b7c

Due to writer's intra-office transfer to SQ. 10,
it is recommended that this matter be reassigned to
SA [redacted]

1*

RWB/sj
[Handwritten initials]

10/20/83
WB
Reassigned to
[redacted]

195A-75-52

SEARCHED	INDEXED
SERIALIZED <i>at</i>	FILED <i>at</i>
OCT 24 1983	
OPTIONAL FORM NO. 10 (REV. 1-80)	
(4) G.P.O. 101-11.6	

[redacted]

80-311-153/6042

b6
b7c

CG 195A-75
from RWB/sjp
1

The following investigation was conducted within the Chicago Division:

Review of telephone subscribers obtained from pen register coverage of [redacted] reveals several calls placed to telephone number [redacted] subscribed to by [redacted]

Indices checks and conversations with Special Agent (SA) [redacted] determined that [redacted] was overheard on a Title III intercept concerning coverage of [redacted]

b2
b3
b6
b7C
b7E

Background investigation conducted on [redacted] developed the following information:

- Name
- Aliases

- Race
- Sex
- Height
- Weight
- Date of Birth
- Hair
- Eyes
- FBI #
- Chicago PD IR #
- Address

- Former Address

195^A-75-53

CG 195A-75
RWB/sjp
2

Arrest Record

Vehicle



b3
b6
b7C

On October 11, 1983, an attempt was made by SA [redacted]
[redacted] and SA [redacted] to interview [redacted] without
success, though her vehicle was noted parked in front and it
appeared that someone was in the residence. The Agents noted



Date 10/31/83

- Birth
- Credit
- Criminal
- Death
- INS
- Marriage*
- Motor Vehicle
- Other REI
- Driver's License

To SC [Redacted] Buded

Return to [Redacted] 6A File number 195A-75

Name and aliases of subject, applicant, or employee, and spouse
WINDY CITY LABOR SERVICE INC.
1043 WEST MADISON ST. CHICAGO

Addresses

Residence _____

Business _____

Former _____

* Date and place of marriage (if applicable) _____

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
Birth date		Birthplace				
Arrest Number		Fingerprint classification		Criminal specialty		

Specific information desired OWNER INFO ON ABOVE ADDRESS Social Security Number _____

Results of check

See attached

SC [Redacted]

NOV - 3 1983

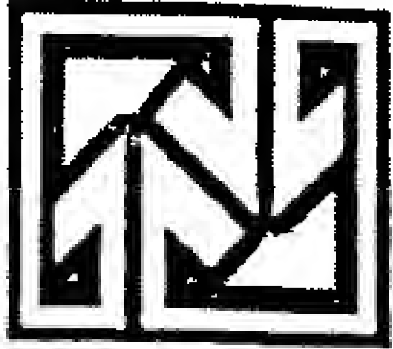
195A-75-54

SEARCHED <u>et</u>	INDEXED <u>et</u>
SERIALIZED <u>et</u>	FILED <u>et</u>
NOV 3 1983	
FBI - CHICAGO	

b6
b7C

b6
b7C

11/1/83



Since 1892

Real Estate Index

1820 Ridge Ave. Evanston, IL 60201
312-864-9000

TITLE PAYMENT

Client:

Reference:

Address of Property: 1043 W. Madison

Permanent Real Estate Index Number: 17-17-203-009

Legal Description:

Title held by: The First Bank of Oak Park as trustee, Trust # 12183
V/T/A dated 3-20-81

Document No.	Grantor	Grantee	Inst.	Date	Date Filed
25813992			DT	3-20-81	3-23-81

Last Assessee: 1st Bk Oak Tr 121183
Address: 11 Madison
Oak Park IL 60603

This report is furnished by the Company and accepted by the customer on the condition and agreement that the Company shall not be liable for any damage, cost, loss or expense of any kind or nature whatsoever arising from any error or omission whether by reason of negligence or otherwise.

Search Dated

Covering Records of 10-28-83

Fee 12.50

195A-75-55

CHG	INDEX TO
SEARCHED <i>et</i>	FILED <i>et</i>
FBI - CHICAGO	
J	

CG 195A-75
CLI:peh

The following investigation was conducted at Chicago, Illinois, on November 1, 1983, by Special Agent (SA)

A physical surveillance in the vicinity of 1043 West Madison Street was conducted. Vehicles bearing the following Illinois license plates were parked near this location:

b6
b7C

License

Registered To

<u>License</u>	<u>Registered To</u>

195A-75-56
SEARCHED _____ INDEXED *ab*
SERIALIZED *ab* FILED _____
NOV 29 1983
FBI - CHICAGO

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 11/8/83

[redacted] Laborama, 843 West Madison Street, telephone number 738-1552, was interviewed at his place of business. He was advised of the identity of the interviewing Agent and of the nature of the interview. He provided the following information:

[redacted] stated that until one and one half years ago, Laborama provided temporary labor service to the wholesale liquor distributors in the Chicago area. Many years ago, [redacted]

b6
b7C

[redacted] For this reason, Laborama acquired the temporary labor business of the distributors.

[redacted] stated that he is aware that Windy City Labor Service has taken away his business with all of the wholesale liquor distributors. He stated that he has never tried to find out why he lost this business. [redacted] stated that Laborama charged the customer \$4.80 to \$5.20 per hour per worker.

[redacted] stated that he does not know who owns or operates Windy City Labor Service.

Investigation on 11/1/83 at Chicago, Illinois File # Chicago 195A-75 *56A*

by SA [redacted] *all* peh Date dictated 11/7/83

b6
b7C

195^A-75-57

SEARCHED	INDEXED
SERIALIZED <i>ab</i>	FILED <i>ab</i>
APR 18 1984	
FBI - CHICAGO	

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 4/13/84

(JOE) KEN ETO was advised of the identity of the interviewing Agents and the purpose of the interview and stated as follows:

JACK DUFF, who owns a day-labor employment business, located on Madison, west of Halsted, was introduced to ETO by TURK TORELLO. ETO stated DUFF advised him that JACK CERONE was his boss and that DUFF took orders from TURK TORELLO before he died. ETO stated that JACK DUFF's new Organized Crime boss would be JOSEPH FERRIOLA since JOSEPH FERRIOLA took over some of JACK CERONE's responsibilities.

Investigation on 3/22/84 at _____ File # Chicago 183A-1775
by SAs and ECS/cea Date dictated 4/1/84
Sub B

b6
b7c

219 South Dearborn Street
Chicago, Illinois 60604
May 10, 1984

[REDACTED]
Attorney in Charge
Chicago Strike Force
Fourteenth Floor
Everett McKinley Dirksen Building
219 South Dearborn Street
Chicago, Illinois 60604

Attention: Strike Force Attorney
[REDACTED]

Re: Jack Duff, doing business as
Windy City Labor Services,
Incorporated,
1043 West Madison Street
Chicago, Illinois;
Hobbs Act-Labor Related

b6
b7c

Dear Sir:

On May 3, 1984, Special Agent (SA) [REDACTED] Federal Bureau of Investigation, conferred with [REDACTED] concerning the results of an investigation of the matter referred to above. Background information concerning the investigation is set forth.

In October, 1982, the Chicago Office of the Federal Bureau of Investigation (FBI) received a typewritten letter from an anonymous source concerning the activities of Jack Duff. The source advised that Duff, the former secretary-treasurer of the Distillery Workers Union, Local 3, (Local 3), was now furnishing temporary labor to wholesale liquor distributors in the Chicago area. The source indicated that Duff was forcing the distributors to contract with him for temporary labor rather than with other labor services.

2 - Addressee
1 - Chicago (195A-75)
CLI: *tm/ra*
(3)

195A-75-58

From 1975 to 1982 Jack Duff served as the secretary-treasurer of Local 3. The membership of Local 3 is composed of clerical and warehouse employees of wholesale liquor distributors. In April, 1982, Duff was convicted of embezzlement and falsification of union records in violation of Title 29, Section 501(c), and Title 29, Section 439(c), respectively. As of April 22, 1982, Duff was barred from serving in any official capacity in any labor union for a period of five years, in accordance with the provisions of the Labor Management Reporting and Disclosure Act. [REDACTED]

In June, 1982, Windy City Labor Service, Inc., (WCLS) was incorporated in Illinois by [REDACTED]. The registered agent was listed as [REDACTED]. WCLS was formed to furnish temporary labor and/or services on a day-to-day basis for persons or businesses requiring same. Surveillances verified that WCLS was located at 1043 West Madison Street, Chicago, Illinois. A vehicle known to be driven by [REDACTED] was observed parked in front of WCLS on one occasion.

In [REDACTED] a federal court order was issued for the installation of pen registers on [REDACTED]. An examination of outgoing telephone calls determined that [REDACTED]

[REDACTED] Interviews were conducted [REDACTED] of four of the distributors. All of the distributors had been using the temporary labor services of Laborama, Inc., but had since switched to WCLS. During the summer of 1982, Jack Duff had approached each distributor and asked each if the company would consider using WCLS in the future. None [REDACTED] admitted that they used the services of WCLS because of expressed or implied threats of labor problems if WCLS was not used.

In November, 1983, [REDACTED] Laborama, Inc., was interviewed. He verified that he had lost business to WCLS. He could not provide a reason why the wholesale liquor distributors switched to WCLS.

Since January, 1984, subpoenaed records have been reviewed and interviews have been conducted to determine if [REDACTED]

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In summary, the allegation of the anonymous source that Duff was, or is, forcing wholesale liquor distributors to use unwanted labor has not been verified through interviews. Further investigation of this matter by the FBI will be held in abeyance pending a prosecutive opinion by your office.

Very truly yours,

EDWARD D. HEGARTY
Special Agent in Charge

b6
b7C

BY:

[Redacted Signature]

Supervisory Special Agent

EDH/6

UNITED STATES GOVERNMENT
memorandum

DATE: 6/26/84

REPLY TO
ATTN OF: SA [REDACTED]

SUBJECT: JACK DUFF, dba
WINDY CITY LABOR SERVICES,
INCORPORATED,
TO: 1043 WEST MADISON STREET,
CHICAGO, ILLINOIS;
HOBBS ACT - LABOR RELATED;
OO: CHICAGO

b6
b7c

SAC, CHICAGO (195A-75) (P) (SQUAD 7C)

On June 22, 1984, Strike Force Attorney, [REDACTED]
[REDACTED] Chicago, Illinois, advised he has received the letter
reporting the results of the investigation of captioned matter.
Because of trials he has not had an opportunity to review the
information. He advised he will be able to devote time to
this matter in approximately two weeks.

① - Chicago
CLI/vmo
(1) [Signature]

1*

195A-75-59

SEARCHED	INDEXED
SERIALIZED <i>at</i>	FILED <i>at</i>
JUN 26 1984	

Chicago, Illinois
August 22, 1984

JACK DUFF, Doing Business As,
WINDY CITY LABOR SERVICES, INC.,
1043 West Madison Street,
Chicago, Illinois;
HOBBS ACT - LABOR RELATED

In October, 1982, the Chicago Office of the Federal Bureau of Investigation (FBI) received a typewritten letter from an anonymous source concerning the activities of Jack Duff. The source advised that Duff, the former secretary-treasurer of the Distillery Workers Union, Local 3, (Local 3), was now furnishing temporary labor to wholesale liquor distributors in the Chicago area. The source indicated that Duff was forcing the distributors to contract with him for temporary labor rather than with other labor services.

From 1975 to 1982, Jack Duff served as the secretary-treasurer of Local 3. The membership of Local 3 is composed of clerical and warehouse employees of wholesale liquor distributors. In April, 1982, Duff was convicted of embezzlement and falsification of union records in violation of Title 29, Section 501(c), and Title 29, Section 439(c), respectively. As of April 22, 1982, Duff was barred from serving in any official capacity in any labor union for a period of five years, in accordance with the provisions of the Labor Management Reporting and Disclosure Act. [REDACTED]

4 - Bureau
② - Chicago
(1 - Chicago Strike Force
Attn: Strike Force Attorney
[REDACTED])

① - CG 195A-75)

CLI:mab

(6) *MAB*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

EDH/STL
195C-75-60
SEARCHED _____
SERIALIZED *Jan*
INDEXED _____
FILED _____

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In June, 1982, Windy City Labor Service, Inc., (WCLS) was incorporated in Illinois by [redacted]. The registered agent was listed as [redacted]. WCLS was formed to furnish temporary labor and/or services on a day-to-day basis for persons or businesses requiring same. Surveillances verified that WCLS was located at 1043 West Madison Street, Chicago, Illinois. A vehicle known to be driven by [redacted] was observed parked in front of WCLS on one occasion.

In [redacted] a Federal court order was issued for the installation of pen registers on [redacted]. An examination of outgoing telephone calls determined that [redacted].

[redacted] Interviews were conducted [redacted] of four of the distributors. All of the distributors had been using the temporary labor services of Laborama, Inc., but had since switched to WCLS. During the summer of 1982, Jack Duff had approached each distributor and asked each if the company would consider using WCLS in the future. None [redacted] admitted that they used the services of WCLS because of expressed or implied threats of labor problems if WCLS was not used.

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b7C
b7E

In November, 1983, [redacted] Laborama, Inc., was interviewed. He verified that he had lost business to WCLS. He could not provide a reason why the wholesale liquor distributors switched to WCLS.

Since January, 1984, subpoenaed records have been reviewed and interviews have been conducted to determine if [redacted].

On August 16, 1984, Strike Force Attorney [redacted] Chicago, Illinois, declined prosecution of this matter. [redacted] advised that no evidence has been developed to support prosecution of Jack Duff or others for violations of the Hobbs Act, Title 18, Section 1951.

X Airtel

8/22/84

TO: DIRECTOR, FBI
FROM: SAC, CHICAGO (195A-75) (C) (SQUAD 7C)

JACK DUFF, dba
WINDY CITY LABOR SERVICE, INC.,
1043 West Madison Street,
Chicago, Illinois;
HOBBS ACT - LABOR RELATED
OO: CHICAGO

Reference Chicago Airtel to the Bureau, dated
January 3, 1983.

Enclosed for the Bureau are the original and
three copies of an LHM suitable for dissemination.

[Handwritten signature]
65-593725
[Handwritten initials]

2 - Bureau (Enc. 4)
① - Chicago
CLI: mab
(3) *[Handwritten initials]*

[Handwritten signature]
1*

1 VERIFIED *[Handwritten initials]*
1956-75-61
SEARCHED _____
SERIALIZED _____
INDEXED _____
FILED _____

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 99

Page 20 ~ Duplicate

Page 21 ~ Duplicate

Page 22 ~ Duplicate

Page 23 ~ Duplicate

Page 26 ~

Pursuant to court order

Page 27 ~

Pursuant to court order

Page 30 ~ Referral/Direct

Page 31 ~ Referral/Direct

Page 32 ~ Referral/Direct

Page 33 ~ Referral/Direct

Page 34 ~ Referral/Direct

Page 36 ~ Referral/Direct

Page 37 ~ Referral/Direct

Page 38 ~ Referral/Direct

Page 39 ~ Referral/Direct

Page 40 ~ Referral/Direct

Page 43 ~ b6, b7C

Page 44 ~ b6, b7C

Page 45 ~ b6, b7C

Page 46 ~ b6, b7C

Page 47 ~ b6, b7C

Page 48 ~ b6, b7C

Page 49 ~ b6, b7C

Page 50 ~ b6, b7C

Page 51 ~ b6, b7C

Page 52 ~ b6, b7C

Page 53 ~ b6, b7C

Page 54 ~ b6, b7C

Page 55 ~ b6, b7C

Page 56 ~ b6, b7C

Page 58 ~ b6, b7C

Page 65 ~ b6, b7C

Page 66 ~ b6, b7C

Page 67 ~ b6, b7C

Page 69 ~ b2, b6, b7C, b7E

Page 70 ~ b2, b6, b7C, b7D

Page 71 ~ b2, b6, b7C, b7E

Page 72 ~ b2, b6, b7C, b7E

Page 73 ~ b2, b6, b7C, b7E

Page 74 ~ b2, b6, b7C, b7E

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Page 82 ~ b2, b6, b7C, b7E
Page 83 ~ b2, b6, b7C, b7E
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Page 251 ~ b2, b6, b7C
Page 253 ~ b2, b6, b7C
Page 254 ~ b2, b6, b7C

(Title)

(File No.)

195A-75-AS

Item	Date Filed	To be returned		Disposition
		Year	No.	
1	4/83		X	Copy of actual [unclear] [unclear]
				Copy of City [unclear] [unclear]
2	3/83		X	See note re [unclear]
				[unclear]
				[unclear]
3	4/83		✓	Int. note re [unclear]
4	1/83		✓	Photo of [unclear] [unclear]
5	1/83		✓	Photo of [unclear]
6	1/83		✓	Photo of [unclear]
7	1/83		X	FD 192 BULKY EXHIBIT 195A-75- IRRELEVANCE COPY

b6
b7C

195A-75-AS

SEARCHED INDEXED
SERIALIZED FILED

APR 28 1983

FBI - CHICAGO

Field File No. SI 195A-45

OO and File No. CG 195A-75-1A1

Date Received 11/23/82

From CORP SECTION

(NAME OF CONTRIBUTOR)

S. D. S.

(ADDRESS OF CONTRIBUTOR)

SI, ILL

(CITY AND STATE)

By SC

(NAME OF SPECIAL AGENT)

To Be Returned Yes

No

Yes

No

Receipt Given Yes

No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

COPY OF ARTICLES OF INCORPORATION
FOR WINDY CITY LABOR SERVICE, INC.

b6
b7C

Filing Requirements -- Present 2 originally signed and fully executed copies in exact duplicate

For Inserts -- Use White Paper -- Size 8 1/2 x 11

1-2-2002 Not for use in this space.
 Date Paid 6-13-82
 Initial License Fee \$ 20
 Franchise Tax \$ 22.00
 Filing Fee \$ 75.00
117.00
 Clerk

TO: JIM EDGAR, Secretary of State

I/We, the incorporator(s), being one or more natural persons of the age of twenty-one years or more or a corporation for the purpose of forming a corporation under "The Business Corporation Act" of the State of Illinois, do hereby adopt the following Articles of Incorporation:

ARTICLE ONE The name of the corporation is: WINLY CITY LABOR SERVICE, INC.

ARTICLE TWO The name and address of the initial registered agent and registered office are

Registered Agent

--

Registered Office

--

Number	Street	(Do not use P. O. Box)	Suite #
Chicago	60602	Cook	
City	Zip Code	County	

ARTICLE THREE The duration of the corporation is perpetual OR _____ years.

ARTICLE FOUR The purposes for which the corporation is organized are
To furnish temporary labor and/or services on a day to day basis to persons or businesses requiring the same.

ARTICLE FIVE Paragraph 1. The class, number of shares, the par value, if any, of each class which the corporation is authorized to issue, the number the corporation proposes to issue without further report to the Secretary of State, and the consideration (expressed in dollars) to be received by the corporation therefor, are

Class	Series	*Par Value per share	Number of shares authorized	Number of shares to be issued	Total consideration to be received therefor
Common	None	No Par Value	1,000	1,000	\$ 1,000.00

*Use NPV if no Par Value

Total \$ 1,000.00

Paragraph 2- The preferences, qualifications, limitations, restrictions and the special or relative rights in respect of the shares of each class are: No shareholder of this corporation shall sell, transfer or assign his stock to any person, organization, or legal entity, without first offering said stock to the corporation at book value; the corporation shall exercise its right to purchase within SIXTY (60) days from the date offered. This limitation shall also be binding upon the heirs, executors or administrators of any decedent shareholder.

ARTICLE SIX The corporation will not commence business until at least one thousand dollars has been received as consideration for the issuance of shares.

b6
b7C

ARTICLE SEVEN The number of directors to be elected at the first meeting of the shareholders is One (1)

ARTICLE EIGHT (Complete EITHER A or B)

- A. All the property of the corporation is to be located in this State and all of its business is to be transacted at or from places of business in this State, or the incorporator(s) elect to pay the initial franchise tax on the basis of the entire consideration to be received for the issuance of shares.
- B. Paragraph 1: It is estimated that the value of all property to be owned by the corporation for the following year wherever located will be \$ _____
Paragraph 2: It is estimated that the value of the property to be located within the State of Illinois during the following year will be: \$ _____
Paragraph 3: It is estimated that the gross amount of business which will be transacted by the corporation during the following year will be \$ _____
Paragraph 4: It is estimated that the gross amount of business which will be transacted at or from places of business in the State of Illinois during the following year will be: \$ _____

I/~~we~~ the incorporator(s) declare that I/~~we~~ have examined the foregoing Articles of Incorporation and that the statements contained therein are, to the best of my/~~our~~ knowledge and belief, true, correct and complete.
Executed this 9th day of June, 19 82

Signatures must be in ink. Carbon copy, xerox or rubber stamp signatures are not acceptable.

If a corporation acts as incorporator the name of the corporation and the name of the officer who signs must be shown and the execution must be by the President or Vice President of the corporation. The name of the officer shall be printed in full and followed by the title of the officer.

FC M

ARTICLES OF INCORPORATION

under the

BUSINESS CORPORATIONS ACT

For determination of proper filing fee consult The Business Corporations Act

FILED

Field File No. _____

OO and File No. CG 195A-75-1A 2


Date Received _____

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By  _____
(AGENT)

To Be Returned Yes

No

Yes

No

Receipt Given Yes

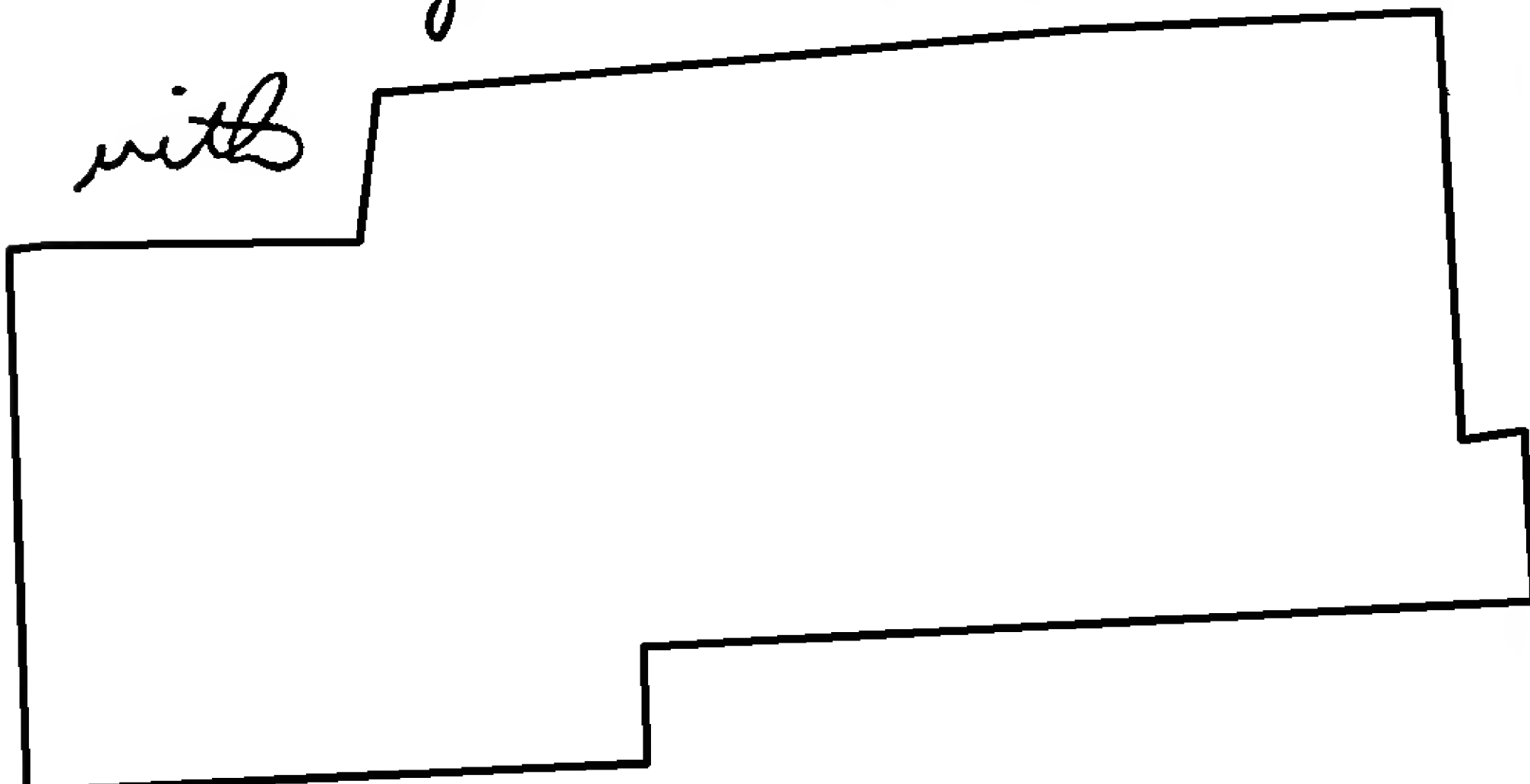
No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

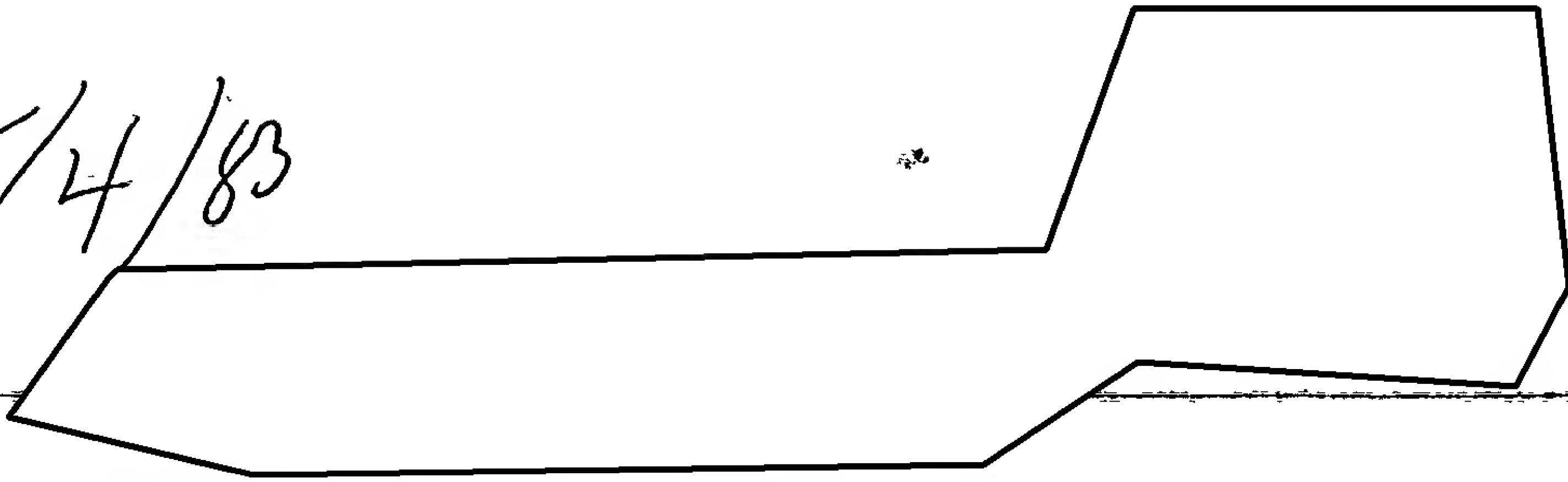
b6
b7C

Description:

*Original Notes re interview
with*



5/4/83



25 employees in Local 3

1st head of Windy City Labor, publicly known as
Labor.

See checks to Windy City
(Used Laborama before) and All-Net

b6
b7C



Local 3 Clerical

Local 3 warehouse

Local 705 Drivers

Local 62 Seaman

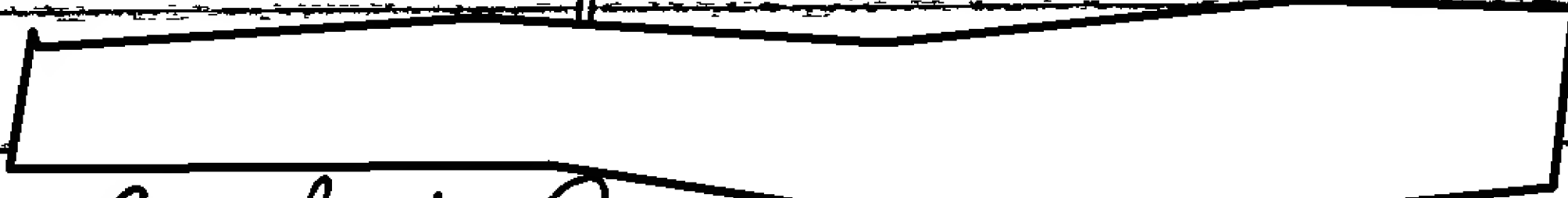
3 different locals -



negotiate,

20-30 wholesalers with ~~in~~ in Chicago

IL - Wholesale Union Dealer Assoc.

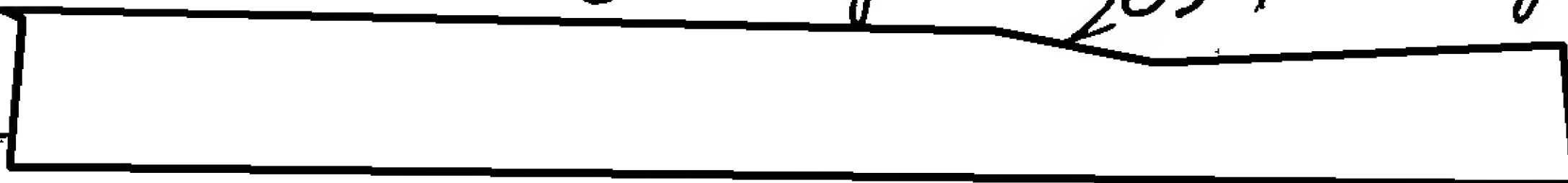


of IWCDA

President?

5/4/83

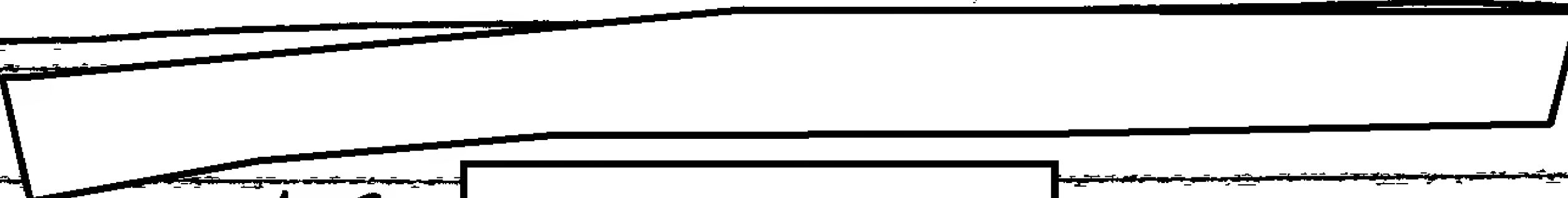
Judge + DOLPH LTD
2637 N. Chyborner



Sabner	Local 62 ⁷
warehouse	Local 3
Prins	Local 705 Teamsters

Does not know Windy City, does not know other that is aware of.

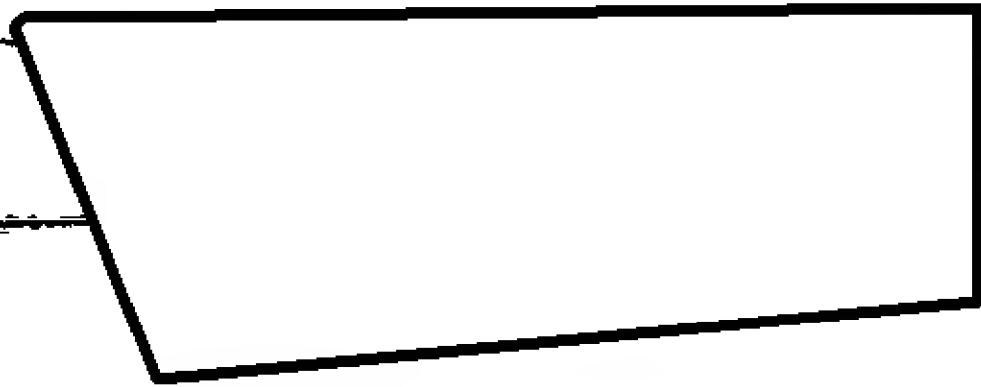
Clerical employees hourly paid - non-union.



Local 3



Deal with



- Windy City

Used to run Labor Normal and another. Can't recall
Now used exclusively for Windy City.
Have a couple helpline needs warehouse last 7 weeks
Sometimes when the employee calls in sick

went to Windy City when Jack Duff,
whose name former B/A, came to see him a year ago and
asked him to see labor union.
Is aware of Duff's problem.

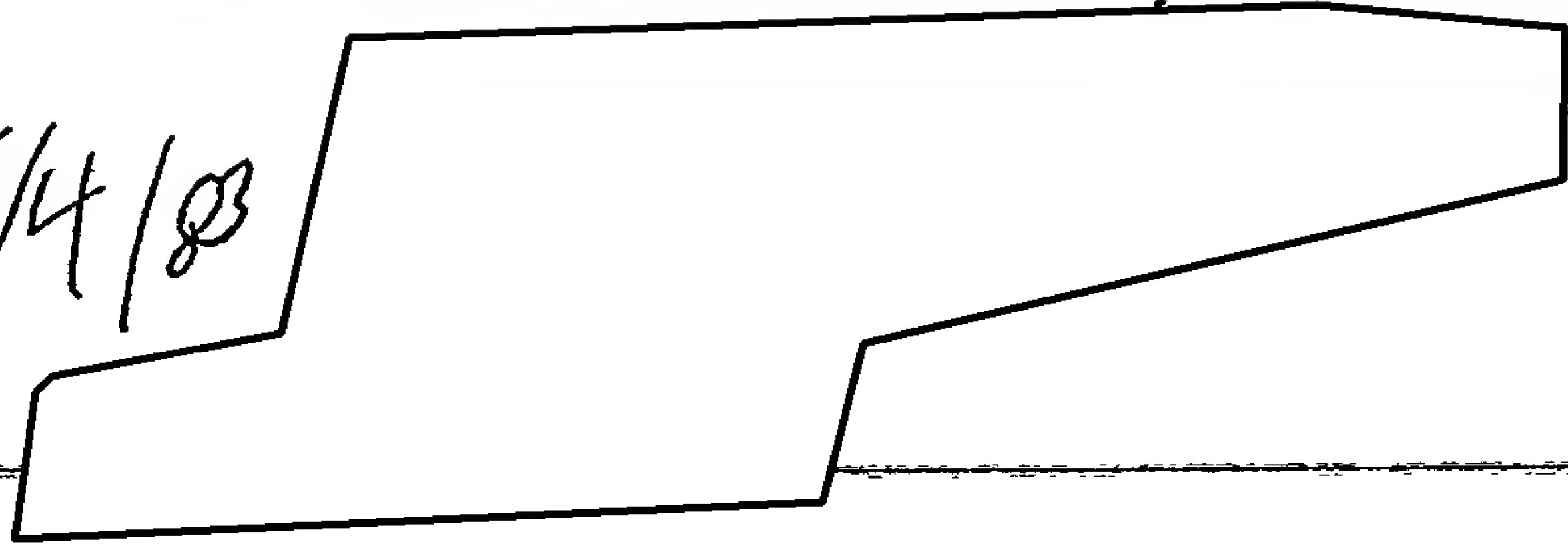
Revised W.C. laborer to help out - would
transfer local 3 ~~contracts~~ contract.

BS/Donor for W.C. Not greater than others.

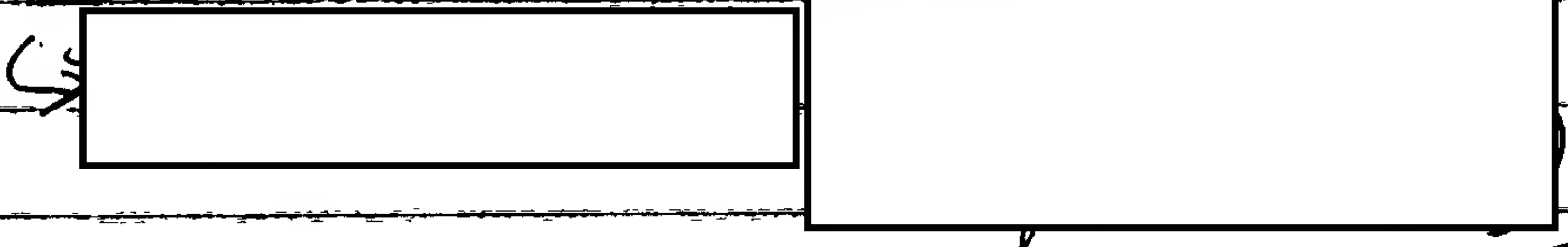
Asaunter negotiate labor contracts.

No pressure from Duffo to use W.C.
Are friends with Duffo

5/4/83



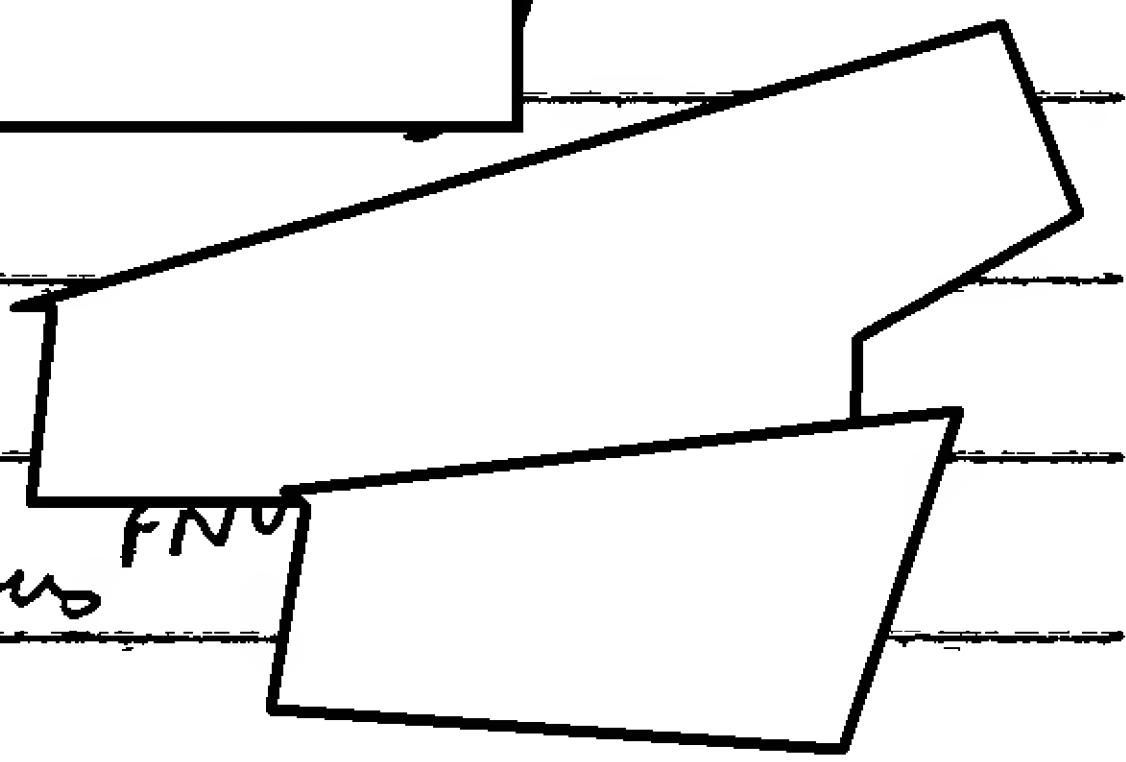
Windy City Labor exchange from Sept 82
und to use Laborama - Madist ST,



Transfers Local 705 Drivers BA-

Local 3 clerical/warehouse

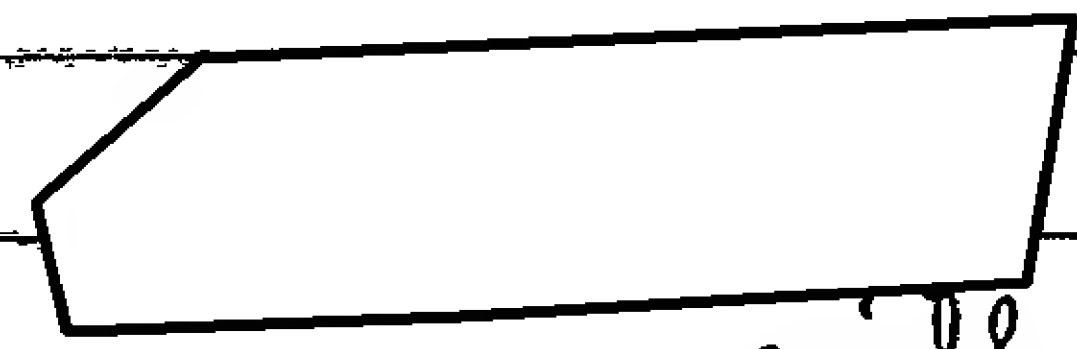
Local 62 Salaries

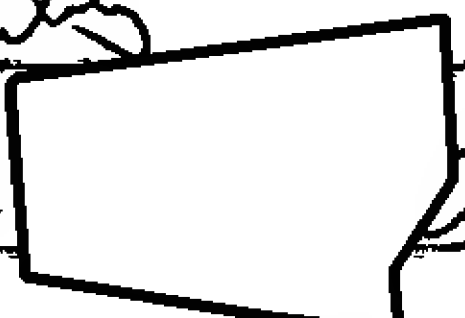



b6
b7C

IL - W ^{laborers} L ^{equa} D ^{rat} A ^{assoc.} negotiates contracts,

Deal at W.C. with



Got info from back door that (for ^{possibly} ) that if they utilized W.C. and W.C. help us patiently, they would have no trouble with local 705 (Eliminate some locals) may also have informed Local 3

Knows  of Local 3
Had no conversation with Duff re W.C.

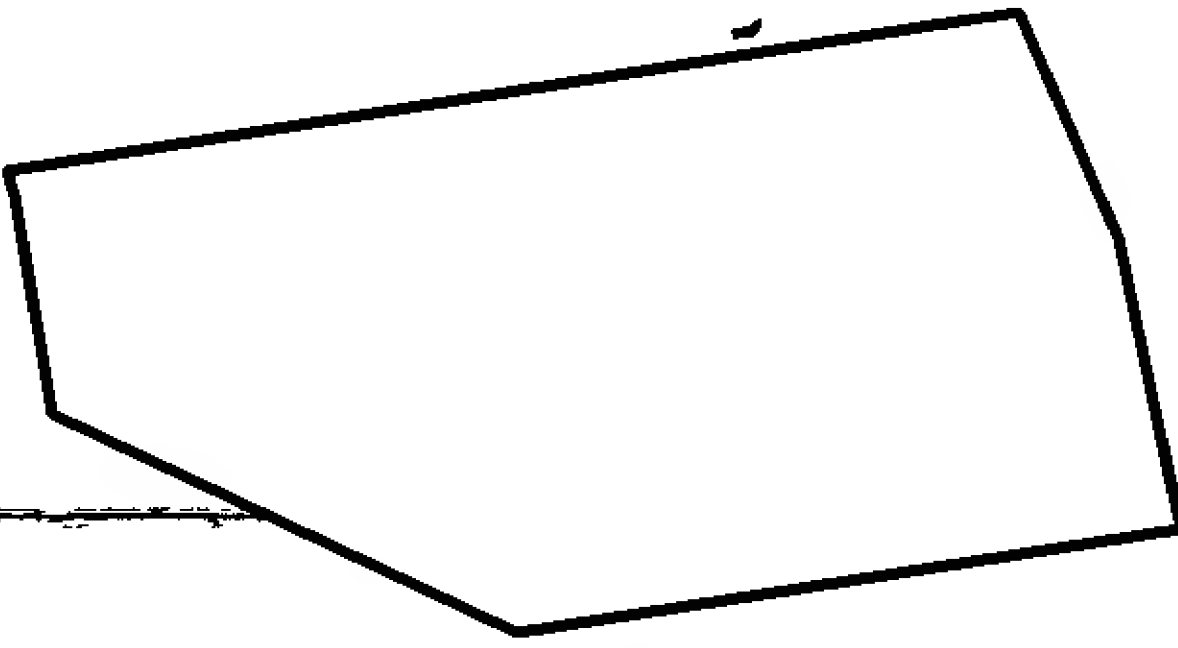
W.C. \$6/HOUR.

5/6/83

W.C. Temp labor like W.C.? Deal with W.C. at W.C.?
Why kind city?
Know Duffo?
Last talked to?
How much?

Cold Seal Liquors,
207 W. Harrison

b6
b7c



Used to be in ~~mid city~~ Labranca

Knows the Duffo.

Contract Local 3 62, 703
negotiated by B.L. Whole Liquor Pub. Assoc.

No pressure to use W.C. or have union pub. Assoc.

Possible suspension of Union contract
to use temp labor.

Use Temp labor as warehouse employees
(possible violation of Union Local 3 contract)
Has had no complaints from Local 3 or
the unions re hiring of temp help.

May hire several temp laborers a week, depending
on volume over the month.

Field File No. 195 A-75-1A3

OO and File No. CG

Date Received 6/9/83

From CG FBI
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By
(NAME OF SPECIAL AGENT)

To Be Returned <input type="checkbox"/> Yes	Receipt Given <input type="checkbox"/> Yes
<input type="checkbox"/> No	<input type="checkbox"/> No
<input type="checkbox"/> Yes	Grand Jury Material- Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure
<input type="checkbox"/> No	

Description:

Interview notes
re

b6
b7c

Continental Distributors
9800 Balsoral

[Handwritten signature]

6-9-88

1) [Redacted]

2) Local 3 - Warehouse / Clerical

Local 62 - Salesmen

Local 705 - Drivers

3) [Redacted]

4) ≈ 1 year ago - Jack Duff

Asked if would use labor service
No threats

b6
b7c

5) Dealt w/ Jack Duff

Pay \$6/HR to Windy City

Paid \$5/HR to Laborama

6) At Windy City, Company deals w/

[Redacted]

7) Call last month from [Redacted]
Asked if FBI but to see

705 - [Redacted]

8) 124,390.65 7/82 - Present paid
To Windy City

9) Assn 7 Companies FLL WHOLE LIQ DEAL
ASSN

Federated
Judge & Dolph
Romans Bros
Gold Seal
Union
Capital
Continental

10) [redacted] [redacted]
for bargain

b6
b7c

~~11) \$5,000 to [redacted] for services~~

12) Do not follow any hiring hall
practice re Local 30

Field File No. _____

OO and File No. CG-195A-75-4

Date Received _____

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By
(NAME OF SPECIAL AGENT)

To Be Returned Yes

No

Yes

No

Receipt Given Yes

No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

Photo and vehicle info |
re

b6
b7C

Date 9/25/83

Birth Credit Criminal Death INS Marriage* Motor Vehicle Other _____
 Driver's License

To _____ Buded _____

Return to _____ File number x223 195A-75

Name and alias _____
~~_____~~

Addresses
Residence _____
Business _____
Former _____

* Date and place of marriage (if applicable) _____

Race h Sex Male Female Age _____ Height 5-3" Weight 105 Hair _____ Eyes _____

Birth date _____ Birthplace _____
Fingerprint classification _____ Criminal specialty _____

Specific information desired CPD arrest record + photo Social Security Number _____

Results of check _____

Alpha _____
Special Clerk

No Rec
BASED ON INFO FURNISHED, NO IDENTIFIABLE RECORD IN AVAILABLE ARREST RECORDS

SEP 29 1983

b6
b7C

Date 10/4/83

Birth Credit Criminal Death INS Marriage* Motor Vehicle Other _____
 Driver's License

To Terminal Buded _____

Return to [Redacted] File number 195A-25

Name and [Redacted]

Addresses
Residence [Redacted]
Business [Redacted]
Former _____

b6
b7C

* Date and place of marriage (if applicable) _____

Race W Sex Male Female Age _____ Height 5'3" Weight 105 Hair _____ Eyes _____

Birthplace [Redacted]

Arrest number _____ Fingerprint classification _____ Criminal specialty _____

Specific information desired Drivers license info and all vehicles Social Security Number _____

Results of check registered

OCT 4 1983
Jaw/Ejm

LEADS INFO ATTACHED:
GUN REGISTRATION
X - DRIVERS LICENSE INFO
X - M.V. REGISTRATION

Field File No. _____

OO and File No. CG 1980-75-5

Date Received _____

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By

To Be Returned Yes

No

Yes

No

Receipt Given Yes

No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

Photos of

CPD arrest reports of

b6
b7C

Date 11/12/82

Birth Credit Criminal Death INS Marriage* Motor Vehicle Other _____
 Driver's License

To	Buded
Return to	File number
Name and address of employee, and spouse	

LA 356 *195A-75*

Addresses

Residence _____

Business Vehicles observed parked in front

Former of Waring City Lohov

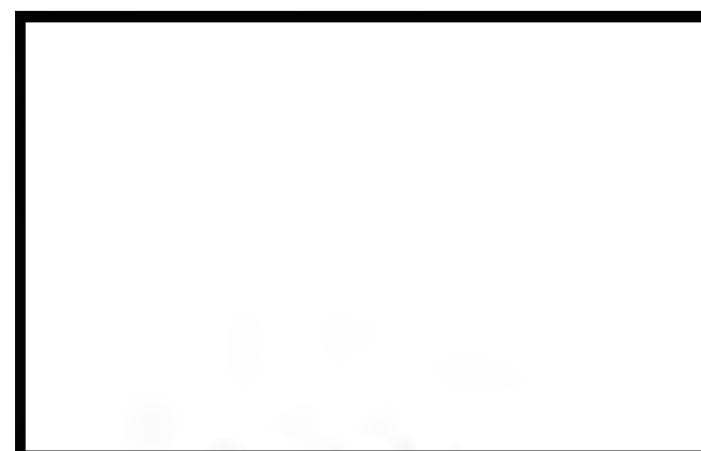
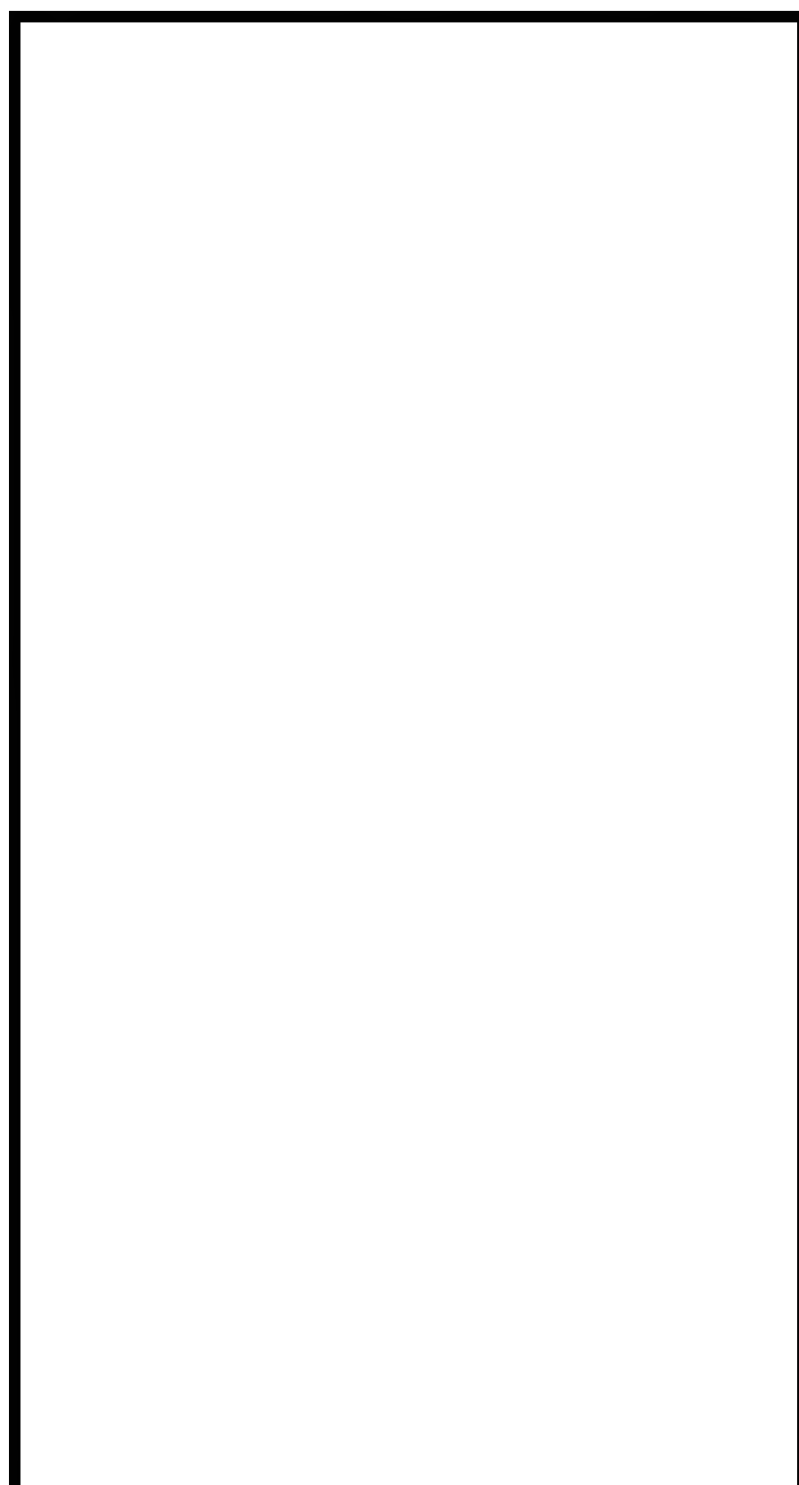
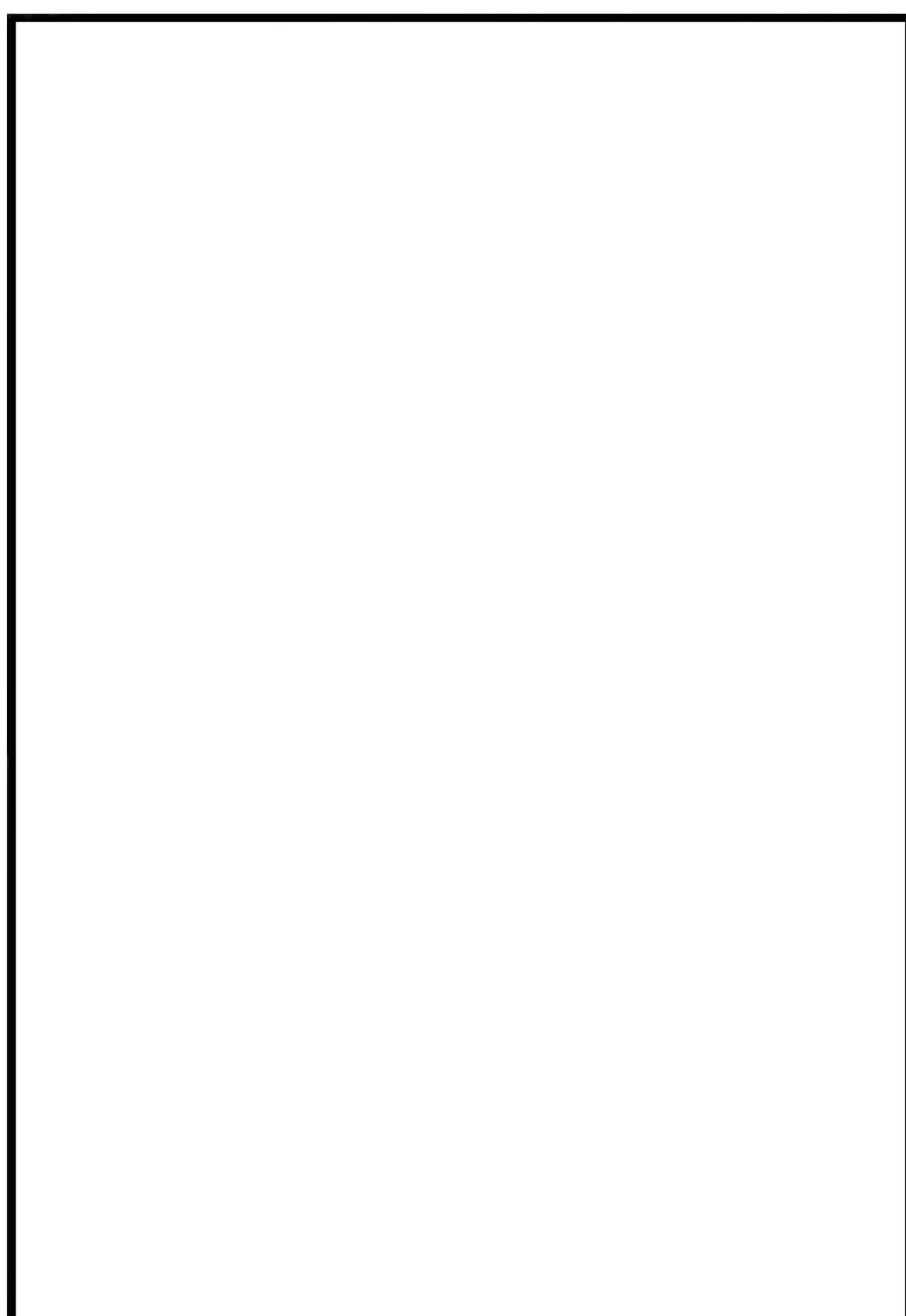
1043 W. Madison.

* Date and place of marriage (if applicable) _____

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
Birth date	Birthplace					
Arrest Number	Fingerprint classification			Criminal specialty		

Specific information desired Need driver license info for following IL plates Social Security Number _____

Results of check



NOV 12 1982

~~SSA~~
Same license plate
as driven by suspects
in 9/30/81
CPD Stop

(Use reverse side, if necessary)

b6
b7c

Date 11/16/82

- Birth
 Credit
 Criminal
 Death
 INS
 Marriage*
 Motor Vehicle
 Other _____
 Driver's License

To Buded _____

Return to File number 195A-75

Name and aliases of subject, applicant, or employee, and spouse

Addresses
Residence _____
Business _____
Former _____

* Date and place of marriage (if applicable) _____

Race	Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
------	--	-----	--------	--------	------	------

Birth Birthplace _____

Arrest number Fingerprint classification _____ Criminal specialty _____

Specific information desired Need CPP rap sheet & photo Social Security Number _____

Results of check CPP IR#
✓

NOV 19 1982

Special Cr.

b6
b7c

DOC LAB NOTE

ITEM (S)
CAN NOT
BE SCANNED

DESCRIPTION

2 Negatives

Field File No. _____

OO and File No. CG 195A-75-6

Date Received _____

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By _____

(NAME OF SPECIAL AGENT)

To Be Returned Yes

No

Yes

No

Receipt Given Yes

No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

b6
b7C

Description:

Photo and CPD, FBI

Arrest records re



ICRDL

Date 9/26/83

Birth Credit Criminal Death INS Marriage* Motor Vehicle Other _____
 Driver's License

To	[Redacted]	Buded
Return to	[Redacted]	File number
Name and alias	byee, and spouse	
	[Redacted]	

BA 1223

195A-75

Addresses

Residence _____ [Redacted]

Business _____ [Redacted]

Former _____

b6
b7C

* Date and place of marriage (if applicable) _____

Race	Sex	Age	Height	Weight	Hair	Eyes
W	<input type="checkbox"/> Male <input checked="" type="checkbox"/> Female		115	54		
B	Birthplace					
Arrest Number	Fingerprint classification			Criminal specialty		

Specific information desired	Social Security Number
CPD Arrest record photos	

Results of check

Special Clerk

Alpha ~~FR#~~
 B35981
 SEP 29 1983
 118

Field File No. _____

OO and File No. CG 195A-75 1A(c) 9

Date Received 7/16/85

From Bulley Exhibits / [Redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By SA [Redacted]
(Name of Special Agent)

To Be Returned Yes No Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure Yes No

Title:

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of _____

FD192 Bulley Exhibit 195A-75-181 Evidence Copy

b6
b7C

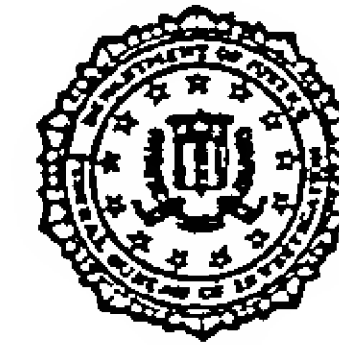
FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 39

Page 17 ~ b6, b7C
Page 18 ~ b6, b7C
Page 21 ~ b6, b7C
Page 22 ~ b6, b7C
Page 24 ~ b6, b7C
Page 26 ~ b6, b7C
Page 27 ~ b6, b7C
Page 28 ~ b6, b7C
Page 29 ~ b6, b7C
Page 30 ~ b6, b7C
Page 31 ~ b6, b7C
Page 32 ~ b6, b7C
Page 33 ~ b6, b7C
Page 34 ~ b6, b7C
Page 35 ~ b6, b7C
Page 36 ~ b6, b7C
Page 37 ~ b6, b7C
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Page 51 ~ b6, b7C
Page 54 ~ b6, b7C
Page 55 ~ b6, b7C
Page 56 ~ b6, b7C
Page 57 ~ b6, b7C
Page 58 ~ b6, b7C
Page 59 ~ b6, b7C
Page 60 ~ b6, b7C
Page 61 ~ b6, b7C
Page 62 ~ b6, b7C
Page 64 ~ Duplicate

Memorandum



To : SAC, MIAMI (281A-MM-67889) (P)

Date 3/8/94

From : SA [redacted] (OC-1)

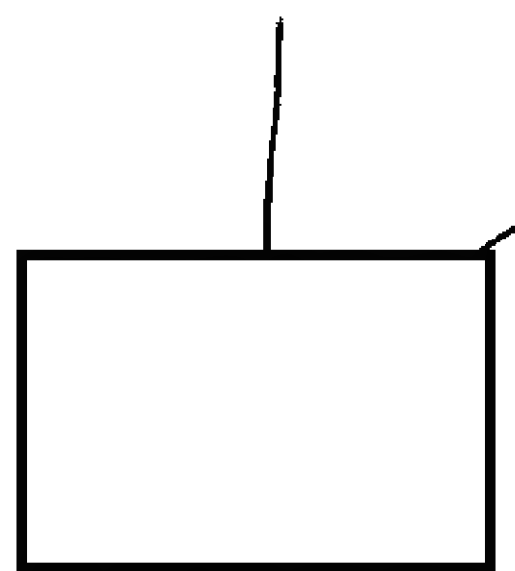
Subject: [redacted] AKA;
ET AL;
OC/DI-LCN-GAMBINO FAMILY;
GENOVESE FAMILY;
OO: MIAMI

The purpose of this memo is to request the following subfiles be opened regarding captioned case:

- SUB AA12 - JOHN DUFF, SR.
- SUB AA13 - [redacted]

b6
b7C

②-281A-MM-67889
JRS:bjm
(2)



OCVA 281A-MM-67889-
SUB AA 10 =

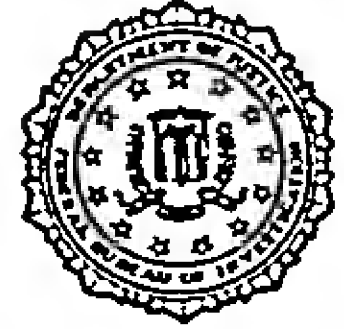
INDEXED
FILED

map

FBI - MIAMI

"KEEP AS TOP SERIAL"

Memorandum



To : SAC, MIAMI (281A-MM-67889) (OC-1) (P) Date 3/29/94

From : SA [redacted]

b6
b7C

Subject: [redacted] et al.
OC/DI-LCN-GENOVESE FAMILY; GAMBINO FAMILY;
OO: MIAMI

Due to the high volume of subscriber checks necessary for captioned matter, it is requested that the following sub-file be opened to facilitate the handling of this matter:

TEL.
Sub ~~o~~ Subscriber Checks

Handwritten signature/initials in a circle

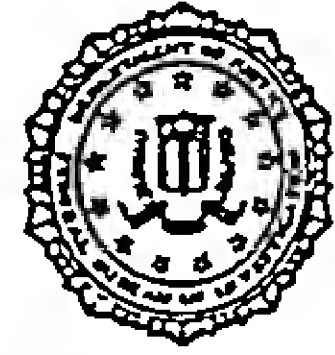
281A-MM-67889-SUB AA10 =

1-281A-MM-67889 (Each Sub file)
ER:emr

Handwritten marks and initials in a box

"KEEP AS TOP SERIAL"

Memorandum



To : SAC, MIAMI (281A-MM-67889) (OC-1) (P) Date : 6/10/94

From : SA [redacted]

Subject: [redacted] et al.
OC/DI-LCN-GENOVESE FAMILY; GAMBINO FAMILY;
OO: MIAMI

b6
b7C

Due to the high volume of subscriber checks necessary for captioned matter, it is requested that the following sub-file be opened to facilitate the handling of this matter:

Sub R FD-209s

MPJ

1-281A-MM-67889 (Each Sub file)

ER:emr

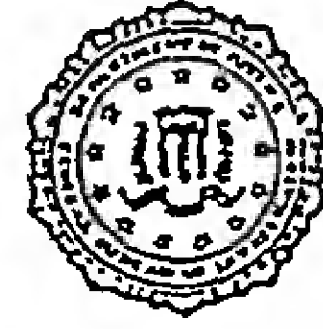
emr

281A-MM-67889-SUB AA 10 =

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 11 1994	
FBI - MIAMI	

"KEEP AS TOP SERIAL"

Memorandum



To : SAC, MIAMI (281A-MM-67889) (OC-1) (P) Date 5/6/94

From : SA [redacted]

Subject: [redacted] et al.
OC/DI-LCN-GENOVESE FAMILY; GAMBINO FAMILY;
OO: MIAMI

b6
b7c

Due to the high volume of subscriber checks necessary for captioned matter, it is requested that the following sub-file be opened to facilitate the handling of this matter:

Sub T Search Warrant Information

281A-MM-67889-SUB AA10-

①-281A-MM-67889 (Each Sub file)
ER:emr

u

pg open sub T

"KEEP AS TOP SERIAL"

WPS

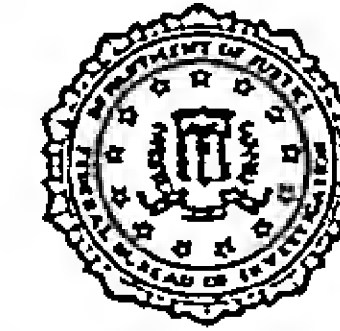
SEARCHED	INDEXED
SERIALIZED	FILED
MAY 18 1994	
FBI - MIAMI	

281A-MM-67889

Sub B Incoming Teletypes/Airtels
Sub C Outgoing Teletypes/Airtels
Sub D Subpoenas
Sub D-1 Federal Grand Jury (FGJ) Subpoenas,
Sub D-2 FGJ Subpoenas
Sub D-3 FGJ Subpoenas
Sub D-4 Administrative Subpoenas
Sub E ELSUR Administration (Title III/Consensual)
Sub F Financial
Sub H Newspaper Articles
Sub J Background Checks/General (Savannah
Information Technology Center Requests)
Sub K Business/Corporation Checks
Sub L Title III Affidavits/Court Orders
Sub P Pen Register Matters
Sub P-1
Sub P-2
Sub P-3
Sub P-4
Sub P-5
Sub S Seizures
Sub X ELSUR Matters
Sub Y Squad Surveillances
Sub Z SOG Surveillances

b3
b6
b7C

Memorandum



To : SAC, MIAMI (281A-MM-67889)
ATTN: [redacted]

Date 3/18/94

b6
b7C

From : SAVANNAH INFORMATION TECHNOLOGY CENTER
INVESTIGATIVE INFORMATION SERVICES

Subject: [redacted]
DISTILLERY WORKERS UNION #21205

Attached are copies of Investigative Information Services printouts setting forth results of inquiries conducted by Investigative Information Services. Also attached are two copies of an accomplishment/reply form. It is requested that you record the Investigative Information Services accomplishments on this form, return one copy to the Investigative Information Services, and maintain one copy together with the computer printouts as a serial in your case file.

Set forth below is a brief synopsis of results of inquiries.

SSAN 345-14-7471 is associated with JOHN DUFF/JOHN F DUFF, [redacted] number is valid, issued for state of Illinois, during period from 1936 through 1950. Printouts furnished re assets owned in IL and FL. WIRTZ REALTY CORP AGT shown as owner of 3240 Lake Shore Dr N, Chicago, IL. UCC data furnished from various states. Corporate data furnished re name [redacted] additional printouts furnished re corporate data in various states; Registered Agent info furnished for FL re JOHN DUFF. Printouts also furnished re a [redacted] [redacted] re corporate and UCC data. All other searches were negative.

[Handwritten signature]
OCIS YES
Sub AA10-10
b6
b7C

Should additional contact be necessary, this request was handled by Analyst [redacted], Telephone [redacted]

1 - Miami (Enc)
Attn: 281A Special Agent Supervisor
Note: Copy forwarded [redacted]
Via Mail / Facsimile _____ Date 3/18/94

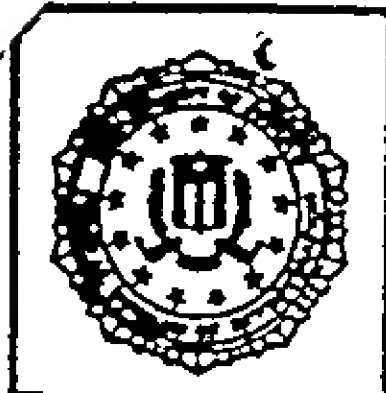
1 - SVITC
(2)

SEARCHED [initials] INDEXED [initials]
SERIALIZED [initials] FILED [initials]
MAR 21 1994
FBI - N. MIAMI BEACH
[Handwritten initials]

OCIS
R
E
NE

WST

21204 - 21205



INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Savannah Information Technology Center

220 East Bryan Street
Savannah, Georgia 31401

- ▶ Commercial Telephone or FTS: (912) 944-0824 thru 0828
- ▶ FAX: (912) 231-1076 and (912) 231-0974
- ▶ Secure FAX & STU III

ITC Use Only: SITC Record #: 21204

Date/Time In: 3/7 12:56 am pm

Date/Time Out: 3/18 11:20 am pm

Database(s) Used:

1. EQ	5. PH	9. _____
2. VIL	6. PA	10. _____
3. VIL	7. PA	11. _____
4. SV		12. _____

Handled B

TO: FBI, SAVANNAH INFORMATION TECHNOLOGY CENTER

Date: 3-14-94

Forfeiture/Seizure Related: Type of Request: FAX Telcal Mail Reply: FAX Telcal Mail

Requestor: _____ Phone #: (305) 707-6594 FAX #: _____ UCFN: 201A-MM-67809

(Requestor Name is Required)

(The UCFN (File #) is Required)

Office/RA: MIAMI Precedence: ROUTINE PRIORITY IMMEDIATE

Fugitive: Yes No NCIC Activity/Date: _____

CCH Conducted: Yes No Off-Line Searches Conducted: _____

Driver's Lic. Conducted: Yes No Subject: Yes No Vehicle: Yes No Driver's License: Yes No

Driver's License #: _____ State: _____ Vehicle Registration: _____ State: _____

SEARCH CRITERIA (Attach additional sheets if necessary)

Name - Last: DUFF, JR. First: JOHN Middle: F

Alias: JACK DUFF, JR. Sex: M DOB1: 1/1/ DOB2: 1/1/

SSAN1: 345-14-7471 SSAN2: - - Spouse: _____

RESIDENCE

Street Address: _____ City/State: _____ Zip: _____ Phone: _____

BUSINESS

Business Name: DISTILLERY WORKERS UNION Street Address: _____

City/State: CHICAGO, IL Zip: _____ Phone: _____ Business ID#: _____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)

- 1. Specific Information Desired _____
- 2. Determine All Individuals Associated with Social Security Number(s)
- 3. Report Validity of Social Security Number
- 4. Employment Report (subject to availability) **INQUIRY WILL POST TO CONSUMER'S ACCOUNT**
- 5. Determine Who is Associated with Telephone Number(s)
- 6. Determine Address of Business/Person (U.S. FL, IL State(s))
- 7. Determine Property Owned by Individual (U.S. FL, IL State(s))
- 8. Determine Who Owns Property Listed Above
- 9. Determine Who Resides at Address Listed Above
- 10. Determine Financial Background Info, Financial Associates/Institutions (NOT FULL CREDIT REPORTING)
- 11. Determine Corporate Business Info/Institutions Associated with: _____ (Person/Business)

Reply From: FBI, Savannah Information Technology Center (SITC)

Return Reply To: SAC, Miami
Attention: _____

060125

Based on search criteria, marked records are attached:

- Possible Identifiable Records
- Other Peripheral Information
- Brief Synopsis of Information Found
- No Information Found

b2
b6
b7c

***** EQUIFAX DTEC *****
FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP

DATE: 03-18-1994 TIME: 08:11:55 SUBJECT ID: DTEC
TEC-345-14-7471.

SSN ISSUED PRIOR-1951 STATE ISSUED-IL

11 OF 1 NM-DUFF, JOHN CA-3240, N LAKESHORE, DR, CHICAGO, IL, 60657
SS-345-14-7471 &

END OF REPORT EQUIFAX AND AFFILIATES - 03/18/94

*** END OF REPORT ID: 03-18-1994/08:11:55 (ORN: 201) ***

***** TRANS UNION TRACE *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 03-18-1994 TIME: 08:18:49 SUBJECT ID: TRACE

PRCE 345147471

TRANS UNION TRACE REPORT
FOR

QHO2870232
RPT ON
* SOCIAL SECURITY NUMBER TRACE *
NAME/SPOUSE
ADDRESS

MKT/SUB INFILE
15 SC
SSN
345-14-7471

DATE TIME
03/18/94 7:20CT
DOB

SSN OWNER
ADDR RPT DATE

SUBJECT
09/88

1. DUFF, JOHN F
3240 LAKE SHORE DR., CHICAGO IL. 60657

END OF NETWORK TRACE
COPYRIGHT 1993, TRANS UNION CORPORATION

GO
*** END OF REPORT ID: 03-18-1994/08:18:49 (ORN: 213) ***

***** TRW SOCIAL SEARCH *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 03-18-1994 TIME: 08:14:08 SUBJECT ID: SOCIAL SEARCH

9-345147471,V-04/042/3.34

PAGE 1 DATE 3-18-94 TIME 5:17:49 PCH02 V206

SSN: 345-14-7471

FULL NAME/ADDRESS:

JOHN F DUFF
3240 LAKE SHORE
CHICAGO IL 60657
FIRST RPTD: 10-88

YOB: 1925

SPOUSE:

ABBREVIATED NAME/ADDRESS:

JHN F DUFF
3240 L 60657

YOB: 1925
SPOUSE:

NOT TO BE USED FOR CREDIT GRANTING
MAY CONTAIN INFORMATION FOR MORE THAN ONE CONSUMER

END -- TRW SOCIAL SEARCH

*** END OF REPORT ID: 03-18-1994/08:14:08 (ORN: 209) ***

b6
b7c

5

V E R I S + DF

To end type 'Q'; for help type 'H'.

Type a Social Security Number: 345-14-7471

Social Security Number 345-14-7471:

- * was issued for the state of Illinois,
- * during the period from 1936 through 1950.

Type Date of Birth or RETURN: mo/dy/yr
01/01/25

If this is the correct date of birth, the person assigned this Social Security Number was from 10 to 25 years old at the time this number was issued and is 69 years old now.

You may begin typing a Social Security Number now.

LEVEL 1 - 12 OF 13 DOCUMENTS

PERSON LOCATOR

* * * THIS DATA IS FOR INFORMATION PURPOSES ONLY * * *

RESIDENT(S)

APPROXIMATE BIRTH DATE

(DUFF JR, JOHN F
[REDACTED] (MALE)

06/25
[REDACTED]

b6
b7C

ADDRESS: (3240 N LAKE SHRE DR 8A, CHICAGO, IL 60657-3909)

TELEPHONE NUMBER: (312-472-7434)

DWELLING-TYPE: 50-100/FAMILY

YEAR RESIDENCE FIRST LISTED IN PHONE BOOK: 84

APPROXIMATE HEAD OF HOUSEHOLD (HOH) BIRTH DATE: 06/25

* * * U.S. CENSUS BUREAU INFORMATION * * *

MEDIAN HOME VALUE BY CENSUS TRACT: \$ 245,800

7

LEVEL 1 - 24 OF 61 DOCUMENTS

***THIS DATA IS FOR INFORMATION PURPOSES ONLY. CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE ***

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

NAME: WINDY CITY CARTAGE COMPANY

TYPE: DOMESTIC CORPORATION

STATUS: GOOD STANDING

NOTE: Failure to timely file an annual report or pay franchise taxes may adversely affect a corporation's status. Review the "CURRENT TAX YEAR" information in the ANNUAL REPORT segment below.

DURATION: PERPETUAL

ANNIVERSARY MONTH: MAY

DATE OF INCORPORATION/QUALIFICATION: 05/11/1989

STATE OF INCORPORATION: ILLINOIS

REGISTERED AGENT: [Redacted]

REGISTERED OFFICE: [Redacted]
CHICAGO, ILL 60601-1506
COOK COUNTY

LAST CHANGE IN REGISTERED AGENT: 08/15/91

ANNUAL-REPORT:	PREVIOUS TAX YEAR	CURRENT TAX YEAR
	1993	1994

DATE ANNUAL REPORT FORM MAILED TO CORPORATION:	03/13/93	03/12/94
DUE DATE OF ANNUAL REPORT:	05/01/93	05/01/94
DATE ANNUAL REPORT FILED:	05/12/93	
DATE FRANCHISE TAX PAID:	05/12/93	
TAX FACTOR:	1.000000	1.000000
TAX AMOUNT PAID:	\$ 25.00	\$ 0.00
ANNUAL REPORT CAPITAL:	\$ 0.00	\$ 0.00
ILLINOIS CAPITAL:	\$ 0.00	\$ 0.00

b6
b7C

PRESIDENT: [Redacted]

SECRETARY: [Redacted]

HISTORY: REGULATED BY ILLINOIS COMMERCE COMMISSION: NO

PURPOSE: TRANSPORTATION - FREIGHT
CORPORATION NUMBER: 55516235

CURRENT CAPITAL AND STOCK INFORMATION:

LAST CHANGE IN CAPITAL: 05/11/1989

TAX CAPITAL: \$ 1,000

TOTAL CAPITAL: \$ 1,000

STOCK CLASS	AUTHORIZED SHARES	SHARES ISSUED	PAR VALUE	VOTING RIGHTS
COMM	10,000	1,000.00	0	YES

ENTER LEXDOC TO ORDER COPIES OF THE ORIGINAL FILING AND RELATED DOCUMENTS

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois
For: [REDACTED]

Thru Date: 03/14/94

Item Number: 0001
Name: CURTIS STORAGE AND TRUCKING COMPANY
Number: 56575294
Date: 10/18/1991
Originated: IL
Type: DOMESTIC BCA
Duration: PERPETUAL
Tax ID: 363799665
Status: IN GOOD STANDING

REGISTERED AGENT INFORMATION

[REDACTED]

CHICAGO 60601-1506

Assigned Date: 10/18/1991
In County of: COOK

PAGE 1 - press ENTER for next page, C for current, Q to quit: ◀
Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

b6
b7c

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois
For: [REDACTED]

Thru Date: 03/14/94

OFFICER/DIRECTOR INFORMATION

PRESIDENT: [REDACTED]

SECRETARY: [REDACTED]

OTHER INFORMATION

Corporation not regulated by outside Agents
No Letter Involved

CORPORATION CAPITAL INFORMATION

	Current Year	Previous Year
Change in Cap. occurred:	10/18/1991	
Sale of Stock & Capital:	\$1,000.00	
Franchise Tax Capital:	\$1,000.00	
Business % in State:	100.0000%	100.0000%

PAGE 2 - press ENTER for next page, P for prior, Q to quit: ◀
Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY
BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois
for:

Thru Date: 03/14/94

b6
b7C

STOCK INFORMATION

Stock Class: A
Auth. Shares: 1,000
No. of Shares: 1,000.000
Par Value: 1.00000
Voting Rights: YES

CORPORATE TAX INFORMATION

	Current Year	Previous Year
Franchise Taxes:	\$25.00	\$25.00
Fee Payment Date:	10/14/1993	09/22/1992
Tax Year Ends:	00/00/0000	

ANNUAL REPORT INFORMATION

	Current Year	Previous Year
Annual Rpt mailed on:	08/14/1993	08/15/1992
Batch # Annual Rpt:	5960	6218

END OF DATA

PAGE 3 - LAST PAGE.. enter P for prior page, or press ENTER to end detail: <
Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois

For:

Thru Date: 03/14/94

Item Number: 0001
Name: WINDY CITY LABOR SERVICE, INC.
Number: 52761956
Date: 06/15/1982
Originated: IL
Type: DOMESTIC BCA
Duration: PERPETUAL
Tax ID: 363182937
Status: IN GOOD STANDING

REGISTERED AGENT INFORMATION

CHICAGO 60601-1506

Assigned Date: 08/15/1991

In County of: COOK

PAGE 1 - press ENTER for next page, Q to quit: <

Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

b6
b7c

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois

For:

Thru Date: 03/14/94

OFFICER/DIRECTOR INFORMATION

PRESIDENT:

SECRETARY:

OTHER INFORMATION

Corporation not regulated by outside Agents
No Letter Involved

CORPORATION CAPITAL INFORMATION

	Current Year	Previous Year
Change in Cap. occurred:	06/15/1982	
Sale of Stock & Capital:	\$1,000.00	
Franchise Tax Capital:	\$1,000.00	
Business % in State:	100.0000%	100.0000%

PAGE 2 - press ENTER for next page, P for prior, Q to quit: <

Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY
BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois
For:

Thru Date: 03/14/94

b6
b7c

STOCK INFORMATION

Stock Class: COM
Auth. Shares: 1,000
No. of Shares: 1,000.000
Voting Rights: YES

CORPORATE TAX INFORMATION

	Current Year	Previous Year
Franchise Taxes:	\$25.00	\$28.75
Fee Payment Date:	05/11/1993	07/01/1992
Tax Year Ends:	00/00/0000	

ANNUAL REPORT INFORMATION

	Current Year	Previous Year
Annual Rpt mailed on:	04/10/1993	04/11/1992
Batch # Annual Rpt:	2963	4499

END OF DATA

PAGE 3 - LAST PAGE.. enter P for prior page, or press ENTER to end detail: ◀
Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Uniform Commercial Code (Name) Data for Illinois

For: [REDACTED]

Thru Date: 03/11/94

1 ORIG IL [REDACTED] 08/18/93

D: [REDACTED]

S: [REDACTED]

C: ACCOUNTS RECEIVABLE, INVENTORY, CONTRACTS, CONTRACT RIGHTS

Enter request: ◀

Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

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PRENTICE HALL DISCONTINUED LOCAL DATA COLLECTION IN JANUARY 1988 AND RESTARTED IN SEPTEMBER 1993.

Detail Display of Judgment (Name) Data for Illinois

For: [REDACTED]

1 SMALL CLAIMS 04/16/93 [REDACTED]

D: [REDACTED]

CT: [REDACTED]

PL: [REDACTED]

END OF DATA

Enter request: ◀

Alt-Z FOR HELP | ANSI | FDX | 2400 E71 | LOG CLOSED | PRINT OFF | ON-LINE

THIS DATA IS FOR INFORMATION PURPOSES ONLY, CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE.

Detail Display of Officer/Director Data for Illinois

For: [REDACTED]

Thru Date: 03/14/94

Item Number: 0001

Name: CURTIS STORAGE AND TRUCKING COMPANY

***** TRW ADDRESS UPDATE *****
FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP

DATE: 03-21-1994 TIME: 07:05:18 SUBJECT ID: ADDRESS UPDATE

[REDACTED]

1 03/21/94 04:21:30 CI01 206 DUFF TIL1

-----*ATTN* FILE VARIATION: MID INIT IS D/OTHER FILE IDENT: SS# IS [REDACTED]
YOB IS [REDACTED]

3-94 [REDACTED]
I [REDACTED]
03-20-94

b6
b7C

-----*ATTN* FILE VARIATION: MID INIT IS D/OTHER FILE IDENT: SS# IS [REDACTED]
YOB IS [REDACTED]

5-91 [REDACTED]
U [REDACTED]
05-14-91

-----*ATTN* FILE VARIATION: 1ST NAME IS JHN, STREET INIT IS L, ZIP IS 60657
/OTHER FILE IDENT: SS# IS 345147471, YOB IS 1925, SPOUSE INIT IS P

10-88 JOHN F DUFF
3240 LAKE SHORE DR
CHICAGO IL 60657
10-01-88

-----*ATTN* FILE VARIATION: STREET INIT IS L, ZIP IS 60657/OTHER FILE IDENT:
SS# IS [REDACTED] SPOUSE INIT IS P

10-93 [REDACTED]
I [REDACTED]
10-13-93

ADDRESS LOCATED REPORT TYPE G
NOT TO BE USED FOR CREDIT GRANTING.
MAY CONTAIN INFORMATION FOR MORE THAN ONE CONSUMER
-----END

*** END OF REPORT ID: 03-21-1994/07:05:18 (ORN: 519) ***

***** TRW SOCIAL SEARCH *****
FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP

DATE: 03-21-1994 TIME: 14:33:15 SUBJECT ID: SOCIAL SEARCH

3-345147471,V-04/042/3.34

PAGE 1 DATE 3-21-94 TIME 11:49:29 PCF02 V406

SSN: 345-14-7471

FULL NAME/ADDRESS:

JOHN F DUFF
3240 LAKE SHORE
CHICAGO IL 60657
FIRST RPTD: 10-88

YOB: 1925

SPOUSE: J

ABBREVIATED NAME/ADDRESS:

JHN F DUFF
3240 L 60657

YOB: 1925

SPOUSE:

NOT TO BE USED FOR CREDIT GRANTING
MAY CONTAIN INFORMATION FOR MORE THAN ONE CONSUMER

END -- TRW SOCIAL SEARCH

*** END OF REPORT ID: 03-21-1994/14:33:15 (ORN: 547) ***

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6

***** TRW SOCIAL SEARCH *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 03-21-1994 TIME: 14:33:23 SUBJECT ID: SOCIAL SEARCH

9-361142321,V-04/042/3.34

PAGE 1 DATE 3-21-94 TIME 11:49:36 PCF02 V306

SSN:

FULL NAME/ADDRESS:

JOHN DUFF
3240 LAKE SHORE
CHICAGO IL 60657
FIRST RPTD: 10-93I

SPOUSE:



b6
b7c

NOT TO BE USED FOR CREDIT GRANTING
MAY CONTAIN INFORMATION FOR MORE THAN ONE CONSUMER

END -- TRW SOCIAL SEARCH

*** END OF REPORT ID: 03-21-1994/14:33:23 (ORN: 548) ***

***** TRANS UNION TRACE *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 03-21-1994 TIME: 07:30:45 SUBJECT ID: TRACE

TRCE [REDACTED]

TRANS UNION CREDIT REPORT
FOR

QHO2870232
RPT ON

MKT/SUB INFILE DATE TIME
15 SC 03/21/94 6:45CT.
SSN DOB

* SOCIAL SECURITY NUMBER TRACE *
NAME/SPOUSE
ADDRESS

[REDACTED]

SSN OWNER
ADDR RPT DATE

b6
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1.

[REDACTED]

SUBJECT
03/94
02/93

END OF NETWORK TRACE
COPYRIGHT 1993, TRANS UNION CORPORATION

GO
*** END OF REPORT ID: 03-21-1994/07:30:45 (ORN: 534) ***

REQUEST 21217

Summary of Registered Agent Data for Florida

For: DUFF, JOHN

1 1 DUFF, JOHN
2 1 DUFF, JOHN
3 1 DUFF, JOHN
4 1 DUFF, JOHN
5 1 DUFF, JOHN

6 1
7 1
8 1
9 1
10 1
11 1
12 1
13 1
14 1
15 1



End of list

Summary of Registered Agent Data for Florida

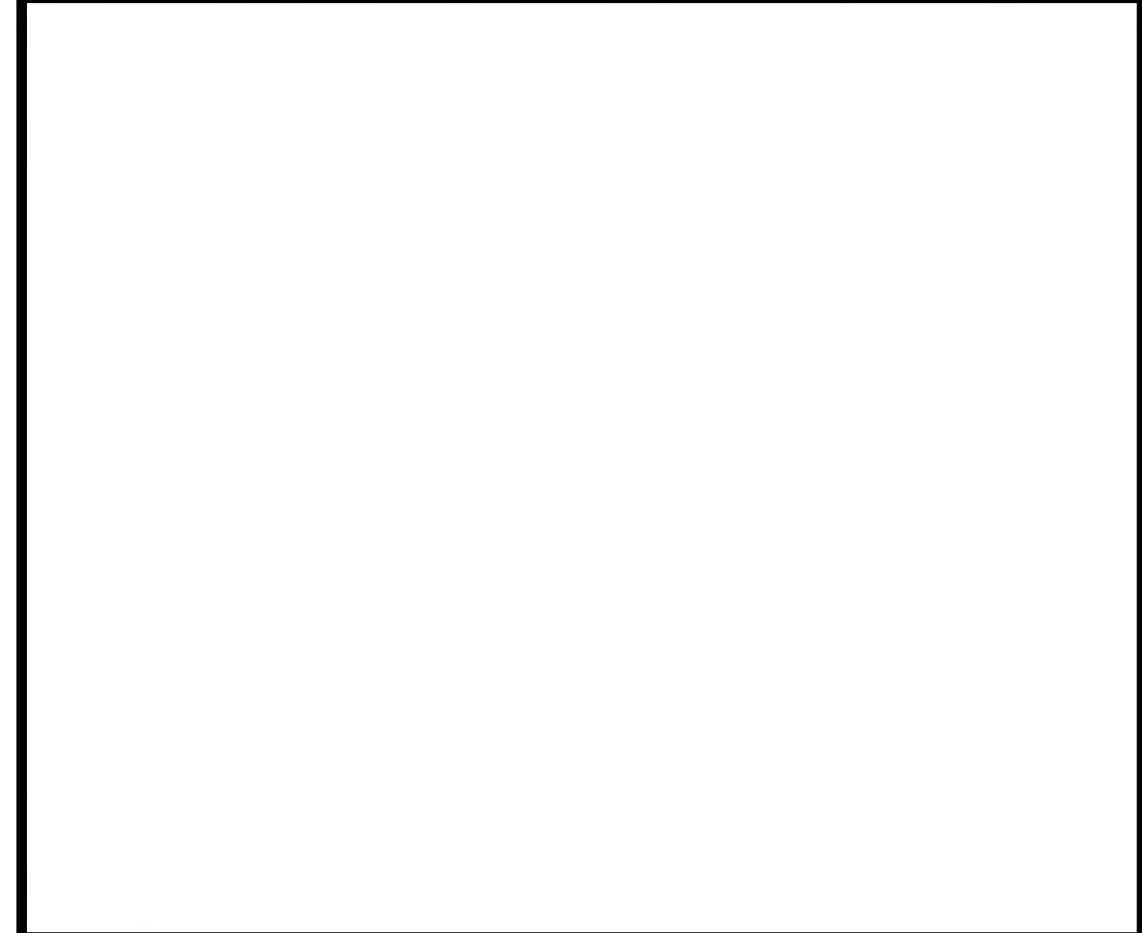
For: DUFF, JOHN

Summary of Registered Agent Data for Florida

For: DUFF, JOHN

1 1 DUFF, JOHN
2 1 DUFF, JOHN
3 1 DUFF, JOHN
4 1 DUFF, JOHN
5 1 DUFF, JOHN

6 1
7 1
8 1
9 1
10 1
11 1
12 1
13 1
14 1
15 1



End of list

Summary of Registered Agent Data for Florida

For: DUFF, JOHN

Summary of Registered Agent Data for Florida

For: DUFF, JOHN

1 1 DUFF, JOHN
2 1 DUFF, JOHN
3 1 DUFF, JOHN
4 1 DUFF, JOHN
5 1 DUFF, JOHN

6 1



b6
b7c

Universal Directory of ALL Data for Illinois

For: DUFF, JOHN

- 1 Corporate IL - No Record on PH Online
 - THRU 03/15/94
 - 2 Uniform Commercial Code (Name) Illinois
 - 3 Tax Lien, Name IL - No Record on PH Online
 - 4 Judgment (Name) IL - No Record on PH Online
 - 5 Bankruptcy (Name) IL - No Record on PH Online
 - 6 Officer/Director Illinois
 - 7 Registered Agent Illinois
 - 8 Secured Party (Name) IL - No Record on PH Online
 - THRU 03/11/94
 - 9 Tax Assessor (Name) Illinois
 - 10 Grant Deed (Name) Illinois
 - 11 Federal Aviation Admin. IL - No Record on PH Online
 - 12 Watercraft Nationwide
- END OF LIST

Summary of Uniform Commercial Code (Name) Data for Illinois

For: DUFF, JOHN

Thru

Date: 03/11/94

- 1 1 [Redacted]
 - 2 1 [Redacted]
- End of list

Detail Display of Uniform Commercial Code (Name) Data for Illinois

For: DUFF, JOHN

Thru

Date: 03/11/94

- 1 ORIG IL 003157601 08/18/93
- D: [Redacted]
- S: [Redacted]
- C: ACCOUNTS RECEIVABLE, INVENTORY, CONTRACTS, CONTRACT RIGHTS

Summary of Officer/Director Data for Illinois

For: DUFF, JOHN

Thru

Date: 03/15/94

- 1 1 [Redacted]
- PRESIDENT
- 2 (2) 1 [Redacted]
- SECRETARY
- WINDY CITY CARTAGE COMPANY
- 3 1 [Redacted]
- PRESIDENT
- 4 1 [Redacted]
- SECRETARY
- 5 1 [Redacted]
- SECRETARY
- 6 1 [Redacted]
- PRESIDENT

b6
b7C

QUINTERRA DEVELOPMENT COMPANY

End of list

Detail Display of Officer/Director Data for Illinois

For: [Redacted]

Thru

Date: 03/15/94

Item Number: 0001

Name: WINDY CITY CARTAGE COMPANY

Number: 55516235

Date: 05/11/1989

Originated: IL

Type: DOMESTIC BCA

Duration: PERPETUAL

Tax ID: 000000000

Status: IN GOOD STANDING

REGISTERED AGENT INFORMATION

[Redacted]

CHICAGO 60601-1506

Assigned Date: 08/15/1991

In County of: COOK

b6
b7C

Detail Display of Officer/Director Data for Illinois

For: [Redacted]

Thru

Date: 03/15/94

OFFICER/DIRECTOR INFORMATION

PRESIDENT:

[Redacted]

SECRETARY:

OTHER INFORMATION

Corporation not regulated by outside Agents

No Letter Involved

CORPORATION CAPITAL INFORMATION

Current Year

Previous

Year

Change in Cap. occurred: 05/11/1989

Sale of Stock & Capital: \$1,000.00

Franchise Tax Capital: \$1,000.00

Business % in State: 100.0000%

100.0000%

Detail Display of Officer/Director Data for Illinois

For: [Redacted]

Thru

Date: 03/15/94

STOCK INFORMATION

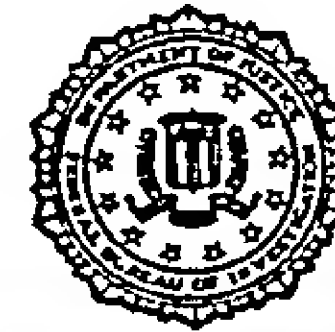
Stock Class: COMM

Auth. Shares: 10,000

No. of Shares: 1,000.000

Voting Rights: YES

Memorandum



To : SAC MIAMI

Date 3/24/94

From : SA [Redacted]

Subject: [Redacted]

b2
b6
b7C
b7D

Dates of Contact <p style="text-align: center;">2/27/94</p>										
File #s on which contacted (Use Titles if File #s not available) <p style="text-align: center;">281A-MM-67889</p> <hr/> <hr/> <hr/> <hr/>										
Purpose and results of contact <input type="checkbox"/> NEGATIVE <input checked="" type="checkbox"/> POSITIVE <input type="checkbox"/> STATISTIC										
Description of Statistical Accomplishment	Title of Case	File No.								
(SEE ATTACHED FD-302)										
<p>281A-mm-67889 SUB AA10-6</p>										
<p>Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.</p>										
PERSONAL DATA <p style="text-align: center;">① - 281A-MM-67889</p>		<table border="1"> <tr> <td>SEARCHED <input checked="" type="checkbox"/></td> <td>INDEXED <input checked="" type="checkbox"/></td> </tr> <tr> <td>SERIALIZED <input checked="" type="checkbox"/></td> <td>FILED <input checked="" type="checkbox"/></td> </tr> <tr> <td colspan="2" style="text-align: center;">MAY 13 1994</td> </tr> <tr> <td colspan="2" style="text-align: center;">FBI - MIAMI</td> </tr> </table>	SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>	SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>	MAY 13 1994		FBI - MIAMI	
SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>									
SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>									
MAY 13 1994										
FBI - MIAMI										

AA 2
AA 10

20017
2/13

wfp

JRS/baj
1/15

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/24/94

On February 27, 1994, Cooperating Witness (CW) stated that [redacted] meets with [redacted] JOSEPH DEMARCO, [redacted] each morning at "BARBARA'S RESTAURANT" located in the lobby of the SEA AIR TOWERS, 3725 S. Ocean Drive, Hollywood, Florida. CW further advised that JACK DUFF, Sr., when in town, has lunch with [redacted] everyday in this restaurant.

b6
b7C
b7D

CW further advised that JOSEPH "Joey" DEMARCO handles settlements of the bookmaking operation [redacted]

[redacted]

[redacted]

[redacted]

[redacted]

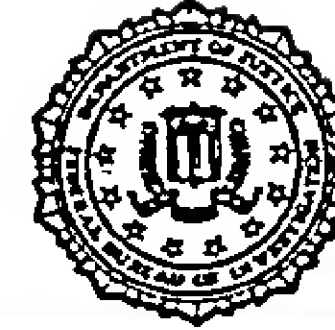
CW advised that when [redacted] is in town he settles with the [redacted] to or from WINDY CITY MAINTENANCE in Chicago. [redacted]

b6
b7C
b7D

Investigation on 2/27/94 at [redacted] File # 281A-MM-67889

by SA [redacted] JRS/baj Date dictated 3/4/94

Memorandum



To : SAC MIAMI

Date

From : SA (OC-1)

Subject:

b2
b6
b7C
b7D

Dates of Contact

File #s on which contacted (Use Titles if File #s not available)
 281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.

OCIS
 R
 E
 NE

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

281A-MM-67889-Sub AA 107-22

PERSONAL DATA

① - 281A-MM-67889

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 13 1994	
FBI - MIAMI	

JRS:llm
(3) llm

FEDERAL BUREAU OF INVESTIGATION

Date of transcription

On the COOPERATING WITNESS (CW) provided the following information to Special Agent (SA)

CW advised that on JOHN "JACK" DUFF, SR., and were traveling to the Bahamas to conduct an unknown money transaction.

CW advised that these individuals were returning on the evening of March 23, 1994.

b6
b7C
b7D

Investigation on at File # 281A-MM-67889
by SA JRS:llm Date dictated

b6
b7C
b7D

Memorandum



To : SAC MIAMI

Date 3/30/94

From : SA [redacted] (OC-1)

Subject: [redacted]

b2
b6
b7C
b7D

Dates of Contact 3/24/94
File #s on which contacted (Use Titles if File #s not available) 281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.

OCIS
R
E
NE

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

281A-MM-67889-23

PERSONAL DATA	SEARCHED _____ INDEXED _____ SERIALIZED _____ FILED _____
(1) - 281A-MM-67889	MAY 13 1994

JRS:llm
(3) llm

not

FBI - MIAMI

23

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/30/94

On March 24, 1994, the COOPERATING WITNESS (CW) provided the following information to Special Agent (SA) [redacted]

[redacted]

[redacted]

[redacted]

[redacted]

CW advised that [redacted]

[redacted]

[redacted]

CW furnished the following telephone numbers and locations at the SEA AIR TOWERS CONDOMINIUMS for this operation:

[redacted]

[redacted]

[redacted]

[redacted]

[redacted]

CW advised that [redacted]

[redacted] JOHN "JACK" DUFF, SR. in this sports betting operation.

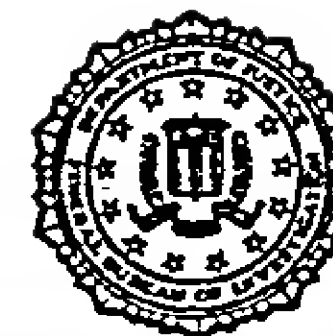
b6
b7C
b7D

b6
b7C
b7D

Investigation on 3/24/94 at [redacted] File # 281A-MM-67889

by SA [redacted] JRS:llm Date dictated 3/25/94

Memorandum



To : SAC MIAMI

Date

From : SA (OC-1)

Subject:

b2
b6
b7C
b7D

Dates of Contact

File #s on which contacted (Use Titles if File #s not available)
281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.
--	----------------------	-----------------

OCIS
 R
 E
 NE

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

PERSONAL DATA

281A-MM-67889 - SUS

AA10-25

① - 281A-MM-67889

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
MAY 13 1994	
FBI - MIAMI	

JRS:llm
(3)llm

FEDERAL BUREAU OF INVESTIGATION

Date of transcription

On the COOPERATING WITNESS (CW) provided the following information to Special Agent (SA)

CW advised that

CW advised that made a derogatory remark to DUFF, SR. at which time intervened.

b6
b7C
b7D

Investigation on at File # 281A-MM-67889

by SA JRS:llm Date dictated

b6
b7C
b7D

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 4/27/94

TO : SAC, MIAMI (281A-MM-67889) (OC-1) (P)

FROM : SAC, CHICAGO (281A-MM-67889) (P)

SUBJECT :

[Redacted]

✓ JOSEPH DE MARCO;

[Redacted]

OC/DI-LCN-GENOVESE FAMILY/GAMBINO FAMILY
OO: MIAMI

ReurTel 2/18/94 and reurairtel 3/15/94 to Chicago; re
Chicago Telcall from IA [Redacted] to Miami SA
[Redacted] 4/27/94.

Enclosed are copies of the following documents:

1. One copy each of two (2) U. S. DEPARTMENT OF LABOR, Labor Organization Annual Report Form LM-2, re DISTILLERY WORKERS UNION, for years 1993 and 1994;
2. Xerox of photograph of JOHN F. DUFF, SR.;
3. One (1) copy each of listed Chicago references:
183B-2272-973-A 195-75-5
183B-2184-E-43X4 183B-2088-H68; H69

② - Miami (Enclosures - 14)
1 - Chicago
BJB/BJB (3)

281A-MM-67889-546-1A10
[Handwritten initials and marks]

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ER
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YES
Sub B
Sub
112-10

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

281A-MMI-67889

4. One (1) copy of 183B-2088-356, Chicago memo dated 9/8/88, one copy (1) of 183B-2088-C-184 and one (1) copy of 183B-2088-C-186, statements of [redacted] and [redacted]
[redacted]

5. One copy of AMERITECH subscriber and bill face for each of four Chicago telephone numbers [redacted]
[redacted]

b6
b7C

ReTel requested background information re Local 103 of DISTILLERY WORKERS UNION and WINDY CITY MAINTENANCE, both Chicago, Illinois; background information and photos for JOHN DUFF, Sr. and [redacted] a review of four Chicago references; and background information re one [redacted]
[redacted] an acquaintance of JACK DUFF, Sr.

On 4/1/94 and 4/15/94 IA [redacted] contacted U. S. DEPARTMENT, Office of Labor-Management Standards, 230 South Dearborn Street, Chicago, Illinois, and obtained one copy each of financial statements dated 3/11/93 and 3/23/94 for DISTILLERY WORKERS UNION, Local 103, AFL-CIO, Chicago, Illinois. Forms captioned Labor Organization Annual Report-Form LM-2. Chicago file review of 183B-2088-H68, requested in reTel, revealed LM-3 Form dated 3/4/88 and LM-2 Form dated 3/15/88. One copy of each form is enclosed for perusal of Miami case agent. Names of officers and employees listed on each form are set out herein for indexing purposes:

LM-2 Form dated 3/23/94

Officers:

[redacted]

b6
b7C

*EX-BD is Executive Board

Status for above individuals is listed as "Continuing Officer";

LM-2 Form dated 3/11/93:

Officers: Same names as those for LM-2 Form dated 3/23/94;

LM-3 Form dated 3/4/88 attached to LM-2 Form dated 3/15/88:

281A-MM-67889

Officers on LM-3 Form:
(3/4/88)

[Redacted]

JOHN F. DUFF - Vice-President

[Redacted]

the organization)

Officers on
LM-2 Form:
(3/15/88)

[Redacted]

b6
b7C

members)

[Redacted]

was listed as "past" Recording Secretary
was listed as "new" Recording Secretary
was listed as "past" Executive Board
was listed as "new" Executive Board

All others listed are (then) "current" officers.

Employees receiving disbursements are listed as:

[Redacted]

Concerning WINDY CITY MAINTENANCE, on 3/22/94 IA
[Redacted] telephonically contacted SECRETARY OF STATE, STATE
OF ILLINOIS, Business Services, Chicago, Illinois, Telephone
312/793-3380, and was advised that WINDY CITY MAINTENANCE,
INC. was incorporated in the State of Illinois on 5/11/89.
WINDY CITY's address was listed as 178 North Halsted Street,
Chicago, IL. This corporation was in "good standing" with the
State of Illinois; its officers are listed as:

b6
b7C

President
Secretary
Registered Agent -

[Redacted]

[Redacted]

No other information was available.

281A-MM-67889

As requested in reTel, the following Chicago references concerning JOHN DUFF, SR. and [redacted] were reviewed and a copy of each is enclosed herewith.

183B-2272-973A:

Insert 3/11/89 containing background information re JOHN DUFF, SR., which is set out below in descriptive data.

183B-2184E-43X4:

FD 302 re 10/26/90 interview of [redacted] at Chicago, Illinois by SAs [redacted] and SA [redacted]. Information furnished involves gambling debts incurred by [redacted] and his cash payments to Organized Crime (OC) individuals. Several payments were picked up at DISTILLERY WORKERS UNION office, 133 South Ashland Avenue, Chicago, Illinois, where, according to [redacted] the DISTILLERY WORKERS UNION [redacted] JOHN DUFF, SR. [redacted]

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195-75-5:

Chicago airtel to Director dated 1/3/83 captioned "JACK DUFF, dba WINDY CITY LABOR SERVICE, INC., 1043 WEST MADISON STREET, CHICAGO, ILLINOIS; HOBBS ACT - LABOR RELATED", OO: Chicago. Airtel enclosed LHM dated 1/3/83 which contains information received via anonymous letter and reports JACK DUFF, SR., age 62, involved in WINDY CITY operations; that WINDY CITY uses strong arm muscle and threats to force distributors to use its services at inflated prices; that JOHN DUFF, SR. was incarcerated at METROPOLITAN CORRECTIONAL CENTER (MCC), Chicago, IL as of 7/9/82; release date 3/10/84; parole eligibility date 3/20/83.

183B-2088-H68 and H69:

Above reference is 1987 LABOR ORGANIZATION ANNUAL REPORT, Forms LM-3 and LM-2, set out above.

281A-MM-67889

Following is compilation of background information
obtained from above references re JOHN DUFF, SR.

Name: JOHN DUFF, SR.
Sex: Male
Race: White
DOB: 6/27/25
Height: 5'11"
Weight: 195 lbs.
Hair: Brown
Eyes: Blue
Spouse:
Residence: 3240 North Lake Shore Drive
Chicago, Illinois
SSAN: 345-14-7471
Employment: WINDY CITY LABOR SERVICE
1043 West Madison Street
Chicago, Illinois
Union Affiliations: Secretary-Treasurer
DISTILLERY WORKERS
AFL-CIO, Local 42
Warren, Michigan

Secretary-Treasurer
DISTILLERY WORKERS
AFL-CIO, Local 3
133 South Ashland Avenue
Chicago, Illinois

Vice President
DISTILLERY WORKERS UNION
AFL-CIO
66 Grand Avenue
Englewood, New Jersey

b6
b7C

Name:
Also known as:
Sex:
Race:
DOB:

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281A-MM-67889

Chicago has only a copy of a photograph of JOHN DUFF, SR.; date of photograph unknown. Copy enclosed. Chicago has no photographs of [redacted] As discussed in reTel, Miami will advise Chicago by separate communication in the event arrest photographs of the DUFFs are desired.

Concerning [redacted] Chicago FOIMS contains only a 196B-CG-77243-40p3 reference to one [redacted] This file was reviewed and there is no such reference. Chicago general indices has the following reference which is most likely identical to [redacted] set forth in reTel:

195-75-26 dated 6/83:

[redacted]

b2
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b7E

Reairtel 3/15/94 requested Chicago obtain subscriber information for five Chicago telephone numbers. On 3/22/94 an AMERITECH public service operator telephonically advised IA [redacted] that 312/243-4310, 312/421-5537; and 312/829-4432 were "No Listing" numbers and [redacted] was a "Non-Published" number; 312/243-4300 was listed as follows:

312/243-4300
LIQUOR AND ALLIED WORKERS
Local #3
1640 West Van Buren St.
Chicago, Illinois

On 3/24/94 an administrative subpoena was requested for above "No Listing"/"Non-Published" telephone numbers and on 3/31/94 AMERITECH, Chicago, Illinois, furnished the following subscriber information; a copy of AMERITECH records for these four numbers is enclosed.

281A-MM-67889

312/243-4310

LIQUOR AND ALLIED WORKERS LOCAL #3
1640 West Van Buren Street, Floor 1
Chicago, Illinois 60612-3241
Customer affiliated with other telephone numbers
243-4300-4306, 4386, 4397, 4038, 4876



312/829-4432

WINDY CITY MAINTENANCE
180 North Halsted Street, Floor 1
Chicago, Illinois 60661-1015
Old telephone number 829-2919
Billing Name WINDY CITY LABOR SERVICES, INC.
C/O REMEDIAL ENVIRONMENTAL
180 North Halsted
Chicago, Illinois 60661-1015

312/421-5537

WINDY CITY MAINTENANCE
178 North Halsted, Floor 1
Additional Telephone Numbers 421-5537, 5540, 5520,
6294, 6374, 6478
Billing name and address, same as above



b6
b7C

This case is presently in a Pending status at
Chicago.

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 136

Page 3 ~ b6, b7C

Page 6 ~ b6, b7C

Page 11 ~ b6, b7C

Page 12 ~ b6, b7C

Page 13 ~ b6, b7C

Page 14 ~ b6, b7C

Page 15 ~ b6, b7C

Page 16 ~ b6, b7C

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Page 178 ~ b6, b7C
Page 179 ~ b6, b7C
Page 180 ~ b6, b7C

Memorandum



To : SAC, MIAMI (281A-MM-67889)
ATTN: SA [redacted]

Date 2/25/94

From : SAVANNAH INFORMATION TECHNOLOGY CENTER
INVESTIGATIVE INFORMATION SERVICES

Subject: JOHN F. DUFF
RECORD NO. 19538

Attached are copies of Investigative Information Services printouts setting forth results of inquiries conducted by Investigative Information Services. Also attached are two copies of an accomplishment/reply form. It is requested that you record the Investigative Information Services accomplishments on this form, return one copy to the Investigative Information Services, and maintain one copy together with the computer printouts as a serial in your case file.

Set forth below is a brief synopsis of results of inquiries.

Users under SSAN 345-14-7471 listed as JOHN F. DUFF and [redacted] DUFF is shown as resident at 3240 N. Lake Shore Drive, 8A, Chicago, IL, telephone 312-472-7434.

DUFF and [redacted] are shown as officers of WINDY CITY CARTAGE COMPANY in IL. Information on other possible companies is enclosed.

No identifiable properties were located in DUFF's name; however, enclosed are printouts of the name JOHN DUFF in IL and FL.

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Should additional contact be necessary, this request was handled by Analyst [redacted], Telephone 912/944-0824.

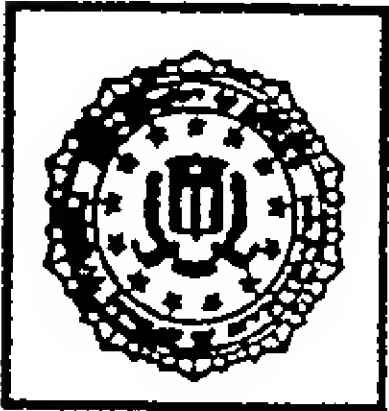
① - MM (Enc.)
Attn: Special Agent Supervisor
Note: Copy forwarded SA [redacted]
Via Mail / Facsimile _____ Date 2-25-94

1 - SVITC
(2)
kjl

4/20/94
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3/18

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281A-MM-67889-100 PA 12-
SERIALIZED 8 FILED dde
MAR 13 1994
FBI - MIAMI



INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Savannah Information Technology Center

220 East Bryan Street
Savannah, Georgia 31401

Commercial Telephone or FTS: (912) 944-0824 thru 0828

FAX: (912) 231-1076 and (912) 231-0974

Secure FAX & STU III

ITC Use Only: SITC Record #: 19538
 Date/Time In: 2-23-15:30 am pm
 Date/Time Out: 2-25-19:40 am pm
 Database(s) Used:
 1. TRW 5. LN 9. _____
 2. M 6. DB 10. _____
 3. EP 7. _____ 11. _____
 4. PH 12. _____
 Handled By: _____ Pos

TO: FBI, SAVANNAH INFORMATION TECHNOLOGY CENTER

Date: 2/17/94

Forfeiture/Seizure Related:

Type of Request: FAX Telcal Mail

Reply: FAX Telcal Mail

Requestor: _____

Phone #: 305-787-6220 FAX #: _____

UCFN: 281A-MM-67889

(Requestor Name is Required)

(The UCFN (File #) is Required)

Office/RA: MIAMI

Precedence: ROUTINE PRIORITY IMMEDIATE

Fugitive: Yes No

NCIC Activity/Date: _____

CCH Conducted: Yes No

Off-Line Searches Conducted: _____

Driver's Lic. Conducted: Yes No

Subject: Yes No Vehicle: Yes No Driver's License: Yes No

Driver's License #: _____ State: _____

Vehicle Registration: _____ State: _____

SEARCH CRITERIA (Attach additional sheets if necessary)

Name - Last: DUFF First: JOHN Middle: F.

Alias: "JACK" Sex: _____ DOB1: 6127125 DOB2: 1 1

SSAN1: 345-14-747 SSAN2: _____ Spouse: _____

RESIDENCE

Street Address: _____ City/State: _____ Zip: _____ Phone: _____

BUSINESS

Business Name: _____ Street Address: _____

City/State: _____ Zip: _____ Phone: _____ Business ID#: _____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)

- 1. Specific Information Desired ADDRESS, EMPLOYMENT
- 2. Determine All Individuals Associated with Social Security Number(s)
- 3. Report Validity of Social Security Number
- 4. Employment Report (subject to availability) INQUIRY WILL POST TO CONSUMER'S ACCOUNT
- 5. Determine Who is Associated with Telephone Number(s)
- 6. Determine Address of Business/Person (U.S. _____, _____, _____ State(s))
- 7. Determine Property Owned by Individual (U.S. _____, _____, _____ State(s))
- 8. Determine Who Owns Property Listed Above
- 9. Determine Who Resides at Address Listed Above
- 10. Determine Financial Background Info, Financial Associates/Institutions (NOT FULL CREDIT REPORTING)
- 11. Determine Corporate Business Info/Institutions Associated with: JOHN DUFF (Person/Business)

Reply From: FBI, Savannah Information Technology Center (SITC)

Return Reply To:
SAC, Miami
Attention: JA

Based on search criteria, marked records are attached:

- Possible Identifiable Records
- Other Peripheral Information
- Brief Synopsis of Information Found
- No Information Found

b2
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b6
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***** TRW SOCIAL SEARCH *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 02-24-1994 TIME: 14:38:28 SUBJECT ID: SOCIAL SEARCH

9-345147471,V-04/042/3.34

PAGE 1 DATE 2-24-94 TIME 11:35:04 PCU04 V506

SSN: 345-14-7471

FULL NAME/ADDRESS:

JOHN F DUFF
3240 LAKE SHORE
CHICAGO IL 60657
FIRST RPTD: 10-88

YOB: 1925

[Redacted]

AKA [Redacted]

b6
b7C

ABBREVIATED NAME/ADDRESS:

JHN F DUFF
3240 L 60657

YOB: 1925
SPOUSE:

NOT TO BE USED FOR CREDIT GRANTING
MAY CONTAIN INFORMATION FOR MORE THAN ONE CONSUMER

END -- TRW SOCIAL SEARCH

*** END OF REPORT ID: 02-24-1994/14:38:28 (ORN: 134) ***

* EQUIFAX DTEC *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *
* *****

DATE: 02-24-1994 TIME: 14:36:56 SUBJECT ID: DTEC

DTEC-345-14-7471.

SSN ISSUED PRIOR-1951 STATE ISSUED-IL

M1 OF 1 NM-DUFF,JOHN CA-3240,N LAKESHORE,DR,CHICAGO,IL,60657
SS-345-14-7471 &

END OF REPORT EQUIFAX AND AFFILIATES - 02/24/94

*** END OF REPORT ID: 02-24-1994/14:36:56 (ORN: 132) ***

***** TRANS UNION TRACE *****
* FBI - SAVANNAH PUBLIC SOURCE INFORMATION GROUP *

DATE: 02-24-1994 TIME: 14:37:36 SUBJECT ID: TRACE

TRCE 345147471

TRANS UNION CREDIT REPORT

FOR	MKT/SUB	INFILE	DATE	TIME
QHO2870232	15	SC	02/24/94	13:32CT
RPT ON		SSN	DOB	
* SOCIAL SECURITY NUMBER TRACE *		345-14-7471		
NAME/SPOUSE			SSN OWNER	
ADDRESS			ADDR RPT DATE	

1. DUFF, JOHN F
3240 LAKE SHORE DR., CHICAGO IL. 60657

SUBJECT
09/88

END OF NETWORK TRACE

COPYRIGHT 1993, TRANS UNION CORPORATION

GO
*** END OF REPORT ID: 02-24-1994/14:37:36 (ORN: 133) ***

LEVEL 1 - 12 OF 13 DOCUMENTS

PERSON LOCATOR

* * * THIS DATA IS FOR INFORMATION PURPOSES ONLY * * *

RESIDENT(S)

APPROXIMATE BIRTH DATE

DUFF JR, JOHN F

06/25

ADDRESS: 3240 N LAKE SHRE DR 8A, CHICAGO, IL 60657-3909

TELEPHONE NUMBER: 312-472-7434

DWELLING-TYPE: 50-100 FAMILY

YEAR RESIDENCE FIRST LISTED IN PHONE BOOK: 84

APPROXIMATE HEAD OF HOUSEHOLD (HOH) BIRTH DATE: 06/25

* * * U.S. CENSUS BUREAU INFORMATION * * *

Press Alt-H for Help or Alt-Q to Quit.

MEDIAN HOME VALUE BY CENSUS TRACT: \$ 245,800

Press Alt-H for Help or Alt-Q to Quit.

b6
b7c

FOCUS - 2 OF 3 DOCUMENTS

***THIS DATA IS FOR INFORMATION PURPOSES ONLY. CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE ***

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

NAME: WINDY CITY CARTAGE COMPANY

TYPE: DOMESTIC CORPORATION

STATUS: GOOD STANDING

ident

NOTE: Failure to timely file an annual report or pay franchise taxes may adversely affect a corporation's status. Review the "CURRENT TAX YEAR" information in the ANNUAL REPORT segment below.

DURATION: PERPETUAL

ANNIVERSARY MONTH: MAY

DATE OF INCORPORATION/QUALIFICATION: 05/11/1989

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

FOCUS

STATE OF INCORPORATION: ILLINOIS

REGISTERED AGENT:

REGISTERED OFFICE:
CHICAGO, ILL 60601-1506
COOK COUNTY

b6
b7c

LAST CHANGE IN REGISTERED AGENT: 08/15/91

ANNUAL-REPORT:	PREVIOUS TAX YEAR 1992	CURRENT TAX YEAR 1993
	-----	-----
DATE ANNUAL REPORT FORM MAILED TO CORPORATION:	03/14/92	03/13/93
DUE DATE OF ANNUAL REPORT:	05/01/92	05/01/93
DATE ANNUAL REPORT FILED:	07/02/92	05/12/93
DATE FRANCHISE TAX PAID:	07/02/92	05/12/93
DATE DELINQUENCY NOTICE MAILED:	06/13/92	
TAX FACTOR:	1.000000	1.000000
TAX AMOUNT PAID:	\$ 28.75	\$ 25.00
ANNUAL REPORT CAPITAL:	\$ 0.00	\$ 0.00

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

FOCUS

ILLINOIS CAPITAL: \$ 0.00 \$ 0.00

PRESIDENT:

[Redacted]

SECRETARY:

[Redacted]

ident

b6
b7C

HISTORY: REGULATED BY ILLINOIS COMMERCE COMMISSION: NO

PURPOSE: TRANSPORTATION - FREIGHT

CORPORATION NUMBER: 55516235

CURRENT CAPITAL AND STOCK INFORMATION:
LAST CHANGE IN CAPITAL: 05/11/1989
TAX CAPITAL: \$ 1,000
TOTAL CAPITAL: \$ 1,000

STOCK CLASS	AUTHORIZED SHARES	SHARES ISSUED	PAR VALUE	VOTING RIGHTS
-------------	-------------------	---------------	-----------	---------------

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

COMM	10,000	1,000.00	0	FOCUS YES
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ENTER LEXDOC TO ORDER COPIES OF THE ORIGINAL FILING AND RELATED DOCUMENTS

Press Alt-H for Help or Alt-Q to Quit.

FOCUS - 4 OF 10 DOCUMENTS

***THIS DATA IS FOR INFORMATION PURPOSES ONLY. CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE OFFICE OF THE ILLINOIS SECRETARY OF STATE ***

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

NAME: CURTIS STORAGE AND TRUCKING COMPANY

TYPE: DOMESTIC CORPORATION

STATUS: GOOD STANDING

NOTE: Failure to timely file an annual report or pay franchise taxes may adversely affect a corporation's status. Review the "CURRENT TAX YEAR" information in the ANNUAL REPORT segment below.

DURATION: PERPETUAL

ANNIVERSARY MONTH: OCTOBER

DATE OF INCORPORATION/QUALIFICATION: 10/18/1991

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

FOCUS

STATE OF INCORPORATION: ILLINOIS

REGISTERED AGENT:

[Redacted]

REGISTERED OFFICE:

[Redacted]
CHICAGO, ILL 60601-1506
COOK COUNTY

LAST CHANGE IN REGISTERED AGENT: 10/18/91

ANNUAL-REPORT:

PREVIOUS TAX YEAR
1992

CURRENT TAX YEAR
1993

b6
b7C

DATE ANNUAL REPORT FORM MAILED

TO CORPORATION:

08/15/92

08/14/93

DUE DATE OF ANNUAL REPORT:

10/01/92

10/01/93

DATE ANNUAL REPORT FILED:

09/22/92

10/14/93

DATE FRANCHISE TAX PAID:

09/22/92

10/14/93

TAX FACTOR:

1.000000

1.000000

TAX AMOUNT PAID:

\$ 25.00

\$ 25.00

ANNUAL REPORT CAPITAL:

\$ 0.00

\$ 0.00

ILLINOIS CAPITAL:

\$ 0.00

\$ 0.00

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

FOCUS

PRESIDENT:

[Redacted]

ident

SECRETARY:

[Redacted Box]

b6
b7c

HISTORY: REGULATED BY ILLINOIS COMMERCE COMMISSION: NO

PURPOSE: ALL INCLUSIVE PURPOSE

FEIN: 363799665

CORPORATION NUMBER: 56575294

CURRENT CAPITAL AND STOCK INFORMATION:

LAST CHANGE IN CAPITAL: 10/18/1991

TAX CAPITAL: \$ 1,000

TOTAL CAPITAL: \$ 1,000

STOCK CLASS	AUTHORIZED SHARES	SHARES ISSUED	PAR VALUE	VOTING RIGHTS
-------------	-------------------	---------------	-----------	---------------

Press Alt-H for Help or Alt-Q to Quit.

ILLINOIS SECRETARY OF STATE, CORPORATE RECORD

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1,000.00

1.00000

FOCUS
YES

ENTER LEXDOC TO ORDER COPIES OF THE ORIGINAL FILING AND RELATED DOCUMENTS

Press Alt-H for Help or Alt-Q to Quit.

(Title) _____

(File No.) _____

Item	Date Filed	To be returned		Disposition
		Yes	No	
1A'	5/3/94		X	22 photos of UNION CHECKS from JACK DUFF SR (4/18/94)

381A mm-67889-Sub AA 12-1A-



SEARCHED INDEXED
MAY 13 1994
JLW

1A'

Universal File Case Number 281A-MM-67889 SUB AA-12

Field Office Acquiring Evidence MM

Serial # of Originating Document _____

Date Received 4/18/94

From _____
(Name of Contributor)

(Address of Contributor)

By _____

To Be Retained Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
 Yes No

Title: _____
AKA LT-ALJ
OC/OZ-LCN Gambino Family
OO:MM

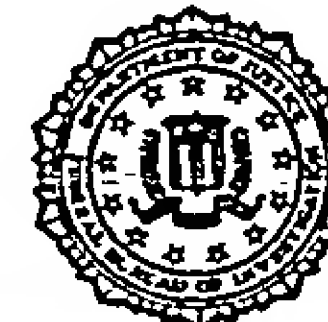
Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

22 PHOTOS OF UNION CHECKS
FROM JACK DUKE JR.

b6
b7c

Memorandum



To : SAC MIAMI

Date 3/30/94

From : SA [redacted] (OC-1)

Subject: [redacted]

b2
b6
b7C
b7D

Dates of Contact
3/18/94

File #s on which contacted (Use Titles if File #s not available)
281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.
	INDEX	OCIS
	Initial	YES _____ NO <input checked="" type="checkbox"/>
		AGENT INITIAL

OCIS
R
E
NE

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

281A-MM-67889-SUBA A12-2

PERSONAL DATA

① - 281A-MM-67889

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
MAY 16 1994
FBI - MIAMI

JRS:llm
(3) llm

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/30/94

On March 18, 1994, the COOPERATING WITNESS (CW) provided the following information to Special Agent (SA) [redacted]

[redacted]

[redacted]

DUFF SR. advised CW that Congressman DAN ROSTENKOWSKI (D-ILL) would be traveling to South Florida, during the weekend of March 19, 1994. During this conversation, DUFF, SR. advised CW that ROSTENKOWSKI was going to be indicted and charged with stolen stamps, and gifts which were given to employees by ROSTENKOWSKI. DUFF SR. advised that Congressman ROSTENKOWSKI felt as though he (ROSTENKOWSKI) would have no problem confronting these charges in court, if that is all they (the Government) had against him.

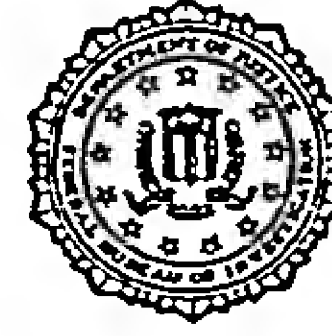
b6
b7C
b7D

Investigation on 3/18/94 at [redacted] File # 281A-MM-67889

by SA [redacted] JRS:llm Date dictated 3/21/94

b6
b7C
b7D

Memorandum



To : SAC MIAMI

Date 3/15/94

From : SA

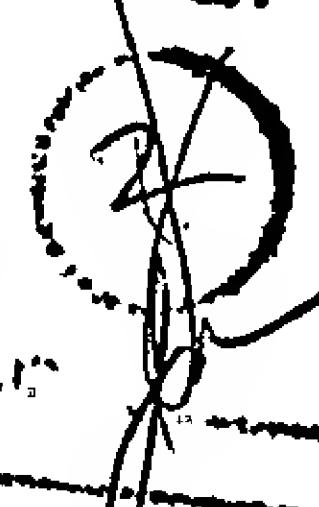
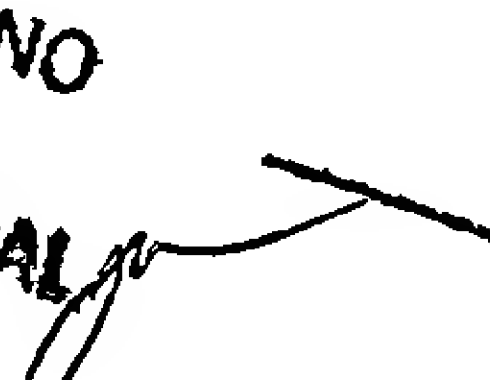
Subject:

b2
b6
b7C
b7D

Dates of Contact
File #s on which contacted (Use Titles if File #s not available) 281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.
<div style="border: 2px solid black; padding: 5px; display: inline-block;"> INDEX  YES </div>	OCIS NO AGENT INITIAL 	281A-MM-67889-SUB AA12-3

OCIS
 RE
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Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

PERSONAL DATA	SEARCHED _____ INDEXED _____ SERIALIZED _____ FILED _____ <div style="text-align: center; font-weight: bold; font-size: 1.2em;">MAY 17 1994</div> FBI - MIAMI
---------------	---

① - 281A-MM-67889
JRS:mlt

mlt

W

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/15/94

On [redacted] a Cooperating Witness made an outgoing phone call at approximately [redacted] to JOHN "JACK" DUFF, SR., telephone number (305) 454-8929.

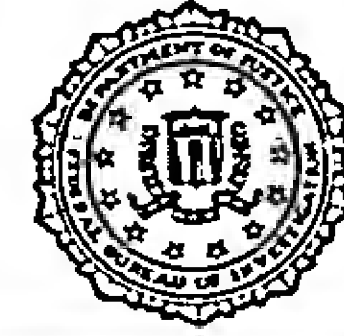
The above-mentioned telephone conversation was monitored and recorded.

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Investigation on [redacted] at [redacted] File # 281A-MM-67889

by SA [redacted] JRS:mlt Date dictated 3/5/94

Memorandum



To : SAC SAC, MIAMI

Date 5/2/94

From : SA [Redacted]

Subject: [Redacted]

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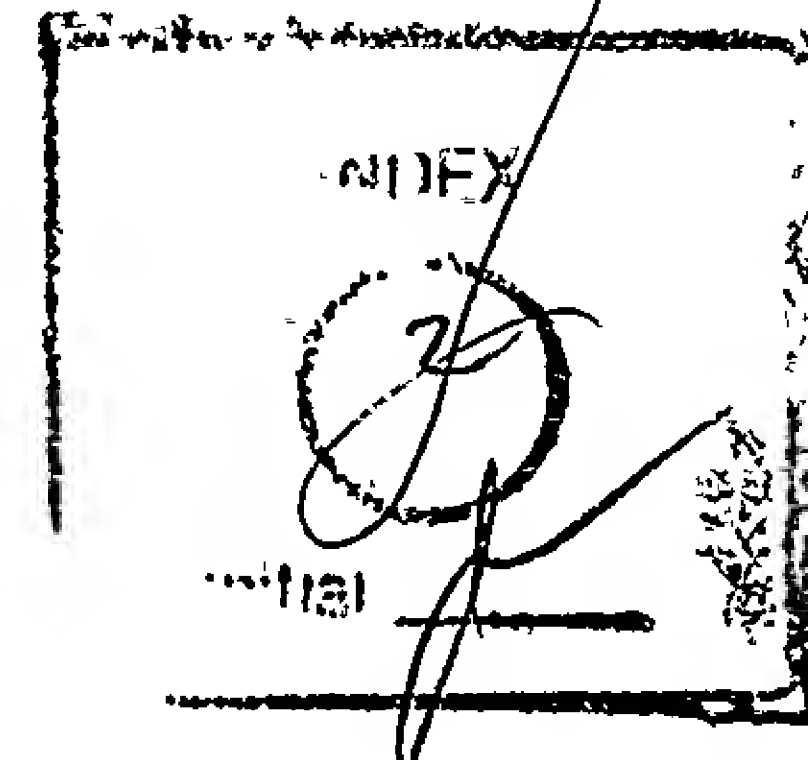
Dates of Contact [Redacted]

File #s on which contacted (Use Titles if File #s not available)
 281A-MM-67889

Purpose and results of contact

NEGATIVE
 POSITIVE
 STATISTIC

Description of Statistical Accomplishment	Title of Case	File No.
<p>YES <u>OCIS</u> NO</p> <p>AGENT INITIAL <u>[Signature]</u></p>		



OCIS
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Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

281A-MM-67889-Sub AA12-2

PERSONAL DATA

SEARCHED _____ INDEXED _____
 SERIALIZED _____ FILED _____
 MAY 13 1994
 FBI MIAMI

① - 281A-MM-67889
 JRS:blc *blc*

MAP

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/2/94

On [redacted] a cooperating witness (CW) provided the following information to Special Agent (SA) [redacted]

CW advised that JACK DUFF, Sr. flew to Chicago, Illinois, during the weekend of [redacted] CW further advised that [redacted]

CW advised that JACK DUFF, Sr. advised the CW on [redacted] that he (DUFF, Sr.) wanted [redacted]

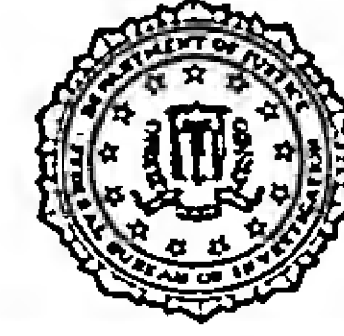
JACK DUFF, Sr. advised that he would obtain airline tickets for [redacted]

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Investigation on [redacted] at [redacted] File # 281A-MM-67889
by SA [redacted] JRS:blc Date dictated 4/19/94

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b7D

Memorandum



To : SAC MIAMI

Date 5/3/94

From : SA [Redacted]

Subject: [Redacted]

b2
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b7C
b7D

Dates of Contact [Redacted]

File #s on which contacted (Use Titles if File #s not available)
 281A-MM-67889

Purpose and results of contact

- NEGATIVE
- POSITIVE
- STATISTIC

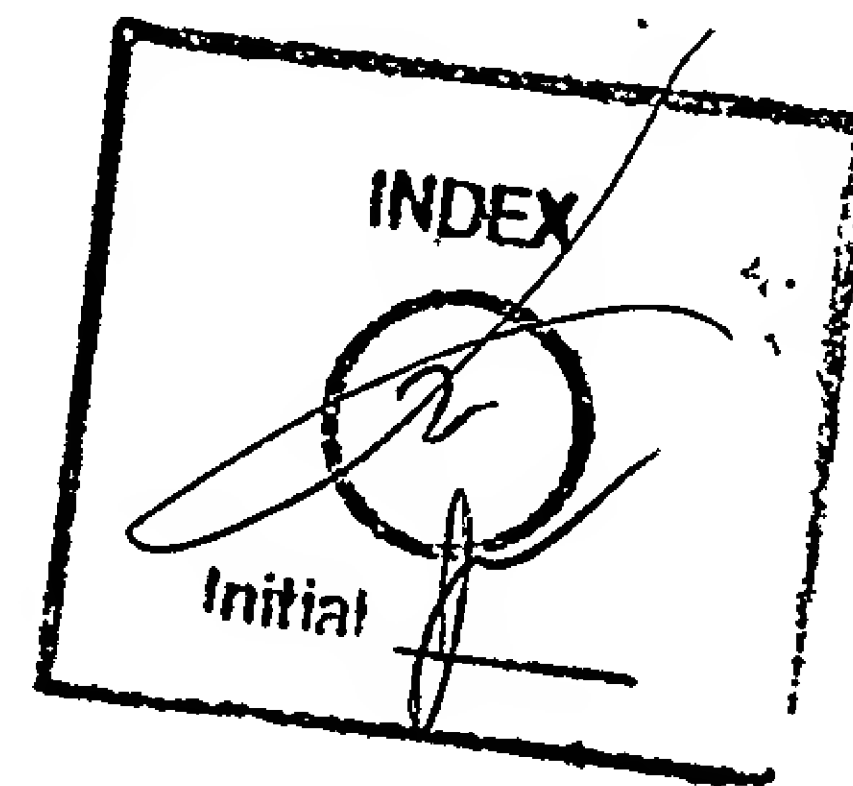
Description of Statistical Accomplishment

Title of Case

File No. AA12
AA15

YES ~~OCIS~~ NO

AGENT INITIAL *[Signature]*



281A-MM-67889-SUB AA12-5

OCIS
R
E
NE

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

PERSONAL DATA

SEARCHED [Signature] INDEXED [Signature]
 SERIALIZED [Signature] FILED [Signature]

MAY 13 1994

FBI - MIAMI

① - 281A-MM-67889
JRS:blc *blc*

WPL

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/3/94

On [redacted] a cooperating witness (CW) provided the following information to Special Agent (SA) [redacted]

CW advised that on [redacted] and JOEY DEMARCO were observed meeting at BARBARAS' RESTAURANT, located in the lobby of the SEA AIR TOWER CONDIMINIUMS, 3725 South Ocean Drive, Hollywood, Florida.

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CW further advised that JACK DUFF, Sr. was due to arrive at FORT LAUDERDALE INTERNATIONAL AIRPORT at approximately 2:47 p.m. from Chicago, Illinois.

CW stated that DUFF, Sr. advised [redacted]

Investigation on [redacted] at [redacted] File # 281A-MM-67889
by SA [redacted] JRS:blc Date dictated 4/22/94

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b7D

0015 MRI 00625

PP RUCNFB FBIMM

DE ROM 02002 1451326

ZNR UUUUU

P 231200Z MAY 94

FM LEGAT ROME (281A-MM-67889) (P)

TO DIRECTOR FBI/PRIORITY/

FBI MIAMI (281A-MM-67889)/PRIORITY/

BT

UNCLAS

CITE: //5350:ROM471.145//

PASS: HQ - SSA [redacted] OCS #2; MIAMI - SSA [redacted]

[redacted] SA [redacted]

b6
b7C
b7D

SUBJECT: [redacted] AKA: ET AL; OC/DI-LCN-BENVENESE

FAMILY; GAMBINO FAMILY; OO: MM.

REFERENCE ROME TELCAL TO OCS #2 DATED 5/24/94, AND ROME
TELCAL TO MIAMI DATED 5/23/94.

FOR THE INFORMATION OF RECIPIENTS, [redacted]

SUB B
SUB AA12

[redacted] HAS

ADVISED THAT [redacted] DATE AND PLACE OF

YES
OCIS
NO
AGENT INITIAL *[Signature]*

INDEX
[Signature]

281A-MM-67889-SUB AA12-6

SEARCHED *[Signature]* INDEXED *[Signature]*
SERIALIZED *[Signature]* FILED *[Signature]*
MAY 25 1994
FBI - N. MIAMI BEACH

PAGE TWO DE RGN 0002 UNCLAS

BIRTH OF [REDACTED] AT [REDACTED] IS [REDACTED] OF

JENN (JENN), DUKE, SR. [REDACTED] IS MARRIED TO [REDACTED]

[REDACTED] DATE AND PLACE OF BIRTH OF [REDACTED] AT

CHICAGO, ILLINOIS.

[REDACTED] ADVISES THAT [REDACTED] RESIDES AT [REDACTED]

[REDACTED] CRIMINAL CHECKS RELATIVE TO [REDACTED]

REVEALED NEGATIVE FINDINGS.

BT

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2014 MAR 6 11:09 AM - SUB 101276

12

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 23

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